

# A diagnosis-based approach to assess specific risks of river degradation in a multiple pressure context: Insights from fish communities

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- 1 A diagnosis-based approach to assess specific risks of river degradation in a multiple pressure
- 2 context: insights from fish communities
- 3
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## 1 ABSTRACT

2 In the context of increasing pressure on water bodies, many fish-based indices have been 3 developed to evaluate the ecological status of rivers. However, most of these indices suffer from several limitations, which hamper the capacity of water managers to select the most 4 appropriate measures of restoration. Those limitations include: (i) being dependent on 5 6 reference conditions, (ii) not satisfactorily handling complex and non-linear biological 7 responses to pressure gradients, and (iii) being unable to identify specific risks of stream 8 degradation in a multi-pressure context. To tackle those issues, we developed a diagnosis-9 based approach using Random Forest models to predict the impairment probabilities of river 10 fish communities by 28 pressure categories (chemical, hydromorphological and biological). In addition, the database includes the abundances of 72 fish species collected from 1527 sites in 11 12 France, sampled between 2005 and 2015; and fish taxonomic and biological information. 13 Twenty random forest models provided at least good performances when evaluating 14 impairment probabilities of fish communities by those pressures. The best performing models indicated that fish communities were impacted, on average, by  $7.34 \pm 0.03$  abiotic pressure 15 16 categories (mean  $\pm$  SE), and that hydromorphological alterations (5.27  $\pm$  0.02) were more 17 often detected than chemical ones  $(2.06 \pm 0.02)$ . These models showed that alterations in 18 longitudinal continuity, and contaminations by Polycyclic Aromatic Hydrocarbons were respectively the most frequent hydromorphological and chemical pressure categories in 19 20 French rivers. This approach has also efficiently detected the functional impact of invasive 21 alien species. Identifying and ranking the impacts of multiple anthropogenic pressures that 22 trigger functional shifts in river biological communities is essential for managers to prioritize 23 actions and to implement appropriate restoration programmes. Actually implemented in an R 24 package, this approach has the capacity to detect a variety of impairments, resulting in an efficient assessment of ecological risks across various spatial and temporal scales. 25

- 26 Keywords: ecological risk assessment, functional trait, hydromorphology, machine learning,
- 27 invasive alien species, water chemistry

#### 28 INTRODUCTION

29 By the second half of the twentieth century, the international community substantiated waterrelated issues, and started to evaluate the ecological status of surface and ground waters 30 31 (Cooley et al. 2013). The assessment of this ecological status has been based on the monitoring of biological quality elements (BQEs; i.e., fishes, benthic invertebrates, 32 33 phytobenthos, macrophytes, phytoplankton) and of supporting environmental conditions (e.g. physico-chemical and hydromorphological parameters). Reaching the "good" ecological 34 35 status for all the water bodies has then been the overarching goal of many environmental legislations and water policies worldwide, including the Water Framework Directive in 36 37 Europe (WFD; EC, 2000). This objective has required to (I) evaluate the ecological status of water bodies, (II) identify the potential causes of deviation from the "good" status by 38 39 considering multiple anthropogenic pressure categories and (III) implement appropriate 40 monitoring, management and restoration programmes. This challenging goal has stimulated a 41 flourishing scientific literature, which aims at designing biotic indices capable of translating 42 river conditions into simple measures summarizing the complexity of ecosystems. For 43 instance, Birk et al. (2012) provided an overview of about three hundred methods based on the main BQEs to implement the WFD. Yet, a recent report from the European Environment 44 Agency indicated that only 40% of surface waters were at least in "good" ecological status 45 46 (EEA, 2018) suggesting that if step I is fulfilled for most of the EU members, steps II and III 47 require further collective efforts.

48

In the context of stream BQE-based evaluation, fish communities have received particular
attention, probably because they offer several advantages. They are widely distributed in lotic
ecosystems. They are relatively easy to identify at the species level and a substantial but
scattered amount of information is available on their functional and life history characteristics.

