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1 Bacterial NHEJ: A never ending story

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20 Abstract

Double-strand breaks (DSBs) are the most detrimental DNA damage encountered by bacterial 21 22 cells. DBSs can be repaired by homologous recombination thanks to the availability of an intact DNA template or by Non-Homologous End Joining (NHEJ) when no intact template is available. 23 Bacterial NHEJ is performed by sets of proteins of growing complexity from *Bacillus subtilis* and 24 25 Mycobacterium tuberculosis to Streptomyces and Sinorhizobium meliloti. Here we discuss the contribution of these models to the understanding of the bacterial NHEJ repair mechanism as 26 well as the involvement of NHEJ partners in other DNA repair pathways. The importance of NHEJ 27 and of its complexity is discussed in the perspective of regulation through the biological cycle of 28 the bacteria and in response to environmental stimuli. Finally, we consider the role of NHEJ in 29 30 genome evolution, notably in horizontal gene transfer.

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I- Bacterial NHEJ: A two-component DNA repair mechanism?

32 Until in silico identification of putative NHEJ actors in bacterial genomes, it was thought that Homologous Recombination (HR) was the major double-strand break (DSB) repair mechanism in 33 34 prokaryotes. However, HR needs an intact template to ensure repair of the break, a condition encountered by bacteria only during their dividing state and raising the question of the repair of 35 DSB in other phases of their life cycle. The identification of a bacterial NHEJ answered, at least in 36 part, this question since, in eukaryotes, NHEJ was known to ligate DNA ends together without 37 any homologous template. This mechanism has been well documented in eukaryotes and 38 involves a dozen of actors in human, including the Ku70/Ku80 heterodimer, and the ligase IV 39 40 (Chang et al., 2017).

The first hint for the existence of a bacterial NHEJ was provided by *in silico* approaches leading 41 to the identification of Ku70/80 homologs and ATP-dependent DNA-ligase homologs (Doherty et 42 al., 2001; Aravind and Koonin, 2001). These Ku and DNA-ligase encoding genes are often 43 colocalized, or in an operonic structure. The DNA-ligase genes encode a putative ligase domain 44 45 (LigDom or LIG), fused or not with a polymerase domain (PolDom, POL or Prim-Pol) and/or a nuclease domain (NucDom or PE for Phosphoesterase). Whatever the domain combination, 46 47 these multifunctional ligases were collectively named LigD, in reference to the main NHEJ ligase in Mycobacteria (Gong et al., 2005). 48

The first experimental validation of a bacterial NHEJ came from the study of Weller *et al.* showing that *in vitro* the *Mycobacterium tuberculosis* LigD protein (LigD_{*Mtub*}) is indeed an ATP-dependent DNA ligase that is stimulated by its cognate Ku_{*Mtub*} partner, possibly via an interaction between Ku_{*Mtub*} bound to DNA and LigD_{*Mtub*} (Weller *et al.*, 2002). In parallel, authors described the

53 deleterious effect of the deletion of the Bacillus subtilis genes coding for LigD_{Bsub} and Ku_{Bsub} (*ykoU-ykoV* operon) on the survival of cells in stationary phase after ionizing radiation (IR). IR is 54 a cause of multiple DNA lesions, including DSBs that are particularly hazardous. These two 55 proteins from B. subtilis have been later shown to also perform NHEJ repair in vitro (de Vega, 56 2013). The Ku and/or LigD-dependent ability of *M. tuberculosis* and *Mycobacterium smegmatis* 57 58 to recircularize linear plasmid DNA after transformation, and the IR sensitivity of *M. smegmatis ku* and *ligD* mutants in stationary phase, have given further evidence *in vivo* that several bacterial 59 species are NHEJ proficient (Stephanou et al., 2007). 60

LigD_{Mtub} is not a common ligase. Its PolDom provides LigD_{Mtub} with DNA-dependent DNA 61 polymerase, DNA-dependent RNA polymerase and primase and non-templated nucleotide 62 addition activities (Della et al., 2004). Moreover, the Poldom interacts in vitro with Ku_{Mtub}-DNA 63 complex suggesting that it could be responsible for the recruitment of LigD_{Mtub} on Ku-bound DSB 64 *in vivo* (Pitcher *et al.*, 2005). LigD_{Mtub} also shows a 3'-5' exonuclease activity most probably carried 65 by its NucDom (Della et al., 2004). LigD from Pseudomonas aeruginosa (LigD_{Paer}) and 66 Agrobacterium tumefaciens (LigD2) display similar activities accordingly to their identical domain 67 composition, though different domain organization, compared to LigD_{Mtub} (Zhu and Shuman, 68 2005a; Zhu and Shuman, 2005b; Zhu et al., 2005; Zhu and Shuman, 2007). Thus, LigD displays all 69 activities allowing to process DNA ends, if necessary, and ligate them without the need for other 70 proteins, except Ku. Accordingly, expression of Ku and LigD from *M. tuberculosis i*) partially 71 72 restores NHEJ in yeast ku70 or dnl4 mutant strains (Della et al., 2004) and ii) renders Escherichia 73 coli NHEJ-proficient (Malyarchuk et al., 2007).

Altogether, these studies have led to the following model for the bacterial NHEJ: Ku homodimer
 binds to DNA ends generated by a break and recruits LigD (one multidomain polypeptide, such

as LigD_{Mtub}, or a holoenzyme consisting of several subunits similar to the LigDom, PolDom and/or
 NucDom of LigD_{Mtub}) probably via a direct physical interaction on the DNA between Ku and the
 PolDom of the LigD holoenzyme. LigD is able to process DNA ends, if broken ends are non-directly
 ligatable, thanks to its Poldom and/or Nucdom, before ligation by LigDom (Pitcher *et al.*, 2007a).

