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Claire Dazon, Vanessa Fierro, Alain Celzard, Olivier Witschger. Identification of nanomaterials by the volume specific surface area (VSSA) criterion: application to powder mixes. *Nanoscale Advances*, 2020, 2 (10), pp.4908-4917. 10.1039/D0NA00395F . hal-03043506

**HAL Id: hal-03043506**

**<https://hal.univ-lorraine.fr/hal-03043506>**

Submitted on 18 Dec 2020

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Cite this: *Nanoscale Adv.*, 2020, 2, 4908Received 15th May 2020  
Accepted 14th September 2020

DOI: 10.1039/d0na00395f

rsc.li/nanoscale-advances

# Identification of nanomaterials by the volume specific surface area (VSSA) criterion: application to powder mixes†

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We demonstrate the relevance of the Volume Specific Surface Area (VSSA) parameter to identify the nanoparticulate character of powder mixes based on either spherical constituent particles with bimodal size distributions (TiO<sub>2</sub>), or fiber-like constituent particles with unimodal size distributions (sepiolite and sepiolite-based pigments). These new results indicate that VSSA could reasonably be proposed as an optional criterion in the future for the definition of nanomaterials based on the European Commission recommendation, provided certain requirements are fulfilled.

## Introduction

Nowadays, nanomaterials integrate numerous products of our daily life.<sup>1</sup> In particular, food, agriculture and the pharmaceutical industry are the sectors where the consumption of nanoparticles is the highest.<sup>1–3</sup> The French R-Nano report for nanomaterial declaration<sup>4</sup> stated that powders are clearly the most encountered materials in research and industry. For instance, carbon black, silica, calcium carbonate, titanium dioxide and boehmite (AlO(OH)) are the five most produced, imported or distributed nanomaterials declared in France, and this trend seems to be also verified in the rest of Europe, where similar documents are proposed.<sup>5</sup> In general, the declared nanoparticles in these documents can be assumed as powders, even if their nanoparticulate nature is almost never indicated.

The current questioning on the potential harmful effects of nanoparticles on health and environment leads to a crucial need to develop sustainably these materials recognised for their amazing properties at the nanoscale. Safe-by-design approach as well as risk assessment in workplaces where nanoparticles (especially powders) are handled are the first relevant methodologies to this objective.<sup>6,7</sup> However, they require that nanomaterials can be identified from a chosen definition, which will depend on the context in which the nanoparticulate powders are used.<sup>8</sup> The European Commission (EC) definition recommendation<sup>9</sup> is the most practical to implement, and covers a broad range of research and industrial areas. For example, the

European REACH regulation recently proposed an appendix for nanoforms applicable to the guidance on registration and identification of substances.<sup>10,11</sup> There has been a significant increase in the number of research projects, around twenty in 2020, to improve the identification of nanomaterials for any situation encountered in industry and in research fields concerning health, environment, risk assessment, sustainable development of nanomaterials, *etc.* OECD has proposed since 2006 a global forum for discussion of nano-safety issues and a test guidelines program is currently underway in this view.<sup>12</sup>

Currently, the most recent guide document on the implementation of the definition of nanomaterials proposed by the EC is the report of the Joint Research Centre (JRC)<sup>13</sup> in which the last advances on this topic are taken into account. According to this report, the median number size distribution based on the external dimensions of the constituent particles, and the fraction smaller than 100 nm should be assessed through a reference measurement system to identify nanomaterials. It gives detailed examples of measurement techniques for this, with their pros and cons. Electron Microscopy (EM) techniques (TEM or SEM) appear to be the most reliable methods to confirm or deny a nanoparticulate nature based on the number size distribution.

However, according to the same document, it is also possible to use the Volume Specific Surface Area (VSSA) criterion as a proxy to facilitate nanomaterial identification, provided certain requirements are fulfilled. The VSSA (eqn (1))<sup>13</sup> is indeed more operational than an EM method since it requires determining the material's external specific surface area  $A_{\text{Ex}}$  and its skeletal density  $\rho$ , which are respectively obtained by gas adsorption and gas pycnometry following adapted protocols. These latter are largely documented in the literature, relatively well accessible in laboratories and industries, and are especially suitable for powder analysis. This approach also reduces possible artefacts we can have for EM techniques such as the

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† Electronic supplementary information (ESI) available. See DOI: 10.1039/d0na00395f



operator influence during image analysis and the particle counting for instance.

$$\text{VSSA (m}^2 \text{ cm}^{-3}) = A_{\text{Ex}} (\text{m}^2 \text{ g}^{-1}) \times \rho (\text{g cm}^{-3}) \quad (1)$$

Recent studies have shown that the VSSA can be used beyond the EC definition and can be applied to identify non-nanomaterial powders.<sup>14–16</sup> Nevertheless, the VSSA criterion is more likely to lead to this false negative result since it is an integral approach (the average equivalent particle size can be deduced from the VSSA<sup>13</sup>). Given the many benefits that VSSA can offer for the identification of nanomaterials, especially for small professional structures which cannot afford to spend too much time and invest in sophisticated techniques, the VSSA must be further studied. This suggests that much more experimental data should be produced on a variety of representative industrial powders, including non-nanomaterial powders, which has hardly been done except for the above mentioned studies.<sup>14–16</sup> It is important to note that the majority of the materials studied under the VSSA criterion in these works are relatively monodisperse, non-porous and of pure chemistry (a single compound for the constituent particles). However, powder mixes consisting of two or more populations of particles of unique or different shapes and chemical compositions have not been treated to date even though they are frequently encountered in workplaces. In these particular cases, EM methods may be questioned regarding to the relevant identification of nanomaterials since no clear procedures are proposed. Based on the existent literature, two approaches may be applied for such powder mixes cases:

- Case 1: one may consider each of the populations separately, perform the characterization for each of them, and determine the percentage of particles < 100 nm for each of the 2 observed populations,
- Case 2: on the images, it is not possible to distinguish 2 populations of particles, so, in this case, one may treat the observed particles as belonging to a single population and proceed as in the case of a single powder, with the:

determination of the percentage of particles < 100 nm for all observed particles.

