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# On-axis TKD for orientation mapping of nanocrystalline materials in SEM

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## ABSTRACT

A new configuration for Transmission Kikuchi Diffraction (TKD) in SEM was recently developed, with a scintillator perpendicular to the electron beam. This configuration, “on-axis” TKD, makes grains and twins below 10 nm well visible in orientation maps and with high indexation rate, as demonstrated with an electrodeposited nanocrystalline Ni. This high lateral spatial resolution is achieved by combining high accelerating voltage and low sample thickness to reduce the interaction volume. Among the advantages of the on-axis TKD, orientation mapping of particularly thin samples can be realized thanks to the advantageous axial position of the detector. Indeed, the reduction of the solid angle of the transmitted intensity around the beam axis with decreasing sample thickness is much less of a problem with an axial detector than with an off-axis detector. With an on-axis detector, the only condition for production of an orientation map is that the sample must be thick enough to produce Kikuchi diffraction in addition to spots.

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## 1. Introduction

The analytical capabilities of Scanning Electron Microscopes (SEM) enlarge continuously. Among them, the diffraction acquisition capabilities offer the possibility for orientation mapping of microstructure constituents of materials. The Electron Backscatter Diffraction (EBSD) is currently the main achieved tool in this development. It is routinely and widely used to produce local orientation maps of a polished surface of bulk materials in the SEM, via the automated recording and analysis of Kikuchi diffraction patterns. Orientation maps can then be easily processed to extract the following information: phase distribution, grain size distribution, orientation of individual grains, disorientation inside grains and grain boundary characteristics [1]. Via advanced processing of orientation maps, one can access additional information. For example, from the analysis of the disorientation inside the grains, one can deduce the dislocation density referred to as GND (Geometrically Necessary Dislocations) [2,3], as well as the disclination density [4]. Also, a refined analysis of the Kikuchi diffraction patterns, typically by cross-correlation, can allow improving the angular resolution of the technique and then even allow determining local strain gradients within the material [5,6]. EBSD is thus overall a very powerful technique of SEM.

One of the limits of EBSD though is its lateral resolution. Indeed, with a lateral resolution of about 50 nm [7–9], many features remain out of reach. With the objective of overcoming this limitation, a new

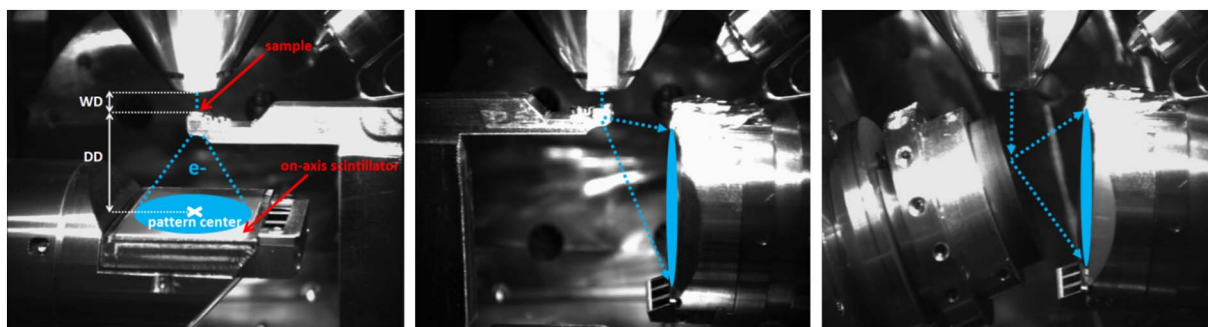
diffraction detecting technique was recently developed in SEMs, referred to as TKD or t-EBSD [10]. TKD (Transmission Kikuchi Diffraction) technique uses electron transparent samples, prepared in the same way as for TEM, instead of polished-bulk samples as for EBSD. The Kikuchi diffraction patterns in TKD are then produced by the electrons going through the thin sample, instead of by the backscattered ones as for EBSD. In this way the electron-matter interaction volume of the sample for TKD is reduced with respect to that for EBSD, leading to an improvement in lateral resolution from about 50 nm for EBSD to under 10 nm for TKD [11–13]. Also, a major advantage of TKD is that it does not require hardware modification: one can use the EBSD hardware to produce TKD orientation maps. Indeed, the only difference lies in the preparation of the sample and its positioning in the chamber (see [10]).