Most of the past studies on bacterial NHEJ have already been extensively reviewed (Bowater and 80 Doherty, 2006; Pitcher et al., 2007a; Shuman and Glickman, 2007; Gu and Lieber, 2008; Brissett 81 and Doherty, 2009), with the exception of the most recent ones on structural data of different 82 LigD domains and mechanistic details of DNA end processing by NHEJ actors before ligation (Nair 83 et al., 2010; Zhu and Shuman, 2010; Brissett et al., 2011;; Brissett et al., 2013). The archaea 84 Methanocella paludicola contain genes coding for homologs of PolDom, NucDom, LigDom and 85 Ku. Their characterization have also deepened our knowledge on the processing of DNA ends 86 during NHEJ (Bartlett et al., 2013; Brissett et al., 2013; Bartlett et al., 2016). In the present report, 87 we wished to focus on recent data obtained with different bacterial models highlighting the 88 complexity of the prokaryotic NHEJ pathway in terms of involved actors, their interactions, their 89 regulation, their links with other DNA repair pathways and finally their impact on bacterial 90 evolution. 91

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II- Multiple components NHEJ pathways

The above model of minimal NHEJ, with one Ku and one LigD holoenzyme performing bacterial NHEJ, does not take into account the existence of other proteins involved in this mechanism. These proteins can be orthologues of Ku or of domains of the LigD holoenzyme, and/or proteins interacting with them. Figure 1 illustrates diverse levels of NHEJ complexity in four bacterial models.

98 NHEJ complexity: multiplicity of NHEJ-like genes

A recent and large scale search for ku-like genes suggests the existence of a potential NHEJ repair 99 system in about 25% of the prokaryotes for which a genome was available in public databases 100 (McGovern et al., 2016), with a scattered distribution. Among the bacterial species predicted to 101 102 possess a NHEJ repair system, some actually encode several putative Ku homologs, mainly actinobacteria of the streptomycetes family, and proteobacteria of the α subdivision (McGovern 103 et al., 2016). For instance, more than 70% of Streptomyces species encode more than one ku 104 gene (up to 4 in Streptomyces coelicolor) (Hoff et al., 2016), and more than half of α -105 proteobacteria possessing a ku gene actually have two or more (up to 6 in Rhizobium 106 leguminosarum bv trifolii). Some of the ku genes are located on plasmids, suggesting their 107 putative acquisition via horizontal gene transfer. Importance of multiple ku genes in some NHEJ 108 proficient bacteria is discussed further below. 109

Putative NHEJ ligases are also often present in multiple copies. However, because of the more 110 variable organization of LigD, it is more difficult in this case to predict whether the genes encode 111 bona fide NHEJ ligases. This difficulty is reinforced by the fact that several ATP-dependent DNA 112 ligases can be used to perform NHEJ in the same bacterium. For instance, *M. smegmatis* encodes 113 four ATP-dependent DNA ligases (LigB_{Msme}, LigC1_{Msme}, LigC2_{Msme} and LigD_{Msme}) (Gong *et al.*, 2005). 114 Among them, LigD_{Msme} is the main NHEJ ligase and contains the PolDom, LigDom and NucDom 115 domains, as its homolog LigD_{Mtub}. However, LigC1_{Msme} (a LigDom-only enzyme) can act with Ku in 116 an alternative NHEJ in *M. smegmatis* (Gong et al., 2005; Akey et al., 2006; Aniukwu et al., 2008; 117 Bhattarai et al., 2014). This LigC1_{Msme}-dependent alternative NHEJ requires the PolDom of 118 LigD_{Msme}, the LigDom and NucDom being dispensable for this mechanism (Bhattarai et al., 2014). 119 This result, supplemented by the fact that the PolDom of LigD_{Msme} plays a structural role 120

121 independent of its polymerase activity in the efficiency of recircularization of linearized plasmid in vivo (Aniukwu et al., 2008), highlights that the PolDom could serve as an interaction platform 122 for an ATP-dependent ligase protein containing only a LigDom. Other LigC proteins, LigC1 and 123 LigC2 from A. tumefaciens are endowed with ligase activities that are stimulated by Ku (Zhu and 124 Shuman, 2007), suggesting that alternative NHEJ pathways are conserved across bacterial 125 126 species. It has to be noticed that *M. smegmatis* contains two polD genes expressing two PolDom-127 only polymerases that can suppress partially the sensitivity to IR of a mutant encoding a polymerase deficient LigD, suggesting that, as observed with LigC1, several PolDom proteins can 128 be used in the NHEJ pathway in Mycobacteria (Bhattarai et al., 2014). 129

In Streptomyces, PolDom, LigDom and NucDom only exist as stand-alone domains and not as 130 131 multifunctional proteins, making even more complex the identification of actual NHEJ partners. The analysis of 38 Streptomyces genomes revealed a conserved gene set, composed of two loci, 132 kuA and its neighbor polK and ligC (encoding a putative ligase displaying an higher level of 133 homology with LigC1 from *M. smegmatis* compared to LigD_{Msme}) and its neighbor polR (polK and 134 polR being two PolDom encoding genes). Surprisingly, *ligD*, whose product is the closest homolog 135 of LigD_{Mtub}, is not ubiquitous in these genomes (present in 75% of the analyzed species), 136 suggesting that the core ligC gene provides the main NHEJ ligase function. The variable set of 137 Streptomyces NHEJ-like genes also includes kuB and kuC, the latter often being plasmid borne 138 and often laying in the close vicinity of *ligD*. Although they are not conserved, these genes take 139 140 part in DNA repair since their mutation in *Streptomyces ambofaciens* confers spore sensitivity to IR and increases the sensitivity of the core gene set mutants (Hoff et al., 2016). In S. ambofaciens, 141 the involvement of KuA in a NHEJ system has been demonstrated together with the existence of 142

a secondary illegitimate DSB repair system that could imply these variable genes (Hoff *et al.*,
2018).