What is the criterion for choosing case 1 or case 2 if the nanomaterial nature of this powder mix is to be determined? Both approaches involve an obvious operator artifact relying on individual judgment and skills in absence of stabilized protocols. In such a situation, the VSSA approach is interesting because it implements a unique documented procedure that has been described for a long time and frequently updated.

In this context, in order to continue and strengthen the demonstration of the VSSA relevancy for nanomaterial identification, we present herein a comparison between the average equivalent particle sizes determined by VSSA and SEM on powder mixes, applying the operational methodology developed in a previous work on this subject.<sup>15</sup> These materials are characterised either by unimodal, fibre-like constituent particles (sepiolite and composite pigments) or by bimodal spherical constituent particles (mixes of nano and non-nano TiO<sub>2</sub>). These types of powders have never been studied before in this context and constitute a new and original data set on complex materials required today to clearly demonstrate the relevance of VSSA for the identification of nanomaterials.

## Materials & methods

### Powder studied

We studied 12 powders among these 9 are powder mixes. A powder mix corresponds, in our study, to two types of pure materials of the same chemical nature that have been mixed to obtain a powder maintaining *a priori* some characteristics of the two original powders. Table 1 presents the materials we have studied with their expected or theoretical  $A_{\text{Ex}}$  and skeletal densities. The theoretical values of these parameters were calculated by a linear weighted relation of those obtained for pure TiO<sub>2</sub> A and TiO<sub>2</sub> E. The complete characterisation data of these two powders are available in the literature.<sup>16,17</sup> However, the raw number particle size distributions of these pure TiO<sub>2</sub> powders are given in ESI (Fig. S3 and S4 respectively)† in order

**Table 1** Powder mixes studied in this work and their expected external surface area  $A_{\text{Ex}}$  and skeletal density  $\rho$  to be used for the VSSA determination

Powder	Code (proportion in the mix, in wt%)	Expected $A_{\text{Ex}}^a$ , m <sup>2</sup> g <sup>-1</sup>	Expected $\rho^b$ , g cm <sup>-3</sup>
TiO <sub>2</sub>	TiO <sub>2</sub> A/TiO <sub>2</sub> E (90/10)	46.0	3.92
	TiO <sub>2</sub> A/TiO <sub>2</sub> E (80/20)	82.0	
	TiO <sub>2</sub> A/TiO <sub>2</sub> E (70/30)	118.0	
	TiO <sub>2</sub> A/TiO <sub>2</sub> E (60/40)	154.5	
	TiO <sub>2</sub> A/TiO <sub>2</sub> E (50/50)	191.0	
Sepiolite Sepiolite based pigment	Sepiolite	320–340	2.00
	B19		
	BN 19		
	R10		
	J4		

<sup>a</sup> The expected  $A_{\text{Ex}}$  are based on the combination of  $A_{\text{Ex}}$  of TiO<sub>2</sub> A (9.5 m<sup>2</sup> g<sup>-1</sup>) and  $A_{\text{Ex}}$  TiO<sub>2</sub> E (142 m<sup>2</sup> g<sup>-1</sup>) with the weight fractions chosen. For sepiolite and sepiolite-based pigments, the indicated range of  $A_{\text{Ex}}$  is given by the manufacturer. <sup>b</sup> TiO<sub>2</sub> A and TiO<sub>2</sub> E are pure anatase powders and have the same expected skeletal density of 3.92 g cm<sup>-3</sup>. Whatever the weight fractions used, the skeletal density will be similar for all the TiO<sub>2</sub> mixes, and there should not be any change in the value since the anatase structure is maintained. For sepiolite, the expected skeletal density is based on the manufacturer's technical data sheet and is close to the skeletal density of silica (2.20 g cm<sup>-3</sup>).



to complete our discussion of the results presented below for the characterization of powder mixes by the SEM method. The median diameters for the constituent particles are 138 nm (fraction < 100 nm = 11%) and 7 nm (fraction < 100 nm = 100%) for TiO<sub>2</sub> A and TiO<sub>2</sub> E respectively. The series of TiO<sub>2</sub> mixes was produced manually in the laboratory, based on a sampling process<sup>17</sup> of pure TiO<sub>2</sub> A and TiO<sub>2</sub> E (of close spherical particle shape).

Sepiolite (a mineral clay of chemical formula Si<sub>12</sub>Mg<sub>8</sub>O<sub>30</sub>(OH)<sub>4</sub>(OH<sub>2</sub>)<sub>4</sub>·8H<sub>2</sub>O; characterised by porous fibre-like particles), and the 4 pigments (composite materials based on sepiolite fibres in which molecules of organic dye were inserted inside the tunnels), were purchased from Pigm'Azur (France). These four pigments are called mixes because they are synthesised from the combination of two powders (pure sepiolite and a powder dye) to obtain one. More information on the structure of sepiolite and pigments is available in the ESI† and in the literature.<sup>18–22</sup> Note that pigments are among the most produced, imported and distributed materials in France,<sup>4</sup> between 1000 and 10 000 tons.

### Powder characterisation

The powder mixes were characterised according to the methodology explained in detail elsewhere.<sup>16</sup> Briefly, the powders were observed by SEM to determine the number-based size distributions of the constituent particles (see Table S1 in ESI† for SEM sample preparation and SEM parameters). For each case, between 200 and 400 particles were counted randomly through a total of 30 SEM images. We measured the equivalent diameter of the projected area (when spherical particles are observed) or the smallest dimension (in the case of fibers) to determine the number size distribution and the fraction < 100 nm (noted  $f_N$ ). The approach we have adopted for SEM images is case 2 we discussed in the Introduction section where we consider only one particle population for counting. This choice relies on the “worst” case, where the operator is not experienced in clearly distinguishing between two particle populations according to their shape, for instance. This approach is also easier to achieve in a relative short time.