In the recent development of TKD, an alternative configuration was developed at LEM3: “on-axis” TKD [14]. This technique involves a hardware modification in comparison to the conventional, off-axis TKD first proposed by Keller and Geiss [10]. The scintillator is moved from its vertical position in the conventional system to a horizontal position under the sample. The scintillator thus sits in the direction of the highest transmitted electron intensity, enabling much faster acquisition. It was previously estimated that on-axis TKD is 20 times faster than conventional TKD [15] (note that it depends on the angular distribution of the transmitted intensity and thus on sample thickness, incident energy and atomic number). With a much higher acquisition speed, on-

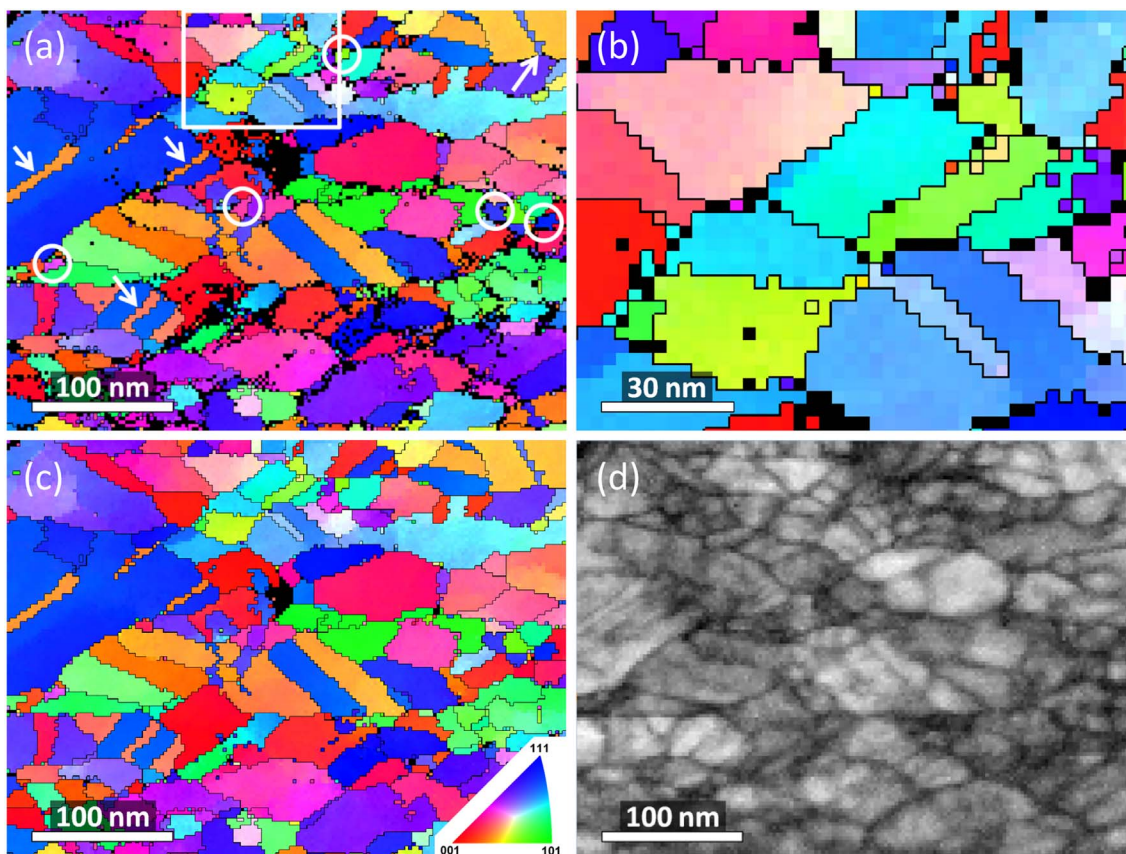
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**Fig. 1.** On-axis TKD configuration (left) and comparison with conventional TKD (middle) and EBSD (right) in the SEM. Note that the chamber holds two EBSD cameras simultaneously, each on its own port, one for EBSD and conventional TKD, the other one being dedicated to on-axis TKD.



