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Laminar flow friction factor in highly curved helical pipes: 1 numerical investigation, predictive correlation and experimental 2 validation using a 3D-printed model

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Abstract

34 567 89 10 11 12 13 14 15 Highly curved helical pipes offer attracting potentialities for intensified mass/heat transfer performances as they generate intense Dean-type vortices. The evaluation of friction factor in such geometries is necessary for assessing the trade-offs between the increase of transfer efficiency and the associated specific energy requirement. Unfortunately, such data are 16 lacking for highly curved helixes, probably due to the difficulty to manufacture these geometries through traditional 17 manufacturing techniques.

18 In this paper, CFD simulations are carried out for determining the laminar flow friction factor in helical pipes, particularly 19 20 21 22 highly curved ones. For an experimental validation of the numerical results, a highly curved helix was built by 3D-printing. Existing correlations are shown to fail for the accurate prediction of the friction factor in highly curved helixes. A new correlation is thus proposed. An excellent agreement is obtained between the experimental pressure drop measurements and the proposed correlation predictions. 23 24 25 26

Keywords: 3D printing; CFD; Correlation; Dean vortices; Friction factor; Helical pipe

1. Introduction 27

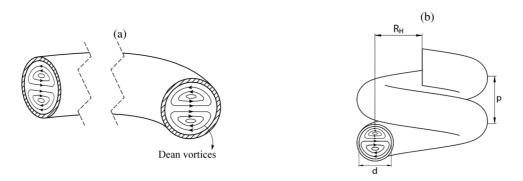
28 Hydrodynamics in curved pipes is characterized by the occurrence of secondary flows, i.e. recirculations of 29 relatively low magnitude in the cross-stream direction, perpendicularly to the primary flow. In toroidal pipes and 30 bends, they consist of a symmetric pair of counter-rotating vortices known as Dean cells, Fig. 1a, after Dean 31 (1927, 1928). In these pioneering papers, Dean derived an analytical solution for the laminar flow 32 hydrodynamics in toroidal pipes which curvature radius is much larger than the pipe diameter. The so-called 33 Dean number, *De*, is generally used to quantify the intensity of these secondary flows: 34

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 $De = Re \sqrt{\frac{d}{2R}} = \frac{\rho dU}{\mu} \sqrt{\frac{d}{2R}}$ Eq. 1

where *Re* represents the Reynolds number, ρ the fluid density, μ the fluid dynamic viscosity, *U* the mean velocity 36 37 of the primary flow, d the internal diameter of the pipe and R the radius of the torus/bend. This dimensionless 38 number is often used as the sole representative parameter in correlations concerning the flow characteristics and 39 the heat and mass transfer efficiencies in curved pipes; indeed, the magnitude of these transport phenomena is 40 strongly correlated to the intensity of Dean vortices.

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Figure 1: Schematic representation of Dean cells: (a) in a bend or a toroidal pipe. (b) in a helical tube.

45 In the case of helical pipes, Dean-type cells still develop, however, their symmetry is broken because of 46 torsion effects (Figure 1b). This geometry is widely used in industrial processes and applications where the 47 presence of secondary flows allows reaching much better performance than straight pipes, e.g.: (1) Heat transfer

enhancement. Helically coiled heat exchangers are commonly encountered in anaerobic digesters (Liu et al.,
2019), refrigeration systems (Gill and Singh, 2018), power plants and nuclear reactors (Pioro, 2016) among
many other applications. (2) Mass transfer enhancement in catalytic reactors (Abdel-Aziz et al., 2010), dense
(Mendez et al., 2017) and porous (Ghidossi et al., 2006) membrane contactors, etc. (3) Fouling and clogging
reduction in filtration membranes (Moll et al., 2007). (4) Improvement of mixing efficiency and homogenization
(Mansour et al., 2017).

Many studies focusing on flow and/or transport phenomena in helical pipes relied upon the Dean number as the sole dimensionless parameter accounting for both flow and geometry effects (see Table 1 for example). Most of these authors extended the Dean number definition to helical geometries simply by replacing the pipe curvature radius with the helix radius, R_H (Figure 1b), in the original form (Eq. 1):

$$De_{R_H} = \frac{\rho dU}{\mu} \sqrt{\frac{d}{2R_H}} = Re \sqrt{\frac{d}{2R_H}}$$
 Eq. 2

Correlation's validity

However, doing so, the effects of the helix pitch are completely ignored, which may lead to important errors in
several situations. Effectively, as discussed later in this paper, the flow characteristics may substantially vary
with the helix pitch, especially in the case of pipes with a small helix radius.

Table 1: Set of commonly used correlations for predicting the friction factor of fully developed laminar flow in helical pipes. $C_{f,H}^{\infty}$ and $C_{f,S}^{\infty}$ correspond to the asymptotic friction factor in helical and straight pipes respectively. $C_{f,S}^{\infty}$ is equal to 64/*Re* following the Darcy-Weisbach definition which is used in this paper.