145 The Streptomyces organization also questions the role of the two conserved PolDom encoding 146 genes (polK and polR). In this regard, Streptomyces is not an exception since M. smeqmatis (see above and below, PolD1, PolD2) and numerous eukaryotes, especially vertebrates, also possess 147 several polymerases involved in NHEJ (Ramsden and Asagoshi, 2012). A first hypothesis to explain 148 this observation is based on the difference in expression profile: indeed, S. ambofaciens polR 149 seems constitutively expressed whereas polk transcription was not detected in the tested 150 conditions (Hoff et al., 2016), but might be induced in response to some genotoxic stress. 151 Alternatively or concomitantly, these PolDoms could display different template requirements, as 152 for the four polymerases of the X family found in vertebrates (Pol β , Pol λ , Pol μ and TdT, for review 153 see Ramsden and Asagoshi, 2012). Therefore, each PolDom could act specifically on different 154 types of damaged DNA ends. In favor of this hypothesis, in *M. smegmatis*, a mutation of the 155 PolDom of LigD abolishes the nucleotide addition but not the fill-in activity suggesting that at 156 least two polymerase activities (probably driven by PolD1 and/or PolD2, Bhattarai et al., 2014) 157 158 would be required for full DSB repair achievement (Aniukwu et al., 2008). Finally, due to its 159 similarity with the mycobacterial locus encoding *ligC1* and *prim-polC* involved in a BER system (Płociński et al., 2017), we surmise that the Streptomyces ligC-polR locus could also be involved 160 in this DNA repair pathway in addition to its role in NHEJ. 161

162 Another bacterium displaying a complex organization is *Sinorhizobium meliloti*, an α -163 proteobacterium which encodes four Ku and four LigD orthologues (Galibert *et al.*, 2001); here, 164 LigD proteins are defined as multidomain ATP-dependent ligases, with LigD3 and LigD1 lacking a 165 Nuc and a Pol domain, respectively. The Ku proteins of α -proteobacteria can be classified in

several families based on sequence similarity, but a "representative" Ku protein present in all α -166 167 proteobacteria could not be identified. Single and multiple S. meliloti ku mutations conferred IR 168 sensitivity to stationary phase bacteria, suggesting a role of these genes in DNA repair (Kobayashi et al., 2008; Dupuy et al., 2017). Involvement of the various ku and ligD genes in NHEJ was 169 assessed more precisely using a linear plasmid recircularization assay, which showed the 170 existence of at least two distinct NHEJ pathways (Fig. 1) (Dupuy et al., 2018). The first major 171 pathway is dependent on ku2 and ligD2, two adjacent genes apparently organized in operon, 172 although they can be transcribed from independent promoters. A second, less efficient pathway, 173 dependent on *ku3*, *ku4* and *ligD4* was also detected in stress conditions (see further below). Both 174 ku3 and ku4 are organized in operon, whereas ligD4 is located elsewhere in the genome, but all 175 three genes are co-regulated (see further below) and ku3, ku4 and ligD4 orthologues are often 176 found in close proximity, mostly in operon, in α -proteobacterial genomes. Interestingly, genetic 177 178 analyses showed that both ku3 and ku4 are needed for activity of this second pathway. This 179 observation, together with the quasi-systematic co-occurrence and co-regulation of ku3- and *ku4*-like genes in NHEJ equipped α -proteobacteria, led to the suggestion that Ku3 and Ku4 might 180 function as heterodimers, like eukaryotic Ku proteins. Although LigD4 was systematically found 181 associated to the Ku3-Ku4-dependent pathway, LigD2, and to a much lesser extent LigD3, are 182 also able to function, probably aspecifically, with Ku3 and Ku4 on certain types of DNA ends and 183 in certain genetic contexts. Both ku1 and ligD1 are weakly expressed, and consistently, no NHEJ 184 185 activity could be associated with these genes in the tested conditions. PolD1 (also called LigD1p, Kobayashi et al., 2008), encoded by the gene located just downstream ligD1, is likely the vestigial 186 187 PolDom of a larger ancestral LigD1 protein. Deletions of *liqD1* analyzed by Dupuy et al. (2018) encompassed *polD1*, showing that this gene is not involved in NHEJ in the tested conditions. 188

S. meliloti also encodes three *ligC* and one *ligB* genes, whose role in NHEJ or another DNA repair
system has not been tested so far (Fig. 1).

Inactivation of NHEJ in *M. smegmatis* and *S. meliloti* unmasked a background level of Ku- and 191 192 LigD-independent end-joining activity, called alternative End-Joining (A-EJ), observed during recircularization of linear transforming plasmids (Aniukwu et al., 2008; Dupuy et al., 2018). 193 Depending on the bacterial species and the DNA end type, the observed efficiencies and fidelity 194 of repair greatly varied. It is unclear whether A-EJ occurs by the same mechanism in all cases, but 195 as it was observed in *M. smegmatis ligBCD* mutants, it is believed to depend on the replicative 196 ligase LigA. Interestingly, LigA-dependent, LigB-independent A-EJ has been described in the NHEJ-197 deficient *E. coli* (Chayot *et al.*, 2010). When not resulting in faithful ligation of compatible DNA 198 ends (which occurred in some cases with 100% efficiency; Aniukwu et al., 2008; Dupuy et al., 199 200 2018), A-EJ repair events mostly involved deletions of variable lengths, with frequent occurrence of microhomologies at the junctions (Aniukwu et al., 2008), very similar to the observed products 201 of A-EJ in *E. coli* (Chayot *et al.*, 2010). These observations highlight the importance of DNA end 202 203 resection for homology search, which in *E. coli* is in part due to RecBCD. The resection enzymes 204 involved in the A-EJ of NHEJ-proficient bacteria have not been characterized yet.

205 NHEJ complexity: are there other actors involved?

Proteins other than Ku and LigD domains have been suggested to be involved in NHEJ, either via their physical and/or functional interaction with Ku and/or LigD or via the impact of their inactivation on DSB repair. This is the case for the helicase UvrD1 from Mycobacteria, which interacts with Ku in a yeast two-hybrid assay and which forms ternary complex with Ku and dsDNA (Sinha *et al.*, 2007). The fact that the helicase activity of UvrD1 is stimulated in presence of Ku_{Msme} and that the deletion of *uvrD1* in *M. smegmatis* sensitizes this bacterium to IR makes sense with a role of UvrD1 in DSB repair via NHEJ. However, its role in this process remains to beelucidated.

