

# **Cerebrospinal Fluid A***β***42/A***β***40 as a Means to Limiting Tube- and Storage-Dependent Pre-Analytical Variability in Clinical Setting**

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26 Abstract

27 **Background**. Alzheimer cerebrospinal fluid (CSF) biomarkers have recently been included in 28 the criteria for Alzheimer's disease (AD) diagnosis. Unfortunately, their wider use in routine 29 and interpretation require more standardization, particularly for the pre-analytical steps. In 30 particular,  $A\beta_{42}$  peptide measurement is strongly influenced by the type of collection tube and 31 by repeated freeze/thaw cycles.

32 **Objective**. The objectives of this study were to compare, in clinical setting the impact of 33 collection tubes and the repetition of freeze/thaw cycles on Aβ42 and Aβ40 concentrations 34 and consequently determine if the Aβ42/Aβ40 ratio could resolve the diagnosis difficulties 35 related to these pre-analytical parameters.

36 **Methods**. CSF from 35 patients was collected in different PP and stored at -80°C after 37 sampling. For CSF collected in the reference tube, three successive freeze-thaw cycles were 38 done. Aβ42 and Aβ40 concentrations were determined in each condition in order to calculate 39 the Aβ42/Aβ40 ratio.

40 **Results**. Our results showed that CSF Aβ42 and Aβ40 values were significantly different 41 according to the type of collection tube and the number of freeze/thaw cycles. Although the 42 calculation of the Aβ42/Aβ40 ratio eliminated the effect of PP tube-dependent variation, this 43 was not the case for freeze-thaw cycle-associated variation.

44 **Conclusion**. The use of Aβ42/Aβ40 ratio rather than Aβ42 alone could contribute towards 45 pre-analytical standardization, thus allowing the general use of CSF AD biomarkers in routine 46 practice.

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48 **Key words:** Alzheimer's disease, Aβ40 peptide, Aβ42/Aβ40 ratio, Aβ42 peptide, 49 cerebrospinal fluid, pre-analytical standardization, tube, freeze-thaw cycles

- 50 **Introduction** 
	- 2

51 Alzheimer'disease (AD) diagnosis criteria were revised in 2011 to assimilate the advances in 52 the understanding of AD (1). Although clinical symptoms still constitute the core of the 53 diagnosis, new criteria now include biomarkers related to the AD pathophysiological 54 processes such as cerebrospinal fluid (CSF) and imaging biomarkers. In the CSF, AD is 55 biologically characterized by a reduction in CSF Aβ42 peptide levels which is correlated with 56 the density of neuritic plaques (2) and by an increase in CSF Tau and phosphorylated Tau (P-57 tau) levels associated with neurodegeneration and neocortical neurofibrillary pathology (3). 58 The combination of these three parameters have shown high levels of sensitivity and 59 specificity as well as diagnostic accuracy in discriminating AD *versus* controls (4).

60 In 2004, Lewczuk *et al.* (5) was the first to propose Aβ42/Aβ40 ratio rather than the absolute 61 value of Aβ42 peptide to improve the percentage of appropriately diagnosed patients. Since 62 this publication, several studies have largely demonstrated the interest of using Aβ42/Aβ40 63 ratios for better interpretative CSF analysis and increase the level of evidence of AD process 64 (6-13). Aβ40 peptide is the most abundant Aβ peptide in the brain and its CSF level might 65 therefore be considered to most closely reflect the total A $\beta$  load in the brain. CSF A $\beta$ 40 was 66 characterized by a great inter-individual variability (14) and was long considered as 67 unchanged in AD. Today, findings are less clear-cut. Indeed, Bousiges *et al.* (15) confirmed 68 that CSF Aβ40 level was not significantly different in AD than in the control group, whereas 69 it was decreased in patients with body Lewy dementia (BLD). Thus, Aβ42/Aβ40 allowed 70 better discrimination of AD from BLD than Aβ42 alone (15). On the contrary, others studies 71 showed lower CSF Aβ40 levels in AD patients than in controls (13, 16). More recently, Dorey 72 *et al.* demonstrated that absolute value of CSF Aβ40 levels allowed the correct classification 73 of AD patients with non-pathological Aβ42 levels and control patients with pathological 74 Aβ42 levels (11).