- Hart et al. (1988) (1968) (cited by Ali (2001)) Mishra and Gupta (1979) Srinivasan et al Schmidt (1967) White (1929) Mori and Nakayama Reference (1965) $C_{f,H}^{\infty} = C_{f,S}^{\infty} \left\{ 1 + 0.14 \right\}$ $C_{f,H}^{\infty} =$ $C_{f,H}^{\infty} = C_{f,S}^{\infty}$ $C_{f,H}^{\infty} = C_{f,S}^{\infty} \left[1 + 0.033 \left(\log_{10} De_{\gamma} \right)^4 \right]^4$ $20.9 De_{R_H}^{-0.6}$ 128/_{Re} 7.2 $De_{R_H}^{-0.5}$ $C_{f,H}^{\infty} = C_{f,S}^{\infty} \frac{..}{1 - 3.253 De_{R_H}^{-0.5}}$ $C_{f,H}^{\infty} = C_{f,S}^{\infty} \left| 1 + \frac{1}{70 + De_{R_H}} \right|$ Correlation $(2R_{H}^{*})$ $0.108 De_{R_H}^{0.5}$ for $30 \leq De_{R_H} \leq 300$ 0.97 $0.09De_{R_H}^{1.5}$ Rel for $De_{R_H} \ge 300$ for $De_{R_H} \leq 30$ \ ^{0.45}|^{2.2} \ ⁻¹ 1-0.644 Laminar regime with Re Entire Laminar regime range according to its $11.6 \le De_{R_H} \le 2\ 000$ Entire laminar regime Entire laminar regime $1 \le De_{\gamma} \le 3\ 000$ when $R_H^* >> 1$ ≥ 100 authors
- 64 65 66

63



Geometry of the pipe(s)
with which experiments
were conducted

$$7.6 \le R_H^* \le 1\ 024$$

The range of p^* was not
reported.
 $R_H^* = 80$. The value of p^*
was not reported.
 $2.5 \le R_H^* \le 42$
 $3 \le p^* \le 137$
 $3.7 \le R_H^* \le 50.5$
The range of p^* is not
reported in Ali (2001).
 $13.8 \le p^* \le 172$
 $3.33 \le R_H^* \le 167$
Not clearly mentioned but
seemingly: $p^* = 5.8$, $R_H^* = 5$

69 On the other hand, some authors adopted a different expression for the Dean number in helical pipes as70 follows:

$$De_{\gamma} = \frac{\rho dU}{\mu} \sqrt{\frac{d}{2\gamma}} = Re \sqrt{\frac{d \kappa}{2}} = Re \sqrt{\frac{d}{2R_H \left[1 + \left(\frac{p}{2\pi R_H}\right)^2\right]}}$$
Eq. 3

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73 where γ , κ and p are respectively the radius of curvature, the curvature (i.e. the inverse of γ) and the pitch of the 74 helix. Unlike Eq.2, this expression for the Dean number is consistent with the original definition, Eq. 1. Indeed, 75 in the case of a torus/bend, the radius, R, and the radius of curvature, γ , are identical. Comparing Equations 2 and 76 3, it can be noticed that they lead to significantly different results in the case of geometries with a high pitch to 77 helix radius ratio, as γ becomes much higher than R_H . To the authors' best knowledge, among the studies 78 dealing with friction factor in helical pipes (Table 1), only Mishra and Gupta (1979) have based their correlation 79 on the Dean number expression given in Equation 3.

80 In order to clarify the reasons why the Dean number definition given in Eq. 3 is much more convenient than 81 that of Eq. 2, Figures 2 and 3 should be considered. Figure 2 presents the limits of the so-called forbidden region, 82 i.e. the zone in which it is not possible to design helical shapes because the consecutive turns of the helix would 83 intersect/overlap one with/on another. The equation of this frontier has been determined by Przybył and 84 Pierański (2001). It represents the set of geometric parameters describing closely packed helixes, i.e. which pitch 85 cannot be further decreased. Helix designs at some points are also represented at Figure 2. They illustrate the fact 86 that the helical pipe geometry tends toward that of a straight tube at three asymptotic limits: (1) when the 87 dimensionless pitch $p^* = p/d$ tends to infinity. (2) when the dimensionless helix radius $R_H^* = R_H/d$ tends to 88 infinity. (3) when the dimensionless helix radius R_H^* tends to zero.



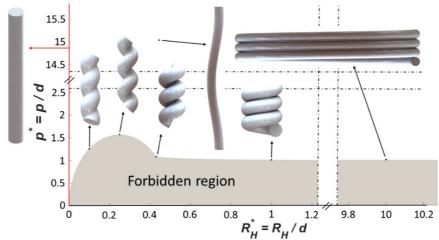


Figure 2: Limit of the forbidden region in the (R_H^*, p^*) space (adapted from Przybył and Pierański (2001)) and some representative helix geometries.

Figure 3 shows the contour plot of the dimensionless helix curvature, $\kappa^* = \kappa. d$, in the (R_H^*, p^*) space. It illustrates the fact that κ^* tends to zero at the abovementioned asymptotic limits, as the helix turns into a straight pipe. Thus, the Dean number as defined by Eq. 3 vanishes at these limits, which is consistent with the absence of Dean vortices and centrifugal effects in straight pipe flows. On the contrary, the Dean number as defined by Eq. 2 does not vanish at infinite helix pitches since it does not depend on the pitch value. More importantly, it tends to infinity when the helix radius approaches zero, which corresponds to Dean cells of infinite intensity.
 Therefore, as proved later in this paper, correlations based on this latter definition lead to systematic errors at low helix radiuses or relatively high helical pitches.

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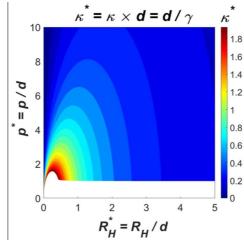




Figure 3: Contour plot of the dimensionless helix curvature in the (R_H^*, p^*) space.