To determine the VSSAs, measurements of surface areas were carried out by nitrogen adsorption with application of BET and  $t$ -plot methods. For  $t$ -plot analysis, we used the Harkin–Jura thickness curve for the N<sub>2</sub> molecule,<sup>23</sup> adapted to the case of metal oxides. Indeed, only a fraction of the total surface area of microporous powders corresponds to their external area, which is involved in the EC nanomaterial definition. Thus, applying the BET method to microporous powders leads to an area  $A_{\text{BET}}$  much higher than  $A_{\text{Ex}}$  because, unlike the  $t$ -plot method, the BET cannot distinguish between the internal surface area developed by micropores and the solid external area. Therefore, BET application to microporous powders increases the probability of false positives. Besides, the literature lacks a comparison between BET and  $t$ -plot methods for the determination of VSSA and their impact on the correct identification of nanomaterial or non-nanomaterial powders. Consequently, we have chosen to use both BET and  $t$ -plot methods to determine  $A_{\text{BET}}$

and  $A_{\text{Ex}}$ , respectively, for VSSAs and compare the results. Determining the VSSAs also requires knowing the skeletal densities, which were determined by helium pycnometry. The experimental conditions for outgassing prior to nitrogen adsorption and helium pycnometry measurements are described in the ESI (Table S2).† The material is considered nanoparticulate if its VSSA is higher than 60 m<sup>2</sup> cm<sup>-3</sup>. Below 20 m<sup>2</sup> cm<sup>-3</sup>, the powder is not considered a nanomaterial. Between 20 and 60 m<sup>2</sup> cm<sup>-3</sup>, the SEM method is used to classify definitely the powder in the non-nanomaterials or nanomaterials category. The powder classifications by VSSA and SEM are then compared through the equivalent average particle size to evidence false positives or false negatives by VSSA.

## Results and discussions

### Gas adsorption, helium pycnometry and VSSA results

Fig. 1 and 2 show the nitrogen adsorption isotherms obtained for TiO<sub>2</sub> mixes and sepiolite and pigments, respectively. On Fig. 1, we display also the absorption isotherms of pure TiO<sub>2</sub> A and TiO<sub>2</sub> E taken from literature.<sup>17</sup> Table 2 summarises the values of  $A_{\text{BET}}$  and  $A_{\text{Ex}}$ , obtained after application of BET and  $t$ -plot models, respectively, and skeletal density to finally calculate the VSSA and classify the powders in or out of the nanomaterials category.

In the case of TiO<sub>2</sub> mixes, we can observe that the microporosity increases with the proportion of TiO<sub>2</sub> E, as expected. In fact, TiO<sub>2</sub> E is characterised by a mixed adsorption isotherm (type I(b) + II) highlighting microporosity, and therefore high surface area.<sup>16,17</sup> Indeed, the type I isotherm is characterized by a high uptake of nitrogen at very low relative pressures ( $P/P^\circ < 0.01$ ) resulting from the filling of ultramicropores (pore diameter under 0.7 nm). Since the micropores are totally filled ( $P/P^\circ < 0.2$ ), and if the material does not present pore diameters wider than 2–3 nm, the material does not adsorb a significant amount of gas until the capillary condensation occurs, at around  $P/P^\circ = 1$ . The type I(b) isotherm is related to a microporous materials

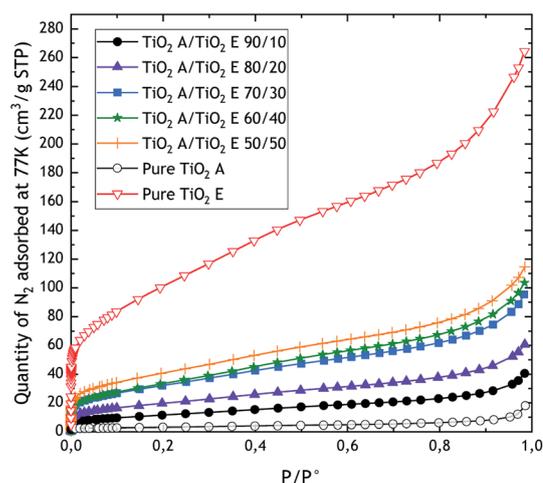


Fig. 1 Adsorption isotherms of the TiO<sub>2</sub> powder mixes. The data for pure TiO<sub>2</sub> A and TiO<sub>2</sub> E were taken from literature.<sup>17</sup>



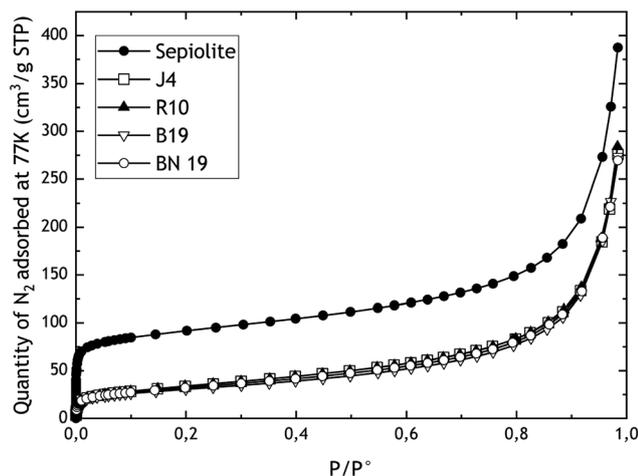


Fig. 2 Adsorption isotherms of sepiolite and pigments.

with a developed pore size distribution including wide micropores and possibly narrow mesopores (<2.5 nm). The raw TiO<sub>2</sub> A is characterised by a type II isotherm, characteristic of a nonporous or macroporous solid<sup>24</sup> The shape is the result of unrestricted monolayer-multilayer adsorption up to capillary condensation. When we qualify an isotherm as mixed type, this means that it combines two of the types of isotherms given by the IUPAC classification. This is the case for the TiO<sub>2</sub> E where we can observe a very high nitrogen uptake at very low relative pressures, followed by a progressive adsorption until capillary condensation. Consequently, the more the TiO<sub>2</sub> E is present, the higher the microporosity, which is given by TiO<sub>2</sub> E. As with conventional type I isotherms, those of TiO<sub>2</sub> mixes exhibit a rapid increase in N<sub>2</sub> uptake at relative pressures less than 0.1. Nevertheless, the comparison of  $A_{\text{BET}}$  and  $A_{\text{Ex}}$  measured by BET and  $t$ -plot methods, respectively, shows that there is no significant differences between the two approaches, which means that the increase of microporosity for TiO<sub>2</sub> mixes is very limited. The relative difference between values from BET and  $t$ -plot is comprised between -1.4% and 1.7% with respect to the BET. Finally, based on the weight

fractions in the TiO<sub>2</sub> mixes, we obtained biases ranging from -20% to -9% on the external surface area. For skeletal densities, we obtained values close to expectations (average bias of 2% in absolute value).