Another protein interacting with the NHEJ actors in Mycobacteria is Sir2. This deacetylase interacts physically with both Ku and LigD and its inactivation decreased the NHEJ efficiency *in vivo* (Li *et al.*, 2011). Its putative role in the NHEJ process is discussed below (see "Regulation of NHEJ").

218 NHEJ complexity: the multiple DNA binding abilities of Ku

Bacterial Ku is composed of a N-terminal Ku core domain homologous to the central domain of 219 the Ku70 and Ku80 eukaryotic homologs (Aravind and Koonin, 2001; Kushwaha and Grove, 220 2013a; McGovern et al., 2016; Pitcher et al., 2007a). Ku70/80 heterodimer has been shown to 221 bind DSB and to form a ring (involving the Ku core domains of the two subunits) able to encircle 222 a dsDNA molecule (Walker et al., 2001). This particular structure of the eukaryotic Ku allows its 223 threading inward the DNA molecule when additional Ku proteins enter by the ends (de Vries et 224 al., 1989; Paillard and Strauss, 1991; Downs and Jackson, 2004). This threading ability is 225 conserved at least for Ku from B. subtilis, M. tuberculosis and most probably M. smegmatis 226 (Weller et al., 2002; Kushwaha and Grove, 2013a; McGovern et al., 2016). The Ku core domain of 227 bacterial Ku is associated to a variable C-terminal (C-ter) tail which contains the ubiquitous 228 229 minimal C-ter domain and, in most cases, an extended C-ter tail (McGovern et al., 2016). The 230 minimal C-ter is required for the interaction of Ku_{Bsub} with LigD_{Bsub}, is involved in the stimulation 231 of the ligase activity of LigD_{Bsub} and LigD_{Paer} and is contained in a segment of Ku_{Mtub} interacting with LigD_{Mtub} in a yeast two-hybrid assay (Gong et al., 2005; Zhu and Shuman, 2010; McGovern 232 et al., 2016). These observations led to the proposal that the minimal C-ter is a part of the LigD-233

234 interacting domain in bacterial Ku. The extended C-ter is predominantly a domain rich in basic residues (lysine and arginine) that is involved, but not required, in the Ku-dependent stimulation 235 of the ligase activity of LigD in *P. aeruginosa* and *B. subtilis* (Zhu and Shuman, 2010; McGovern et 236 al., 2016). This domain also confers to Ku the ability to bind DNA without free ends (Kushwaha 237 and Grove, 2013b; McGovern et al., 2016) and limits the threading of Ku_{Bsub} inward the DNA 238 239 molecule. Its function could be to reduce putative negative effects of this threading inside the chromosome on other DNA repair processes. The regulation of the threading of Ku may also be 240 important for the recruitment of LigD near, or at the DSB site, ensuring an efficient NHEJ repair 241 since LigD alone is a poorly efficient ligase without its Ku partner in vitro (Weller et al., 2002; Della 242 et al., 2004; Zhu and Shuman, 2010; de Ory et al., 2014; McGovern et al., 2016). The function of 243 Ku in the NHEJ could be more proactive than previously anticipated by allowing the bridging of 244 DNA ends, thanks to its extended C-ter (McGovern et al., 2016), an ability shared by the human 245 Ku (Ramsden and Gellert, 1998). The different functions of this extended C-ter domain raise the 246 question about the efficiency of the NHEJ in bacteria encoding a Ku without this domain and/or 247 about the role of Ku and its extended C-ter in another DNA repair mechanism (for instance the 248 BER process, see below). In *Streptomyces*, one of the accessory Ku (KuB), present in only 8 of 38 249 250 species (Hoff et al., 2016), possesses an extra C-ter domain weakly related to the RhoN domain (Pfam 07498) which role in Ku protein remains to be elucidated. 251

Finally, a very recent study demonstrated that the eukaryotic Ku orthologue encoded by the bacteriophage Mu (d'Adda di Fagagna *et al.*, 2003) is able to promote NHEJ repair of plasmidic and chromosomal DSBs, most probably by interacting with and stimulating the *E. coli* replicative ligase LigA (Bhattacharyya *et al.*, 2018). Surprisingly, MuGam also stimulates *in vitro* the LigB ligase of *E. coli*, although MuGam and LigB do not seem to interact on DNA. These results raise the possibility that a bacterial Ku protein might also increase the ligase activity of a non-NHEJ
ligase. In this line, Ku_{Msmeg} (Kushwaha and Grove, 2013a), but not Ku_{Mtub} (Weller *et al.*, 2002), has
been shown to stimulate the T4 DNA ligase *in vitro*. To our knowledge, no study assessed the
possibility that a bacterial Ku redirects the activity of a bacterial replicative ligase.

261 III- Regulation of NHEJ

In eukaryotes, NHEJ repairs DSBs throughout the whole cell cycle and is predominant in G1 phase 262 while HR relies on the presence of an intact sister chromatid and therefore functions in S/G2 263 264 phase (Ira et al., 2004; Aylon et al., 2004; Clerici et al., 2008). Similarly, during quiescent states such as sporulation (Bacillus, Streptomyces) or late-stationary phase (Mycobacterium, 265 Sinorhizobium) in bacteria, HR would not be operational because stationary phase cells and 266 spores are assumed to be single genome compartments. Note however that spores (Setlow and 267 Setlow, 1996; Moeller et al., 2007; Hoff et al., 2016) or stationary phase bacteria (Pitcher et al., 268 2007b; Dupuy et al., 2017) of HR-deficient recA mutants display IR sensitivity. This is most likely 269 because of the involvement of recA in the repair of other DNA damages, in particular via its role 270 in the SOS response, since i) spores of B. subtilis addAB and recJ mutants, deficient in the 271 resection step of HR (no other genes coding for end resection nucleases are known to be involved 272 in HR in B. subtilis), displayed IR resistance similar to the one of wild type spores (Vlašić et al., 273 2014), ii) the effects of *recA* and NHEJ mutations on IR sensitivity of *B. subtilis* and *S. ambofaciens* 274 spores or *M. smegmatis* and *S. meliloti* stationary phase bacteria were found to be additive 275 (Stephanou et al., 2007; Pitcher et al., 2007b; Moeller et al., 2012; Hoff et al., 2016; Dupuy et al., 276 277 2017). Thus, DSB repair in quiescent cells would essentially rely on NHEJ using available free nonhomologous DNA ends. Furthermore, DSB repair is essential during these quiescent states 278 because cells are more exposed to harsh environmental conditions such as desiccation, that can 279

damage the DNA. How the balance between NHEJ and HR is regulated is far from understood but
crucial regarding the consequences of the repair.