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76 Various papers have pointed out pre-analytical and analytical variability between laboratories 77 for Aβ42 peptide (17-21). Tubes for collection, sample handling and sample storage 78 conditions were especially noted as critical factors (22-23). In the clinical setting, despite 79 numerous recommendations, the use of a non-recommended tube to collect CSF and the 80 thawing of sample are two abnormalities frequently encountered. This is particularly the case 81 when CSF AD biomarkers are analyzed for a large geographical area and samples are 82 aliquoted and frozen by a local lab close to the applicant hospital before being transferred to a 83 central laboratory. Transport conditions are unfortunately not always respected, leading to 84 premature thawing of the samples. These pre-analytical confounding factors strongly 85 influence Aβ42 peptide analysis, potentially leading to misinterpretation of biological 86 profiles. A clear understanding of how these factors influence Aβ levels would therefore 87 represent a major step for a general widespread clinical application.

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89 In this study, we sought to determine if the Aβ42/Aβ40 ratio, which might be less affected by 90 the CSF collection tube and the repetition of freeze-thaw cycles, could be more largely used 91 to limit variability due to these two major pre-analytical confounding parameters.

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#### 93 **Material and methods**

#### 94 **Patients**

95 Thirty-five subjects needing CSF AD biomarkers (Tau, P-Tau, Aβ42 and Aβ40 peptides) 96 were recruited in the Clinical and Research Memory Center of Nancy (France) *i.e.* patients 97 with atypical clinical presentation or early-onset dementia (24).

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99 CSF biomarkers were carried out according to European Federation of Neurological Societies 100 guidelines (diagnosis doubt, atypical clinical presentations, or early-onset dementia) or for 101 amnesic MCI according to research criteria for the diagnosis of AD published in 2007 (25).

102 Each subject had signed a written informed consent for CSF assessment, analysis and storage 103 and protocol was approved by local ethical committee.

104

#### 105 **Samples**

106 CSF was collected by lumbar puncture of the L3-L4 or L4-L5 intervertebral space after local 107 anaesthesia in non-fasting patients. Two mL CSF were collected in 3 different polypropylene 108 (PP) tubes, always in the same order  $(1, R, 2)$ . Ten mL Sarstedt PP tube (ref 62.610.201, PP + 109 polyethylene copolymer) was chosen as the reference tube (tube R), most commonly as the 110 standard tube in French laboratories. Five mL Gosselin PP tube (ref TP 10-03, PP), and 15 111 mL Biosciences Discovery Labware Falcon tube (ref 352096, PP + Polyethylene copolymer) 112 were respectively named tube 1 and tube 2.

113 All CSF were transported to the Biochemistry Laboratory of the University Hospital of 114 Nancy, at 4 °C in less than 4 hours after the lumbar puncture. There, they were centrifuged at 115 4,000 x *g* for 10 minutes at 4°C (FROILABO® centrifuge). Samples with blood 116 contamination were excluded. CSF was sampled by 250 µL each in 500 µL PP storage tubes 117 (ref 045513, Dutscher®) and stored at -80 °C until assay (THERMO SCIENTIFIC® freezer).

#### 118 **Freeze-thaw cycles procedure**

119 The freeze-thaw cycles study was carried out with CSF collected in tube R but only 24 120 samples contained enough CSF to prepare at least 2 samples (250 µL each). Cycles 1 and 2 121 were done with 2 different 250  $\mu$ L samples. Cycle 3 were done with the residual 120  $\mu$ L CSF

122 after second thawing step. Time at room temperature during a freeze-thaw cycle was 45 min. 123 Aβ42 and Aβ40 concentrations were determined at each step in order to calculate Aβ42/Aβ40 124 ratio. For the freezing step, samples were stored at -80<sup>o</sup>C for at least 24h.

