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# 1 Modeling and optimization of a photocatalytic process: Degradation of 2 endocrine disruptor compounds by Ag/ZnO

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4 Alma Berenice Jasso-Salcedo<sup>a1</sup>, Sandrine Hoppe<sup>b</sup>, Fernand Pla<sup>b</sup>, Vladimir Alonso Escobar-Barrios<sup>c</sup>,  
5 Mauricio Camargo<sup>d</sup> and Dimitrios Meimaroglou<sup>b\*</sup>

6 <sup>a</sup>Instituto Potosino de Investigación Científica y Tecnológica, División Ciencias Ambientales, Camino a la Presa de  
7 San José 2055, Col. Lomas 4a Sección. C.P. 78216, San Luis Potosí, S.L.P., México.

8 <sup>b</sup>CNRS, Laboratoire Réactions et Génie des Procédés, Université de Lorraine UMR 7274, Nancy, F-54001, France.

9 <sup>c</sup>Instituto Potosino de Investigación Científica y Tecnológica, División de Materiales Avanzados, Camino a la Presa  
10 de San José 2055, Col. Lomas 4a Sección. C.P. 78216, San Luis Potosí, S.L.P., México.

11 <sup>d</sup>Université de Lorraine, ERPI, Equipe de Recherche sur les Processus Innovatifs, EA 6737, Nancy, F-54001, France.

12  
13 \*Corresponding author e-mail address: [dimitrios.meimaroglou@univ-lorraine.fr](mailto:dimitrios.meimaroglou@univ-lorraine.fr)

14 <sup>1</sup> Present address author AB Jasso-Salcedo: Department of Materials and Environmental Chemistry, Arrhenius  
15 Laboratory, Stockholm University, SE-106 91 Stockholm, Sweden.

16  
17 Keywords: artificial neural networks, optimization, photocatalysis, bisphenol-A.

## 18 19 **Abstract**

20 Artificial neural network (ANN) modeling was applied to study the photocatalytic degradation of  
21 bisphenol-A. The operating conditions of the Ag/ZnO photocatalyst synthesis and its  
22 performance were simultaneously modeled and subsequently optimized to target the highest  
23 efficiency in terms of the degradation reaction rate. Two ANN models were developed to  
24 simulate the stages of the photocatalyst synthesis and photodegradation performance,

25 respectively. A direct dependence between the two networks was also established, thus making  
26 it possible to directly relate the degradation rate of the contaminant, not only to the  
27 photodegradation conditions, but also to the photocatalyst synthesis conditions. In this respect,  
28 an optimization study was carried out, by means of an evolutionary algorithm, in order to  
29 identify the optimal synthesis and photodegradation conditions that would result in the  
30 degradation of a maximal amount of the contaminant. Through this integrated approach it was  
31 demonstrated that neural network models can be proven valuable tools in the evaluation,  
32 simulation and, ultimately, the optimization of different stages of complex photocatalytic  
33 processes towards the maximization of the efficiency of the synthesized photocatalyst.

34

## 35 **1 Introduction**

36 Endocrine-disrupting compounds (EDCs) is a class of chemical substances that pollute water  
37 and other environmental resources. They are responsible for adverse developmental,  
38 reproductive, neurological and immune side-effects on both humans and wildlife as they  
39 interfere with the organism's endocrine system. Bisphenol-A (BPA) is an EDC that was used  
40 initially (i.e., in the 1930's) as an estrogenic drug for birth control and later as a monomer in the  
41 synthesis of polycarbonate as well as an additive in the synthesis of polyvinylchloride,  
42 polyesters, epoxy resins, lacquer coatings, etc. It is these latter applications that have facilitated  
43 the extensive, worldwide spread of this contaminant, presently detected in various aqueous  
44 media including fresh and marine surface waters and groundwater (Flint et al., 2012; Klečka et  
45 al., 2009).

46 Among the several studies on the removal of EDCs and pharmaceuticals from drinking water,  
47 sunlight-induced photocatalytic degradation is an attractive approach that has gained  
48 significant attention over the last years (Bohdziewicz et al., 2016; Esplugas et al., 2007;  
49 Fernández et al., 2014; Sin et al., 2012; Sornalingam et al., 2016; Tijani et al., 2013). Yet, despite  
50 the undisputed advantages of the process, such as its clean – non-chemical nature and its  
51 relatively low cost, heterogeneous photocatalysis is a complex process whose efficiency is  
52 related to a number of factors associated with the catalyst properties (e.g., crystal structure,  
53 morphology, surface area, defect sites, polarity, active surface sites and reactive charges life-  
54 time) and the photocatalytic reaction conditions (e.g., pH, contaminant concentration, catalyst  
55 dose, light intensity). Hence, the control of the photocatalytic performance of UV/Metal Oxide  
56 systems is not a trivial problem since it requires an optimal combination of the above

57 mentioned material and process characteristics and conditions. In this respect, the  
58 development of an accurate robust mathematical model of the process becomes of profound  
59 importance to the study and implementation of this decontamination technique.

60 Traditional modeling approaches of such systems are based on kinetic models that simulate the  
61 contaminant degradation curves on the basis of a commonly adopted first-order kinetics  
62 equation (Amani-Ghadim & Seyed Dorraji, 2015; Rosenfeldt & Linden, 2004; R. Wang et al.,  
63 2009). On the other hand, alternative modeling methodologies (e.g., empirical models or  
64 response surface methodologies) (Asl et al., 2012; Babaei et al., 2011; Kiattisaksiri et al., 2015;  
65 Lee & Hamid, 2015; Merabet et al., 2016) are constantly gaining ground in the area, mainly due  
66 to the complex nature of the photodegradation processes and the lack of thorough  
67 understanding of all the mechanisms involved, which inhibits the development of generalized  
68 powerful mechanistic models. Among these alternatives, Artificial Neural Network models  
69 display an evergrowing presence in the most recent relevant studies.

70 Artificial Neural Networks (ANN) are powerful tools that can be implemented on a set of raw  
71 experimental data to establish non-linear mathematical relations between the input/output of  
72 the process. They belong to the general class of 'data-driven models' (DDM), which attempt to  
73 create connections between the input variables and the responses of a system, without  
74 requiring any prior knowledge on the underlying physical phenomena (Solomatine et al., 2008).

75 Other advantages of this class of models are their ability to extract and recognize patterns in  
76 data, as well as their rather quick and simple development and implementation to completely  
77 different processes.

78 Under the condition of existence of a sufficient number of experimental data, ANN models can  
79 be proven quite efficient and accurate, both in correlating the existing data as well as in  
80 predicting the system behavior (within the limits of the explored experimental space), while  
81 they can also be easily customized to different systems. They are commonly developed with the  
82 aid of specifically designed software or software package toolboxes (e.g., the ANN toolbox of  
83 Matlab®), which are simple to use and quite flexible in terms of the customization of the model  
84 structure and characteristics (Sivanandam et al., 2006).

85 A review of the implementation of ANN on heterogeneous photocatalytic water and  
86 wastewater treatment processes was published by Khataee and Kasiri (2010). The accuracy of  
87 ANN models was also assessed in a recent study by Amani-Ghadim and Seyed Dorraji (2015),  
88 who compared three different model types, namely a kinetic model, an empirical model and an  
89 ANN model on the photodegradation of Acid blue 9 using UV/ZnO. In this study, the authors  
90 investigated the effect of different factors (i.e., contaminant initial concentration, ZnO content,  
91 light intensity, pH and time) on the photodegradation efficiency and concluded that ANN  
92 modeling allows an accurate description of the photocatalytic process without the necessity to  
93 resort to complex mathematical descriptions of the kinetics.

94 Traditionally, ANN models have been applied to photocatalytic degradation processes in order  
95 to study the effect of a variety of reaction conditions on the photocatalytic performance by  
96 means of percentage of degradation or removal efficiency. It is only recently that the apparent  
97 reaction rate constant of a first-order photocatalytic degradation curve was considered as the  
98 simulated response of the developed ANN model (Behnajady & Eskandarloo, 2015; Delnavaz,  
99 2015). The characteristics of a series of similar recent studies are summarized in Table 1.

100 As can be seen, ANN models can be used to assess the effect of numerous important factors of  
101 the process, such as light intensity, organic/inorganic ions concentration and oxygen dose,  
102 which influence the photocatalytic performance but are rarely considered in kinetic models. For  
103 instance, Vaez et al. (2015) studied the effect of anions naturally present in wastewater (i.e.,  
104 sulfate  $\text{SO}_4^{2-}$ , chloride  $\text{Cl}^-$ , bicarbonate  $\text{HCO}_3^-$  and carbonate  $\text{CO}_3^{2-}$ ) and peroxide, on the  
105 photodegradation of Acid Red 73 on UV/ $\text{TiO}_2$  nanoparticles immobilized on sackcloth fiber. In  
106 another noteworthy example, Tanasa et al. (2013) successfully studied the effect of both  
107 photocatalyst properties (i.e., crystallite size, surface area and absorption edge) and reaction  
108 conditions (i.e., dye initial concentration, time and catalyst dose) on the color removal of Eosin  
109 Y in UV/ $\text{ZnO}/\text{SnO}_2$  systems.

110 In the present work, a novel modeling framework is proposed for the study of a photocatalytic  
111 degradation process of a water contaminant. In this respect, the two major stages of the  
112 photocatalytic process, namely the photocatalyst synthesis and the contaminant degradation  
113 experiments, are decoupled in order to separately assess the effects of the factors affecting  
114 these two process stages on the overall photocatalytic efficiency of the synthesized  
115 photocatalyst. Two artificial neural networks are developed for the modeling of these two  
116 stages, linked together by the fact that the output of the ANN model on the photocatalyst  
117 synthesis is, at the same time, an input for the ANN model on the photodegradation  
118 experiments. In a subsequent optimization analysis, the two models are separately optimized in  
119 the inverse order (i.e., starting from the model on the photodegradation tests), thus connecting  
120 the photodegradation efficiency (i.e., related to the objective function of the first optimization

121 study on the second ANN model) with the photocatalyst synthesis conditions (i.e., optimal  
122 decision variables of the second optimization study on the first ANN model).

123 The system under study concerns the use of silver-modified ZnO particles (Ag/ZnO) as effective  
124 catalysts for the photodegradation of BPA in water. ZnO, charged with silver nanoparticles  
125 (AgNPs), is a prominent photocatalyst that has been employed in several contaminant  
126 photodegradation studies due to its decreased charge-carriers recombination rate, increased  
127 photostability and efficiency (Georgekutty et al., 2008; J. Wang et al., 2011; Xie et al., 2010).

128 The detailed characteristics of the experimental system have been extensively presented in a  
129 recent publication (Jasso-Salcedo et al., 2016) and will not be the subject of the present work.

130 To the best of the authors' knowledge, this is the first time that a two-stage, de-coupled ANN  
131 modelling framework is proposed for the study and, subsequently, the optimization of the  
132 photocatalytic degradation of an endocrine disrupting contaminant. The proposed approach  
133 allows for the evaluation of the effects of the factors of the two principal stages of the  
134 photodegradation process (i.e., the catalyst synthesis and the degradation experiments) on the  
135 final photodegradation efficiency, by distinguishing these two stages without completely  
136 isolating them from the overall process.

137



139 **Table 1.** Neural Network modeling studies of the photocatalytic performance on the degradation of water contaminants.

Photocatalyst	Model contaminant	ANN Topology (In:Hid:Out)	Data number	Input / Factors	Output / Response	Reference
ZnO	Acid Blue 9	5:9:1	152	AB9, pH, ZnO, UV intensity	Degradation efficiency (%)	Amani-Ghadim and Seyed Dorraji (2015)
ZnO/Montmorillonite K10	Disperse Red 54 (DR54)	5:10:1	N/A	DR54, ZnO/MMT, time	Decolorization efficiency (%)	Kiransan et al. (2015)
ZnO/Montmorillonite K10	Basic yellow 28 (BY28)	3:14:1	N/A	BY28, ZnO/MMT dosage, UV radiation time	Decolorization efficiency (%)	Kiransan et al. (2015)
TiO <sub>2</sub>	Acid Red 27	4:8:1	56	TiO <sub>2</sub> , AR27, pH, UV intensity	Reaction rate constant (Kap)	Behnajady and Eskandarloo (2015)
TiO <sub>2</sub> -Light expanded clay aggregates	Phenol	5:6:4:2	325	Reaction time, Phenol, pH, TiO <sub>2</sub> , UV intensity	Photocatalytic reactor efficiency (%) and Kinetic constant (Kapp)	Delnavaz (2015)
TiO <sub>2</sub> /sackcloth fibre	Acid Red 73	5: 15:1	300	pH, time, anion, H <sub>2</sub> O <sub>2</sub> , AR73 concentration	Photocatalytic efficiency (%)	Vaez et al. (2015)
SnO <sub>2</sub> /Fe <sub>3</sub> O <sub>4</sub>	Phenol red	4:20:30:20:1	30	SnO <sub>2</sub> /Fe <sub>3</sub> O <sub>4</sub> , phenol red, stirring intensity, UV intensity	Dye removal (%)	Sargolzaei et al 2015
TiO <sub>2</sub> /ZrO <sub>2</sub>	Carbamazepine (CBZ)	4:5:1	130	TiO <sub>2</sub> /ZrO <sub>2</sub> , pH, reaction time, CBZ	CBZ removal (%)	Das et al. (2014)
TiO <sub>2</sub>	Chromium (Cr (VI))	4:4:1	558	Cr(VI), pH, TiO <sub>2</sub> , irradiation time	Photocatalytic reduction Cr (VI) (%)	Sabonian and Behnajady (2014)
TiO <sub>2</sub>	N,N-diethyl-m-toluamide (DEET)	3:13:1	17	TiO <sub>2</sub> , DEET, UV intensity	Photocatalytic oxidation (%)	Antonopoulou and Konstantinou (2013)
TiO <sub>2</sub>	Total phenolic compounds (TPh)	3:12:1	17	TiO <sub>2</sub> , TPh, UV intensity	Photocatalytic oxidation of TPh (%)	Antonopoulou et al. (2012)
TiO <sub>2</sub>	17 $\alpha$ -ethynylestradiol (EE2)	5:13:1	222	Reaction time, TiO <sub>2</sub> , EE2, water dissolved organic carbon, water conductivity	EE2 conversion (%)	Frontistis et al. (2012)
TiO <sub>2</sub>	4-nitrophenol (4-NP)	4:14:1	147	Nano TiO <sub>2</sub> , time, UV intensity, 4-NP	Removal (%)	Ghanbary et al. (2012)
TiO <sub>2</sub>	Reactive black 5 (RB5)	4:10:1	N/A	pH, TiO <sub>2</sub> dose, RB5, time	Photocatalytic efficiency (%)	Dutta et al. (2010)