282 The best characterized bacterial NHEJ regulation comes from a study aiming at characterizing 283 gene expression in the forespore of *B. subtilis* (Wang *et al.*, 2006). The operon containing ku_{Bsub} and *ligD*_{Bsub} is expressed inside the forespore under the control of the sigma factor SigG and the 284 transcriptional factor SpoVT. Their role in the DSB repair during spore germination (LigD is an 285 ATP-dependent ligase and ATP is present at very low levels in spores, Korza et al., 2016) has been 286 demonstrated by the localization of a Ku-GFP fusion on the spore nucleoid after initiation of the 287 germination, and by the high sensitivity of NHEJ deficient spores (mutated for kuBsub or kuBsub and 288 ligD_{Bsub}) to dry heat, a cause of DSB inside spores (Wang et al., 2006). Other sources of DNA 289 lesions, such as vacuum desiccation, heavy ions bombardment and ultraviolet radiations have a 290 higher toxic effect on NHEJ deficient compared to wild type spores of B. subtilis (Moeller et al., 291 2007; Moeller et al., 2008; Moeller et al., 2014). According to the study of Wang et al., expression 292 of ku_{Bsub} and ligD_{Bsub} was observed three to four hours after B. subtilis cells initiate their 293 sporulation program, concomitantly with the expression of SigG and SpoVT (Nicolas et al., 2012). 294 However, it was found that the ku_{Bsub} lig D_{Bsub} operon was slightly up-regulated (~2 times) 295 compared to its basal level (i.e. in non sporulating conditions) when bacteria encounter a cold 296 stress. In this condition neither SpoVT nor SigG were expressed suggesting that the NHEJ genes 297 in *B. subtilis* could be regulated by other transcriptional factors (Nicolas *et al.*, 2012). The NHEJ 298 299 proficiency of these cold stressed bacteria remains to be assessed. Besides the overexpression of 300 the ku_{Bsub} and ligD_{Bsub} genes during the sporulation, lack of impact of inactivation of the HR-end 301 resection genes on the X-ray radiation sensitivity of *B. subtilis* spores led to the proposal for a 302 mechanism that specifically inhibits the action of AddAB and RecJ nucleases inside germinating 303 spores, rendering the NHEJ pathway the only efficient DSB repair mechanism in this condition (Vlašić et al., 2014; Raguse et al., 2017). Moreover, no trace of ku and ligD expression was 304 observed at the transcriptional (Nicolas et al., 2012) and translational (Sinai et al., 2015) levels 305 during the revival of spores. Ku (Ku-GFP fusion) expressed during sporulation is detectable 306 associated to the nucleoid during the first 30 min of the spore revival (Wang et al., 2006), i.e. 307 308 before initiation of DNA replication and expression of DNA repair genes such as recA (Sinai et al., 2015). Then, in B. subtilis, NHEJ and HR do not seem to compete for the repair of two dsDNA 309 310 ends.

NHEJ is not a hallmark of bacterial sporulation since ku and ligD genes are also present in non-311 spore forming bacteria and all spore-forming bacteria do not encode these proteins (Aravind and 312 Koonin, 2001; Bowater and Doherty, 2006; McGovern et al., 2016). In Mycobacteria and in S. 313 meliloti, ku and ligD inactivation leads to a sensitization against IR of mutant bacteria grown in 314 stationary phase and have no or little effect in logarithmic phase, even if recA is inactivated 315 (Stephanou et al., 2007; Pitcher et al., 2007b; Kobayashi et al., 2008; Dupuy et al., 2017). On the 316 other hand, ku and/or ligD inactivation strongly reduces the capacity of logarithmically grown 317 Mycobacteria or *S. meliloti* to repair enzymatically linearized plasmid DNA upon transformation 318 (Gong et al., 2004; Gong et al., 2005; Aniukwu et al., 2008; Dupuy et al., 2018) as well as 319 enzymatically induced DSB on the chromosome (Stephanou et al., 2007; Gupta et al., 2011; 320 Dupuy et al., 2018). Moreover, ligD is required in exponentially growing M. smeqmatis bacteria 321 322 for infection by the mycobacteriophages Omega and Corndog, where it is thought to promote circularization at the cos site of the phage genomes, thanks to the Ku expressed by the phages 323 (Pitcher et al., 2006). Altogether, these observations show that although not involved in the 324 325 repair of DSB induced by IR in logarithmic phase, Ku- and LigD-dependent NHEJ is well active in 326 this growth stage in several bacterial species. This is consistent with the detection of similar amounts of Ku and similar activity of its promoter in logarithmic and early stationary phases in 327 M. smegmatis (Bhattarai et al., 2014; Zhou et al., 2015), even if an increased activity of the Ku 328 promoter was observed in late stationary phase cells (Bhattarai et al., 2014). This is also 329 consistent with the expression of a S. meliloti ku2-lacZ transcriptional fusion in both log and 330 331 stationary phase, and the visualization of Ku2-YFP foci after X-ray treatment of cells in both phases (Kobayashi et al., 2008). Together, these observations suggest that the action of NHEJ for 332 repair of IR induced DSBs, which have most probably similar molecular configurations in 333 logarithmic and stationary phases, is negatively regulated in logarithmic phase. The mechanism 334 of this inhibition does not seem dependent on RecA since the deletion of recA in M. smegmatis 335 336 does not increase the NHEJ (Gupta et al., 2011), however it could involve the competition with presynaptic actors of the HR. 337