The raw adsorption isotherms of sepiolite and pigments are type II, with microporosity evidenced in the case of raw sepiolite. Indeed, for sepiolite, the  $A_{\text{BET}}$  is 55% higher than  $A_{\text{Ex}}$  measured by  $t$ -plot. Moreover, the quantity of N<sub>2</sub> adsorbed at very low relative pressure is indicative of the presence of micropores. But for pigments, there are no significant differences between the two approaches, the values of  $A_{\text{Ex}}$  by the  $t$ -plot method being just 1.6 to 4% lower than  $A_{\text{BET}}$ . Besides, we do not observe differences between pigments in terms of adsorption isotherms and therefore surface area. However, a marked change in the sepiolite surface area can be observed in the presence of dyes. Indeed, when dyes are mixed with raw sepiolite, as explained by Ovarlez *et al.*,<sup>19,20</sup> the dye is inserted into the channels of the sepiolite fibres, thus filling the microporosity. These results also show that the outgassing protocol, applied to sepiolite and pigments, was relevant since we preserved the powder particle surfaces (no intrinsic desorption of water from sepiolite or dye degradation for pigments). Indeed, differential thermogravimetric measurements have shown that the zeolitic water of sepiolite is removed between 35 and 200 °C, the first coordinated water between 120 and 365 °C, the second coordinated water between 365 and 600 °C and the structural water between 600 and 950 °C, whereas the dyes begin to be degraded at 300 °C.<sup>20</sup> Knowing that the materials were outgassed at room temperature prior to our nitrogen adsorption experiments, we considered that the whole structure of the sepiolite and the pigments was preserved. No gas adsorption data are available in the literature for the sepiolite and sepiolite based pigments we purchased. However for the raw sepiolite, it is possible to compare our results with those of Fitaroni *et al.*,<sup>25</sup> where sepiolite was used to offer several possibilities for the production of organically modified materials for different applications. In their study, pure sepiolite has a 296 m<sup>2</sup> g<sup>-1</sup>  $A_{\text{BET}}$ , which is quite close to our value, confirming that our results are coherent with those obtained elsewhere.

Table 2 External specific surface areas, skeletal densities and VSSA obtained for TiO<sub>2</sub>, sepiolite and pigments powders

Powder	$A_{\text{BET}}^a$ (BET) m <sup>2</sup> g <sup>-1</sup>	$A_{\text{Ex}}^a$ ( $t$ -plot) m <sup>2</sup> g <sup>-1</sup>	$\rho^b$ g cm <sup>-3</sup>	Average VSSA m <sup>2</sup> cm <sup>-3</sup>	Nano VSSA?
TiO <sub>2</sub> A/TiO <sub>2</sub> E (90/10)	41.2 ± 0.6	41.9 ± 0.6	3.81 ± 0.01	157 ± 16 (BET)	Yes
TiO <sub>2</sub> A/TiO <sub>2</sub> E (80/20)	66.0 ± 5.0	66.5 ± 0.8	3.75 ± 0.03	247 ± 45 (BET)	Yes
TiO <sub>2</sub> A/TiO <sub>2</sub> E (70/30)	99.0 ± 15.0	99.5 ± 14.0	3.73 ± 0.01	368 ± 77 (BET)	Yes
TiO <sub>2</sub> A/TiO <sub>2</sub> E (60/40)	121.5 ± 1.6	120.0 ± 1.2	3.67 ± 0.01	445 ± 26 (BET)	Yes
TiO <sub>2</sub> A/TiO <sub>2</sub> E (50/50)	143.0 ± 2.5	141.0 ± 1.5	3.64 ± 0.01	520 ± 31 (BET)	Yes
Sepiolite	342.0 ± 2.0	151.0 ± 1.0	2.45 ± 0.01	369 ± 2 ( $t$ -plot)	Yes
B19	109.0 ± 1.0	104.0 ± 1.0	2.30 ± 0.01	248 ± 3 (BET)	Yes
BN 19	116.0 ± 0.5	114.0 ± 2.0	2.28 ± 0.01	265 ± 2 (BET)	Yes
R10	124.0 ± 1.0	120.0 ± 1.0	2.30 ± 0.01	284 ± 1.5 (BET)	Yes
J4	123.0 ± 0.5	121.0 ± 1.0	2.33 ± 0.01	285 ± 1.5 (BET)	Yes

<sup>a</sup> The indicated values are averages based on three gas adsorption measurements for each powder sample. In the case of BET, the area is not necessarily external, which is the case of powders based on porous materials. Hence the name  $A_{\text{BET}}$  instead of  $A_{\text{Ex}}$ . The uncertainties given are standard deviations indicating the experimental error, the latter including the accuracy of the measuring tool and human error. <sup>b</sup> The indicated values of skeletal density are average values for TiO<sub>2</sub> powders, but for sepiolite and pigments, it was not possible to repeat the measurements. The uncertainty indicated corresponds to the uncertainty given by the manufacturer for one measurement with the Accupyc 1340 pycnometer.