## 141 **2 Methodology**

### 142 **2.1 Data collection**

#### 143 **2.1.1 Preparation of Ag/ZnO**

144 The Ag/ZnO photocatalyst was prepared by photodeposition (PD) and impregnation (IMP)  
145 methods (Jasso-Salcedo et al., 2014). For both methods, a suspension containing ZnO and  
146 stabilized silver nanoparticles (AgNPs) was adjusted at desired initial pH values using 0.1N HCl  
147 and/or 0.5 N NaOH. The suspension was stirred under UV irradiation or in darkness, for PD and  
148 IMP methods, respectively. Then the sample was submitted to centrifugation/re-dispersion  
149 cycles in distilled water and ethanol solutions several times to remove the free AgNPs (i.e., not  
150 attached to the ZnO surface). The actual weight percentage of AgNPs that were finally attached  
151 to the ZnO surface was calculated by the following expression:

$$152 \quad W_{\text{Ag}} \% = \frac{W_{\text{Ag}}}{W_{\text{Ag}} + W_{\text{Zn}}} 100 \quad (1)$$

153 where the quantities of Ag and Zn were obtained from elemental quantification using  
154 inductively coupled plasma spectrometry (ICP-OES, 730-ES, Varian Inc.) at 328 nm and 213.9  
155 nm, respectively. Before the analysis, the samples were submitted to acid digestion (69% Nitric  
156 acid), diluted with DI H<sub>2</sub>O and filtered (0.45 μm).

#### 157 **2.1.2 Photocatalysis experiments**

158 An aqueous solution of BPA and photocatalyst was mechanically stirred for 10 min in darkness  
159 and then irradiated at different wavelengths, namely at 254, 302 or 365 nm using a UV lamp  
160 (3UV-38, UVP Inc.) and at 450 nm using a fluorescent lamp (F8T5/CW, Hampton Bay). The

161 experiments were carried out in a dark box, with the lamp placed at a distance of 8 cm above  
162 the sample, at room temperature and without external oxygen supply (Jasso-Salcedo et al.,  
163 2014). Samples were then collected at regular time intervals and centrifuged at 3,000 rpm for  
164 10 min to recover the photocatalyst powder. The liquid samples were filtered (0.45  $\mu\text{m}$ ) before  
165 liquid chromatography (HPLC 1200 Series, Agilent Technologies) analysis.

166 The apparent kinetic rate constant of the BPA degradation was obtained as follows: the  
167 experimental data (i.e, BPA concentration vs time plots) were initially approximated by an  
168 exponential decay function, as shown in Eq.(2):

$$169 \quad C_N = a \exp(bt); \quad b < 0 \quad (2)$$

170 where  $C_N$  denotes the normalized BPA concentration ( $C/C_0$ ). A least squares regression provided  
171 the values of  $\alpha$  and  $b$  for each experiment. In order to associate the BPA degradation curves  
172 with a rate constant, the differential form of Eq.(2) was then transformed into a typical rate  
173 function of order  $n$ :

$$174 \quad r \left( = -\frac{dC_N}{dt} \right) = k_{app} C_N^n \quad (3)$$

175 In the above equation,  $k_{app}$  and  $n$  are the apparent kinetic rate constant and the order of the  
176 reaction, respectively and  $r$  denotes the rate of the reaction. The values of  $k_{app}$  and  $n$  can be  
177 estimated by substituting Eq.(2) into Eq.(3):

$$178 \quad \begin{cases} n = 1 \\ k_{app} = -b \end{cases}$$

179 The first order rate of the BPA degradation was also confirmed by plotting  $\ln(r)$  vs  $\ln(C_N)$  and  
180 estimating the regression parameters of the produced straight line, according to the linearized  
181 form of Eq.(3):

182  $\ln(r) = \ln(k_{app}) + n \ln(C_N)$  (4)

183 Note that the value of the correlation coefficient,  $R$ , of this linear regression is given, for each  
184 experiment, in Table 5, along with the values of the experimental measurements.

## 185 **2.2 Artificial neural network modeling**

186 A neural network is a cluster of processing nodes (i.e., neurons) arranged in several layers and  
187 interconnected in a variety of topologies, following the paradigm of the functionality of the  
188 human brain. The successful development and implementation of an ANN model relies onto  
189 three principal conditions, each one with its own significance for the accuracy and efficiency of  
190 the developed model:

- 191 I. Correct identification of the input and output variables of the system, also called factors  
192 and responses, respectively. The selection of the principal factors (i.e., the ones with the  
193 greatest effect on the targeted response) from all possible candidates is a procedure  
194 that requires a minimum knowledge of the actual process. Its importance lies in the fact  
195 that the number and nature of the selected factors will affect, on the one hand, the  
196 number of required experimental data (i.e., the more factors considered, the greater  
197 the number of data required for an accurate model development), and on the other  
198 hand, will define whether important effects on the measured response have been  
199 omitted. In the case where prior knowledge on the process is completely absent, a small  
200 number of exploratory experiments can be carried out.
- 201 II. Definition of the experimental space and execution of a set of experiments for the  
202 acquisition of data. Given that the main factors of the process have been correctly

203 identified and under the assumption that there exists a correlation between these  
204 factors and the targeted response of the system, an ANN model can identify this  
205 correlation on the basis of a set of experimental data. Evidently, the ability of the ANN  
206 to successfully correlate the input(s) (i.e., factors) and output(s) (i.e., response(s)) is  
207 directly proportional to the number of available data. On the other hand, the number of  
208 experiments that can be carried out is always subject to feasibility constraints (e.g., time  
209 and/or cost limitations, etc.) that may dictate the studied process. Hence, the  
210 implementation of an experimental design strategy can become invaluable during this  
211 second stage of the model development procedure. The Design of Experiments (DoE)  
212 approach enables to obtain a maximum amount of information from a given predefined  
213 experimental effort. Typical DoE strategies include full- or fractional-factorial designs,  
214 central composite designs, Box–Behnken designs , Plackett– Burman (PB) designs, D-  
215 optimal and E-optimal designs, etc. (Ferreira et al., 2007; Georgakis, 2013; Heiligers,  
216 1994; Witek-Krowiak et al., 2014).

217 III. Identification of the topology of the ANN: The structure of the network, in terms of the  
218 number and size of the hidden layers, as well as its characteristics (i.e., training  
219 algorithm, type of transfer functions, etc.), display a significant effect on the accuracy of  
220 the model. To identify these parameters, most studies follow a trial and error procedure  
221 where different topologies of the ANN are tested until satisfactory accuracy has been  
222 achieved. Note that the random initialization of the values of the network parameters  
223 (e.g., the neuron’s weights) as well as of the data separation (c.f. next paragraph) must  
224 be taken into account during this procedure.

225 Once the experimental data have been acquired and the factors/responses and architecture of  
226 the ANN model have been defined, the development of the model proceeds via a series of  
227 subsequent training (i.e., parametric identification) steps. In general, the accuracy of such  
228 models is assessed in terms of different statistical magnitudes, such as the Mean Square Error,  
229 MSE, or the correlation coefficient,  $R$ , calculated on the basis of the comparison of the model  
230 responses and the respective experimental targets. These latter are divided into three distinct  
231 subsets that serve for the training, validation and testing of the network, respectively. The  
232 training data set is used for the identification of the model parameters while the test data set is  
233 used to assess the accuracy of the model on a set of data different than the ones used for the  
234 training and validation processes. The validation data set is used to avoid overfitting  
235 phenomena by monitoring the error (i.e., on this data set) throughout the training process. This  
236 error normally decreases along with the training set error. An increase on the validation error  
237 for a number of sequential epochs (i.e., training *passes* of the network) is an indication of  
238 overfitting that triggers the stopping of the training process, returning the network (i.e., the  
239 values of weights and biases) corresponding to the minimum value of the validation error. In  
240 the present work, the number of sequential epochs of increasing validation error before  
241 stopping the training of the network was set to seven.

242 Among the various types of existing ANNs, the most commonly encountered in physicochemical  
243 process modeling is the feed-forward (i.e., the responses of each layer are used as inputs of the  
244 next layer) back-propagation (i.e., the measured error at the output layer is back transferred to  
245 re-adjust the model parameter values) network, while the sigmoidal (e.g., logarithmic sigmoidal  
246 or tangent hyperbolic sigmoidal) and linear transfer functions are widely applied on the hidden

247 and output layers, respectively (Cheng & Titterington, 1994; Haykin, 1994; Meireles et al., 2003;  
248 Sivanandam et al., 2006). Additional details on the principles and the characteristics of neural  
249 networks can be found in the relevant literature (Cheng & Titterington, 1994; Haykin, 1994;  
250 Meireles et al., 2003; Sivanandam et al., 2006).

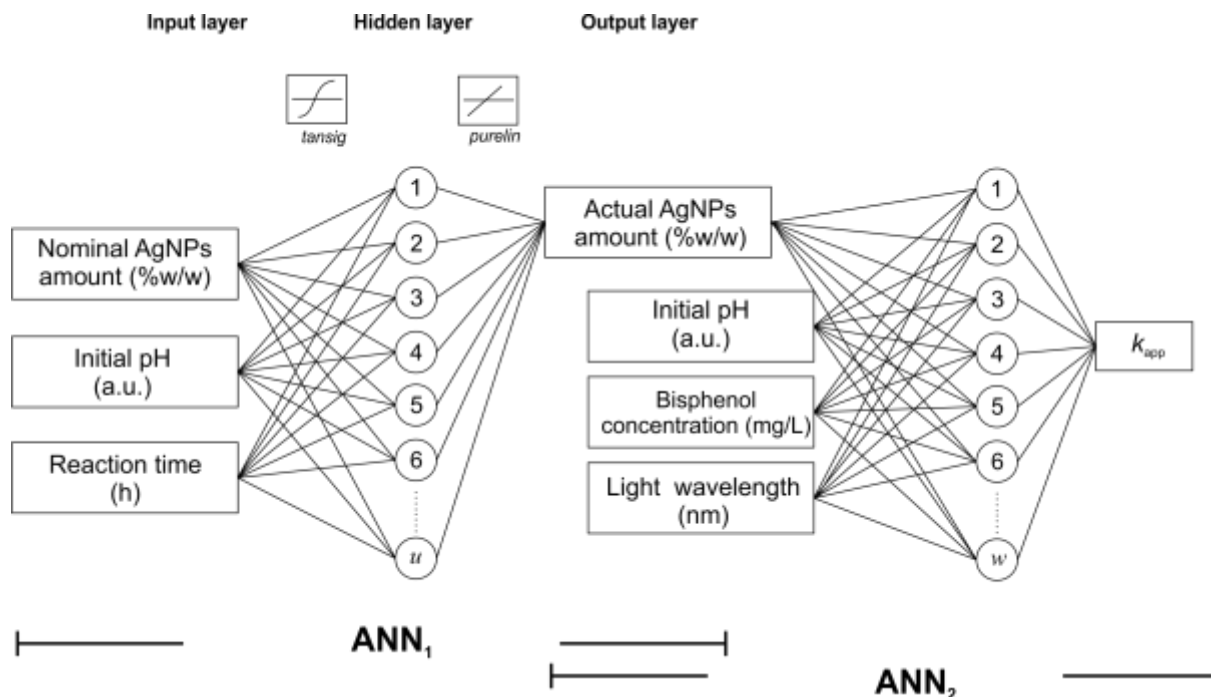
251 Photocatalytic processes are greatly influenced by both catalyst properties and reaction  
252 conditions. These effects are traditionally studied separately (see Table 1), probably due to the  
253 complexity of assessing them simultaneously in a single study. An exception to this rule is the  
254 work of Tanasa et al. (2013), who studied the system of Eosin Y dye photocatalytic degradation  
255 using ZnO/SnO<sub>2</sub>, taking into account the effects of crystallite size, surface area, absorption  
256 edge, catalyst dose and total organic carbon values in their model that was developed on the  
257 basis of a set of 547 experimental data. In addition, another commonly adopted practice is the  
258 consideration of the irradiation time as a factor in the modeling of the percentage of  
259 contaminant degradation (i.e., response). Given that the percentage of contaminant  
260 degradation will normally increase with the reaction time, this approach finally leads to a rather  
261 obvious correlation that, in turn, may come in the cost of missing other important effects of  
262 different factors.

263 In the present work, a model development is presented that does not comply with the above  
264 commonly adopted approaches. In order to combine the effects of both important stages of the  
265 photocatalytic process, namely the catalyst synthesis and the photodegradation experiments, a  
266 two-stage decoupled ANN model is developed where the response of the first network  
267 becomes a factor for the second network. Thus, in the first stage of the model, the effects of  
268 three operating conditions of the synthesis of Ag/ZnO (i.e., nominal silver concentration, pH

269 and reaction time, which were identified in Jasso-Salcedo et al., 2014 as the most significant  
270 parameters of the process) on the actual amount of Ag attached on the surface of ZnO of the  
271 synthesized photocatalyst were assessed in terms of an initial neural network, henceforth  
272 called ANN<sub>1</sub>. In the second stage of this modelling framework, the effect of the actual amount  
273 of Ag attached on the surface of ZnO, pH of the medium, initial contaminant concentration and  
274 wavelength of light on the photodegradation performance of the photocatalyst were assessed  
275 in terms of a second neural network, ANN<sub>2</sub>. A direct dependence between the two networks  
276 was established by directly introducing the response of ANN<sub>1</sub> as a factor of ANN<sub>2</sub>. The  
277 photodegradation performance (i.e., the response of ANN<sub>2</sub>) was evaluated in terms of an  
278 apparent kinetic rate constant,  $k_{app}$ , of the degradation reaction of BPA. This way, the  
279 photocatalyst synthesis conditions were directly associated to its final photodegradation  
280 performance, taking simultaneously into account the effects of the photodegradation  
281 conditions. Note that, since the evaluation of the performance of the photocatalyst was based  
282 on the rate of degradation of the contaminant, there was no need to consider the irradiation  
283 time among the factors of the photodegradation process, which was kept constant for all  
284 experiments and equal to 120 min.