53	Their potential number of species is limited in Europe (< 250 species). They exhibit longer
54	lifespan compared to other BQEs, integrating environmental changes over longer periods.
55	Last, they are sensitive to biological (Gallardo et al., 2016; Sagouis et al., 2015), physico-
56	chemical and hydromorphological pressures (Azimi and Rocher, 2016; Schinegger et al.,
57	2012). In particular, weirs and dams are severely impairing fish assemblages (Branco et al.,
58	2014; Mims and Olden, 2013), diadromous species (Drouineau et al., 2018; Fuller et al.,
59	2015; Lasne et al., 2015), native fish species (Meador and Carlisle, 2012), and their
60	environment via habitat modifications and disruption in the ecological continuity of rivers, at
61	both local and large spatial scales (Ali et al., 2019; Kuriqi et al., 2019). To move efficiently
62	beyond the mere assessment of ecological status (step I), fish-based indices present, however,
63	at least one of three limitations that need to be overcome.
64	1) Fish-based indices must rely upon the debated notion of reference conditions, which are
65	often difficult to evaluate and an unrealistic target for the management of heavily modified
66	water bodies (Dufour and Piégay, 2009; Tweedley et al., 2017).
67	2) Despite growing evidence that fish communities may exhibit non-linear responses to
68	pressure gradients (Taylor et al., 2014), most fish-based indices assume linear relationships
69	(but see Bhagat et al., 2007).
70	3) Fish-based indices are unable to identify specific risks of stream degradation in a multi-
71	pressure context and to disentangle the effects of co-occurring pressures acting across various
72	spatial and temporal scales (Reyjol et al., 2014). This tremendously limits the capacity of
73	water managers to opt for the most relevant restoration measures, for a given water body.
74	
75	To overcome these limitations, we here developed a robust and flexible diagnosis-based
76	approach, able to identify individual pressures involved in fish community impairment under

77 multiple pressure scenarios. This approach has been grounded upon the fundamental notion

78 that functional species traits - the physiological, morphological and behavioural 79 characteristics of organisms - integrate the spatial and temporal variability of the environment (Southwood, 1977; Townsend and Hildrew, 1994). Various natural environmental filters, 80 81 acting at different nested scales from ecoregions to reaches, filter functional traits. Anthropogenic pressures are then able to modify this environmental filtering, leading to 82 83 different combinations of traits that are often specific to a given pressure category (Desrosiers 84 et al., 2019). Hence, according to our hypothesis, substantial modifications in the relative 85 frequencies of a large set of functional traits (i.e. biological metrics) within communities should specifically reflect biological responses to one or more environmental pressures. 86 87 Random forest (RF) models have been successfully applied in many environmental and 88 89 ecological studies including - but not limited to - air quality modeling (Choubin et al., 2020), 90 flood susceptibility assessment (Hosseini et al., 2020), groundwater nitrate modeling 91 (Rahmati et al., 2019), earth fissure modeling (Choubin et al., 2019), risk assessment for 92 invasive species (Keller et al., 2011; Philibert et al., 2011), and stream impairment modeling 93 under multi-pressure scenario (Larras et al., 2017; Mondy and Usseglio-Polatera, 2013). The advantage of RF models, over other uni- and multi-variate methods, is their ability to handle 94 95 non-linear relationships between predictors and response variables, to integrate complex 96 interactions among a large number of predictors and to generate good predictions with their 97 associated probabilities (Prasad et al., 2006; Rahmati et al., 2019). The proposed diagnosis-98 based approach is a generalization of the use of RF models to estimate probabilities of 99 community impairments, in a multi-pressure context, using complex combinations of 100 taxonomic and biological metrics. We tested this flexible approach with river fish 101 communities by: 1) compiling fish functional traits sensu stricto and any relevant information 102 on how fish assemblages may interact with their environment (e.g. functional guilds, life

history strategies, taxonomic structure), 2) identifying and describing abiotic and biotic 103 104 pressure categories to which fishes are potentially sensitive at various spatial and temporal 105 scales, and 3) using RF models to calculate probabilities of community impairment by any 106 given pressure category. Based on fish communities, this study aims at demonstrating the 107 efficiency of the flexible diagnosis-based approach for enhancing our understanding of shifts 108 in ecosystem structure and functions triggered by given levels of various anthropogenic pressures (step II), and for facilitating decision-making processes by environmental managers 109 110 (step III).

111

## 112 MATERIAL & METHODS

113 Fish and environmental data

This approach is based on three large datasets gathering information on i) the spatial and temporal distribution of French stream fish communities ("Fish record dataset"), ii) the taxonomic, biological, and ecological characteristics of fish species ("Fish characteristic dataset"), and iii) the levels of chemical, hydromorphological, and biological pressures impairing sites at each sampling event ("Pressure dataset").