**Fig. 2.** (a) Raw IPFZ orientation map obtained by on-axis TKD on nanocrystalline Nickel. The indexing rate is 90% for a pixel size of 3 nm. (b) Zoom image of area marked in the upper part of the raw map in (a), which corresponds to the thinnest part of the sample. (c) Same orientation map after spike correction and extrapolation of the non-indexed pixels. (d) Quality pattern map. All the measurement parameters are listed in Table 1. White circles indicate grains with a size around 10 nm and white arrows indicate twins that are 6–12 nm wide.

axis TKD is more suitable than conventional TKD for the analysis of beam sensitive materials, as well as less affected by the lateral beam drift and the overall beam instabilities. The present study presents the first results of lateral resolution that the on-axis TKD system can achieve, using a sample with nanometer-sized grains. Some improvements with respect to the conventional TKD are also discussed.

## 2. Experimental Set-up

The orientation map on nanocrystalline nickel was obtained with a FEG-SEM Zeiss Supra 40 microscope equipped with a set-up for on-axis TKD (Transmission Kikuchi Diffraction) acquisition that consists of a Bruker e-Flash<sup>1000</sup> camera with a Bruker OPTIMUS™ detector. The specificity of this detector is that it positions the scintillator horizontally, instead of vertically in the conventional TKD system (Fig. 1). This on-axis detector was first designed, built and tested at LEM3 [14] and is

now commercialized by Bruker under the name OPTIMUS™. With this on-axis configuration the sample is set perpendicular to the electron beam with the horizontal scintillator below it in order to collect the transmitted electrons through the sample. The diffraction patterns captured by the scintillator are then sent to the CCD camera, which remains vertical in the camera block, via a mirror inclined at 45° to the direction of the transmitted electrons. The main advantage of this configuration is that the scintillator is positioned in the direction of the maximum signal intensity, enabling a very high acquisition speed, while the spatial resolution is expected to be at least as good as the conventional TKD. With the on-axis TKD, the distance between the detector and the sample is adjustable, typically in the range 5–30 mm, which allows modulating the solid angle screened in the reciprocal space. This distance is adjusted by tilting the full camera block. The consequence is that the scintillator does not remain exactly perpendicular to the electron beam, although it remains in the range  $90^\circ \pm 2^\circ$

degrees for a detector-sample distance in the range [5–30] mm.

The material used for this study is nanocrystalline Ni. It was synthesized by electrodeposition in an electrolytic solution composed of nickel sulfate, nickel chloride additives and boric acid. This technique produces columnar grains along the growth direction. Additional information regarding this material can be found in [16]. Then, an electron transparent thin foil was prepared by Focused Ion Beam (FIB) milling according to the guidelines in [17], with the plane of the foil set perpendicular to the growth direction. The target thickness of 100–150 nm for the sample was reached, with the edges of the sample being much thinner. A final FIB milling at 5 keV; 50 pA, was performed in order to eliminate part of the amorphous layer induced during the FIB milling.