285 The experimental ranges of all factors of the two sub-models (i.e., ANN<sub>1</sub> and ANN<sub>2</sub>) are given in  
286 Tables 2 and 3, respectively. Note that for the modification experiments of ZnO, a central  
287 composite design was employed. The photocatalyst concentration used for the degradation  
288 tests was set to 1 g/L. A general schematic of the proposed modeling framework is shown in  
289 Scheme 1.





290

291 Scheme 1. Description of the input/output characteristics and connecting points of the two

292 ANN models.

293

294 **Table 2.** Experimental range of the Ag/ZnO photocatalyst synthesis conditions

Input variables	Photodeposition	Impregnation
Nominal amount AgNPs (% w/w)	0.1-1	0.1-5
Initial pH	7-11	7-11
Time (h)	0.5-1	2-5

295

296 **Table 3.** Experimental range of the photodegradation test conditions

Input variables	
Initial pH	2.8 to 10.5
Actual amount AgNPs (%w/w)	0-1.2
Bisphenol-A (mg/L)	10-40
Wavelength (nm)	254, 302, 365 and 450

297

### 298 **2.2.1 Neural network structure**

299 A multi-layer feedforward network with Levenberg-Marquardt learning algorithm was used in  
300 this study. The experimental data corresponding to each model were randomly divided into  
301 training, validation and testing subsets (50 %, 25 % and 25 % of data, respectively). All data  
302 were normalized in the range [-1:1] prior to their introduction into the models.

303 The topology of the network models, denoted as (In:Hid:Out), corresponds to the numbers of  
304 neurons in the input, hidden and output layers, respectively. Several configurations of the  
305 network were tested to determine the best number of neurons in the hidden layer(s), based on  
306 the values of the MSE of the data sets. The MSE value between the ANN model predictions and  
307 the experimental data is typically calculated by the expression:

$$308 \quad MSE = \frac{\sum_{j=1}^N (y_j^{\text{mod}} - y_j^{\text{exp}})^2}{N} \quad (5)$$

309 where the exponents 'mod' and 'exp' denote the outputs of the model (i.e., the responses) and  
310 the experiment (i.e., the targets), respectively and N is the total number of experimental data.

311 Note that, in the present work, log-sigmoidal and linear transfer functions were used for hidden  
312 and output layers, respectively. The Neural Network Toolbox of the commercial software  
313 package MATLAB 8.3.0.532 (academic license) was used for the development of the models.

### 314 **2.3 Optimization study**

315 An ultimate purpose of process models, especially data-driven models, is their implementation  
316 in an optimization study in order to identify the combination of the different process conditions  
317 that will result to the desired properties/performance of the product/process under study.

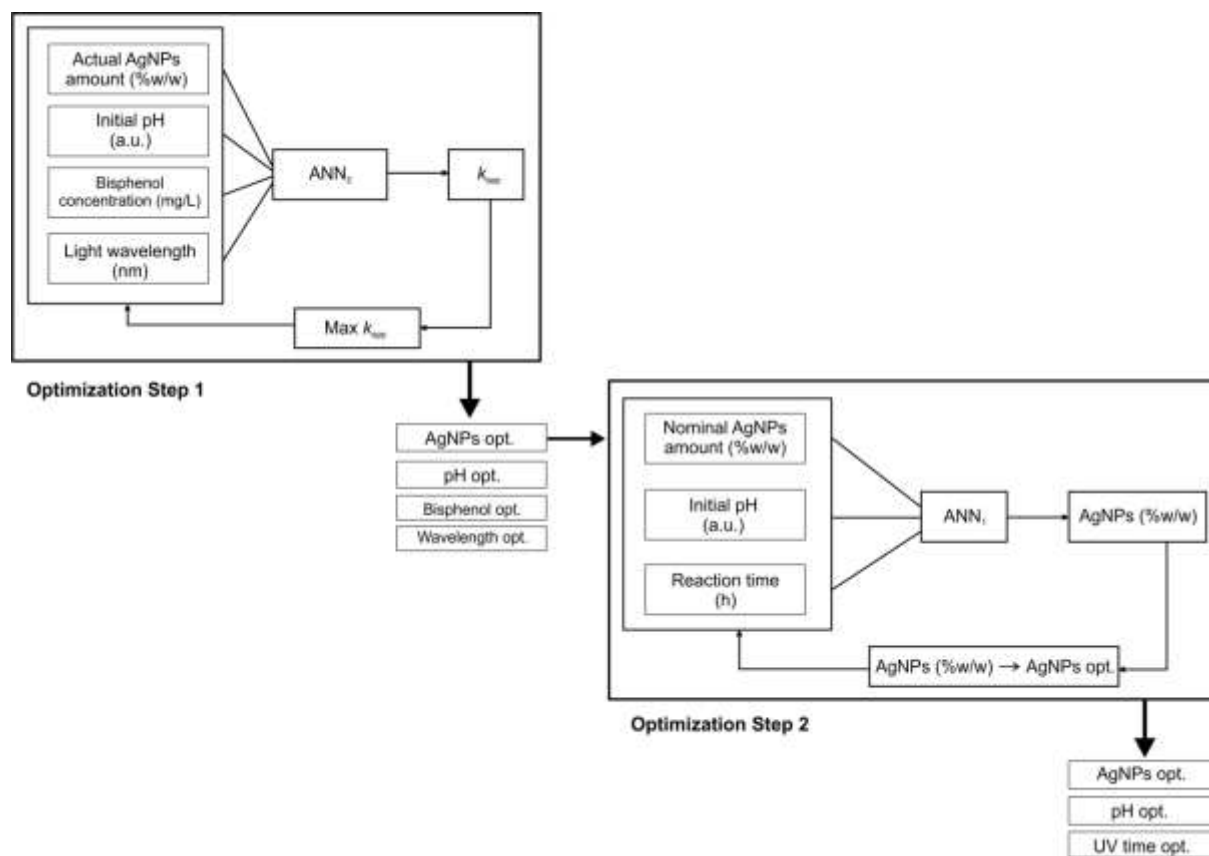
318 Among the plethora of different mathematical methods and techniques that have been

319 developed for the treatment of optimization problems, evolutionary algorithms constitute a  
320 powerful approach with specific advantages and disadvantages.

321 In general, an evolutionary algorithm (EA) is based on the principle of the continuous  
322 improvement of a criterion (i.e., the optimization criterion) of the individuals of a population.  
323 The initial population is composed of a large set of randomly selected individuals (e.g.  
324 experimental conditions), which are characterized by a measured property or model response  
325 (e.g., the degradation efficiency corresponding to each of these experiments). The population is  
326 classified from the best individual to the worst, according to its corresponding value of the  
327 criterion and depending on whether the problem is a minimization or a maximization one, and  
328 is subsequently subjected to a series of cycles of improvement of this criterion. The best  
329 individuals are combined to generate new ones that might perform better, while the worst  
330 individuals are removed from the population after each cycle and the procedure continues until  
331 the population has “evolved” to such a point where the desired convergence to an optimal has  
332 been achieved. Detailed information on the theoretical basis of EAs for mono- and multi-  
333 objective optimization, applied on physicochemical processes, can be found in the relevant  
334 literature (Camargo et al., 2011; Fonteix et al., 1995; Viennet et al., 1996; Xi et al., 2013). EAs  
335 have also been successfully implemented in the optimization study of the degradation of  
336 phenol by a combined photocatalysis/electro-Fenton system (Khataee et al., 2014).

337 In the present work, an optimization study, on the basis of an EA, was also carried out in order  
338 to identify the optimal catalyst synthesis and photodegradation conditions that would result to  
339 the highest photodegradation rate of BPA. In accordance to the two-stage structure of the  
340 model, the optimization was also carried out in two consecutive steps, following an *inverse*

341 *direction*. In this respect, an initial optimization problem was solved on the basis of ANN<sub>2</sub> in  
342 order to identify the different photodegradation conditions that would result to a maximum  
343 degradation rate of BPA. Among these conditions, the pH, BPA concentration and light  
344 wavelength can be directly set to their optimized values, according to the results of this first  
345 optimization study. On the other hand, the actual silver content of the photocatalyst depends  
346 on the conditions of the photocatalyst synthesis process. Hence, a second optimization problem  
347 was subsequently solved, via the implementation of an EA on the basis of ANN<sub>1</sub>, in order to  
348 identify the photocatalyst synthesis conditions that would result in the optimal amount of  
349 attached AgNPs on the ZnO surface, as defined by the output of the first optimization run. Thus,  
350 both important stages of the overall process (i.e., the synthesis of the photocatalyst and its  
351 subsequent use in the photodegradation experiments) were taken into account and their  
352 optimal conditions were identified in view of a maximal photodegradation rate of BPA. The  
353 overall optimization approach is schematically depicted in Scheme 2.



354

355 **Scheme 2.** Methodology used on the Evolutionary Algorithm - ANN coupled optimization approach on this study.

356

### 357 **3 Results and discussion**

358 The development of the two ANN sub-models was based on a total of 63 experiments for ANN<sub>1</sub>

359 and 27 experiments for ANN<sub>2</sub>, divided into the two methods of the photocatalyst synthesis (i.e.,

360 PD and IMP methods) as shown in Tables 4 and 5. On the basis of these experimental data, the

361 identification of the optimal network topologies initially took place and subsequently the ANN

362 models were tested and validated before their implementation into the optimization study.

363

364

365 **Table 4.** Experimental conditions for the synthesis of Ag/ZnO photocatalyst and actual amount of Ag attached to the ZnO surface as measured  
 366 experimentally and predicted theoretically by the ANN<sub>1</sub> models

Nominal Ag %w/w	pH	Reaction time (min)	Attached Ag %w/w (experimental)	Attached Ag %w/w (ANN <sub>1</sub> model)	Nominal Ag %w/w	pH	Reaction time (min)	Attached Ag %w/w (experimental)	Attached Ag %w/w (ANN <sub>1</sub> model)
<b>Photodeposition method</b>					<b>Impregnation method</b>				
0.3573	7	30	0.320	0.327	0.1072	7	60	0.100	0.116
0.3573	7	60	0.342	0.348	0.1072	7	120	0.106	0.121
0.1073	7	30	0.082	0.084	0.1072	7	300	0.094	0.094
0.1073	7	60	0.107	0.102	1.0623	7	60	1.179	1.179
1.0623	7	30	0.991	0.920	1.0623	7	120	1.203	1.203
1.0623	7	60	1.093	1.099	1.0623	7	300	0.820	0.807
0.3573	9	30	0.358	0.356	5.095	7	60	0.521	0.522
0.3573	9	60	0.375	0.378	5.095	7	120	0.585	0.773
0.1073	9	30	0.062	0.062	5.095	7	300	0.668	0.671
0.1073	9	60	0.074	0.075	0.1072	9	60	0.099	0.093
1.0623	9	30	1.170	1.104	0.1072	9	120	0.110	0.110
1.0623	9	60	1.132	1.130	0.1072	9	300	0.096	0.100
0.3573	11	30	0.454	0.451	1.0623	9	60	0.970	1.012
0.3573	11	60	0.426	0.397	1.0623	9	120	1.192	1.188
0.1073	11	30	0.091	0.092	1.0623	9	300	1.194	1.193
0.1073	11	60	0.116	0.121	5.095	9	60	0.423	1.044
1.0623	11	30	1.183	1.182	5.095	9	120	0.494	0.336
1.0623	11	60	1.147	1.137	5.095	9	300	0.571	0.580
0.3573	7	45	0.320	0.325	0.1072	11	60	0.116	0.105
0.1073	9	45	0.074	0.071	0.1072	11	120	0.121	0.121
1.0623	9	45	1.132	1.132	0.1072	11	300	0.116	0.120
0.3573	9	45	0.362	0.365	1.0623	11	60	0.239	0.239
0.1073	7	45	0.096	0.096	1.0623	11	120	0.366	0.561
0.1073	11	45	0.103	0.102	1.0623	11	300	1.108	1.101
1.0623	7	45	1.050	1.054	5.095	11	60	0.374	0.375

1.0623	11	45	1.162	1.161	5.095	11	120	0.389	1.144
0.3573	9	45	0.371	0.365	5.095	11	300	0.498	0.503
0.3573	9	45	0.368	0.365	1.0623	9	120	1.169	1.188
0.3573	9	45	0.385	0.365	1.0623	9	120	1.223	1.188
0.3573	9	45	0.357	0.365	1.0623	9	120	1.023	1.188
0.3573	9	45	0.362	0.365	1.0623	9	120	1.179	1.188
					1.0623	9	120	1.167	1.188

367

368

### 369 **3.1 Selection of optimal network topology**

370 The selection of the network topology was based on a typical trial and error approach where the number of neurons of the hidden  
371 layer(s) was varied in the range 1-20 (i.e., for a single hidden layer) and 1:1-10:10 (i.e., for two hidden layers) and the accuracy of the  
372 developed model was assessed in terms of the MSE values between the model predictions and the experimental data. An example  
373 of the results obtained by this procedure is shown in Figure 1 for the ANN<sub>1</sub> model and the PD method. In this Figure, the ten best  
374 (i.e., corresponding to the lowest MSE values) network configurations are shown in a MSE-increasing order. Note that the errors  
375 corresponding to all data, validation and testing data sets are shown in order to verify the consistency of the model performance vis-  
376 à-vis the different data sets. Each network topology was run 50 times (i.e., 50 different ANNs with the same topology were  
377 developed and evaluated) and the average value of MSE was used for comparison, in order to avoid random correlation effects.