125 **Biochemical analyses** 

126 Aβ42 and Aβ40 concentrations were determined using commercially available sandwich 127 ELISA procedures (INNOTEST®, Fujirebio, Ghent, Belgium) according to the 128 manufacturer's instructions. For each sample, Aβ42/Aβ40 ratio was calculated. A CSF pool 129 stored at -80 °C in PP tubes was used as internal quality control for each assay. Standards, 130 controls and samples were run in duplicate. Measures were done at 450 nm with a BIO-131 TEK® spectrophotometer (MWGt Lambda Scan 200, BIO-TEK®, USA). Data were analyzed 132 with KC4 software v.3.3 (BIO-TEK®, USA). In cases of variation coefficients exceeding 133 10%, the sample was re-assayed.

#### 134 **Statistical analysis**

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136 Variables were expressed, according to their distributions, as number, percent, mean ± 137 Standard Deviation or median with Inter Quartile Range (IQR) and minimum maximum 138 (range). Comparisons of CSF biological parameters for the 3 tubes and for the 3 repeated 139 freeze-thaw cycles were performed by non-parametric test (Friedman test) with 5% level of 140 significance. When the Friedman test concluded to a significant difference, a Dunn test was 141 performed in order to identify the differences among the tubes or among the freeze-thaw 142 cycles. To study the stability of the ratio between different tubes, we used the Spearman's 143 rank correlation coefficient (Spearman's rho;  $r_s$ ) and the Bland-Altman plot to analyze 144 correlation and agreement.

#### 146 **Results**

#### 147 **Demographical characteristics**

148 Thirty-five subjects were included in the study. Mean age was  $74 \pm 10$  years (range: 53-89) 149 years) and gender ratio (men/women) was 0.7.

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#### 151 **Effect of tube type on Aβ peptide concentrations and Aβ42/Aβ40 ratio**

152 Each comparison was done between median values because Aβ peptide concentrations and 153 Aβ42/Aβ40 ratio were not normally distributed. Aβ42 and Aβ40 concentrations ranged from 154 252.5 to 1506 pg/mL and from 2379 to 18052 pg/mL, respectively. Median and extreme Aβ 155 peptide concentration and Aβ42/Aβ40 ratio are summarized in Table 1 for each tube. Box-156 plots of Aβ42 and Aβ40 concentrations and Aβ42/Aβ40 ratios are presented in Figure 1. 157 Concentrations were quite variable and extended all over the range of measure. Detectable 158 Aβ42 and Aβ40 concentrations of samples in different PP tubes were significantly different 159 (p<0.001 for both peptides). Results from these data showed lower median values of Aβ42 160 and Aβ40 peptides in tubes 1 -6.4% (-40.2 to 10.9), p<0.01 and -12.9% (-59.5 to -6.5), 161 p<0.01, respectively) and 2 (-26.7 (-47 to 21.7) p<0.001 and -29.9% (-62.6 to 52.2), p<0.001, 162 respectively) compared to tube R. However, no significant difference in the median of 163 Aβ42/Aβ40 ratio was globally observed between the 3 tubes in the whole population 164 (Friedman test,  $p = 0.549$ ) (Table 1, Figure 1).

7 165 Furthermore, individually, all the correlations between the ratios were significant for T1 vs 166 TR, T1 vs T2 and T2 vs TR  $(r_s=0.957 \text{ p} = 0.0001; r_s=0.913 \text{ p} = 0.0001; r_s=0.899 \text{ p} = 0.0001$ 167 respectively). Bland-Altman plots showed that the number of observations located outside the 168 corresponding interval (differences within mean  $\pm$  1.96 SD) is 2 (5.7%) for T1 vs TR; 3 169 (8.6%) for T1 vs T2 and 2 (5.7%) for T2 vs TR. Overall, it should be noted that 2

170 observations located outside the intervals were the same for T1 vs T2 and T2 vs TR. Thus 30 171 observations among the 35 (85.7%) are located within the 95% limits of agreement (Figure 2). 172 Finally, median CSF protein and glucose concentrations were 0.47 g/L (range from 0.19 to 173 0.81 g/L) and 3.55 mmol/L (range from 1.27 to 6.2 mmol/L), respectively. In this range of 174 CSF protein concentrations and after one freeze/thaw cycle, variations in CSF Aβ42 or Aβ40 175 according to the tube were not influenced by CSF protein level (Table 2). On the contrary, 176 Aβ42 or Aβ40 mean values were weakly correlated with variations in peptide levels 177 according the type of tube used (Table 3).