119

120 The Fish record dataset contains electrofishing samples performed from 2005 to 2015 in 1527 121 sites belonging to the French Water Framework Directive's surveillance monitoring, covering 122 the mainland France (c.a. 550 000 km<sup>2</sup>). The standardized electrofishing protocol followed the 123 recommendations of the European Committee for Standardisation's standard (CEN, 2003; 124 Marzin et al., 2014). This protocol was conducted during low-flow periods (from May to 125 October) with a sampling design that depended on river width and depth. Fishes were 126 identified to the species level, counted and then released back into the river. On average, the 127 sites were sampled  $5.5 \pm 0.1$  times (Mean  $\pm$  SE; min = 1; max = 11) over the 2005-2015

period. The Fish record dataset was thus composed of the abundances of 72 fish speciesdistributed in 8529 sampling events (site x year; Table A).

130

131 The Fish characteristic dataset gathered information about 56 fish characteristics (260 132 categories) compiled from different sources of information (Table B). Hereafter, we considered 'fish characteristics' as any descriptive information related to the physiology, 133 134 morphology and behaviour (i.e. functional traits *sensu stricto*; N = 53), life history strategy 135 (lifespan; N = 1), and taxonomy (family and order levels; N = 2) of fish. Fifty-five fish 136 characteristics were coded using a full disjunctive system (i.e. presence/absence of various 137 categories). One fish characteristic was structured using a fuzzy-coding technique ('food'; Table B). The number of categories defined per characteristic was rather low (between 2 and 138 139 10), except for the characteristics related to taxonomic classification (N = 20140 families/categories; N = 13 orders/categories).

141

142 The Fish record and Fish characteristic datasets were then used to calculate 1576 metrics for 143 each of 8529 fish sampling events. These metrics covered: (i) the relative frequency of the 144 log-transformed abundances of organisms using each of the 260 categories belonging to the 145 56 fish characteristics (260 metrics), (ii) taxonomy-based diversity indices calculated at the 146 scale of each trait category (e.g. the proportion of piscivorous taxa, the Shannon diversity of 147 rheophiles; 1255 metrics), (iii) Rao functional diversity indices calculated at the scale of each 148 characteristic (Rao, 1982; 56 metrics), (iv) the level of diet specialization (Mondy and 149 Usseglio-Polatera, 2014; one metric) and (v) taxonomy-based richness or diversity indices 150 (e.g. the species richness, the Shannon diversity index; four metrics; Table B).

151

The Pressure dataset was obtained by defining 28 pressure categories that describe the 152 153 impairment of water quality (WQ: chemistry; 13 categories; 188 individual parameters taken 154 into account; Table C), the impairment of habitat (HD: hydromorphology; 14 categories; 29 155 individual parameters; Table D), and the contamination of local fish assemblages by invasive 156 alien species (IAS: 1 category; 1 individual parameter; Table A), at each site for each 157 sampling date. These pressure categories were selected and defined according to available 158 information and their potential relevance for fish communities. Each pressure category was 159 defined by one to several individual parameters, each of which was described by two pressure 160 levels ("low" vs. "significant"). Then, the pressure level allocated to a given site for a given 161 pressure category was the worst pressure level over all the individual parameters taken into 162 account for characterizing this pressure category (i.e., following the "one out, all out" 163 aggregation rule; Gottardo et al., 2011).

164

165 For parameters related to WQ pressure categories, pressure levels were assessed by 166 comparing the mean value of individual parameters over a given period with the 167 "good/moderate" quality class boundary of the French water quality assessment system (i.e. 168 SEQ-Eau V2.0; Oudin and Maupas, 2003), allowing to distinguish "low" (high/good) from 169 "significant" (moderate/poor/bad) pressure levels. Four of the 14 HD pressure categories were 170 defined according to the literature (i.e. 'Transportation facilities, 'Urbanization', 'Hydrological instability', 'Straightening'; Larras et al., 2017; Mondy et al., 2012; Mondy and 171 172 Usseglio-Polatera, 2013; Villeneuve et al., 2015), whereas the remaining categories and 173 associated parameters were newly described in this study after preliminary tests to define the 174 low-significant boundaries of pressure levels (Table D). For the IAS pressure category, we 175 have considered as "significant" the effect of the presence of at least one invasive alien 176 species in the fish assemblage. The list of invasive alien species, including 17 species, was

established by combining the information from three data sources: the French National
Museum of Natural History, the IUCN Red list of threatened species in France (those
considered as "alien species") and Fishbase (those considered as "Potential pest") (more
details in Table A). We used the Cohen's kappa coefficient to assess non-random cooccurrences of pressure categories; i.e., after removing random co-occurrences ("low" *vs.*"significant"; *psych*-package in R).