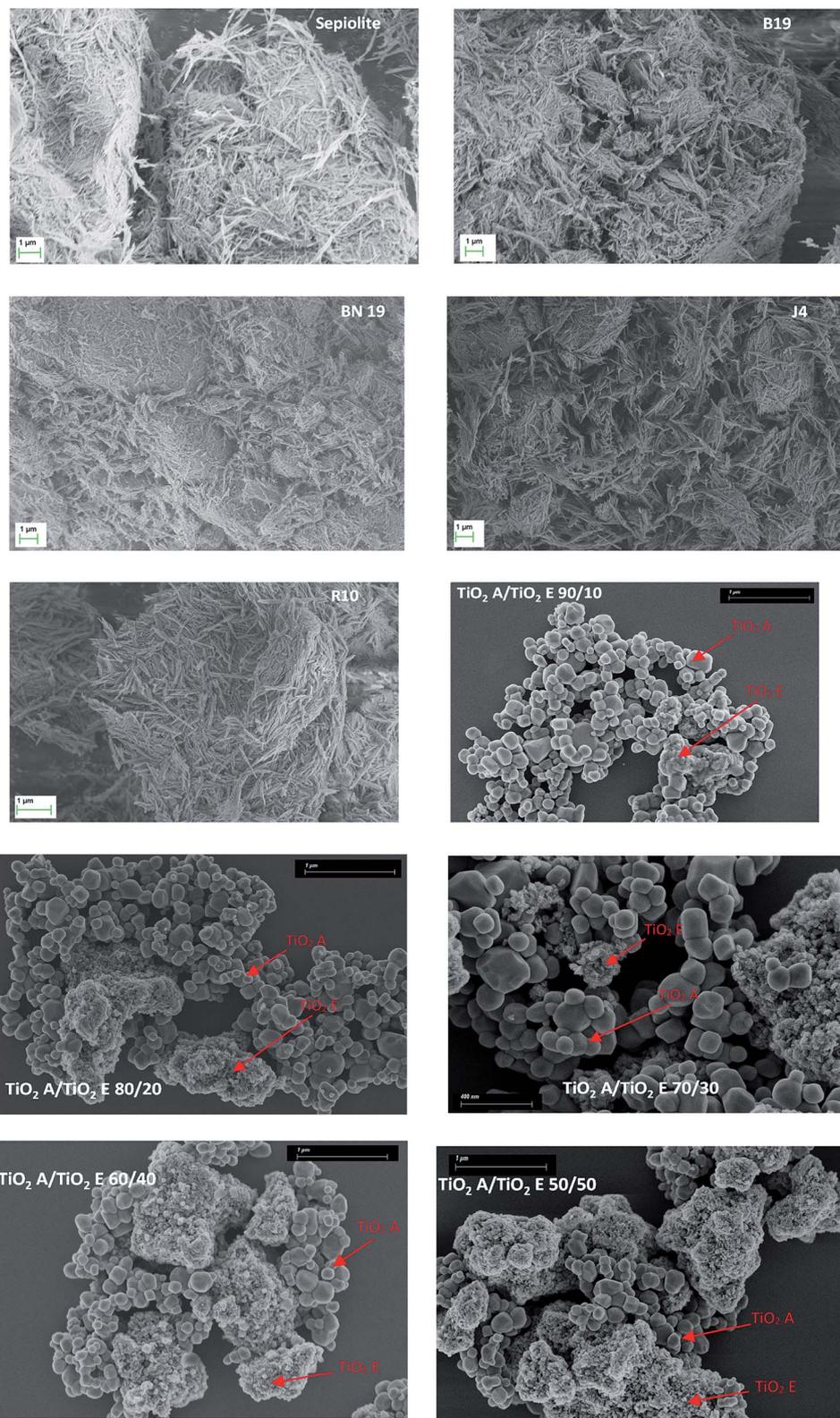


Fig. 3 Typical SEM images of the  $\text{TiO}_2$  powder mixes, sepiolite and pigments.

The results obtained here show that for non-porous powders, the surface areas obtained by the BET or  $t$ -plot method are equivalent. Knowing that BET is the best known and the easiest to access for non-specialists in the field of material

characterisation, it can be systematically proposed for the determination of VSSA, provided that the corresponding isotherm clearly indicates that the material is intrinsically non-porous.



By applying the VSSA calculation (eqn (1)), all powders are classified as nanomaterials according to the official EC recommendation since their VSSA values are higher than  $60 \text{ m}^2 \text{ cm}^{-3}$ . At this stage of the powder characterisation, the materials can be correctly identified as nanomaterials by VSSA or constitute false positives. If we want to confirm this classification by the number size distribution reference parameter, we should observe more than 50% of the particles above the size threshold of 100 nm. False positives are not as serious as false negatives. Indeed, if we recall certain contexts for which the identification of nanomaterials is crucial, for instance occupational exposure or environmental contamination, a false positive would entail taking additional measures to ensure safety with regard to nano-powders incorrectly identified as such by VSSA. Reports from the EC<sup>13</sup> and different studies in the open literature<sup>14–16</sup> have stated that a VSSA value above  $60 \text{ m}^2 \text{ cm}^{-3}$  may be sufficient to classify a powder in the nanomaterials category without supplementary characterisation. We can also prove that in the case of sepiolite, for which we also applied the BET model for the determination of  $A_{\text{Ex}}$  (taking into account the microporosity), there is no influence on the final nanomaterial identification since the VSSA value for the sepiolite with the BET model leads to the same conclusion. The following paragraphs will present the results of the SEM characterisation of the powder mixes and the comparison with the VSSA.

### SEM results and comparison of the classification of powder mixes with VSSA

Fig. 3 presents typical SEM images obtained for the different powder mixes. The corresponding number size distributions are available in the ESI (Fig. S5 for  $\text{TiO}_2$  mixes and Fig. S6 for sepiolite and pigments).<sup>†</sup> Table 3 summarises the SEM results in terms of the number of particles counted ( $N$ ), the median sizes of the constituent particle  $D_{50}$  deduced from the number size distributions, the minimum  $D_{\text{pp,min}}$  and maximum  $D_{\text{pp,max}}$  equivalent projected area diameter (sphere case) or the lowest dimension (in the case of the fibers) and the fraction  $f_N$  smaller than 100 nm.