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#### 179 **Effect of free-thaw cycles on Aβ peptide concentrations and Aβ42/Aβ40 ratios**

180 Twenty-four CSF were used for the freeze-thaw cycles study. Aβ peptide concentrations and 181 Aβ42/Aβ40 ratio were not normally distributed. Median and extreme Aβ peptide 182 concentration and Aβ42/Aβ40 ratios are summarized in Table 4. Box-plots of Aβ42 and Aβ40 183 concentrations and Aβ42/Aβ40 ratios are presented in Figure 2. Median values of Aβ42 and 184 Aβ40 concentrations were observed to be significantly different with the number of 185 freeze/thaw cycles. Results from these data showed lower Aβ42 level after 2 freeze-thaw 186 cycles compared to one  $(-17.6\%$   $(-27.9 \text{ to } -14)$ ,  $p<0.001)$  whereas A $\beta$ 40 level was not 187 significantly changed -3.1% (-23.9 to 17.4), NS). Consequently, a significant difference for 188 Aβ42/Aβ40 ratio (-15.8% (-18.2 to 1.4), p<0.001) was observed.

189 On the contrary, after the third freeze-thaw cycle, Aβ42 levels were similar to those measured 190 after one cycle and were significantly increased comparatively to the levels obtained after 2 191 cycles (+ 21.6% (11.1 to 40.7), p<0.001). Similarly, Aβ40 levels were statistically higher after 192 the third cycle than after both first and second cycles  $(+ 17.8\%)$  (6.9 to 35.5), p<0.001 and + 193 21.6% (10.8 to 52 .4), p<0.001). Consequently Aβ42/Aβ40 ratio was similar after 2 and 3 194 free-thaw cycles (-6.6% (-9.1 to 11.5), NS). Freeze-thaw cycles-induced variations in Aβ

195 peptide concentrations and Aβ42/Aβ40 ratios led to biological misinterpretations in 4 patients 196 among 24 (15%) since A42/Aβ40 ratio was lower or higher than reference threshold (0.07) 197 according the freeze-thaw cycle.

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199

#### 200 **Discussion**

201 In this study, we showed that the use of the CSF Aβ42/Aβ40 ratio rather than Aβ42 alone 202 could solve some pre-analytical difficulties which currently limit the standardization process 203 and thus wider routine use of CSF biomarkers. We demonstrated that while Aβ42/Aβ40 ratio 204 eliminated PP tube-dependent analytical variability, it did not completely resolve freeze-thaw 205 cycle-associated variations.

206

207 Currently, PP is clearly recommended as the best material for CSF collection tubes, due to 208 limited variability in Aβ42 values (26). However, heterogeneous results were also obtained 209 with PP collection tubes from different suppliers (18). Indeed, by investigating the 210 composition of 11 different PP tubes, Perret-Liaudet *et al.* revealed the presence of co-211 polymers such as polyethylene which are reported to largely influence Aβ42 adsorption (27) 212 and led to more than 50% disparity in Aβ42 values depending on the tube used (18). This 213 study led to the conclusion that Aβ42 cut-off needed to be established by the laboratory using 214 a single type of sampling tube (28). Recommendations were made for the least adsorbent tube 215 (Tube R in our study) to be used routinely in French labs (29). This tube is composed of PP 216 and polyethylene. Here we chose to use a commonly used tube (tube 1, PP only) and another 217 tube containing PP and polyethylene similar to tube R. As in Perret-Liaudet study (18), we 218 observed that tube 2 was the most adsorbent for Aβ42 but also for Aβ40 suggesting that 219 information about tube composition provided by the supplier was insufficient to anticipate the 220 adsorption potential of the tube.