106 Moreover, Figure 3 reveals that the highest curvatures are associated to helixes of low pitch and relatively 107 low helical radius. These geometries will be referred to as 'highly curved helical pipes' further on in this paper. 108 They are expected to exhibit the highest centrifugal effects, i.e. the most intense Dean vortices. Indeed, a CFD 109 (computational fluid dynamics) study has confirmed that the mass and/or heat transfer efficiencies in highly 110 curved helical pipes can be an order of magnitude higher than in straight pipes, which makes these designs 111 potentially interesting for heat exchangers and hollow fiber membranes applications (Abushammala et al., 2019). 112 Moreover, their elongated shape allows them to be densely packed. Thus, highly curved helixes enable a great 113 improvement of the overall transfer rates, and thus, allow a great unit volume reduction of heat/mass transfer equipment (Abushammala et al., 2019). It is worthy to underline that classic 'non-highly' curved helixes may 114 115 lead to lower volumetric transfer rates than straight tubes. Indeed, the transfer efficiency improvement that they 116 provide can be counterbalanced by their low packing density (Kaufhold et al. 2012).

117 Nevertheless, to the authors' knowledge, no other study has investigated yet the hydrodynamics or transport 118 phenomena in highly curved helixes. As can be noticed from Table 1 for example, literature has focused on 119 helixes with a high R_H to *d* ratio (i.e. R_H^*), probably because these geometries are easier to manufacture using 120 conventional manufacturing techniques. However, nowadays, with the development of additive manufacturing, 121 the elaboration of highly curved helical geometries has become easily achievable. It is noteworthy that 3D 122 printing offers a great potential for improving heat exchangers and membranes designs (Cardone and Gargiulo, 123 2018; Low et al., 2017).

The current study focuses on laminar flow friction factor in highly curved helical pipes: laminar flow is encountered in many applications of practical interest, in particular small-scale devices, e.g. microfluidics, micro-structured heat exchangers and hollow fiber membranes. Although intense Dean vortices in highly curved helixes lead to improved heat and mass transfer efficiencies compared to straight pipes, they also induce higher pressure drops and hence greater pumping costs. Therefore, friction factor data in highly curved helixes are required so as to be able to compare the provided benefits with the additional operating costs and therefore to assess the usefulness of such designs.

131 In this paper, a series of CFD simulations are carried out to evaluate the friction factor in helical pipes, 132 particularly highly curved ones. Different operating conditions, described by the Reynolds number, and various 133 helix designs are examined. It is shown that available correlations fail in estimating the friction factor in highly 134 curved helixes with a sufficient accuracy. Therefore, a new correlation predicting the friction factor over a wide 135 range of helical pipe geometries and operating conditions is proposed. In order to validate the numerical results, 136 a highly curved helical pipe was 3D-printed and pressure drops measurements are performed over it. The 137 experimental data were in very good agreement with the proposed correlation results. Additionally, the 138 correlation is shown to be robust, efficient and predictive since it correctly estimates experimental data from 139 literature which were obtained under geometric and operating conditions beyond those investigated in the 140 present paper (and upon which the correlation was built).

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142 2. Materials and methods

144 2.1. Friction factor and dimensional analysis

In helical and curved pipes, the wall shear stress and so the local friction factor are not uniform over the pipe wall. In most engineering applications, only the friction coefficient averaged over the pipe circumference is of practical interest (it will be simply referred to as friction factor in the remaining part of this paper):

$$C_f = \lim_{S \to 0} \left[\frac{8}{\rho U^2} \frac{1}{S} \iint \tau_w \ dS \right]$$
 Eq. 4

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where C_f is the averaged local friction factor (defined after Darcy-Weisbach) at a given longitudinal position, τ_w the averaged local wall shear stress and *S* the surface of a wall annular element sliced over the helix surface (Figure 4), and over which τ_w and C_f are averaged.



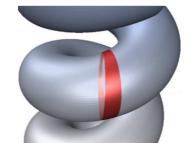
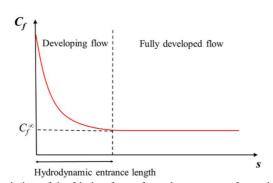




Figure 4: Shape of a wall element (in red) over which C_f is averaged.

158 Figure 5 illustrates a qualitative variation of C_{f} along a helical or a straight tube, the abscissa axis being the curvilinear - position along the pipe centerline. The friction factor is the highest at the pipe entrance and 159 decreases over a relatively short distance called 'hydrodynamic entrance length' before reaching an asymptotic 160 161 value, C_f^{∞} . Even though C_f^{∞} is lower than the friction factor at the pipe entrance, whenever the pipe is sufficiently long, it gives the largest contribution to the mechanical energy dissipation, the so-called 'major head 162 losses'. The additional head losses due to the higher friction coefficient at the entrance length are called 'minor 163 164 losses'. The effects of minor losses and the hydrodynamic entrance length are generally negligible in the case of 165 pipes of small diameter and/or sufficient length, which is generally the case in the applications targeted in this 166 study, namely heat exchangers and hollow fiber membranes. Therefore, only major losses are addressed in this 167 paper.



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Figure 5: Typical variation of the friction factor from the entrance of a straight or a helical pipe.