## 3. Results and Discussion

### 3.1. Orientation Map on Nanocrystalline Nickel

TKD produces orientation maps with much more details than EBSD thanks to an improvement of lateral spatial resolution. This improvement results from the reduction of electron-matter interaction volume in the sample. The interaction volume is indeed much smaller in transmission mode than in backscattering mode. This study presents the first results of lateral resolution that the on-axis configuration for TKD can achieve. Fig. 2 presents an orientation map obtained on the nanocrystalline Ni, with a step size of 3 nm. All the microscope and acquisition parameters are listed in Table 1. After a thorough testing, these parameters produced the best results for this particular sample. Note that the orientation map presented in Fig. 2a is raw (i.e. without post-processing). Fig. 2c, on the other hand, presents the orientation map after spike correction and extrapolation of the pixels not indexed using the ATOM software [18]. In the present case, the map can safely be post-processed thanks to the high indexation rate: 90%. The main result is that the grains as small as 10 nm are well resolved (see white circles in Fig. 2a), with very few pixels being not indexed between them. Twins of only 2–4 pixels wide are resolved as well, corresponding to 6–12 nm (see white arrows in Fig. 2a), which shows that on-axis TKD is a very well suited technique for the determination of the orientation of nanometric grains. This result demonstrates that the lateral resolution of the on-axis TKD falls in the same range as the conventional TKD. However, for the reasons described in the next section, the on-axis TKD may be superior to the conventional TKD in term of the lateral

**Table 1**  
Microscope, acquisition and sample parameters associated to the orientation map in Fig. 2.

Microscope	Zeiss Supra 40
Configuration	On-axis Transmission Kikuchi Diffraction
Camera	Bruker e-Flash <sup>1000</sup> camera
Detector	Bruker OPTIMUS™ detector head
Accelerating voltage	30 keV
Aperture	60 μm - high current mode (about 2.5 nA)
Working distance	7.1 mm
Sample tilt	0°
Magnification	243 K ×
Detector-sample distance	19.7 mm
Acquisition/indexation software	Bruker Esprit 2.1
Pattern resolution	400 × 400 pixels
Hough resolution	60 pixels
Integration time	15 ms × 2 images (30 ms total per pixel)
Acquisition time	9 min 50 s
Map size	160 × 120 pixels
Step size	3 nm
Indexation rate	90%
Min. bands for indexation	5 bands
Material	Ni synthesized by pulsed electrodeposition
Sample thickness	Specified in text

resolution. The on-axis TKD is also fast, thanks to the detector set in the direction of the highest intensity of the transmitted electrons: it took only about 10 min to produce this orientation map (160 × 120 pixels), with good pattern quality (400 × 400 pixels and image averaging × 2). A high acquisition speed here is crucial for the study of such small grains because the lateral beam drifts and beam instabilities become very destructive to some information in orientation map (continuity of grains and their morphology). This fast technique also allows obtaining several maps of different areas in a short time and thus build solid data from a sample (i.e. statistically relevant). See Ref. [15] for the study of the acquisition speed of the on-axis TKD.