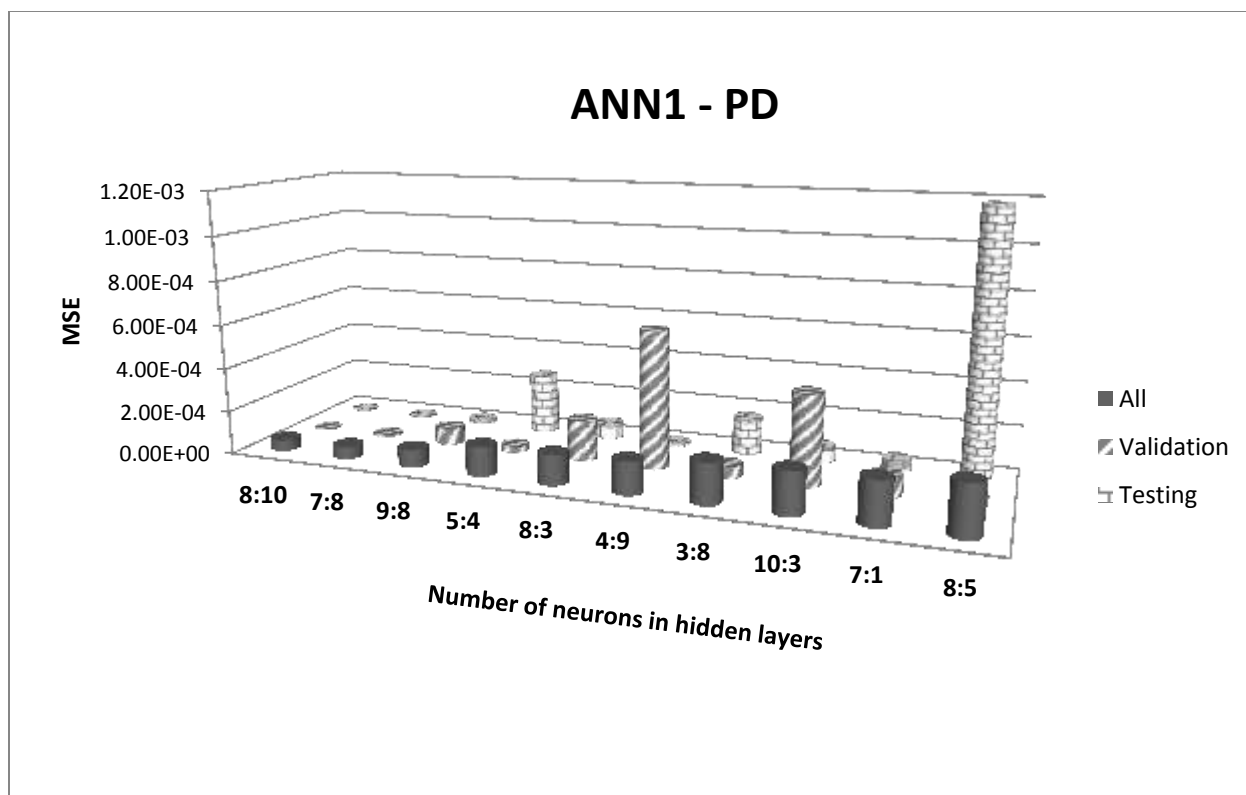
378 **Table 5.** Experimental conditions of bisphenol-A degradation and apparent kinetic rate constant  $k_{app}$  used on the  
 379 ANN<sub>2</sub> model

pH	Actual amount Ag (%w/w)	BPA (mg/L)	Wavelength (nm)	R <sup>1</sup>	Reaction order $n$	$k_{app}$ experimental	$k_{app}$ predicted (ANN <sub>2</sub> models)	
							ANN <sub>2</sub> -PD	ANN <sub>2</sub> -IMP
<b><sup>2</sup>Pure ZnO photocatalyst</b>								
10.5	0	10	302	0.973	0.887	4.53E-03	4.53E-03	5.74E-03
10.5	0	10	450	0.988	0.999	6.23E-04	2.27E-04	6.23E-04
7.5	0	10	254	0.992	1.161	2.55E-03	2.79E-03	2.55E-03
2.81	0	10	254	0.999	1.019	3.83E-03	3.78E-03	4.59E-03
4.27	0	10	254	0.999	0.999	3.89E-03	3.78E-03	3.89E-03
9.38	0	10	254	0.986	1.001	4.97E-03	4.92E-03	4.97E-03
10.5	0	10	254	0.999	1.022	7.55E-03	7.44E-03	7.87E-03
7.25	0	20	254	0.999	1.031	1.75E-03	1.73E-03	1.75E-03
8.53	0	20	302	0.990	1.013	1.10E-03	1.21E-03	1.67E-03
8.53	0	20	365	0.999	0.992	2.31E-03	2.41E-03	2.31E-03
<b>Photodeposition method</b>							<b>ANN<sub>2</sub>-PD</b>	
10.5	1.093	10	254	0.999	1.018	1.02E-02	1.01E-02	
10.5	1.093	10	302	0.999	1.006	1.86E-02	1.52E-02	
10.5	1.093	10	365	0.990	1.002	1.54E-02	1.41E-02	
10.5	1.093	20	254	0.999	1.022	4.97E-03	5.33E-03	
7.51	1.093	40	254	0.982	0.970	1.73E-03	1.24E-03	
10.5	1.147	10	254	0.999	1.024	1.21E-02	1.11E-02	
10.5	1.147	10	302	0.999	1.011	1.85E-02	1.61E-02	
10.5	1.147	10	365	0.999	1.008	1.02E-02	1.01E-02	
10.5	1.147	10	450	0.999	1.046	1.86E-02	1.52E-02	
7.2	1.147	40	254	0.999	0.956	1.54E-02	1.41E-02	
<b>Impregnation method</b>							<b>ANN<sub>2</sub>-IMP</b>	
10.5	1.203	10	254	0.999	1.007	8.28E-03	9.25E-03	
10.5	1.203	10	302	0.999	0.995	9.37E-03	9.37E-03	
10.5	1.203	10	365	0.999	0.993	1.10E-02	9.02E-03	
10.5	0.366	10	254	0.999	1.006	8.42E-03	8.36E-03	
10.5	0.366	10	302	0.999	0.992	1.22E-02	1.22E-02	
10.5	0.366	10	365	0.999	1.006	1.37E-02	1.17E-02	
10.5	0.366	10	450	0.999	1.009	1.28E-03	1.28E-03	

380 <sup>1</sup> Correlation coefficient of the linear regression of the experimental data, as explained in section 2.1.

381 <sup>2</sup> Common experiments, used for the development of both PD and IMP ANN<sub>2</sub> models.





382  
 383 **Figure 1.** MSE for all data, validation and test datasets as function of neurons in the hidden layer on the network  
 384 topology for the developed ANN1 model (photodeposition method).  
 385

386 The numerical data corresponding to Figure 1, as well as the data corresponding to the other  
 387 three models (i.e., ANN<sub>1</sub>-IMP, ANN<sub>2</sub>-PD and ANN<sub>2</sub>-IMP) are given in Table S.1 of the  
 388 supplementary material section. The network topologies that were retained according to this  
 389 procedure are shown in Table 6:

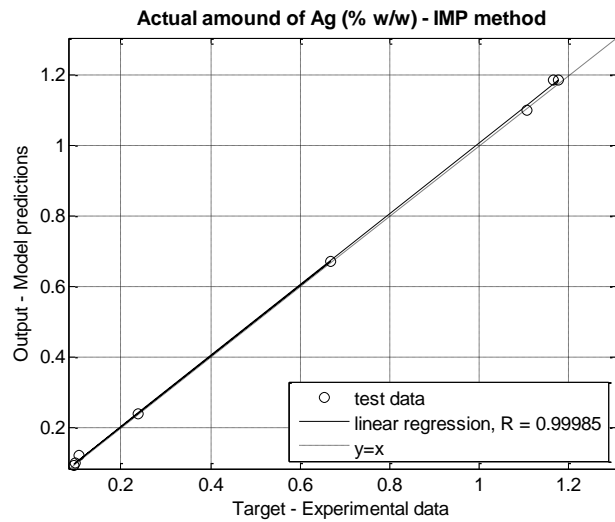
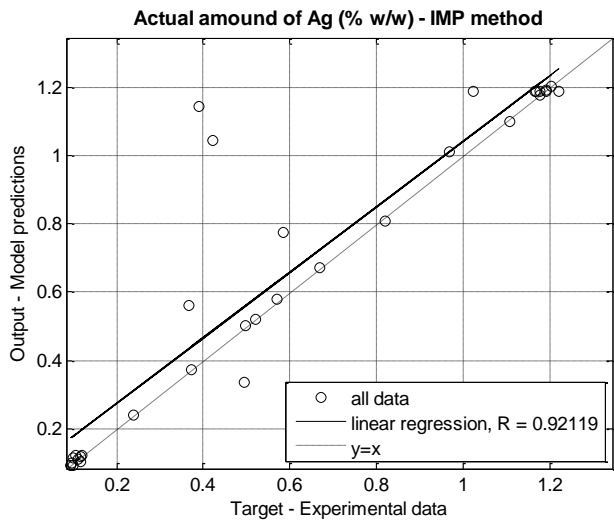
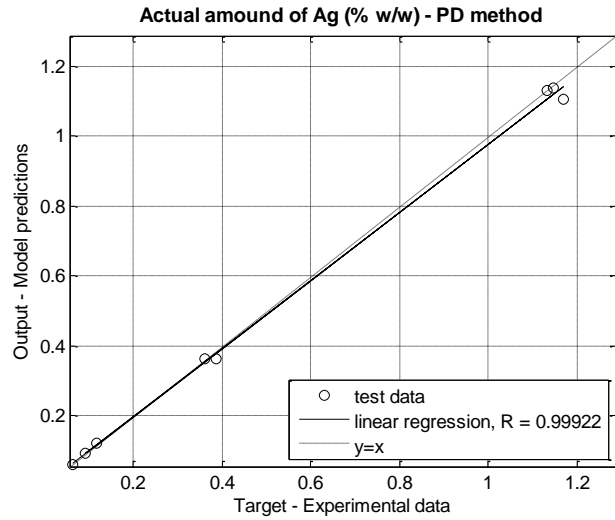
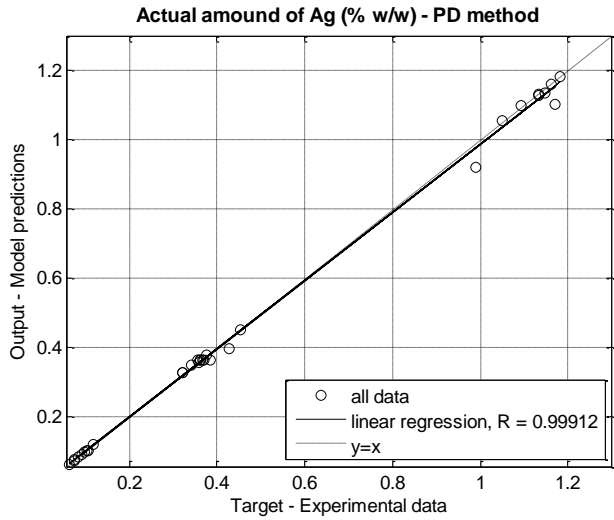
390 **Table 6.** Network topology of the developed models

	Photodeposition – PD	Impregnation – IMP
ANN <sub>1</sub>	3:8:10:1	4:9:8:1
ANN <sub>2</sub>	3:10:10:1	4:8:10:1

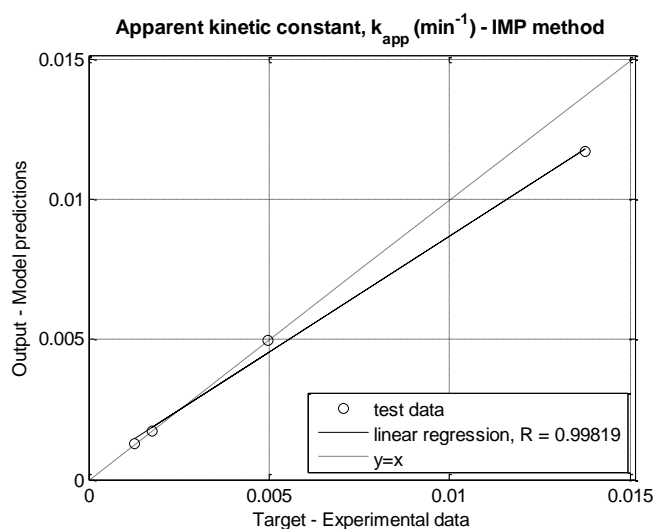
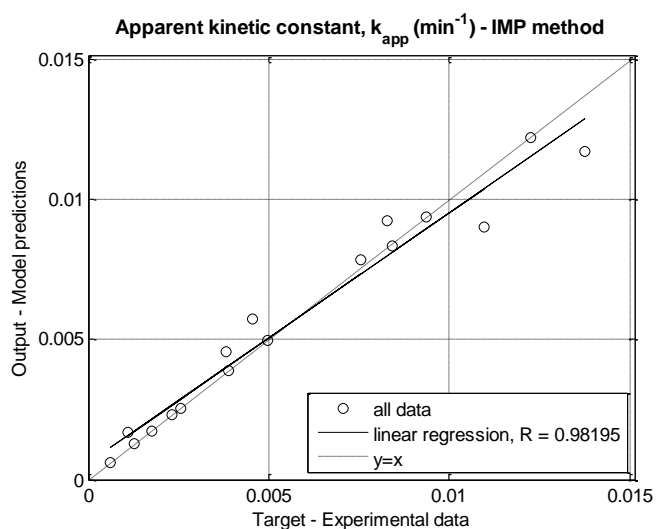
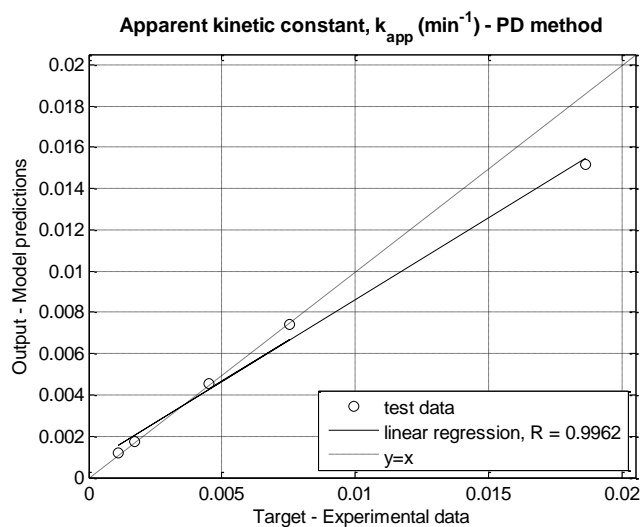
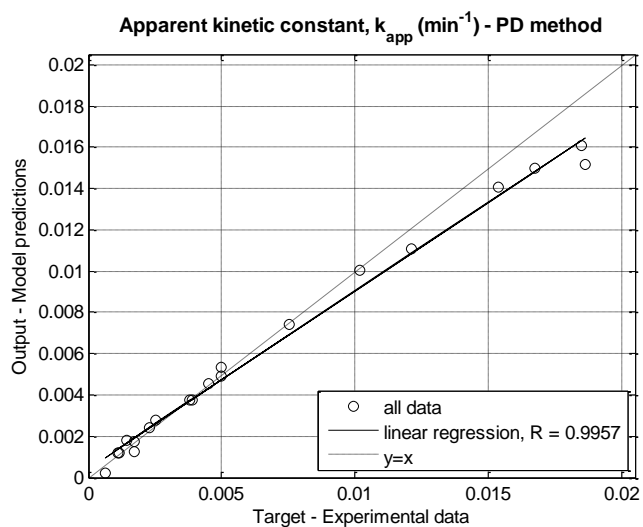
391  
 392  
 393