In S. meliloti, NHEJ activity as measured using a linear plasmid repair assay, displays a significant 338 stimulation when bacteria enter stationary phase, or are exposed to a thermic stress (Dupuy et 339 al., 2018). This stimulation mainly results from the upregulation of ku gene expression. The ku3 340 ku4 operon, as well as the *liqD*4 gene, appeared to be under transcriptional control of the general 341 stress response EcfG sigma factor RpoE2. Examination of ku promoter regions in α-342 Proteobacteria revealed the presence of putative EcfG binding sites upstream at least one ku 343 gene in about 90 % of the species, suggesting that stress control of ku and ligD transcription is 344 widespread in this bacterial class. Accordingly, stress induction of ku and/or ligD gene expression 345 was described in Mesorhizobium loti and Bradyrhizobium japonicum (Cytryn et al., 2007; 346 Alexandre et al., 2014). How ku2 is up-regulated in stress conditions is currently unknown, but it 347 348 appears not to be part of the general stress response, the heat shock response, the SOS response, 349the stringent response nor to be regulated by quorum sensing (Kobayashi *et al.*, 2008; Dupuy *et*350*al.*, 2018). Whether stress control of NHEJ gene expression is conserved beyond α -Proteobacteria351remains to be tested experimentally. In *Streptomyces*, major NHEJ genes are expressed in late-352growth phase (Hoff *et al.*, 2016) and gene products accumulate in spores. However, no induction353of the NHEJ-like genes could be detected in *S. ambofaciens* in response to DNA damaging agents354(i.e., Mitomycin C, Hoff *et al.*, 2016).

Besides transcriptional regulation, post-translational modification of NHEJ proteins could also 355 regulate NHEJ activity in bacteria. Indeed the deacetylase Sir2 from *M. smegmatis* is required for 356 an efficient NHEJ repair in vivo (Li et al., 2011). Overexpression of sir2 leads to a decreased 357 amount of Ku in bacteria, without altering the quantity of the corresponding mRNA. In line with 358 359 this interaction, Ku_{Msme} is acetylated at least at the residue K29, which is conserved among 360 bacterial Ku, and this modification is mainly observed in stationary phase (Zhou et al., 2015). Even if the mechanism by which Sir2 regulates NHEJ proteins remains to be clarified, it seems likely 361 that acelylation could regulate the activity of NHEJ (Zhou et al., 2015). 362

363 IV Cross talk with other DNA repair mechanisms

As mentioned in the section III, DSB repair in quiescent cells would essentially rely on NHEJ, but NHEJ and HR repair mechanisms may both co-exist, at least in some NHEJ proficient bacteria during replicating phases. Moreover, in *Streptomyces*, recent studies (Hoff *et al.*, 2018) on the consequences of DSB repair in the terminal region of the linear chromosome revealed events of HR repair involving homologous sequences at distinct loci suggesting that HR can occur even in the absence of a replicated copy of the chromosome (quiescent phases). This raises the question of how the choice between the two pathways is performed and if there is a competition between 371 both mechanisms. In eukaryotes, it is known that Ku proteins rapidly sequester DSB free ends and shield them from unscheduled exonucleases, preventing HR to proceed. The removal of Ku 372 involves multiple pathways including ubiquitination, neddylation, phosphorylation and the 373 nuclease activity of the MRE11-dependent complex MRX (yeast) /MRN (mammals) which plays a 374 pivotal role in NHEJ/HR balance (for review see Shibata, 2017). Similarly, in Mycobacterium, in 375 376 vitro analyses revealed that Ku_{Mtub} protects DSBs from resection by AdnAB helicase-nuclease (Sinha et al., 2009) and Ku_{Mtub} but not LigD_{Mtub} inactivation is compensated by an elevated HR in 377 vivo (Gupta et al., 2011). Likewise, in S. avermitilis, the deletion of kusave1 and kusave2 seemed 378 associated with an increased efficiency of HR (Zhang et al., 2012). Recent electronic beam 379 irradiation assays in S. ambofaciens (Hoff et al., 2016, Leblond et al., unpublished) also suggest 380 that NHEJ inactivation (kusamb triple deletion or ligCsamb and ligDsamb double deletion) is 381 compensated for by an increased HR repair. However, unlike in *Mycobacterium* where loss of HR 382 is not compensated by increased NHEJ, a reciprocal effect is observed in S. ambofaciens since a 383 recA mutant is 100 times more affected by NHEJ inactivation than the wild-type strain. 384

385 Ku and LigD proteins may help coupling NHEJ to other DNA repair pathways. IR-induced cellular damages on DNA include oxidized bases, abasic sites and single-strand breaks (SSB). However, 386 due to the presumed "clustered damage" induced by IR (Ward, 1994), several DNA lesions can 387 be present in close proximity and, if they are not repaired efficiently, can lead to DSB (Ward, 388 1994; Blaisdell and Wallace, 2001; Cox and Battista, 2005). Then, DSBs induced by IR are 389 potentially surrounded by multiple other DNA lesions. Part of these lesions are taken in charge 390 391 by the base excision repair (BER) pathway, the nicking of the phosphodiester bond 3' to an 392 apurinic/apyrimidinic (AP) site by an AP-lyase being one possible step of this pathway (for review see Almeida and Sobol, 2007). Human Ku, besides its function as a scaffolder of the NHEJ 393