Due to the occurrence of secondary flows, the asymptotic friction factor in helical pipes, $C_{f,H}^{\infty}$, is higher than 172 that in straight ones, $C_{f,S}^{\infty}$ (which equals 64/Re in the case of a laminar flow), under similar operating conditions. 173 Assuming an incompressible flow under laminar conditions, $C_{f,H}^{\infty}$ value depends on the pipe internal diameter, d, 174 175 the helical radius of the helix, R_H , its pitch, p, the fluid density, ρ , its dynamic viscosity, μ , and the mean velocity of the primary flow, U. Therefore, according to the Buckingham π theorem, the following three independent 176 177 dimensionless parameters are required to correlate $C_{f,H}^{\infty}$ data: (1) The dimensionless helix pitch, $p^* = p/d$. (2) 178 The dimensionless helix radius, $R_H^* = R_H/d$. These first two parameters characterize the helix shape. (3) The 179 Reynolds number, $Re = \rho U d/\mu$, which accounts for the operating conditions.

181 2.2. CFD modeling and simulation

Flow simulations were conducted for various helical pipe designs and operating conditions. The geometries were drawn using Autodesk Inventor Professional 2018 software, considering a sufficient tube length to attain the fully developed flow region. The 3D numerical domain was meshed using ANSYS Meshing. The grid consisted of hexahedral cells only, with a boundary layer mesh in the near-wall zone for a more accurate calculation of the steep gradients prevailing in this zone. Based on preliminary simulations, the cells' size and density were chosen so at to ensure a mesh-independent solution.

189 CFD simulations were conducted using the commercial code ANSYS Fluent 16. The flow field in the pipe
 190 was determined by solving the continuity and Navier-Stokes equations in the case of a Newtonian,
 191 incompressible, isothermal and steady flow:

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$$div(\vec{v}) = 0$$

$$\rho \, \overrightarrow{div}(\vec{v} \otimes \vec{v}) = -\vec{\nabla}p + \mu \, \vec{\Delta}\vec{v}$$
Eqs. 5

194 where \vec{v} is the velocity vector. As boundary conditions, a uniform velocity profile was set at the pipe inlet: as the 195 flow rate is imposed, the gravity force has no effect on the velocity field and therefore this body force term was 196 not included in the Navier-Stokes equations. It is noteworthy that the velocity profile at the inlet only affects the flow in the entrance length region and does not have any effect on the value of $C_{f,H}^{\infty}$. At the pipe outlet, a uniform 197 198 pressure condition was used and the no-slip condition was set at the pipe walls. The advective terms in Eqs. 5 199 were discretized using the QUICK scheme while the diffusive ones were central-differenced. Pressure 200 interpolation was carried out using a second order scheme. The equations were solved using a coupled iterative 201 solver until convergence.

More than 150 simulations were carried out in the following range of operating conditions and aspect ratios: (a) $1.25 \le p^* \le 25$. (b) $0.05 \le R_H^* \le 10$. (c) $10 \le Re \le 2000$. In each simulation, the local friction factor was calculated at different longitudinal positions across the pipe length using Eq. 4 (considering a sufficiently small wall element as that depicted on Figure 4), and its asymptotic value, $C_{f,H}^{\infty}$, was determined. These CFD results were used to correlate $C_{f,H}^{\infty}$ as a function of the helix design and flow conditions. They are provided in an Excel sheet as supplementary material to this paper.

209 2.3. 3D-printed helical pipe

In order to validate the numerical results, a highly curved helical pipe was built with nylon material using a fused-filament 3D printer (Stream 30 Dual MK2). The geometric parameters of the helix that were targeted are summarized in the first column of Table 2.

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Table 2: Targeted and obtained geometric parameters of the 3D-printed helical pipe.

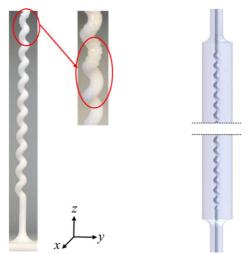
	Targeted dimensions	Obtained dimensions
Pipe inner diameter, d (mm)	1.6	1.25 ± 0.1
Helix radius, R_H (mm)	0.88	0.80 ± 0.1
Helix pitch, p (mm)	6	5.80 ± 0.1

A first helical pipe having a wall thickness around 0.8 mm and a total height of 156 mm was 3D-printed (Figure 6a). Printing was carried out in the vertical direction, z. However, once the pipe has reached a certain height, the back and forth movement of the printing platform (in the *x*-direction) causes the pipe free end to vibrate: hence, the printing quality decreases and the pipe cross-section becomes no longer properly circular (Figure 6a).

а

223

b



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Figure 6: 3D-printed helical tubes: (a) Photo of the failed model, (b) CAD design of the successful model.

Therefore, a new design was tested (Figure 6b). It consists in surrounding the helical tube with a cylindrical block having an external diameter of 15 mm. Indeed, weighting down the structure enhances its stability and reduces its oscillations during the printing process. This design is 200 mm high, which is the maximum height authorized by the 3D printer. It consists of a 156 mm high helical pipe connected at its ends to two straight pipes of 22 mm length each. The straight tubes are required to connect the pipe ends to a pressure transducer for pressure drop measurements.

232 The model represented in Figure 6b could be successfully 3D-printed without any detectable vibration to the 233 naked eye. In order to check the printing quality, the pipe interior has been visualized using magnetic resonance 234 imaging (MRI), a non-intrusive imaging technique commonly used in medical investigations. The pipe was filled 235 with water and plugged at both ends and then introduced into the scanner. The model was visualized at different 236 sections with different scanning angles. MRI images showed that the helical tube cross-section was properly 237 circular and uniform all over the pipe length. However, it revealed that the tube internal diameter is slightly 238 lower than the one initially targeted (Table 2). This difference is mainly due to the limited precision of the 3D-239 printer (especially in the x and y directions) and to the relatively large diameter of the nozzle used, which is 240 about 0.4 mm.