### 3.2. Choice of Microscope and Samples Parameters

Discussing the lateral resolution associated to the map in Fig. 2 requires to differentiate the physical resolution from the effective resolution. The physical lateral resolution is given by the size of the electron-matter interaction volume, which can be estimated from the shortest distance between two non-correlated diffraction patterns, as proposed by Zaefferer [9]. The effective lateral resolution, however, corresponds to the resolution of the orientation map, as displayed, and can be evaluated from the lateral noise of grain boundaries, the size of the smallest objects detected, as well as from the number of pixels not indexed between grains. The effective resolution can end up differently (often better) from the physical resolution depending on the capacity of the software to figure out the predominant orientation from the acquired diffraction pattern generated by several orientations, which is typically the case in grain boundary areas. Clearly, the effective resolution still depends on the physical resolution and in order to improve the effective resolution, one must improve the physical resolution. The key factor lies then in the reduction of the electron-matter interaction volume, which can be realized by the “high accelerating voltage - low sample thickness” combination [11,13]. This can be easily proved by Monte Carlo simulation of the electron-matter interaction like CASINO [22]. The experimental parameters used to produce the orientation map in Fig. 2 were chosen precisely with the objective to limit the interaction volume (see Table 1). The accelerating voltage was set to 30 keV (i.e. the highest voltage available). Also, the thinnest part of the sample was chosen for mapping, at the very edge of the sample. More precisely, the thickness of the thinnest part of the orientation map in Fig. 2 is estimated to be well below 100 nm according to the type of diffraction contrast (Fig. 3): a mix of bright Kikuchi bands and diffraction spots, and even a few patterns with almost only spots are typical of a very low sample thickness [19]. Note that the presence of the spots in addition to the Kikuchi diffraction is not detrimental to the band detection in the Hough transform during our experiments with the on-axis TKD. Other than the accelerating voltage and sample thickness, the choice of the aperture is also expected to affect the lateral resolution because it determines the probe size. For the sake of the present study, the probe size was determined on nanometric gold droplets at all apertures (from 20 μm to 120 μm). With the aperture of 60 μm, the “high current mode”, produces 2.5 nA at 30 keV and the probe size is about 8 nm, whereas with the aperture of 30 μm the “low current mode” produces 0.3 nA at 30 keV and the probe size is about 3 nm. Surprisingly, the tests with the two apertures showed no obvious effect on the lateral resolution of orientation maps, although the probe size varied by a factor two. Consequently, the role of the probe size remains unclear so far. Deeper studies on the influence of the probe size on the lateral spatial resolution, especially for thin specimen, is our going work. For the present study, we took advantage of a reasonably high intensity (2.5 nA at 30 keV) with the aperture of 60 μm at “high current mode”. Extremely, the intensity could go up to 7.1 nA at 120 μm - “high current mode” with our current on-axis TKD set-up.