394 machinery, is also an AP-lyase suggested to be involved in the repair of AP site near DSBs (Roberts et al., 2010). This activity is conserved in Ku from B. subtilis and P. aeruginosa (de Ory et al., 395 2014). As Ku_{Bsub} is able to thread inward DNA molecules (McGovern *et al.*, 2016), via loading on 396 their broken ends, this could facilitate the repair of abasic sites surrounding a DSB. The interplay 397 between NHEJ and BER in bacteria is reinforced by the discovery of an AP-lyase activity driven by 398 399 the other NHEJ factor: LigD (de Ory et al., 2016). The involvement of LigC1_{Msme} in BER during stationary phase of *M. smegmatis* (Płociński et al., 2017) shows that the link between NHEJ and 400 BER can even be extended to alternative NHEJ proteins. This multiplicity of NHEJ actors involved 401 in BER is not specific to bacteria, human DNA polymerase λ is one example (see Bebenek *et al.*, 402 2014). 403

Remarkably, Ku_{Bsub} is also able to linearize a supercoiled plasmid containing AP-sites (de Ory et 404 al., 2014). This counter-intuitive effect for a protein intended to repair DSB is supposed to be 405 dependent on its AP-lyase activity and its ability to bind end-free dsDNA molecules, DSB arising 406 by the nicking of phosphodiester bonds of proximal AP-sites on opposite strands of a dsDNA 407 molecules containing numerous such lesions (de Ory et al., 2014). Then it is tempting to propose 408 that NHEJ could have evolved from a Ku-dependent BER pathway, primarily independent on the 409 presence of DSB, that has acquired the ability to join Ku-catalyzed broken DNA ends, via the 410 recruitment of LigD, in conditions where the bacterial genome contains numerous AP-sites. This 411 hypothesis and the fact that most of the bacterial Ku proteins contain an extended C-ter tail that 412 413 is required for their interaction on end-free DNA (Kushwaha and Grove, 2013b; McGovern et al., 2016) but for which the deletion has only a mild effect *in vitro* on the NHEJ efficiency (Zhu and 414 Shuman, 2010; McGovern et al., 2016) suggest that the extended C-ter of Ku could play an 415 416 important role in a Ku-dependent BER acting on AP-sites embedded in duplex regions of DNA.

417 A recent study has functionally linked the NHEJ of P. aeruginosa to the MutL protein, a component of the mismatch repair (MMR) pathway. Searching for the molecular mechanism at 418 the basis of a large genomic deletion in the *P. aeruginosa* chromosome allowing to prevent 419 infection by a bacteriophage, Shen et al. (2018) have shown that MutL_{Paer} is required for getting 420 the deletion. MutL_{Paer} is an endonuclease promoting SSB and, directly or indirectly, DSB (as a 421 422 consequence of multiple SSB in dsDNA). Surprisingly, the MutL_{Paer}-dependent genomic deletion observed after the bacteriophage infection is not dependent on MutSpaer, the partner of MutLpaer 423 in MMR, suggesting a new function of MutL in this bacterium. Whatever the mechanism, the 424 reduced frequency of the corresponding deletion in a NHEJ deficient mutant led to the proposal 425 that DSB generated by MutL_{Paer} are repaired by the NHEJ pathway in this bacterium (Shen et al., 426 2018). On the other hand, the majority of archaeal species and many actinobacteria lack MutHLS 427 system but display genes putatively involved in a novel mismatch repair system, called 428 NucS/EndoMS, which has been shown in *Corynebacterium glutamicum* to cleave both strands at 429 double-strand DNA mismatched substrate during the repair process (Castañeda-García et al., 430 2017; Ishino et al., 2018). Whether these induced DSBs are thereafter processed by NHEJ or HR 431 is not yet known, but one can suggest that chromosomes of bacteria possessing a NucS/EndoMS 432 433 system are more prone to DSBs and consequently to mutations and rearrangements, especially if they also feature a NHEJ machinery, like many actinomycetes. 434

Another mechanism that induces DSBs is the type II CRISPR-Cas (Clustered Regularly Interspaced Short Palindromic Repeats and associated proteins) system which is conferring adaptive immunity against phages and exogenous mobile genetic elements (for review see Marraffini, 2015) and which has been engineered to provide an efficient genome editing method (Jinek *et al.*, 2012). Since the CRISPR-Cas system generates DSBs, it could display antagonistic interactions 440 with DSB repair systems. Bernheim and co-authors (2017) revealed that NHEJ and type II-A CRISPR-Cas systems do not co-occur in all but one of more than 5000 sequenced bacterial 441 genomes. On the other hand, this strong avoidance of co-occurrence was not observed with type 442 II-C system, which is consistent with the fact that, unlike type II-A system, type II-C system lacks 443 Csn2, a multimeric toroidal binding protein that binds double stranded DNA ends and has similar 444 445 properties to Ku protein (Arslan et al., 2013). The binding of Csn2 at DNA ends could block access 446 to Ku and inhibit efficient repair by NHEJ. In contrast, B. subtilis NHEJ system does not impair Streptococcus pyogenes or Streptococcus thermophilus type II-A CRISPR-Cas9 system (Bernheim 447 et al., 2017). 448

449 V- NHEJ and genome evolution

NHEJ is inherently unfaithful, as DNA ends of DSB are often remodeled before ligation, thus 450 generating short insertions or deletions at the repair junction (although repair fidelity seems to 451 vary depending on the tested organism, the type of DNA ends being repaired or the enzymes 452 involved). Interestingly, NHEJ is generally more active in stationary phase or during sporulation, 453 and in α -proteobacteria, is activated under stress conditions, in a process dependent on the 454 455 general stress response sigma factor EcfG. This is reminiscent of the so-called stress-induced 456 mutagenesis of enterobacteria, where the highly faithful HR-dependent DSB repair becomes mutagenic in a process dependent on the general stress response sigma factor RpoS (Shee et al., 457 2011). NHEJ was also recently suggested to participate in stationary phase mutagenesis in 458 459 Pseudomonas putida (Paris et al., 2015). NHEJ could then significantly contribute to DSBdependent stress-induced mutagenesis in bacteria and could thus participate in their adaptation 460 to adverse environmental conditions. 461