### 394        **3.2 Evaluation of the ANN models**

395    Neural network models are typically assessed, in terms of their accuracy in simulating the  
396    experimental data, by plotting the model response with respect to the experimental  
397    measurements. A comparison of the points of such plots with the diagonal (i.e., the linear curve  
398    corresponding to  $y=x$ ) reveals the accuracy of the developed model. Figures 2 and 3 depict such  
399    plots for the ANN<sub>1</sub> and ANN<sub>2</sub> models, respectively. In these plots, the all data and test data sets  
400    are shown in order to reveal the accuracy of the model with respect to all available data,  
401    including the training data for which a higher accuracy is expected, as well as with respect only  
402    to the test data, which represent a subset of the available data that has not been used during  
403    the model training process. The value of the correlation coefficient, R, of the linear regression  
404    of the data is also shown on the plots. It can be seen that the ANN<sub>1</sub> model exhibits higher  
405    accuracy than the ANN<sub>2</sub> model, which seems to under-predict the experimental values at the  
406    high-values domain of  $k_{app}$  but, in general, remains quite accurate as well. This can be partially  
407    attributed to the smaller size of available experimental data for the second model. The values  
408    of the experimental data used for the development of the models and the respective model  
409    predictions are also given in Tables 4 and 5. Note that, for the training of the models of the  
410    photodegradation experiments, ANN<sub>2</sub>, the target values of the experimental apparent rate  
411    constant were transformed to their log values, in order to avoid a variation over several orders  
412    of magnitude. Nevertheless, in all graphical and numerical results presented in this paper, the  
413    original non-transformed values are shown for reasons of simplicity.



414 **Figure 2.** Regression plots of the experimental data (all data and test data sets) versus model predicted values for  
 415 the developed neural network models ANN<sub>1</sub>-PD (top) and ANN<sub>1</sub>-IMP (bottom).  
 416



417 **Figure 3.** Regression plots of the experimental data (all data and test data sets) versus model predicted values for  
 418 the developed neural network models ANN<sub>2</sub>-PD (top) and ANN<sub>2</sub>-IMP (bottom).  
 419

### 420        **3.3 Analysis of the model results**

421        Once the ANN models have been successfully developed and validated, they can be directly  
422        implemented, using input values that do not necessarily correspond to the experimentally  
423        tested conditions, in order to assess the effect of the different conditions of each sub-process  
424        (i.e., the catalyst synthesis and the degradation tests) to the respective response of interest (i.e.,  
425        the actual amount of attached Ag on the photocatalyst and the apparent degradation rate  
426        constant, respectively). In this respect, Figures 4a-4f show the effect of pH and actual silver  
427        content on the degradation rate of BPA, under different conditions of BPA amount and light  
428        wavelength, as produced by the ANN<sub>2</sub>-PD model. An initial observation is that the response  
429        surfaces are highly irregular, not displaying a clear increasing or decreasing effect. It should be  
430        noted at this point that the presented curves can only serve to acquire a general idea about the  
431        different trends that the model might display with respect to the variation of certain inputs.  
432        They cannot be used to identify specific points or values with accuracy since the viewpoint angle  
433        and the graphical interpolation used for their creation may lead to errors.

434        Concerning the effect of pH, it can be seen that, as pH increases the values of  $k_{app}$  initially  
435        increase, reaching a maximum within the range of pH values 6-9, and then decrease. This effect  
436        is particularly obvious in Figures 4d-4f. The pH is an important factor in photocatalysis since it  
437        affects the surface charges of both the photocatalyst and the contaminant as follows. In the  
438        vicinity above neutral  $pH_{pzc}=8.3$  (i.e., value of neutral surface charges for ZnO), hydroxyl-  
439        compounds of zinc such as  $ZnOH^+$ ,  $Zn(OH)_2$ , and  $Zn(OH)_3^-$  are formed in the solution and they  
440        interact with the undissociated BPA ( $HO-C_{15}H_{14}-OH$ ) toward its oxidation. Below this value, an

441 increase in the hydroxyl ion ( $\text{OH}^-$ ) concentration and, subsequently, to the hydroxyl radical  
442 ( $\bullet\text{OH}$ ) concentration leads to the oxidation of BPA. Comparable results have been reported on  
443 the degradation efficiency of BPA by pure ZnO by Rahman et al. (2005), who reported 80%  
444 degradation efficiency of 100 mg/L of BPA in the pH range of 2 to 8.5, and a significant decrease  
445 to 60% at pH 11. Also, Clament Sagaya Selvam et al. (2013) reported the complete degradation  
446 of 200 mg/L of BPA at pH 8 and a subsequent decrease of the degradation efficiency at pH  
447 values above 9.  
448

Figure 4a: BPA = 22 mg/l and UV = 254 nm

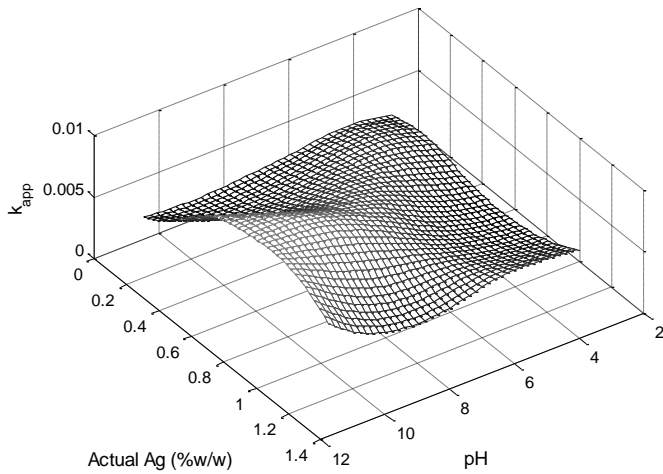


Figure 4b: BPA = 28 mg/l and UV = 254 nm

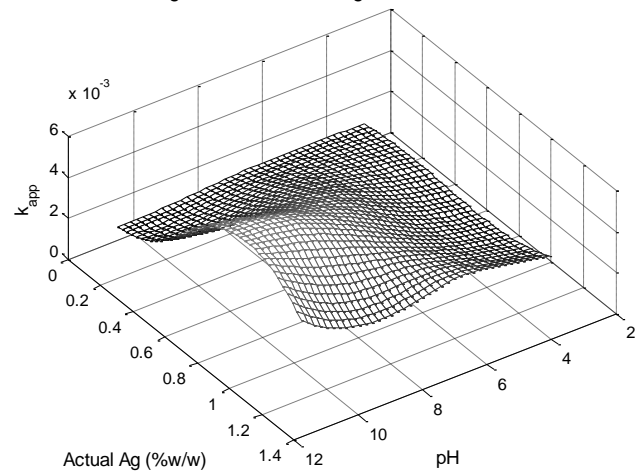


Figure 4c: BPA = 34 mg/l and UV = 254 nm

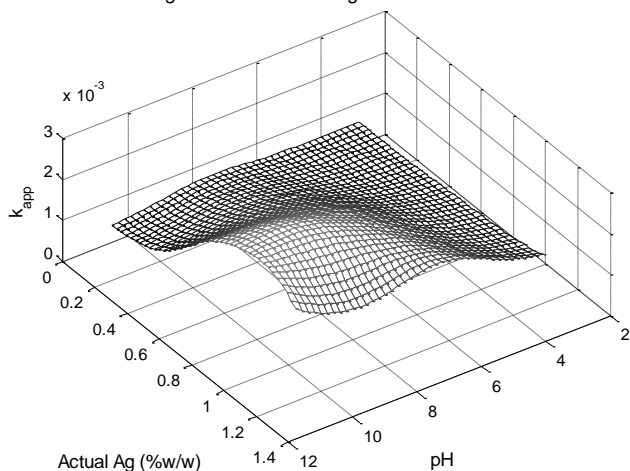


Figure 4d: BPA = 34 mg/l and UV = 302 nm

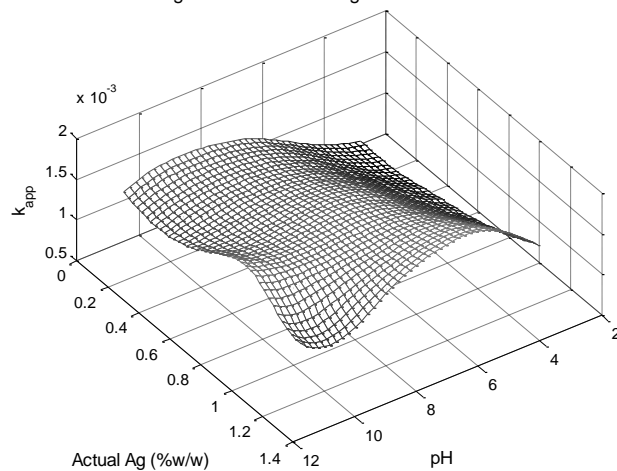


Figure 4e: BPA = 40 mg/l and UV = 254 nm

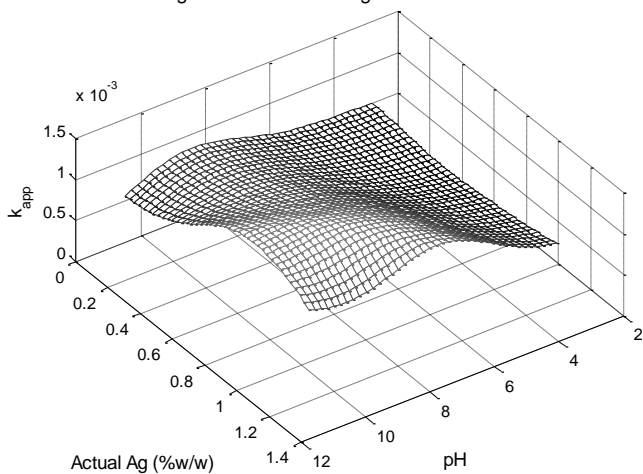
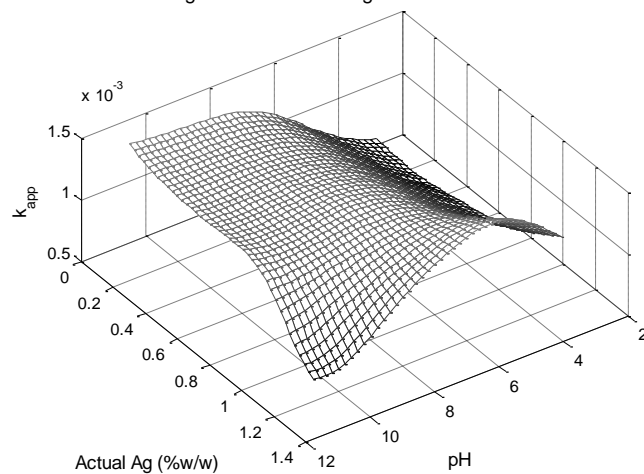


Figure 4f: BPA = 40 mg/l and UV = 302 nm



449 **Figure 4:** Effect of pH and actual silver content (% w/w) on the apparent degradation rate constant under different  
450 conditions of BPA content and UV wavelength, as simulated by the ANN<sub>2</sub>-PD model.