As expected, the  $\text{TiO}_2$  powder mixes present two populations of constituent particles, one typical of pure  $\text{TiO}_2$  A ( $D_{50}$  of 138 nm) and the other typical of pure  $\text{TiO}_2$  E ( $D_{50}$  of 7 nm). The

agglomerates of  $\text{TiO}_2$  E are all the more present as the mix is enriched with this powder. The constituent particles of the two powders are assumed to be spherical, based on the SEM images obtained (the spherical shape is not visible in Fig. 3 for  $\text{TiO}_2$  E, but other SEM micrographs at higher magnification allowed us to observe a spherical shape). Previous studies<sup>16,17</sup> have also demonstrated this particle characteristic from TEM observations of  $\text{TiO}_2$  E. The results of particle counting have not revealed any clear difference between  $\text{TiO}_2$  A and  $\text{TiO}_2$  E in terms of populations proportions for mixes 90/10, 80/20 and 70/30. However, the 60/40 and 50/50 mixes show a predominance of  $\text{TiO}_2$  E in terms of number of constituent particles inside the mixes as observed on typical SEM images.

It was confirmed that the constituent particles of sepiolite and pigments were fibre-like, in good agreement with the literature.<sup>18,19</sup> The unimodal size distributions obtained after particle counting show a median diameter between 45 and 83 nm, which is consistent with the literature data indicating a fibre diameter between 20 and 30 nm for raw sepiolite,<sup>20</sup> if we take into account the uncertainties. Indeed, we have found larger fibres. Note that powders based on sepiolite are characterised by a clear polydispersity and that the length of the fibres is 5  $\mu\text{m}$  on average. Based on the number size distributions, sepiolite and pigments are classified in the category of nanomaterials, which is in accordance with the VSSA classification.

If we now compare the VSSA and SEM classifications, we can observe that only one mix is not correctly identified by VSSA ( $\text{TiO}_2$  A/ $\text{TiO}_2$  E 90/10). This powder mix is not a nanomaterial according to the particle size distribution of the SEM number (more than 50% of the particles have a median diameter above the 100 nm threshold, with a  $f_N$  of 29%), whereas the VSSA identifies this powder as a nanomaterial. We therefore have a false positive case. Note, however that this mix is composed of a non-negligible fraction of nanoparticle. Indeed, we can suppose that, in absence of clear indications on how we should count the particles detected on the SEM micrographs, it is likely that an  $f_N$  value above 50% could have been obtained. Regarding to the size reference criterion, the  $\text{TiO}_2$  A/ $\text{TiO}_2$  E 90/10 is a false negative by SEM and a true nanomaterial powder with the VSSA. This example demonstrates the added value of VSSA in such powder mix case. The unique outgassing protocol associated to

**Table 3** SEM results for the  $\text{TiO}_2$ , sepiolite and pigments based on the number size distributions obtained. The uncertainties correspond to a standard deviation obtained on the total particle count for each powder

Powder	$N$ (–)	$D_{50}$ (nm)	$D_{\text{pp,min}}$ (nm)	$D_{\text{pp,max}}$ (nm)	$f_N < 100 \text{ nm}$ (%)	Nano SEM?
$\text{TiO}_2$ A/ $\text{TiO}_2$ E (90/10)	234	$124 \pm 15$	3	353	29	No
$\text{TiO}_2$ A/ $\text{TiO}_2$ E (80/20)	203	$77 \pm 11$	4	211	63	Yes
$\text{TiO}_2$ A/ $\text{TiO}_2$ E (70/30)	353	$87 \pm 5$	3	340	54	Yes
$\text{TiO}_2$ A/ $\text{TiO}_2$ E (60/40)	463	$26 \pm 7$	3	313	79	Yes
$\text{TiO}_2$ A/ $\text{TiO}_2$ E (50/50)	369	$23 \pm 7$	9	366	70	Yes
Sepiolite	192	$73 \pm 2$	11	183	84	Yes
B19	305	$83 \pm 1.5$	40	193	72	Yes
BN 19	404	$56 \pm 1.5$	18	124	97	Yes
R10	280	$45 \pm 1.5$	16	163	96	Yes
J4	230	$51 \pm 2$	24	192	95	Yes



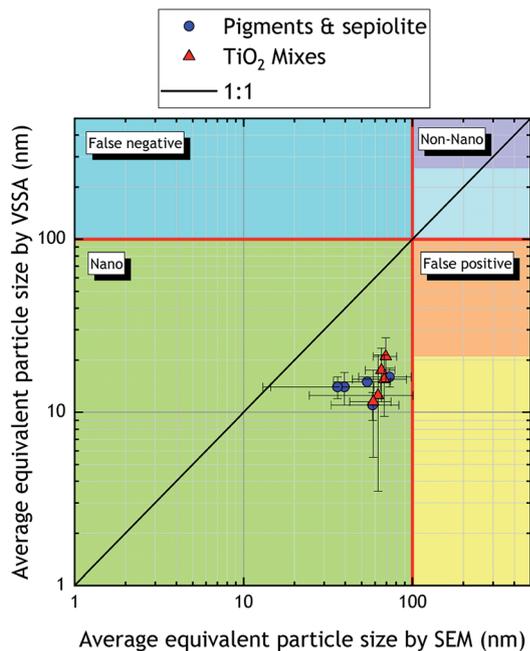


Fig. 4 Parity plot comparing average equivalent particle sizes obtained with VSSA and SEM for the powder mixes studied in this work. The error bars indicate standard deviations.

a common gas adsorption and isotherm data treatment (BET method) allows unambiguous identification of nanomaterials.

Fig. 4 is a parity plot comparing the average equivalent particle sizes obtained by VSSA and SEM. This representation does not allow the two populations of  $\text{TiO}_2$  mixes to be observed since average sizes are displayed, but this graph aims at highlighting the agreement between the VSSA approach in terms of nanomaterial classifications with respect to a reference method.