221 A $\beta$ 40 is known to be less hydrophobic than A $\beta$ 2 peptide (30) suggesting a lesser tendency to 222 interact with sampling tubes, but no study is available in the literature. We demonstrated here 223 that variations in Aβ40 concentrations according to the tube type were equivalent to those 224 observed with Aβ42 concentrations leading to Aβ42/Aβ40 ratios that were not globally 225 significantly different, and independent of the tube type. Individual analysis showed that 226 variations of the ratio according to the tube type were close to the mean except for 5 patients, 227 mostly with ratio values very high relative to the threshold of 0.07 and without influence on 228 the biological interpretation. These results support those of Lewczuk *et al.* (26) and 229 Vanderstichele *et al.* (31) which concluded that Aβ42/Aβ40 ratio was comparable between PP 230 and polystyrene, polycarbonate tubes (26) or PP and low protein binding tubes (31). Thus the 231 use of Aβ42/Aβ40 ratio rather than Aβ42 alone could also represent a solution towards 232 minimizing pre-analytical variability related to the various collection PP tube. In daily 233 practice, CSF collection in a non-recommended tube is a frequent mistake that leads to 234 rejection of the sample and exposing the patient a second time to lumbar puncture-associated 235 adverse effects and discomfort. The absence of variation in Aβ42/Aβ40 ratio could be used as 236 an alternative solution, rather than carrying out another CSF sampling.

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10 238 Freeze/thaw cycles before Aβ42 peptide analysis represent another critical pre-analytical step, 239 especially for CSF transferred from others hospitals since CSF AD biomarkers are analyzed in 240 one laboratory for a given geographic area. Unfortunately, data from published studies are 241 extremely discordant. Several studies shown that samples could be frozen and thawed up to 242 two times without any effect on the AD biomarkers in CSF (20, 32), whereas other 243 demonstrated a decrease in Aβ42 values after one (33) or two freeze/thaw cycles (34). Taken

11 244 together, these data led to imprecise recommendations suggesting the avoidance of repeated 245 freeze/thawing of samples (28). Finally, the use of Aβ42/Aβ40 ratios rather than Aβ42 alone 246 could also have been an interesting solution. Only one publication showed that Aβ40 level 247 was stable for 2 freeze/thaw cycles (32). Otherwise Vanderstichele *et al.*, recently reported 248 that an additional freeze-thaw cycle in a PP tube reduced both CSF A $\beta$ 42 and A $\beta$ 40 levels 249 whereas the Aβ42/Aβ40 ratio was not significantly affected (31). Here, we observed a 250 significant decrease in Aβ42 levels between the first and the second cycle while Aβ40 levels 251 remained stable. Interestingly, both Aβ42 and Aβ40 were significantly increased between the 252 second and the third freeze/thaw cycles. These results led to two major conclusions. Firstly, 253 Aβ42/Aβ40 ratio did not prevent freeze-thaw cycle-associated variability in Aβ peptide 254 concentrations, which could lead to misdiagnosis. Indeed, 4 samples out of 24 included (15%) 255 had ratio values above or below the pathological cut-off, depending on the considered 256 freeze/thaw cycle. This result demonstrates the need to work with a defined number of 257 freeze/thaw cycles and to establish a ratio cut-off according selected conditions. Secondly, the 258 Aβ42 and Aβ40 level re-increase in CSF after 3 freeze/thaw cycles suggested that amyloid 259 degradation was not the unique cause of variability. Aliquot volume was identified as a 260 potential confounding factor in CSF Aβ42 peptide measurement (35-36). In our study, an 261 extra variation factor occurred, since the total CSF volume was lower in cycle 3 than cycles 1 262 and 2. This could contribute to the variability between freeze/thaw cycles. However, it is 263 known that tube Aβ42 adsorption is rapid, and is a saturable phenomenon (18). Here, the 264 volume was effectively lower in cycle 3 than cycle 1, but CSF was left in the initial storage 265 tube during the entire experimental period. In studies on the influence of aliquot volume such 266 as that of Toombs *et al.* (35), different storage volumes (50-1500 µL) were tested in 267 independent storage tubes. No data about the impact of the variation of volume of CSF in the 268 initial storage tube are available in literature. However, the decrease in CSF volume in 269 storage tube could modify the equilibrium state between CSF and tube walls, and eventually 270 lead to the release of A $\beta$  peptide from tube to CSF. Further investigation would be necessary 271 to clarify this issue. Other processes could be taken into account such as conformational 272 changes of Aβ peptides, oligomerization, protein binding or modification in adsorption of 273 amyloid on the tube wall which could depend on delay to freezing and changes in freezing or 274 thawing temperatures (37). Moreover, a protein desorption processing could occur and it 275 could be dependent on several parameters such as temperature, pressure (38), or eventually 276 CSF volume variations. Finally, the impact of repeated freeze/thaw cycles on amyloid level 277 variability seems to be a very complex process which requires further investigation to 278 determine the respective involvement of amyloid species, storage conditions and nature of the 279 storage tube.