The geometric parameters of the obtained helical pipe are summarized in the second column of Table 2: they correspond to a R_H^* of about 0.64 and a p^* around 4.64. The total curvilinear length of the tube is about 206 mm, and is calculated as follows:

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$$L = n \left[(2\pi R_H)^2 + p^2 \right]$$
 Eq. 6

where n is the number of helix turns which is equal to the total height of the helical pipe divided by its pitch.

248 2.4. Experimental setup for pressure drop measurements

250 The experimental setup for pressure drop measurements is represented in Figure 7. The 3D-printed model 251 was placed horizontally and fed with an aqueous glycerol solution using a high precision micro annular gear 252 pump (MZR-7205, HNP mikrosysteme, Schwerin, Germany. Operating flow rate range: 0.048-288 ml/min). A 253 differential pressure sensor (Kobold PAD-d, Kobold instruments, Pittsburgh, USA. Operating range from 19 254 mbar to 1.9 bar, with a precision of about 2 mbar) connected to both ends of the pipe (Figure 6b) allows 255 measuring the total pressure drop across the system. Three aqueous solutions with different glycerol 256 concentrations were used as working fluids. Their dynamic viscosity was measured and their Newtonian 257 behavior checked using a strain-imposed rheometer (AREF, TA Instrument, New Castle, USA) equipped with a 258 parallel plates geometry of 50 mm diameter. To ensure reliable viscosity measurements, only solutions of 259 viscosity higher than 20 times the viscosity of water were used.

Steady-state pressure drop measurements were conducted for twelve different Reynolds numbers ranging from 10 to 65. Three replicates were performed for each measurement. The investigated operating conditions were chosen so as to respect the precision limits and the optimal working ranges of the pump, pressure transducer and rheometer. They are summarized in an Excel file provided as supplementary material to this paper. To the authors' best knowledge, these data constitute the first pressure drop measurements in highly curved helical pipes.

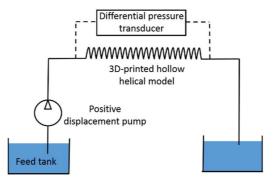
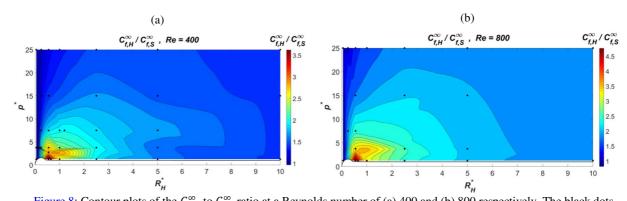


Figure 7: Schematic layout of experimental setup.

271 3. Results and discussion

273 *3.1. CFD results*

Figure 8 shows contour plots of the $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ ratio that were obtained using a triangulation-based cubic 275 interpolation of the CFD results. The geometric parameters for which simulations were performed are 276 277 represented by black dots. It is worthy to note that, at these points, the values of $C_{f,H}^{\infty}$ (divided by $C_{f,S}^{\infty}$) that are 278 displayed on the contour plots are exactly the same than those provided by CFD. On the other hand, the results 279 predicted between these points are generated by interpolation. Therefore, they may be expected not to be very 280 accurate since they are quite sensitive to the interpolation scheme used. Indeed, as can be noticed from Figure 8, 281 the contour plots exhibit some relatively irregular variations, and at some positions, the predicted $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ 282 values are even slightly lower than unity.



284 285 286

Figure 8: Contour plots of the $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ ratio at a Reynolds number of (a) 400 and (b) 800 respectively. The black dots represent the geometric conditions for which CFD results are acquired (1.25 $\leq p^* \leq 25$ and 0.05 $\leq R_H^* \leq 10$). The contours are derived by interpolating these data.



Nonetheless, these contour plots provide valuable information:

289 (1) Comparison to Figure 3 confirms that the more curved is the pipe, the higher the magnitude of $C_{f,H}^{\infty}$. Indeed, 290 highly curved helixes engender the most intense Dean-type vortices and hence the highest friction factors.

291 (2) For a given dimensionless pitch, p^* , there exists a dimensionless helix radius, R_H^* , at which the friction 292 coefficient is maximal. The $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ ratio decreases for higher or lower helical radiuses and tends toward 293 unity when R_H^* tends to zero or to infinity as the helix geometry approaches that of a straight pipe.

(3) For a given R_H^* , the $C_{f,H}^\infty$ to $C_{f,S}^\infty$ ratio decreases when p^* increases (although interpolated data indicate the opposite at some positions). Indeed, as can be noticed from Figure 3 and Eq. 3, the pipe curvature (and hence centrifugal effects) diminishes when p^* is increased. In fact, the $C_{f,H}^\infty$ to $C_{f,S}^\infty$ ratio should tend towards unity at infinite p^* as the helix design approaches a straight pipe.

298 (4) Although $C_{f,H}^{\infty}$ decreases when p^* is increased, as can be seen from Figure 8, this effect is only significant in 299 the case of highly curved helixes. Indeed, for relatively high R_H^* , the curvature is not much sensitive to the value 300 of p^* (Figure 3). As only helical pipes with rather large R_H^* were investigated in the literature, most authors 301 concluded that the pitch value has no significant effect on $C_{f,H}^{\infty}$ (Table 1). Thus, it is obvious that their

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302 correlations, which are based on De_{R_H} (Eq. 2), cannot accurately predict the friction coefficient in highly curved 303 helical pipes. Only the one derived by Mishra and Gupta (1979) may lead to acceptable results since it considers 304 pitch effects through the use of De_{γ} (Eq.3).