183

## 184 Random forest models

185 Random forests (RF) are a particular type of machine learning methods able to investigate complex and potentially non-linear relationships (Breiman, 2001). Although several other 186 187 types of machine learning methods exist (k-nearest neighbour, support vector machine), RF 188 models were selected here because they can handle a large number of predictive variables. 189 The importance of the contribution of those predictors to model outputs (probabilities) can be 190 easily quantified. Moreover, RF models provide good predictive performances (Rahmati et 191 al., 2019). One RF model was built per pressure category taking the pressure level ("low" vs. 192 "significant") as the response variable and the fish metrics as the predictive variables. We 193 tested five periods (from one to five years before fish sampling) to identify the most likely 194 integration time of fish communities regarding WQ for two reasons: fish have potentially long 195 life cycles (from one year to several decades) and the water samples (taken for chemical 196 analyses) are punctual (i.e., several times a year) and were not necessarily obtained the same 197 day as fish samples. Since all the HD pressures were defined once for the period covered by 198 fish sampling, we did not test several integration periods as we did for WQ pressures. The 199 period yielding the best model performance (see below) was selected independently for each 200 WQ pressure. Among all the pressures, the one induced by the invasive alien species is 201 particular because it is the only biotic pressure where a subset of the fish assemblage (IAS)

may impact the other subset (native species). To this end, the associated RF model was built 202 203 differently. All the fish metrics were calculated again without the invasive alien species 204 (predictive variables) while the presence (= "significant pressure") versus absence (= "low 205 pressure") of invasive alien species was considered as the response variable. Although the 206 *ecodiag*-package presented in this study offers ways to tune RF models and can easily be used 207 in a cross-validation procedure, we have only presented the results of models parametrized 208 following published strategies (e.g., 1500 classification trees, random selection of 50 fish 209 metrics at each node in individual trees; (Larras et al., 2017). Based on the fish metric values, 210 each pressure-specific model therefore provided, for each sampling event (n = 8529), a mean 211 impairment probability calculated over all the trees defining a given forest. In order to identify 212 pressure syndromes (i.e. combinations of pressures often impairing fish communities) over 213 the entire studied area (mainland France), we also performed a Principal Component Analysis 214 (PCA) on the impairment probabilities predicted by the abiotic and biotic models exhibiting at 215 least "good" performances, considering all the 8529 sampling events.

216

## 217 Model performances

To assess model performances, each RF model was built on a learning dataset and then tested 218 219 against a test dataset (Larras et al., 2017; Mondy and Usseglio-Polatera, 2013). Learning and 220 test datasets corresponded to independent subsamples of the input database, i.e., the metric 221 and pressure data measured for all the sampling events. To take into account the temporal 222 correlations among sampling events, the sites were randomly allocated either to the learning 223 or to the test dataset (75% and 25% of the sites, respectively). Model performance was then 224 evaluated with the Area Under the Curve (AUC). The AUC values indicate "good" model 225 performances if they are equal to or higher than 0.70 (i.e., maximizing both sensitivity and 226 specificity; Pearce and Ferrier, 2000). We used this AUC criterion to assess model

performances using mainly the test (AUC<sub>test</sub>) datasets, and to a lower extent the learning
(AUC<sub>learn</sub>) one. Evaluating both AUC<sub>test</sub> and AUC<sub>learn</sub> represent a trade-off to minimize
prediction errors in test datasets and to avoid over-fitting in learning datasets. We then
investigated spatial and temporal changes in RF model outputs (i.e., impairment probability
by any given pressure) using models with the best performances.

232

## 233 *Metric importance and ecological validation*

234 Our approach is based upon the assumption that community responses to environmental 235 pressures manifest through changes in the relative utilization frequency of functional traits 236 (trait-based metrics). Trait-based metrics may not contribute equally, however, to this 237 community response. To our knowledge, there are currently eight methods that can evaluate 238 the importance of each fish-based metric in the community response to each pressure category 239 (i.e., RF model output): "anova.test", "auc", "chi.squared", "gain.ratio", "information.gain", "kruskal.test", "ranger.impurity", and "ranger.permutation". Those methods rank metrics 240 241 from the most to the least important metric in each RF model. Since those methods may 242 provide different ranking values for a given metric, the ranks provided by each method were first scaled in the range [0,1], and then averaged across all the methods (Congalton, 1991). 243 244 This mean scaled rank was considered as the final measure of metric importance. The 245 ecological validation of our approach was then performed by investigating the relationship 246 between the fish metric values and the impairment probabilities generated by each RF model. 247 This relationship was illustrated for the most important metrics (based on their mean scaled 248 ranks) and the most accurate abiotic and biotic models (AUC > 0.7). The strength of those 249 relationships was assessed using non-parametric Spearman correlations. The diagnosis-based 250 approach was implemented in the *ecodiag*-package in R (R Core Team, 2018) and is currently 251 hosted on GitHub (Table E).