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281 The systematic use Aβ42/Aβ40 ratio could limit variability associated with some pre-282 analytical aspects, but results should be confirmed by further investigations with a larger 283 cohort and by testing PP tubes from other suppliers. The effects of freezing seem to be 284 dependent on the storage tube type and a cross-study exploring the link between PP tubes and 285 repeated freeze/thaw cycle on amyloid pre-analytical variability could be relevant. Moreover, 286 few data are available about amyloid level in fresh CSF. Blennow *et al.* (39) showed that 287 Aβ42 concentrations were highly consistent between fresh and frozen CSF samples. It would 288 be interesting to know if similar results would be obtained with  $\frac{\text{A}}{940}$  and  $\frac{\text{A}}{942}}$  and  $\frac{\text{A}}{940}$  ratio.

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12 290 There are several limitations to note in this study. Firstly, patients were not selected according 291 to their diagnosis, whereas Aβ42 and Aβ40 levels seem to influence tube-associated 292 variations. However, this choice was deliberate because this study was voluntarily conducted 293 in a clinical setting before knowing final diagnosis and Aβ values. Secondly, time delay 294 between LP and centrifugation was very broad (< 4h) and could affect the amyloid peptide 295 adsorption process. Again, the aim of this study was to evaluate the CSF Aβ42/Aβ40 as 296 means to limiting variability in clinical settings. Consequently, reducing this delay to less than 297 4h would be very difficult. Today, AD biomarkers are not only research biomarkers, but also 298 are largely integrated in diagnostic tools. It is thus very important to have information about 299 pre-analytical confounding factors in daily practice. Moreover, Aβ adsorption occurred 300 quickly, measurable within 15min of storage and did not increase with longer incubation 301 times, suggesting that this delay should have little influence (18).

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303 In conclusion, our study demonstrates that the use of Aβ42/Aβ40 rather than Aβ42 could 304 contribute to pre-analytical standardization which will allow generalizing the use of CSF AD 305 biomarkers. If this ratio seems to be interesting to solve PP tube-dependent variability, it is 306 not relevant or even inappropriate to limit freeze/thaw cycle associated variability. Further 307 investigation must be carried out to better understand complex interactions between these two 308 critical pre-analytical factors.

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#### 310 **Additional contribution**

311 The authors gratefully acknowledge all geriatricians and neurologists from CMRR. They also 312 thank Dr Frances T. Yen for her useful suggestions after reading the manuscript.

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Median (IQR) Min Max p

467 Data are expressed as median (IQR), minimal and maximal values. All groups were 468 compared to tube R. Median values were statistically different from tube R according to the 469 Dunn test after a significant Friedman test if  $p < 0.01$ . NS: Not significant, n=35.

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514 **Table 4. CSF Aβ42, Aβ40 and Aβ42/Aβ40 median values according to number of**  515 **freeze/thaw cycles**. Data are expressed as median (IQR), minimal and maximal values. All 516 groups were compared to tube R. Median values were statistically different from tube R 517 according to the Dunn test after a significant Friedman test if  $p < 0.01$ . NS: Not significant, 518 n=24.

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548 Figure 2