305 (5) When *Re* is increased, centrifugal effects get more intense, thus the flow and so $C_{f,H}^{\infty}$ become more sensitive 306 to the helix geometry. Hence, while the maximum value of the $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ ratio is about 3.5 under *Re* = 400, it 307 exceeds 4.5 for *Re* = 800. However, the geometric parameters for which $C_{f,H}^{\infty}$ is maximal do not seem to be 308 significantly affected by the Reynolds number value.

310 *3.2. Correlation development*

where:

Although the $C_{f,H}^{\infty}$ field in the (R_H^*, p^*) space (Figure 8) presents a single maximum (no local optima) for a given *Re*, finding a mathematical model that correctly fits the CFD data was very challenging and tedious. In fact, the $C_{f,H}^{\infty}$ field exhibits steep variations in the highly curved helixes region, with highly non-uniform and anisotropic gradients, which magnitudes are very sensitive to the helix geometry.

Accordingly, the development of the regression model for $C_{f,H}^{\infty}$ has been conducted by trial-and-error. The following expression has been found to provide the best fit of the CFD data:

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 $C_{f,H}^{\infty} = \frac{64}{Re} + A \cdot B \cdot \exp(-C)$ $A = p_1 \cdot D \cdot \left(\frac{D}{Re}\right)^{p_2}$ $B = \left(R_H^* + \frac{1}{R_H^*}\right)^{p_3}$ $C = p_4 \cdot D \cdot p^* \cdot R_H^{*-p_5}$ $D = \left[R_H^{*p_6} \left(1 + \left(\frac{p^*}{2\pi R_H^*}\right)^2\right)\right]^{-p_7}$

Eqs. 7

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The present expression includes 7 positive regression parameters denoted p_i (*i* being an integer ranging from 1 to 7) which values are determined using an optimization procedure, as explained later, in order to minimize the differences between the correlation outputs and the CFD data.

323 The term ${}^{64}/_{Re}$ corresponds to the asymptotic friction factor in a straight tube, $C_{f,S}^{\infty}$, with the Darcy-324 Weisbach definition. *A* and *B* being positive terms, for any given *Re*, the present correlation guarantees that the 325 predicted $C_{f,H}^{\infty}$ value remains greater (or equal) than $C_{f,S}^{\infty}$.

326 Coefficient *D* is analogous to κ^* , with the difference that p_6 and p_7 are treated as optimization variables 327 instead of assigning their values to 1 and 2 respectively. Parameters p_6 and p_7 being strictly positive, term *D* 328 vanishes when R_H^* tends to zero or infinity or when p^* tends to infinity, i.e. when the helical pipe geometry tends 329 toward that of a straight one.

The structure of term A expresses the fact that the magnitude of $C_{f,H}^{\infty}$ increases in zones of high curvatures and decreases with increasing *Re* (as the optimal value of p_2 was found to be strictly positive). The term *D* appears with a strictly positive power, $1 + p_2$, in the expression of *A*. Accordingly, term *A* vanishes at the asymptotic limits for which the helical pipe reduces to a straight one. Thus, the structure of the developed correlation guarantees that the predicted $C_{f,H}^{\infty}$ becomes equal to $C_{f,S}^{\infty}$ at these limits.

Term *B* allows adjusting the model behavior at large and low R_H^* values, and its incorporation into the mathematical expression significantly improved the correlation accuracy.

Term *C* being positive, exp(-C) represents a decreasing exponential. Since *D* appears with a positive power in the expression of *C*, exp(-C) allows reproducing the steep variations of $C_{f,H}^{\infty}$ in the regions of high helix curvature, and the flattening of the $C_{f,H}^{\infty}$ contour plot in zones of low helix curvature, namely at high R_{H}^{*} and p^{*} values.

The mathematical expression presented in Equations 7 was used to correlate the CFD data. It should be recalled that, as mentioned in Section 2.2, these results were obtained in the following range of dimensionless parameters: $1.25 \le p^* \le 25$, $0.05 \le R_H^* \le 10$, $10 \le Re \le 2000$. For a better accuracy, two correlations were derived: the first one using the data where *Re* ranges from 10 to 400, and the second one for *Re* ranging from 400 to 2000. The optimization problem was formulated as a minimization of the maximum relative difference between the model predictions and the numerical data. The optimal set of the parameters p_i , which is reported in Table 3, was determined using a genetic algorithm and the results were further refined using a local optimizer,GRG2.

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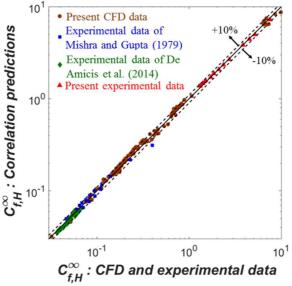
Table 3: Optimal set for the parameters of the correlation expressed by Equations 7.

Validity range	p 1	p 2	p 3	<i>p</i> 4	p 5	p 6	p 7
$10 \le Re \le 400$	1.98	4.07×10 ⁻¹	8.49×10 ⁻¹	8.71×10 ⁻²	8.91×10 ⁻¹	2.31	3.67×10 ⁻¹
$400 \le Re \le 2\ 000$	2.88	3.82×10 ⁻¹	9.16×10 ⁻³	2.48×10^{-3}	2.62	1.10	3.23×10 ⁻¹

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Figure 9 compares the correlation predictions and CFD results (represented by brown disks). It shows that the proposed correlation correctly fits the $C_{f,H}^{\infty}$ data as most points are within an error margin of 10%. Indeed, the maximum relative difference between the numerical results and the correlation predictions is respectively 13.8% for *Re* below and 400 and 13.2% for *Re* above 400.