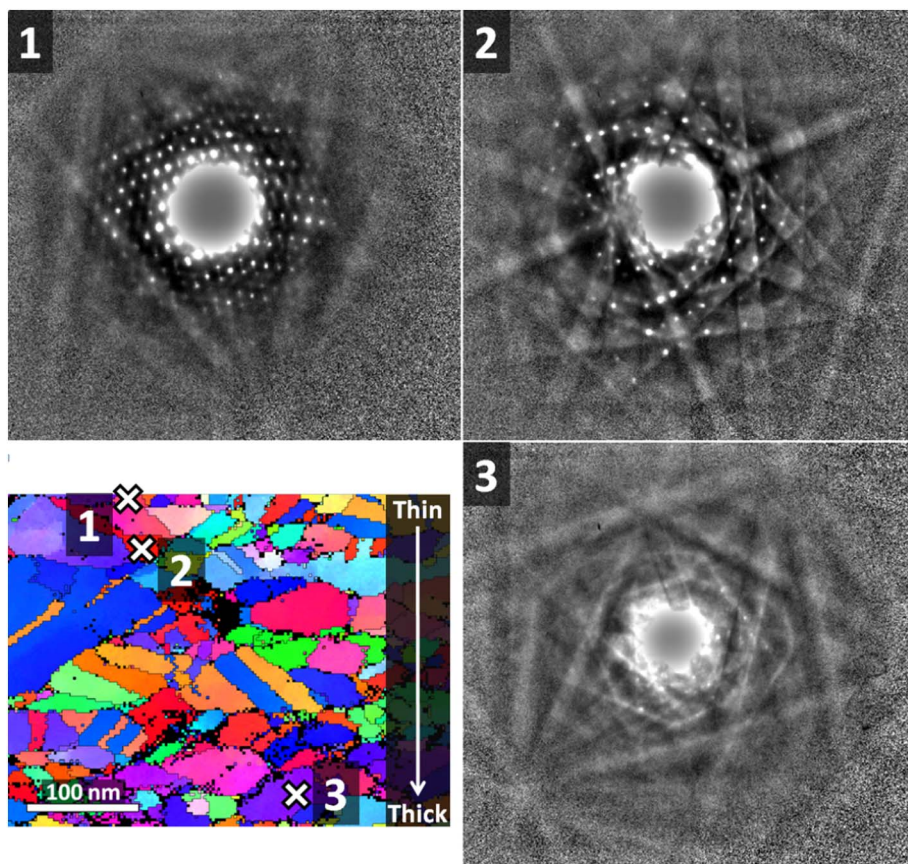


Fig. 3. Diffraction patterns associated to the orientation map in Fig. 2 for the estimation of the sample thickness. It is increasing from the top to the bottom of the map (i.e. the sample is thin at position 1 and thick at position 3, with position 2 being intermediate).

### 3.3. Evaluation of Lateral Resolution

Evaluating the physical and the effective resolutions associated to the orientation map in Fig. 2 is difficult for two reasons: 1- there is a thickness variation across the map, such that the sample is thinner in the upper-half of the map. It means that the resolution of the upper and lower part of the map would need to be discussed separately. 2- Because the TKD has a finite in-depth resolution [20,21], the lateral resolution might vary depending on the angle between the grain boundary and the sample surface. Boundaries with an angle close to  $90^\circ$  should produce less noise in the orientation maps, whereas boundaries with small angles could produce more noise. If we let aside point 2, and focus on the upper part of the map, the effective resolution is in the range of 3–6 nm. Indeed, most grain boundaries present a lateral noise with amplitude within twice pixel size. In addition, grain boundaries present a remarkably low fraction of pixels that are not indexed. Fig. 2(b) displays a zoom image of the upper part of the map, allowing a presentation of the effective resolution. The physical resolution, however, is in the range of 6–9 nm for the present experiment again in the upper part of the map. It is evaluated from the distance between two un-convoluted diffraction patterns across grain boundaries. An example across a particular grain boundary is provided in Fig. 4, where we can see that the patterns number 2 and 3 contain traces of bands from two orientations whereas the patterns 1 and 4 are un-convoluted and present bands from single orientations.

We can see that the increase of the sample thickness from the top to the bottom of the map in Fig. 2 has a direct effect on the indexing rate. The lower-half of the map, from thicker sample part, corresponds a lower indexing rate, especially in grain boundary areas in comparison with the upper-half of the map, from thinner sample part. The reason is that a thicker sample produces bigger interaction volume, thus the diffraction patterns of the lower part of the map become blurred near the boundary areas by overlapping of several orientations. This

emphasizes the need for thin samples if the goal is to achieve a high lateral resolution. By decreasing sample thickness, the interaction volume is reduced and thus the lateral resolution is improved. For this, the TKD with an on-axis detector is advantageous in terms of lateral resolution. Indeed it has been identified in the previous studies that very thin samples are challenging to study with the conventional TKD because the intensity reaching the detector is very low and the patterns contain consequently too little diffraction information for detection and indexing [11–13]. The reason is that while the interaction volume decreases with decreasing sample thickness, the transmitted intensity also tightens around the transmitted beam, resulting in very low intensity at high scattering angles, in particular, in the direction of the conventional TKD detector. This limitation is not present with the on-axis TKD because the scintillator sits right in the incident direction. The on-axis TKD can hence make use of thinner samples than the conventional TKD, which should give the on-axis TKD an advantage in term of lateral resolution (note that this advantage might be limited to the routine resolution and not be related to the ultimate resolution because the argument is “intensity based”). Besides, a limitation remains even for the on-axis TKD: the sample must be thick enough to produce Kikuchi diffraction, otherwise only diffraction spots are present (see Fig. 3). Also, right after the sample is thick enough to start producing Kikuchi diffraction, the bands and lines remain blurred for a short thickness interval before becoming sharp, as predicted by the kinematical theory of electron diffraction. It was previously observed with a silicon sample at 15 keV that 20 nm of thickness was necessary for the lines and bands to reach a steady sharpness after their first generation [19].