451 On the other hand, the effects of BPA concentration and UV wavelength are not so evident.

452 Nevertheless, the initial contaminant concentration seems to display an inversely proportional

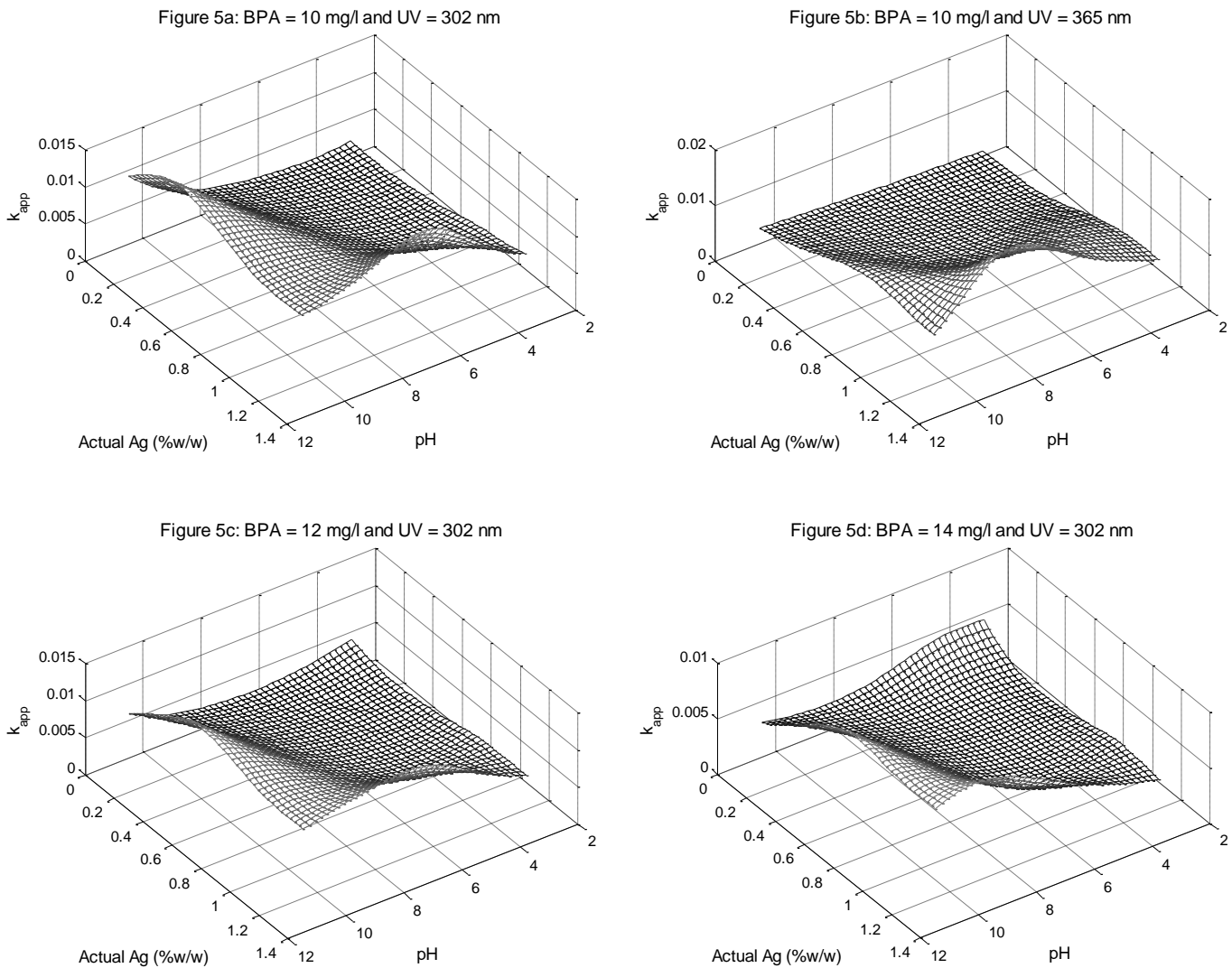
453 effect on the values of  $k_{app}$ , since they seem to be decreasing at higher BPA concentrations. This

454 effect can be partially explained by an absorbance of the UV light (at 255 and 277 nm) by BPA

455 molecules. This way, the activation of the photocatalyst surface is reduced thus producing a

456 *screening effect* of the BPA molecules towards the UV light penetration.

457 A similar effect for all factors can be observed for the impregnation model, ANN<sub>2</sub>-IMP, as well.  
458 Four representative surfaces are shown in Figures 5a-5d, under different conditions of BPA  
459 content and light wavelength. As can be seen, the value of  $k_{app}$  displays once more a maximum  
460 around the middle of the pH and BPA concentration domains and decreases with increasing BPA  
461 concentration.



462 **Figure 5:** Effect of pH and actual silver content (% w/w) on the apparent degradation rate constant under different  
463 conditions of BPA content and UV wavelength, as simulated by the ANN<sub>2</sub>-IMP model.



464 Concerning the first model, ANN<sub>1</sub>, a similar analysis can be made on the effects of the catalyst  
465 synthesis conditions on the overall functionalization degree, FD, defined as the ratio of the  
466 actual amount of silver on the catalyst particles over the nominal amount of silver used during  
467 the catalyst synthesis. In Figures 6a and 6b, two surface plots, similar to the ones previously  
468 depicted for ANN<sub>2</sub>, are shown corresponding to the photodeposition method and to two  
469 different values of nominal amount of silver. The corresponding plots for the impregnation  
470 method are depicted in Figures 6c and 6d. As can be seen, there is no significant variation of FD  
471 with respect to pH and time when the photodeposition method is implemented. On the other  
472 hand, the reaction time seems to have an overall positive effect on the FD values and to display  
473 a maximum around 150 min, when the impregnation method is used.

474 The effect of pH seems to vary with the time of reaction and the nominal AG amount, especially  
475 for the photodeposition method. At the same time, an excess nominal amount of silver does not  
476 seem to display a positive effect on the functionalization degree when the impregnation  
477 method is implemented, which is particularly obvious in Figure 6d. It should be noted at this  
478 point that the experimental values of FD that are higher than 1 are due to experimental  
479 sampling and titration errors, as explained in (Jasso-Salcedo et al., 2014). As a consequence it is  
480 normal that the developed neural network model, which was trained on the basis of these  
481 experimental values, provides responses that result in values of FD higher than 1.

Figure 6a: Nominal Ag amount = 0.24373 %w/w

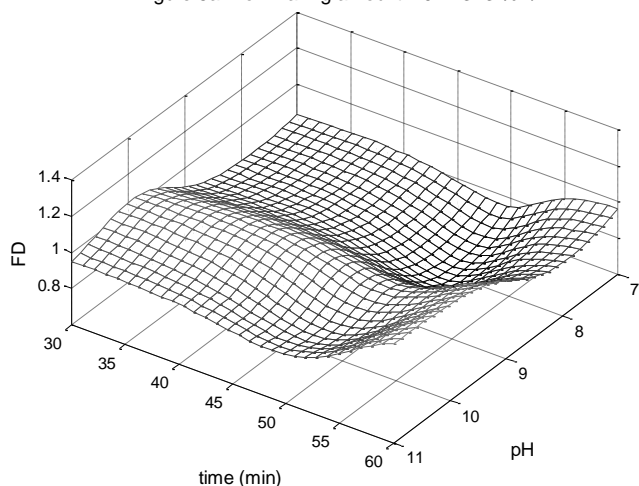


Figure 6b: Nominal Ag amount = 0.92587 %w/w

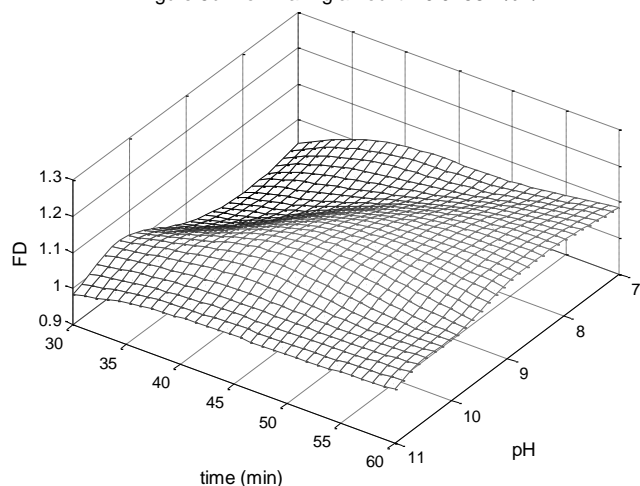


Figure 6c: Nominal Ag amount = 0.81974 %w/w

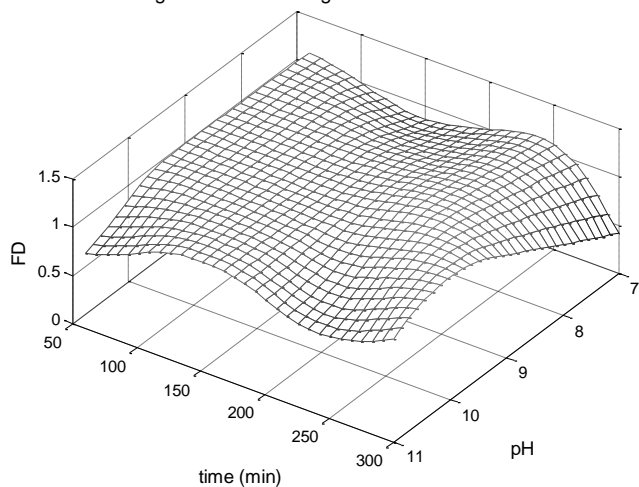
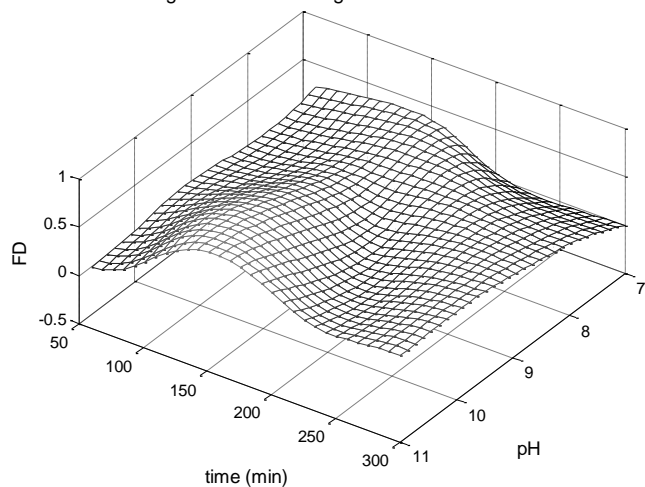


Figure 6d: Nominal Ag amount = 4.3825 %w/w



482 **Figure 6:** Effect of pH and nominal silver content (% w/w) on the functionalization degree (i.e., the ratio of actual to  
483 nominal amount of Ag) under different values of nominal Ag amount as simulated by the ANN<sub>1</sub>-PD (a, b) and ANN<sub>1</sub>-  
484 IMP (d, c) models.

485

### 486 **3.4 Optimization step 1 - apparent kinetic rate constant, $k_{app}$**

487 Given the nature of the photodegradation process and the definition of the output of the

488 process on the basis of the apparent kinetic rate constant of a first-order degradation reaction,

489 it becomes evident that the desired value of this constant is the maximal possible value it could

490 attain, as this will lead to a faster degradation of a maximum amount of BPA. Hence, the first  
 491 step of the optimization study, on the basis of ANN<sub>2</sub>, was the solution of a maximization  
 492 problem in terms of the conditions of the photodegradation process, namely the actual amount  
 493 of attached AgNPs, the pH, the BPA concentration and the light wavelength. The deployment of  
 494 an EA for the solution of this problem for both methods of photocatalyst synthesis resulted in  
 495 the sets of optimal conditions shown in Table 7. Note that, for the EA algorithm, the following  
 496 parameters were used: the size of the population was set to 1000 individuals, the survival rate  
 497 was set to 70% and the mutation rate to 10%. The program was entirely written and run on  
 498 MATLAB (version 8.3.0.532; academic license) while the convergence of the algorithm was  
 499 tested in terms of a tolerance in the relative difference between the best and worst criterion  
 500 values of each generation, set in the order of 1%. The CPU time required for every optimization  
 501 run was in the order of 30 s on a 2x2.4 GHz Intel® Xeon® Workstation.

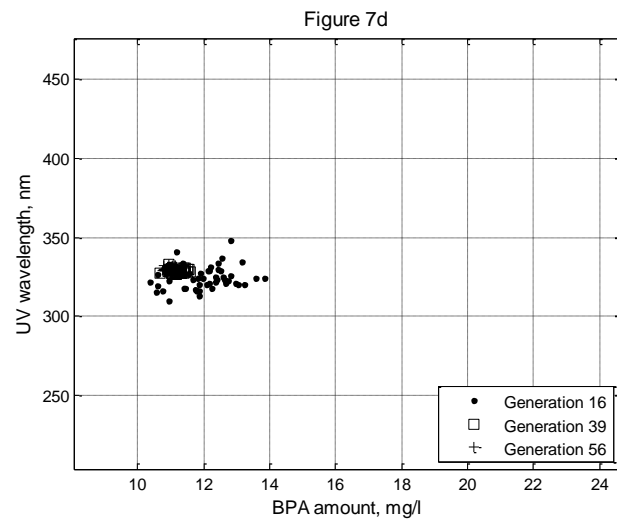
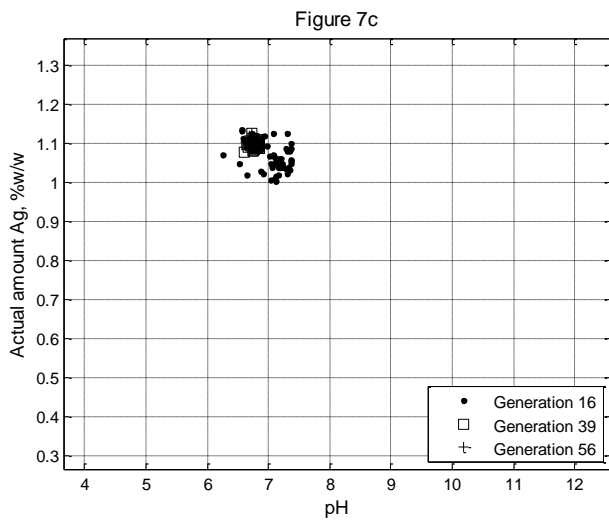
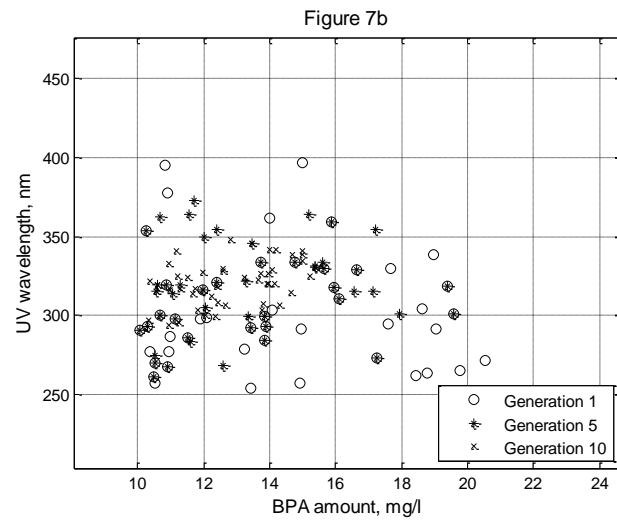
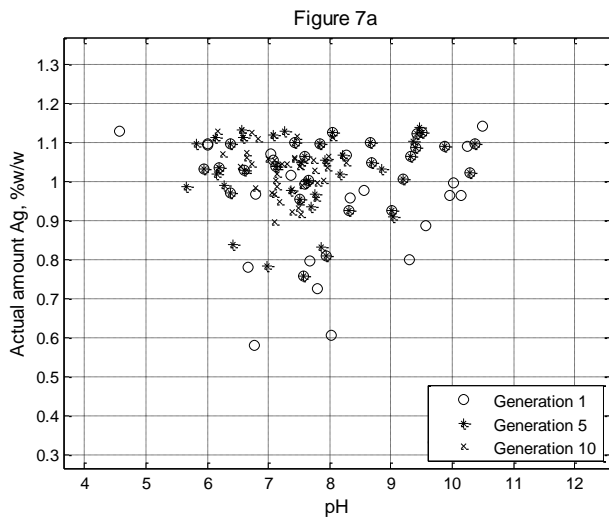
502