We can see that even if the average equivalent particle size by VSSA is systematically different (by more than 50% in absolute value) from that obtained by SEM, considering SEM uncertainties reduces this discrepancy (between  $-10\%$  to  $-20\%$ ). The discrepancies observed, *i.e.*, a systematic underestimation of the equivalent particle size by VSSA compared to SEM is quite surprising since the opposite is more expected. This is because the mass of particles increases generally faster than their surface-area, and VSSA is inversely proportional to the particle diameter. Therefore, for a polydisperse material such as industrial powders, the size value will be lower than the one of a monodisperse material with the same average diameter. Measuring a VSSA below a selected threshold value does not necessarily indicate that particles are greater than 100 nm. By extension, the equivalent diameter based on the VSSA might be falsely overestimated and lead to false negative. Here, our equivalent diameters based on the VSSA seem to be underestimated compared to the reference SEM method. This could be partly explained by the great influence of the operator's appreciation when measuring the projected area, particularly when the powders are highly polydispersed. Indeed, as mentioned it in the introduction section, there are no clear rules to manually measuring the projected area with SEM, and

maybe, based on the results obtained on these powder mixes, the reference method for particle size determination could be reversed since the VSSA is less influenced by operator artifact.

We can see that no false positive or false negative is obtained through this comparison. The VSSA alone could have been sufficient to classify the powders as nanomaterials. Given the external surface areas measured, the probability of obtaining a false negative was very low. Indeed, a false negative case would have been very likely if the VSSA measured in this study had been close to the thresholds of  $60 \text{ m}^2 \text{ cm}^{-3}$  for  $\text{TiO}_2$  or  $40 \text{ m}^2 \text{ cm}^{-3}$  for sepiolite and pigments, if we take into account the particle morphology (spherical particles for  $\text{TiO}_2$ , fibre-like particles for sepiolite and pigments) as explained in a previous document.<sup>13</sup> Here, the VSSAs are much higher, more than 50% than the different thresholds. Following the approach of Dazon *et al.*<sup>16</sup> where the particle morphology is not taken into account during the VSSA classification, a false negative would also have been improbable (the lower limit used is  $20 \text{ m}^2 \text{ cm}^{-3}$ ). The results obtained here also suggest that the VSSA parameter can be used both with the BET model and with the *t*-plot, without causing any change in the classification into nanomaterials or non-nanomaterials. Indeed, in the case of sepiolite for which we have evidenced microporosity, the use of BET or *t*-plot models to determine the external specific surface area leads to the same nanomaterial classification.

On the one hand, systematic use of the BET model can increase the probability of false positive classification due to potential overestimation of the  $A_{\text{Ex}}$  induced by microporosity. Since false positive cases are less serious than false negatives with regard to risk assessment for environment or occupational health, it seems prudent to promote the use of the BET model for the classification of nanomaterials if we choose to base it only on VSSA.

On the other hand, in the case of microporous powders, the internal surface area can prevail over the external surface area, and the powder can be incorrectly classified as a nanomaterial, thus entailing overprotective safety measures for its handling.

Such false nanomaterial identification could have an impact on the competitive development of the product. Thus, in order to optimise the use of VSSA for nanomaterial identification, it would be interesting to indicate clearly the context involved.

It seems obvious that for risk assessment, it is necessary to limit false negatives as much as possible and therefore to propose to apply only the BET model for the determination of surface area. In this case, there is no distinction between external surface and internal surface (if present in the powder). In other sectors such as industry, a proper identification of nanomaterials or non-nanomaterials can be crucial, and a clear distinction between external and internal surface area for VSSA determination must be made. In this particular context, the *t*-plot model could be proposed for the VSSA determination instead of the BET model. If the powder is only characterised by an external surface (no micropores), the *t*-plot or BET model will lead to the same value. It can be however emphasised that the BET model is much better known than the *t*-plot. It would therefore be worth proposing, in an industrial problem, to determine the surface area with the BET model for any given type of gas adsorption isotherms (non-



porous, mesoporous or microporous), then, depending on the surface area measured, apply the  $t$ -plot model to distinguish the external surface from the internal surface. We could propose for instance a BET surface area threshold for which we are sure that a  $t$ -plot application would lead to the same classification as with BET. For example, for highly microporous powders such as charcoal or activated carbon, the surface areas are generally higher than  $1000 \text{ m}^2 \text{ g}^{-1}$ . In this case, the  $t$ -plot model is necessary to distinguish clearly the external surface from the internal surface in order to classify properly the powder in the category of nanomaterials or not. If we obtain a surface area between  $300$  and  $500 \text{ m}^2 \text{ g}^{-1}$ , the microporosity is less pronounced and applying the  $t$ -plot will lead to the same result as the BET model.

This suggestion can be illustrated through the study on single wall carbon nanotubes (SWCNTs) carried out by Anson *et al.*<sup>26</sup> In their study, the SWCNTs, synthesized by arc-discharge method, have an  $A_{\text{BET}}$  of  $265 \text{ m}^2 \text{ g}^{-1}$  and an  $A_{\text{EX}}$  of  $105 \text{ m}^2 \text{ g}^{-1}$ . The skeletal density (obtained by helium pycnometry) is  $2.08 \text{ g cm}^{-3}$ . When we determine the VSSA with  $A_{\text{BET}}$ , we obtain  $551 \text{ m}^2 \text{ cm}^{-3}$  and  $218 \text{ m}^2 \text{ cm}^{-3}$  when using  $A_{\text{EX}}$ . In both cases, the SWCNTs are identified as nanomaterials based on the VSSA values, which is an expected result, even though we do not have the particle size or shape. It is important to consider here that the microporous nature of the SWCNTs is relatively small and the gap between the  $A_{\text{BET}}$  and  $A_{\text{EX}}$  is not enough to prefer  $A_{\text{EX}}$  in the VSSA calculation rather than  $A_{\text{BET}}$ . The  $300\text{--}500 \text{ m}^2 \text{ g}^{-1}$  threshold for  $A_{\text{BET}}$  that we propose is suitable here for the rapid identification of SWCNTs as nanomaterial based solely on surface area by the BET method (the simplest and most accessible) and skeletal density measurements. However, it is clear that for the future, studies should be carried out on this point in order to determine critical surface area values for which the BET method cannot be relevant for the determination of VSSA.