462 In addition to the short, non-templated nucleotide insertions usually observed at NHEJ repair junctions, insertion of longer DNA sequences (several tens of nucleotides) of either plasmid or 463 chromosomal origin, was described to have occurred in rare instances at plasmid or chromosome 464 DSB repair junctions in *M. smegmatis* and *S. ambofaciens* (Akey et al., 2006; Aniukwu et al., 2008; 465 Hoff et al., 2018). Although the mechanism of such DNA insertions was not clearly established, it 466 467 may have resulted from the incidental capture of indigenous DNA fragments at the break by the 468 NHEJ machinery. In eukaryotes, DNA inserted into the genome by NHEJ during evolution include foreign DNA fragments such as mitochondrial DNA, transposable elements, and viral DNA (Moore 469 and Haber, 1996; Ricchetti et al., 1999; Lin and Waldman, 2001a; Lin and Waldman, 2001b; Nakai 470 et al., 2003; Hazkani-Covo and Covo, 2008). In yeast, capture of extranuclear DNA at repaired 471 DSBs was shown to be dependent on DNA end resection and NHEJ complexes (Decottignies, 472 2005). In the same way, the low level of genome rearrangements in the yeast Lachancea kluyveri 473 474 since its separation from its ancestor with S. cerevisiae was assigned to the loss of NHEJ genes in this phylum (Gordon et al., 2011). Interestingly, Dupuy et al. demonstrated that linear DNA of 475 heterologous origin, introduced in *S. meliloti* by transformation, could be effectively captured in 476 a NHEJ-dependent way at an artificially generated DSB in the chromosome (Dupuy *et al.*, 2018). 477 In *Streptomyces*, since the variable NHEJ genes encode actors of the response to DNA damage 478 (Hoff et al., 2016) that presumably participate to the NHEJ and other DNA repair pathways, it is 479 480 likely that different combinations of NHEJ genes result in variable recombination profiles, and consequently in variable abilities to integrate DNA from horizontal gene transfer. Like for E. coli 481 mutator strains (Giraud et al., 2001), such recombination proficient lineages could provide a 482 483 flexible adaption property to the population in the ecological context by allowing rapid and intense diversification of the accessory gene set. This speculation is substantiated by the strong 484 variability of the NHEJ-gene set revealed within a Streptomyces population originating from a 485

forest soil (Leblond *et al.*, unpublished). Altogether, these data suggest that NHEJ could participate in the acquisition of heterologous DNA during lateral transfer events, overcoming the lack of sequence homology between distantly related species. Interestingly, in support of this hypothesis, Popa *et al.* (2011) found that gene transfer events from distant donors are more frequent when recipient genomes encode NHEJ proteins. In addition, Kidane *et al.* (2009) have observed that the absence of Ku reduces natural plasmid transformation and phage DNA transfection in *B. subtilis*.

493

494 Concluding remarks

As mentioned within this review, many NHEJ proficient bacteria contain several stand-alone 495 genes displaying similarities with genes coding for Nucdom, Poldom, LigDom and/or ku genes 496 and their multiplicity renders difficult the identification of the *bona fide* NHEJ genes. The use of 497 two-hybrid screening coupled with affinity purification of the products of these genes in their 498 host and in conditions where NHEJ is active should help to characterize actual NHEJ complexes, 499 and potentially identify new components of the NHEJ machinery, or new links with other DNA 500 repair pathways (e.g. role of AP-lyase activities in response to genotoxic stresses). Structural 501 information (i.e. cryo-electron microscopy and super-resolution microscopy analyses) associated 502 503 to 3D structures of stand-alone NHEJ actors (LigD, Ku) and of the complete bacterial NHEJ machinery will be of crucial importance for our basic understanding of this mechanism (e.g. NHEJ 504 synapsis step, DNA extremities tethering, processing of variable DNA ends). Furthermore, 505 506 alternative NHEJ machineries may be involved depending on the growth phase or growth conditions (see above the stress-induced NHEJ machineries of S. meliloti). This raises the 507 questions of the competition between distinct NHEJ machineries, and between HR and NHEJ, to 508

509 repair DSBs in conditions where both mechanisms coexist. It is clear, based on our knowledge of the eukaryotic NHEJ, that DNA ends accessibility should be a key point that affects the HR/NHEJ 510 balance. Genetic, biochemical and cellular localization studies of proteins involved in early steps 511 of these pathways over time after DSB induction should help to elucidate preferred routes of DSB 512 repair in different life states. Further, experimental evolution could constitute a powerful 513 514 approach for testing the involvement of NHEJ in integration of DNA acquired by horizontal gene transfer and its impact on the capacity to create and maintain genetic diversity within bacterial 515 516 populations in their ecosystems.

Finally, understanding the bacterial NHEJ may have therapeutic interest. Indeed, many human pathogens possess a NHEJ repair system. This is for instance the case for the most deadly human pathogen *M. tuberculosis* and the nosocomial pathogen *P. aeruginosa*. Although NHEJ is not directly involved in survival or virulence in different mammal hosts (Brzostek *et al.*, 2014; Heaton *et al.*, 2014), it could provide a way to survive to genotoxic conditions in certain non-dividing states. Identifying these states and developing anti-NHEJ compounds based on the highresolution structure of a NHEJ machinery could help to fight against these pathogens.

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Figure 1: Repertoires of NHEJ presumed actors in model bacteria from minimal NHEJ to the more complex situations. Ku, LigDom (ATP-dependent), NucDom, PolDom identified in the genome of the bacterial species (as described in the literature) are highlighted whatever present within a single polypeptide or as standalone domains. Proteins having the same name in different organisms are not necessarily encoded by orthologous genes. \diamond indicate actors which have been experimentally involved in DSB repair. \blacklozenge in *M. tuberculosis* denote accessory actors involved in NHEJ, based on studies of their homologs in. *M. smegmatis* coding for different LigD with mutated domain (see text). In *S. ambofaciens*, accessory actors involved in response to DNA damage are highlighted by an asterisk (Hoff *et al.*, 2016, 2018). For the sake of clarity, only one dimer of Ku is shown at DNA ends and the ability of Ku to thread inward the DNA molecule has not been represented.