503 **Table 7:** Results of the first optimization step on the maximization of  $k_{app}$

<b>Optimal photodegradation conditions for the photodeposition method (<math>k_{app,max} = 0.0383 \text{ min}^{-1}</math>)</b>			
Actual amount Ag, %w/w	pH (initial value)	BPA concentration, mg/L	Wavelength, nm
1.10	6.7	10.8	330
<b>Optimal photodegradation conditions for the impregnation method (<math>k_{app,max} = 0.0167 \text{ min}^{-1}</math>)</b>			
Actual amount Ag, %w/w	pH (initial value)	BPA concentration, mg/L	Wavelength, nm
0.78	10.1	10.2	358

504

505 In order to follow the evolution of the optimization and to verify its convergence around one (or  
 506 more) optimal(s), one can plot the positions of a number of 'best' (i.e., top ranked) individuals,  
 507 corresponding to an equal number of optimal conditions, along different generations of the  
 508 optimization procedure. In Figures 7a-7d, a set of 50 optimal conditions is depicted, as  
 509 calculated by the EA optimization of the ANN<sub>2</sub>-PD model.



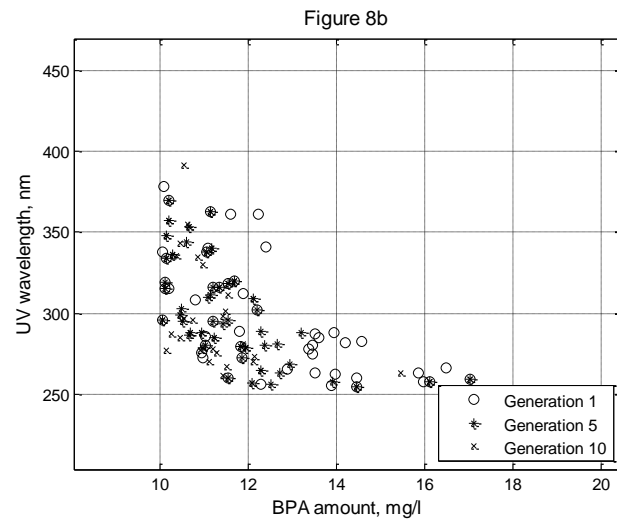
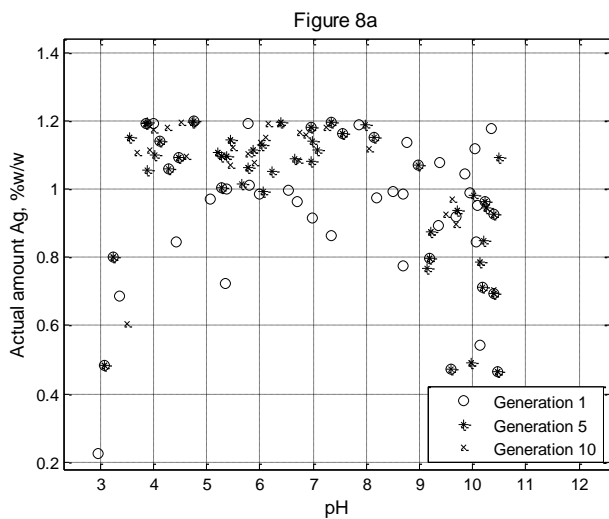
511 **Figure 7:** Presentation of the 50 optimal conditions in terms of the actual amount of Ag and pH (a, c) and the UV  
 512 wavelength and the BPA amount (b, d), as predicted by the EA optimization on the basis of the ANN<sub>2</sub>-PD model  
 513 after 1, 5 and 10 generations (a, b) as well as after 16, 39 and 56 generations (c, d).

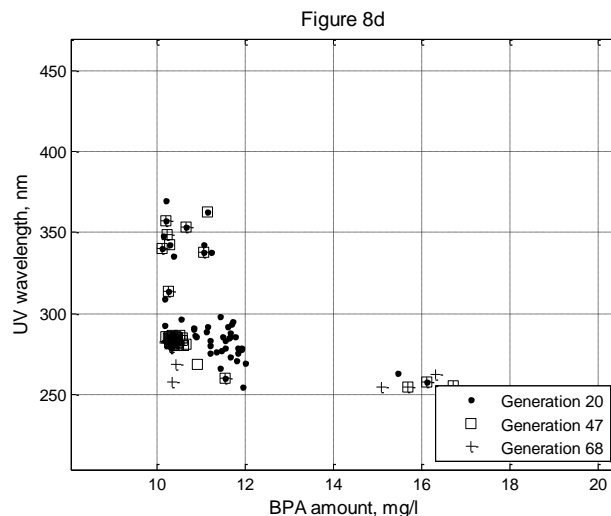
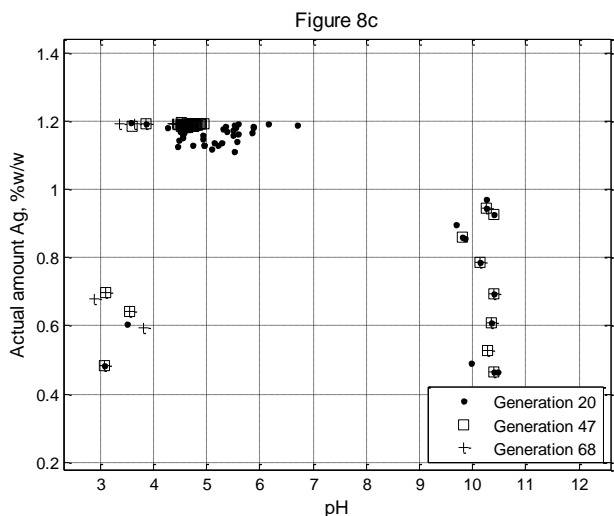
514 Since there are four different factors for this model, two plots are produced for each  
 515 generation, one corresponding to the optimal values of the actual amount of silver and pH and  
 516 another corresponding to the optimal values of the UV wavelength and the initial BPA amount.  
 517 The same Figures have been plotted for three different generations at the early stages of the  
 518 optimization (i.e., generations 1, 2 and 10, cf. Figures 7a and 7b) as well as for three generations

519 at the middle and final stages of the optimization (i.e., generations 16, 39 and 56, cf. Figures 7c  
520 and 7d). This illustration reveals the convergence of the optimization around a unique set of  
521 optimal conditions (cf. Table 7). In this specific case, the convergence was achieved after 56  
522 iterations, according to the convergence criterion defined earlier in this Section. Note that the  
523 predicted optimal value of  $k_{app}$  is significantly increased with respect to the experimentally  
524 measured values.

525 Figures 8a-8d present the corresponding plots of the same optimization problem but for the  
526 impregnation method (i.e., on the basis of ANN<sub>2</sub>-IMP). In this case, several local maxima seem to  
527 exist so the optimization does not converge around one single set of optimal conditions. The  
528 conditions reported in Table 7 are the ones that lead to the maximum attain value of the  
529 apparent rate constant and correspond to the set of points located on the right in Figure 8c and  
530 on the top left in Figure 8d. In any case, this method seems to lead to significantly lower optimal  
531 values of the rate constant, in comparison to the photodeposition method.

532





533 **Figure 8:** Presentation of the 50 optimal conditions in terms of the actual amount of Ag and pH (a, c) and the UV  
 534 wavelength and the BPA amount (b, d), as predicted by the EA optimization on the basis of the ANN<sub>2</sub>-IMP model  
 535 after 1, 5 and 10 generations (a, b) as well as after 20, 47 and 68 generations (c, d).

536

### 537 **3.5 Optimization step 2 - actual amount of silver in the ZnO photocatalyst**

538 On the basis of the optimal amount of AgNPs defined by the previous optimization step, a  
 539 second optimization run was carried out in order to define the conditions that would result in  
 540 the synthesis of a photocatalyst with this optimal amount of silver nanoparticles. So, in this  
 541 case, the goal was to minimize the objective function defined by the absolute difference  
 542 between the model response (i.e., the actual Ag amount) and the desired Ag amount, as this  
 543 was defined in the previous optimization step. This second step of the optimization study was  
 544 based on ANN<sub>1</sub> and the results of the EA that was deployed for the solution of this problem are  
 545 shown in Table 8. In both cases, the error between the desired and attained value was inferior  
 546 to 0.01%, significantly lower than the associated experimental error of the measurements.

547

548

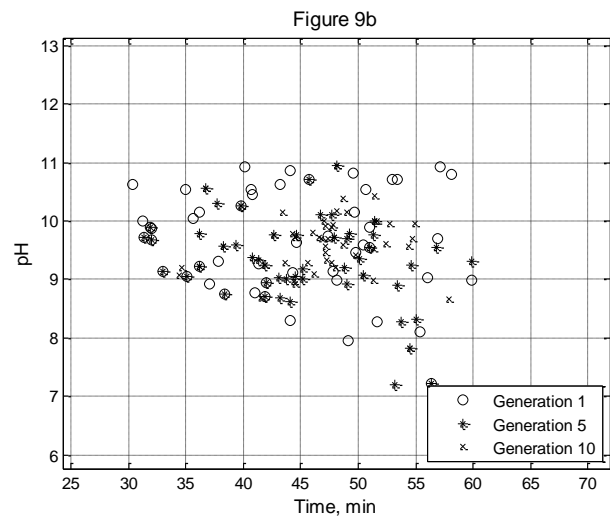
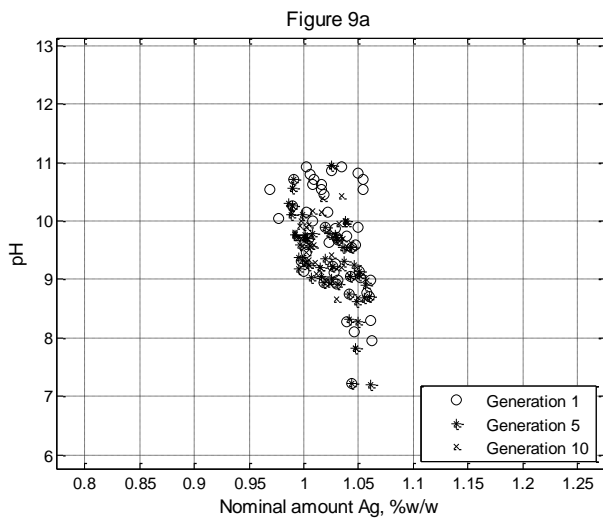
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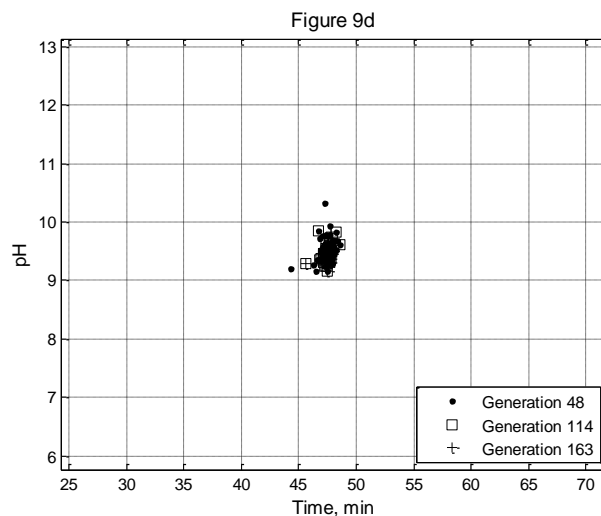
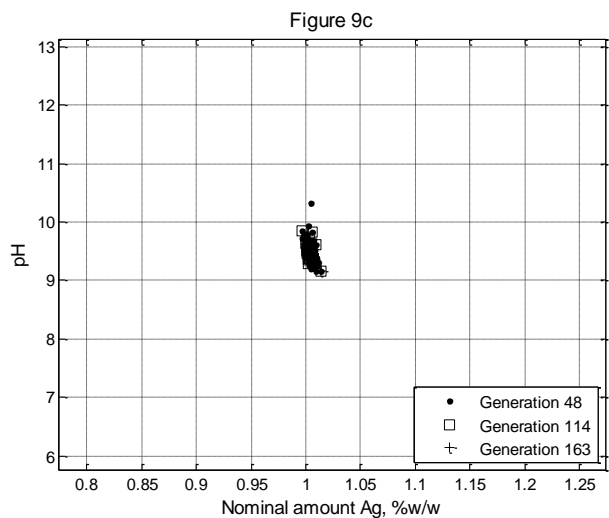
550 **Table 8:** Results of the 2<sup>nd</sup> optimization step on the synthesis of a photocatalyst with a desired content of AgNPs  
**Optimal photocatalyst synthesis conditions for the photodeposition method (Actual Ag %w/w = 1.10)**

Nominal amount Ag, %w/w	pH	Time, min
<i>1.00</i>	<i>9.5</i>	<i>48</i>
<b>Optimal photocatalyst synthesis conditions for the impregnation method (Actual Ag %w/w = 0.78)</b>		
Nominal amount Ag, %w/w	pH	Time, min
<i>0.69</i>	<i>8.5</i>	<i>214</i>

551

552 The respective 2D plots of the evolution of the 50 optimal conditions in terms of the model  
553 factors are presented in Figures 9a-9d and 10a-10d, for the photodeposition and the  
554 impregnation methods respectively. Note that, as the number of factors is limited to three in  
555 this case, both couples that are used in the plots contain pH as one of the factors.