Finally, these new results encourage further VSSA investigations to generalise its use for the classification of nanomaterials without confirmation by a number size distribution. Indeed, we have presented powder mixes with bimodal number size distributions for which one could have been falsely identified as a non-nanomaterial due to lack of clear and relevant EM procedures for particle counting in such powder mix situation. Moreover, the comparison shows that the bimodal or the unimodal character does not seem to have any influence on the correct classification into the nanomaterial category *via* VSSA and using the conservative approach.<sup>16</sup> This statement can extend to the particle morphology since we had spherical or fibre-like particles. Here, this parameter could be reasonably used as an optional parameter for nanomaterial classification and not as a proxy, at least for spherical and fibre-like particles, when the shape is already known. However, the main issue now requiring further studies is the tolerance that we can offer for the different thresholds related to VSSA and nanomaterial classification. Here, the VSSA obtained are far above the thresholds, giving more confidence in the VSSA classification, but the case of boundary powders is still difficult. It could be envisaged in the future to study powders with VSSA close to the threshold of  $20 \text{ m}^2 \text{ cm}^{-3}$  and characterised by different particle morphologies and polydispersities.

## Conclusion

This study brings new results of the relevance of the Volume Specific Surface Area (VSSA) criterion applied to 9 powder mixes (bimodal  $\text{TiO}_2$  mixes, sepiolite and sepiolite-based pigments) for the identification of nanomaterials. We showed that for the determination of the VSSA, which requires the knowledge of external specific surface area and skeletal density, the values of surface area can be indifferently determined by the BET or  $t$ -plot method in the case of non porous powders (differences of less than 2% between the two models in absolute value). If the materials are (micro)porous, which can be easily evidenced from their  $\text{N}_2$  adsorption isotherms, the  $t$ -plot method should be preferred, otherwise there is a significant risk of obtaining false positives. By a simple comparison with the SEM method, we show that 8 mixes of powders composed of organic-inorganic particles (4 pigments) with a fibre-like constituent particle shape or nano/non-nano  $\text{TiO}_2$  (4 powder mixes) with sphere-like constituent particles are identified by VSSA as nanomaterial powders, which is confirmed by the SEM reference method. It is interesting to note that although the comparison of the average equivalent diameter determined by the SEM and VSSA methods shows discrepancies, the final results for nanomaterial identification by VSSA is correct, suggesting that VSSA could be used as a single parameter for nanomaterial identification. Moreover, although the difference is relatively small when using BET or  $t$ -plot models for non porous powders, it is necessary to choose carefully in case of microporous materials. The choice between the two methods for a relevant VSSA determination has never been clearly explained in literature and could hamper its practical use.

Currently, the open literature is still lacking of sufficient experimental data to prove the relevance of using VSSA as an optional criterion without risk of false identification. This promising criterion is obviously more attractive for small companies that need to identify nanomaterials in the workplace in a safe-by-design or risk assessment context. Indeed, it is more operational with regard to the techniques to be implemented (nitrogen adsorption and helium pycnometry) than the reference method of electron microscopy. Moreover, the lack of EM procedures to adequately address the case of powder mixes may lead to a misinterpretation of the nanomaterial or non-nanomaterial nature of the substance, due to the important operator artefact in this case. Unfortunately, in absence of several examples of reliable identification non-nanomaterials with VSSA and no false negative obtained, this criterion should be still used as a surrogate pending new experimental data. For the future, several ideas can be developed:

- Focus on the specific case of clays that are the most probable false negative nanomaterial with the VSSA parameter. Indeed, clays materials are fiber-like (as sepiolite) or platelets-like particles and their external specific surface area is generally low ( $<20 \text{ m}^2 \text{ g}^{-1}$ ),
- Need to include other non-nanomaterial powders in future studies to support the results related to the appropriate use of VSSA as a single criterion for nanomaterial identification without the risk of false negatives,



- More work should also be done on microporous materials, as this type of powder is the most likely false positive,
- Conduct studies on thresholds related to the use of *t*-plot model for the VSSA determination, indeed, external surface area limits for which the probability of false positive and false negative are extremely low could be proposed on the basis of significant experimental data. This could increase the use of the VSSA as the sole criterion to identify a nanomaterial or a non-nanomaterial. Special recommendations should also be associated, in particular on the outgassing conditions for adsorption and pycnometry measurements on which the VSSA is based,
- Evaluate the different metrics and assess the most relevant ones (mass metrics, surface metrics) for nanomaterial identification,
- Finally, for all the points aforementioned, a diversity of particle shapes should be integrated.

## Nomenclature

$A_{\text{Ex}}$ ( $\text{m}^2 \text{g}^{-1}$ )	External specific surface area determined with the <i>t</i> -plot method
$A_{\text{BET}}$ ( $\text{m}^2 \text{g}^{-1}$ )	Total specific surface area determined with the BET method, equivalent to $A_{\text{Ex}}$ in absence of micropores
$D_{50}$ (nm)	Median size of the constituent particles
$D_{\text{pp}}$ (nm)	Constituent particle size
$D_{\text{pp,max}}$ (nm)	Maximum constituent particle size measured
$D_{\text{pp,min}}$ (nm)	Minimum constituent particle size measured
$f_{\text{N}}$ (%)	Fraction of constituent particles lower than 100 nm
$N$ (-)	Number of constituent particles counted on SEM images
$\rho$ ( $\text{g cm}^{-3}$ )	Skeletal density determined with helium pycnometry

## Conflicts of interest

There are no conflicts of interest to declare.

## Acknowledgements

We would like to thank Sandrine Mathieu from Institut Jean Lamour (CC-MEM) for giving us high-quality SEM images of  $\text{TiO}_2$  powder mixes within a short time. We also thank Mathieu Pinault and Vincent Mertens from the CEA Saclay for providing us with the Ultra 55 Zeiss for observing sepiolite and pigments. Finally, we thank Nicolas Volle for delivering sepiolite and pigments in a reduced time and for all the advices and discussions concerning the characterisation results.

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