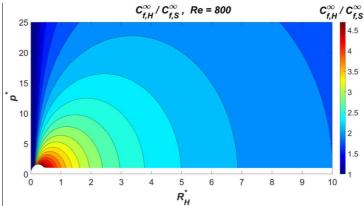


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Figure 9: Parity diagram of $C_{f,H}^{\infty}$: CFD and experimental data versus correlation predictions.

Figure 10 shows the contour plot of the $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ ratio calculated using the present correlation for a *Re* of 800. Comparison to Figure 8b shows a good agreement, with the difference that the model data are much smoother since they are not affected by interpolation approximations. As can be noticed from Figure 10, $C_{f,H}^{\infty}$ exhibits the largest values as well as the highest gradients in the highly curved helixes region. The $C_{f,H}^{\infty}$ to $C_{f,S}^{\infty}$ ratio tends toward unity at very low values of R_{H}^{*} . Indeed, the formulation of the mathematical expression (Eqs. 7) ensures that $C_{f,H}^{\infty}$ becomes equal to $C_{f,S}^{\infty}$ at the three asymptotic limits at which the helix geometry tends toward that of a straight pipe.





372 *3.3. Comparison with literature correlations*

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In order to assess the potentiality of the new correlation, its performance are compared to that of literature correlations in Figures 11, 12 and 13. Figure 11 depicts the variations of $C_{f,H}^{\infty}$ as a function of R_{H}^{*} for two values of p^{*} . Given that literature correlations were derived by regressing experimental measurements acquired on helixes with large R_{H}^{*} (Table 1), they are expected to be accurate for non-highly curved helixes. As can be noticed, at relatively large R_{H}^{*} values, the results provided by all of the plotted correlations are in good agreement with the current CFD data. This constitutes a first validation of the present CFD simulations.

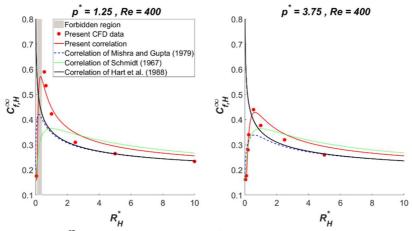




Figure 11: $C_{f,H}^{\infty}$ versus R_{H}^{*} at two different p^{*} : comparison of different correlations.

However, Figure 11 also shows that literature correlations do not correctly estimate $C_{f,H}^{\infty}$ in the case of highly curved helixes. Indeed, the correlation of Hart et al. (1988) and all the correlations based on De_{R_H} (Equation 2) (see Table 1) do predict an infinite friction factor when R_H^* tends towards zero.

On the other hand, the correlations of Schmidt (1967) and Mishra and Gupta (1979) reproduce correctly the shape of the curves showing $C_{f,H}^{\infty}$ versus R_{H}^{*} . However, the correlation of Schmidt (1967) does not account for any pitch effect. Therefore, it leads to systematic errors in the highly curved helixes zone where the friction factor is very sensitive to the pitch (Figures 8, 10, 11 and 12). The correlation of Mishra and Gupta (1979) proved to be the most accurate among literature models. In some cases as illustrated in Figure 11, it does even provide slightly better predictions that the current correlation. However, its major weak point is that it generally largely underestimates the friction factor in highly curved helixes as can be noticed from Figures 11, 12 and 13.

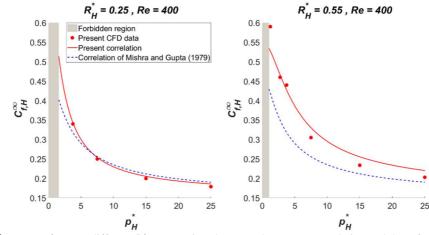
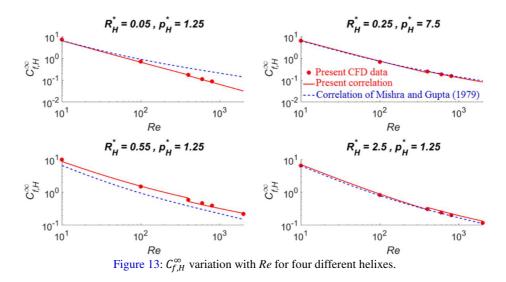


Figure 12: $C_{f,H}^{\infty}$ versus p^* at two different R_H^* : comparison between the present correlation and that of Mishra and Gupta (1979).

Finally, the variations of $C_{f,H}^{\infty}$ with the Reynolds number are plotted on Figure 13 for four highly curved helixes. This figure illustrates the fact that the present model (Eqs. 7) correctly captures the *Re* effects, and is generally more accurate than that of Mishra and Gupta (1979). A discontinuity in the curves obtained with the present correlation can be noticed. It is due to the use of different parameter values depending on whether *Re* is below or above 400 (Table 3).



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3.4. Correlation validation using experimental data from literature

In addition to CFD data, for a more trustworthy validation, the correlation results were compared to the experimental data of Mishra and Gupta (1979) and De Amicis et al. (2014). Table 4 summarizes the geometric and operating conditions over which these measurements were obtained. It is noteworthy that, although most of these experiments were performed for a *Re* higher than 2 300, they do all correspond to a laminar flow regime. Indeed, in the case of helical pipes, centrifugal forces do generally have a stabilizing effect on the flow which delays the transition to turbulence. Hence, the flow can remain laminar for Reynolds values much higher than in straight pipes (De Amicis et al., 2014; Ghobadi and Muzychka 2016).