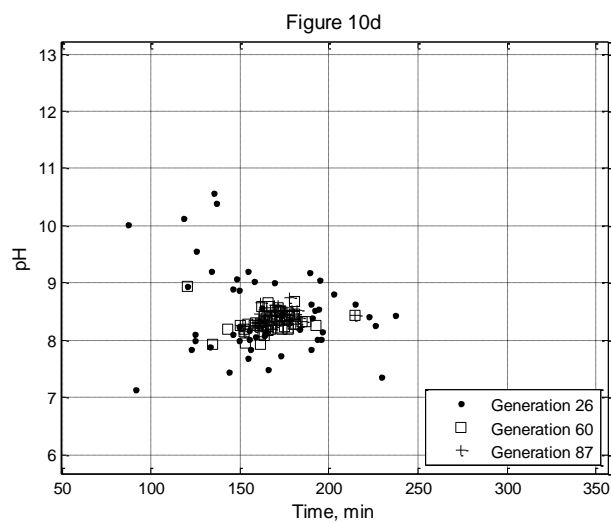
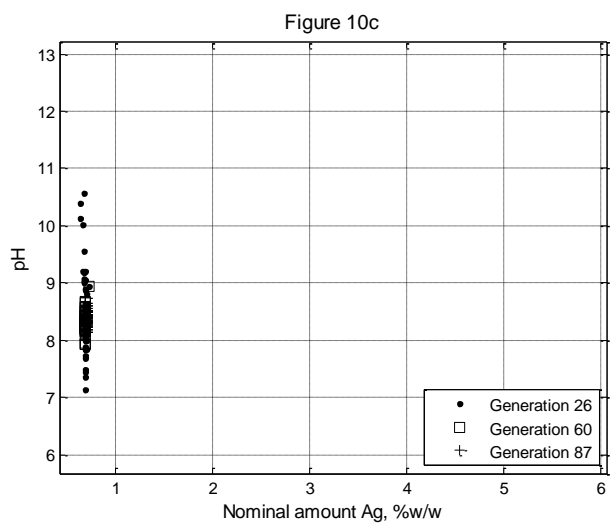
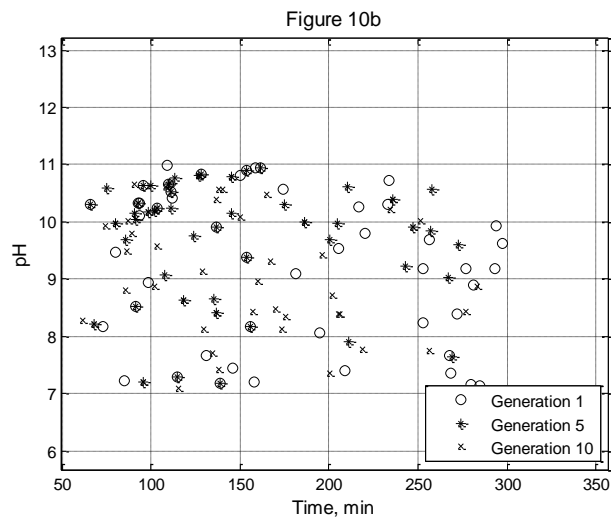
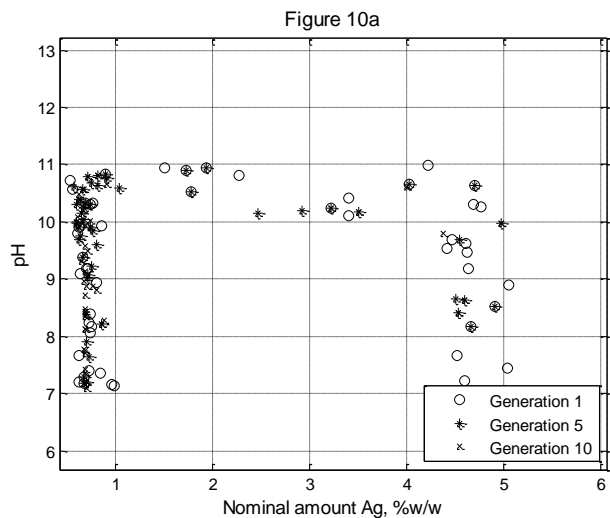


556 **Figure 9:** Presentation of the 50 optimal conditions in terms of the nominal amount of Ag and pH (a, c) and the  
 557 reaction time and pH (b, d), as predicted by the EA optimization on the basis of the ANN<sub>1</sub>-PD model after 1, 5 and  
 558 10 generations (a, b) as well as after 48, 114 and 163 generations (c, d).

559 The results of these optimization runs are quite similar to the first optimization runs as, once  
 560 again, the impregnation method seems to provide several alternatives as local minima,  
 561 especially in terms of the value of the pH, as becomes evident in Figures 10a and 10c. The  
 562 photodeposition method, on the other hand, has a clear minimum of the objective function that  
 563 is identified by the EA algorithm already somewhere between the 10<sup>th</sup> and 48<sup>th</sup> iteration,  
 564 despite the fact that the algorithm requires more than 160 iterations to meet the convergence  
 565 criterion. Finally, a paradox is observed in both optimization results since the optimal nominal  
 566 amount of Ag is lower than the desired actual amount of Ag. Once again, this is due to the fact  
 567 that the models have been trained with experimental data containing such discrepancies, which  
 568 are caused by the experimental error associated with the experimental protocol and the  
 569 analytical method (Jasso-Salcedo et al., 2014). This should not be interpreted as an error  
 570 associated with the modeling framework or the optimization approach.

571





572 **Figure 10:** Presentation of the 50 optimal conditions in terms of the nominal amount of Ag and pH (a, c) and the  
 573 reaction time and pH (b, d), as predicted by the EA optimization on the basis of the ANN<sub>1</sub>-IMP model after 1, 5 and  
 574 10 generations (a, b) as well as after 26, 60 and 87 generations (c, d).

575

## 576 4 Conclusions

577 In the present work, a modeling framework on the basis of Artificial Neural Networks was  
 578 presented for the simulation of the effects of two important stages of a photocatalytic process,  
 579 namely the catalyst synthesis and the photodegradation experiments, on the final

580 photodegradation performance of the synthesized photocatalyst. In this respect, a two-stage  
581 ANN model was developed, connected by means of introducing the response of the first model  
582 as a factor to the second model. The developed models were subsequently introduced in an  
583 optimization study, carried out with the aid of an evolutionary algorithm and comprised also of  
584 two steps. Through this integrated approach, it has been possible to study simultaneously the  
585 effects of a series of important conditions associated with two totally distinct stages of the  
586 process and to connect the initial photocatalyst synthesis conditions with its final  
587 photodegradation performance.

588 By means of the developed models, the effects of pH, nominal amount of silver nanoparticles  
589 introduced in the suspension and reaction time were assessed in terms of their effects on the  
590 actual amount of silver nanoparticles that are finally retained on the ZnO surface. At the same  
591 time, this amount of attached silver along with the pH, the light wavelength and the initial  
592 contaminant amount present in the photodegradation experiments were studied in terms of  
593 their effect on the photodegradation performance of the synthesized photocatalyst. This  
594 performance was associated with an apparent rate constant, thus eliminating the time from the  
595 factors of the photodegradation tests.

596 The decoupling of these two processes that was proposed in this study allowed a better  
597 understanding of the nature of the indisputable indirect bond that exists between them. In this  
598 respect, it has been shown that an intermediate quality criterion of the photocatalyst, namely  
599 the actual amount of silver attached to the ZnO surface that, in turn, can only be controlled by  
600 the photocatalyst synthesis conditions, displays a direct effect on its photodegradation  
601 performance. Finally, by investigating two different methods of the photocatalyst synthesis,

602 namely a photodeposition and an impregnation method, the study has also demonstrated that  
603 it can display an important effect on the final photodegradation efficiency of the photocatalyst.

604

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## Supplementary material

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### 769 **Modeling and Optimization of a photocatalytic process: Degradation of** 770 **endocrine disruptor compounds by Ag/ZnO**

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772 Alma Berenice Jasso-Salcedo<sup>a1</sup>, Sandrine Hoppe<sup>b</sup>, Fernand Pla<sup>b</sup>, Vladimir Alonso Escobar-Barrios<sup>c</sup>,  
773 Mauricio Camargo<sup>d</sup>, Dimitrios Meimaroglou<sup>b</sup>

774

775 <sup>a</sup>Instituto Potosino de Investigación Científica y Tecnológica, División Ciencias Ambientales, Camino a la Presa de  
776 San José 2055, Col. Lomas 4a Sección. C.P. 78216, San Luis Potosí, S.L.P., México.

777 <sup>b</sup>CNRS, Laboratoire Réactions et Génie des Procédés, Université de Lorraine UMR 7274, Nancy, F-54001, France.

778 <sup>c</sup>Instituto Potosino de Investigación Científica y Tecnológica, División Materiales Avanzados, Camino a la Presa de  
779 San José 2055, Col. Lomas 4a Sección. C.P. 78216, San Luis Potosí, S.L.P., México.

780 <sup>d</sup>Université de Lorraine, ERPI, Equipe de Recherche sur les Processus Innovatifs, EA 6737, Nancy, F-54001, France.

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782 <sup>1</sup> Present address author AB Jasso-Salcedo: Department of Materials and Environmental Chemistry, Arrhenius

783 Laboratory, Stockholm University, SE-106 91 Stockholm, Sweden.

784 **Table S1.** MSE values corresponding to different network topologies of the developed models

ANN <sub>1</sub> - PD					ANN <sub>1</sub> - IMP				
Topology	MSE All	MSE Train	MSE Val	MSE Test	Topology	MSE All	MSE Train	MSE Val	MSE Test
<b>8:10</b>	5.13E-05	7.42E-05	1.98E-14	1.99E-06	<b>10:10</b>	2.40E-03	2.84E-03	2.74E-03	1.22E-04
<b>7:8</b>	5.80E-05	8.11E-05	8.43E-06	6.05E-06	<b>6:10</b>	2.41E-03	3.27E-03	9.80E-04	4.02E-05
<b>9:8</b>	8.25E-05	9.69E-05	7.84E-05	2.29E-05	<b>10:3</b>	2.42E-03	3.46E-03	2.17E-04	6.83E-05
<b>5:4</b>	1.35E-04	1.28E-04	2.69E-05	2.76E-04	<b>9:6</b>	2.49E-03	3.24E-03	1.22E-03	4.11E-04
<b>8:3</b>	1.38E-04	1.42E-04	1.86E-04	7.11E-05	<b>9:2</b>	2.52E-03	2.77E-03	1.41E-04	3.79E-03
<b>4:9</b>	1.50E-04	7.27E-05	6.27E-04	1.15E-05	<b>7:5</b>	2.63E-03	1.05E-05	2.76E-05	1.67E-02
<b>3:8</b>	1.87E-04	2.22E-04	5.66E-05	1.68E-04	<b>3:5</b>	2.66E-03	3.46E-03	8.28E-04	9.82E-04
<b>10:3</b>	1.93E-04	1.71E-04	4.13E-04	7.12E-05	<b>5:1</b>	2.70E-03	3.85E-03	2.16E-04	1.12E-04
<b>7:1</b>	1.99E-04	2.57E-04	9.56E-05	4.97E-05	<b>9:9</b>	2.71E-03	3.93E-03	1.58E-06	6.00E-05
<b>8:5</b>	2.29E-04	5.57E-05	3.80E-05	1.18E-03	<b>6:2</b>	2.74E-03	1.28E-03	1.17E-02	1.82E-04
ANN <sub>2</sub> - PD					ANN <sub>2</sub> - IMP				
Topology	MSE All	MSE Train	MSE Val	MSE Test	Topology	MSE All	MSE Train	MSE Val	MSE Test
<b>9:8</b>	1.56E-04	1.63E-04	1.11E-04	1.68E-04	<b>8:10</b>	1.10E-04	1.69E-04	2.79E-11	8.81E-11
<b>17</b>	4.39E-04	5.34E-04	7.90E-06	3.64E-04	<b>3:10</b>	1.39E-04	2.15E-04	3.14E-08	1.10E-08
<b>8:8</b>	6.33E-04	7.28E-04	6.62E-04	9.73E-05	<b>8:4</b>	1.50E-04	1.23E-04	4.01E-04	1.48E-08
<b>4:9</b>	8.32E-04	4.15E-04	2.48E-03	1.40E-03	<b>11</b>	2.06E-04	1.28E-06	2.16E-06	1.16E-03
<b>4:7</b>	1.04E-03	9.44E-04	9.74E-07	2.59E-03	<b>8:7</b>	5.45E-04	7.42E-04	6.20E-05	3.05E-04
<b>14</b>	1.18E-03	1.15E-03	7.75E-05	2.46E-03	<b>9:4</b>	8.41E-04	6.31E-04	3.32E-19	2.45E-03
<b>15</b>	1.19E-03	1.60E-03	2.83E-07	1.71E-04	<b>9:10</b>	1.20E-03	5.95E-05	2.58E-04	6.33E-03
<b>8:10</b>	1.22E-03	1.37E-03	8.44E-04	7.48E-04	<b>10:1</b>	1.25E-03	1.80E-03	2.44E-04	2.67E-04
<b>16</b>	1.38E-03	1.76E-03	6.10E-04	9.68E-05	<b>5:10</b>	1.33E-03	2.01E-03	1.46E-04	3.38E-05
<b>3:7</b>	1.48E-03	2.01E-03	1.22E-04	5.52E-05	<b>16</b>	1.42E-03	2.17E-03	1.49E-05	4.78E-05

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