## 4. Conclusion

A new diffraction technique, referred to as TKD or t-EBSD, was recently developed for SEM, with the objective to produce orientation

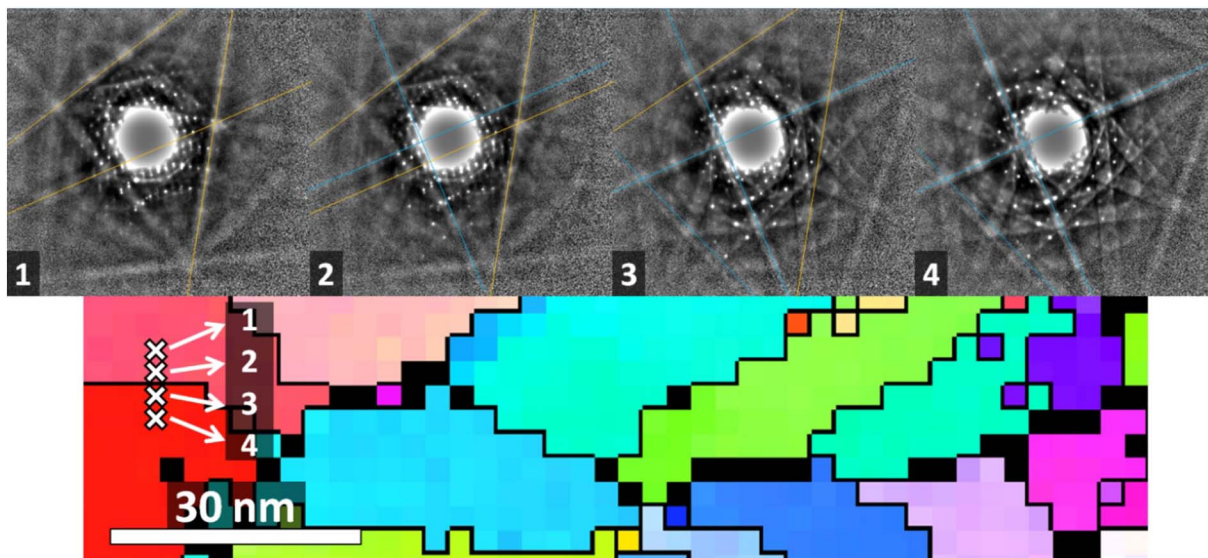


Fig. 4. Diffraction patterns across a grain boundary in the upper part of the orientation map in Fig. 2, where the sample is the thinnest. The 4 patterns are 1 pixel away from each other (pixel size = 3 nm). All parameters are listed in Table 1.

maps with a lateral spatial resolution largely improved in comparison with EBSD. As part of this development, a new configuration for TKD, the “on-axis TKD”, was proposed, which involves a modification of the detector head: the scintillator is set horizontally, thus perpendicular to the electron beam, under the electron-transparent sample. This study shows that the lateral resolution provided by this new configuration falls in the same range as the conventional TKD, i.e. under 10 nm. This makes it a suitable technique for the study of nanocrystalline materials. More precisely, an effective lateral resolution of 3–6 nm was achieved on a nanocrystalline nickel with the on-axis TKD, making grains and twins of 10 nm in size well visible and resolved, with a high indexation rate. It was achieved by using a combination of high accelerating voltage and a low sample thickness to reduce the electron-sample interaction volume. Besides, it is known that a small sample thickness can be challenging for the conventional TKD because the intensity reaching the detector becomes very low, which might induce a limit to the lateral resolution in routine experiments. It appeared that on-axis TKD does not suffer from such a limitation thanks to the axial position of the detector, the only condition is that the sample must be thick enough to produce Kikuchi diffraction, otherwise only spots are present on diffraction patterns. Future work still remains to be done to rigorously compare the ultimate lateral resolutions of conventional and on-axis TKD (even though they fall are in the same range anyway).

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