416 The last column in Table 4 recalls the range of geometric and operating conditions over which the current 417 CFD data were performed, and hence, upon which the present correlation was built. It can be noticed that all of 418 the literature experimental results were acquired for conditions beyond the validity range of the present 419 correlation. Nevertheless, as shown in Figures 9 and 14, all of De Amicis et al. (2014) results are predicted 420 within a margin error of 10%, and most of Mishra and Gupta (1979) data are predicted with a relative error less 421 than 8%. Only a single point falls beyond this limit and is predicted with an error of about 28%. It corresponds to 422 a measurement acquired by Mishra and Gupta (1979) under a Re of 266, which represents the lowest Re 423 investigated in their study (Table 4). Such a low Re leads to a low pressure drop especially since the helix 424 considered is not highly curved; hence, this measurement is expected to be much affected by experimental 425 errors. 426

 Table 4: Ranges of geometric and operating conditions over which the literature experimental data were acquired and the present correlation was built.

	Mishra and Gupta (1979): helical pipe 1	Mishra and Gupta (1979): helical pipe 2	De Amicis et al. (2014)	Range of parameters investigated using CFD and upon which the present correlation was built
R_{H}^{*}	3.4	20.2	39.9	[0.05 –10]
$p^{\overset{\frown}{*}}$	27.5	18.9	63.8	[1.25 - 25]
Re	[266 – 5 500]	[1 100 – 2 550]	[1 790 – 4 160]	$[10 - 2\ 000]$

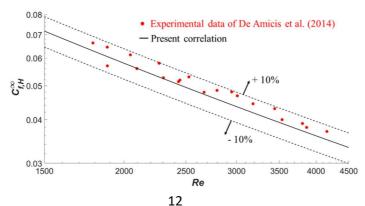


Figure 14: Comparison between the present correlation predictions and the experimental data of De Amicis et al. (2014).

The results presented in this section demonstrate the predictive capacities of the present correlation, as it successfully estimated experimental data which were acquired beyond its supposed range of validity. This advantageous feature is probably due to the structure of the present model (Eqs. 7): in particular, it guarantees correct results at the asymptotic limits where the helical pipe geometry tends toward that of a straight one, i.e. it ensures results to be correctly bounded. Therefore, the present correlation is believed to lead to realistic and accurate friction factor values even beyond the range of geometric and operating conditions investigated in this paper.

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443 3.5. Correlation validation using data acquired on the 3D-printed highly curved helix

The performed pressure measurements correspond to the total pressure drop across the 3D-printed model, i.e. through the helical pipe (which R_H^* is about 0.64 and p^* around 4.64, see Section 2.3) and the two straight pipes connections. Assuming that the friction factor in the straight tubes equals 64/Re, the pressure drop across the helical pipe, Δp_H , could be calculated (calculation details are given in the Excel file provided as supplementary material to this paper). Given the important length and the small diameter of the helical pipe, minor head losses can be neglected. Thus, $C_{f,H}^{\infty}$ is calculated following Darcy-Weisbach:

$$C_{f,H}^{\infty} = \frac{\Delta p_H d}{\frac{1}{2}\rho L U^2}$$
 Eq. 8

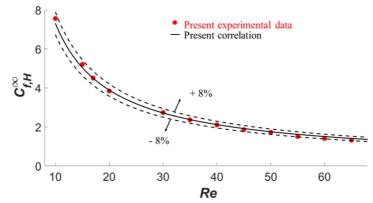
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453 where *L* is the total curvilinear length of the helical pipe.

Figures 9 and 15 compare the experimental friction factor to the correlation (Equations 7) predictions. All the
 experimental data are predicted within a relative error margin less than 8%, which demonstrates the accuracy of
 the proposed correlation in the case of highly curved helical pipes.

457 This correlation is the first one to accurately predict the pressure drop in highly curved helical pipes. 458 Moreover, as shown in this paper, it proved to be efficient over a broad range of geometric and operating 459 conditions. The precise estimation of the specific energy requirement of a whole set of heat and mass exchangers 460 designs, over a broad range of operating conditions (under the assumption of a laminar flow regime) is thus now 461 achievable. This offers the possibility to systematically explore which heat or mass exchanger geometry offers 462 the best combination of increased transfer performances together with a minimal specific energy requirement. 463 Process intensification studies typically correspond to such an objective and the results reported in this paper 464 should be helpful for optimal exchangers design studies. 465





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Figure 15: Comparison between the present correlation predictions and the present experimental data.

468469 4. Conclusion and perspectives

This paper focused on the laminar flow friction factor in helical pipes, especially highly curved geometries. CFD simulations were conducted to determine the friction factor for various helix designs under different Reynolds numbers. On the basis of these numerical results, a general correlation for calculating the friction factor in helical pipes was developed. The correlation proved to be robust, efficient and predictive as its results were in excellent agreement with literature experimental data as well as with measurements performed on a 3Dprinted highly curved helical tube. 477 Future studies will concentrate on developing correlations predicting the heat and mass transfer efficiencies 478 in helical pipes. These correlations, along with the one developed in this paper, will then be used in model-based 479 optimization of helically coiled heat exchangers and hollow fiber membrane contactors in order to determine the 480 optimal helical tubes design, i.e. the one leading to the most lucrative trade-off between the transfer efficiency 481 enhancement and the increase of pumping costs.

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488 References

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