## 253 RESULTS

#### 254 *Model performances*

The integration period over which the WQ pressures were assessed had a pressure-dependent effect on the performances of the corresponding RF models and varied from three to five years (Table 1; Table F). For example, the best model performances were obtained using an integration period of three years for "Nitrogen compounds" whereas they were obtained using an integration period of five years for "Phosphorous compounds". Due to an insufficient amount of data corresponding to significantly impaired conditions regarding "Acidification",

this WQ pressure was discarded from further analyses.

262

263 All the HD, WQ and IAS models had AUC<sub>learn</sub> values higher than 0.75, except for "Mineral 264 micropollutants" (AUC<sub>learn</sub> = 0.67; Table 1). In contrast, some models exhibited "poor" (four 265 models) or only "fair" (three models) performances with the test dataset. Most of the models, 266 however, displayed good performances with both the learning and test datasets (AUC > 0.70; 267 HD: 10/14; WQ: 9/12; IAS: 1/1), resulting in clearly distinct distributions of impairment 268 probabilities across pressure levels (Fig. A). For the 19 abiotic models, we found no 269 significant differences in AUC<sub>test</sub> between the ten HD and the nine WQ pressure categories 270 (Wilcoxon rank sum test: W = 55.5, p-value = 0.41; similar results were obtained with 271 AUC<sub>learn</sub>: W = 29.5, p = 0.22).

272

## 273 Impairment probabilities across spatial scales

The proposed diagnosis-based approach applied to fish communities allowed us to investigate
pressure patterns at different spatial scales. At a large spatial scale (mainland France), the RF
models identified the hydromorphological pressures as the most frequently significant

277 pressures (from 26 to 81% of the sampling events) detected at any given site compared to 278 water quality pressures (mainly from 8 to 22%; except for "Nitrates" [48%] and "PAH" 279 [54%]) or invasive alien species pressure (43% of the sampling events; Fig. 1A). Overall, RF 280 models predicted that a given sampling event was significantly impacted, on average, by 5.27 281  $\pm 0.02$  HD (min. = 0, max. = 10) and 2.06  $\pm 0.02$  WQ (min. = 0, max. = 9) pressure types 282 (impairment probabilities > 0.50). The first two PCA axes explained 50% of the total variance 283 in impairment probabilities (Fig. 1B). The first axis discriminated two hydromorphological 284 pressures related to the river continuity (HD12 = 'Longitudinal continuity'; HD14 = 285 'Proximal continuity'; negatively correlated to Axis 1) from all the other pressure categories 286 (more or less positively correlated to Axis 1). The second axis separated the two broad types 287 of pressure categories with most of the HD and WQ pressure impairment probabilities being, 288 respectively, positively (except HD07) and negatively (except WQ9 and WQ11) correlated to 289 this axis (Fig. 1B). The IAS pressure was positively – but lowly - correlated to the first PCA 290 axis. Note that overall the non-random co-occurrence of pressure categories (RF models' 291 inputs) was very low (mean Cohen's Kappa coefficient =  $0.07 \pm 0.01$ ) except for 11 of the 292 190 pairs of pressures (Table G).

293

294 The proposed diagnosis-based approach also highlighted broad spatial patterns in stream 295 degradation. For each site and each of four pressure categories, impairment probabilities were 296 averaged across sampling events (over the 2005-2015 period) to remove the temporal effect in 297 the data, and then plotted on a map displaying the main French drainage basins (Fig. 2). We 298 provided this spatial distribution of impairment probabilities for the two HD and WQ models 299 exhibiting the highest performances. The regional patterns of impairment probabilities were 300 different among pressure categories. The risk of fish community impairment by 'Longitudinal 301 continuity' alteration was lower (mean impairment probability < 0.50) for rivers located near

302 the Atlantic and Mediterranean coasts, than those located further inland (Fig. 2A). The effects 303 of modifications in 'Stream width', a proxy of stream channeling and bank modifications, on 304 fish communities, were the most important in sites located on the main large rivers (the Loire, 305 the Rhone, the Seine, and the Adour-Garonne rivers; Fig. 2B) and their lowland tributaries. In 306 addition, 'Nitrates' and 'Nitrogen compounds' (other than nitrates) exhibited contrasting 307 impairment patterns: the former had a pronounced, large-scale impact in the northwestern part 308 of France, and to a lower extent, in the Adour-Garonne basin (South-west; Fig. 2C) whereas 309 the latter had rather local effects on communities and mainly in areas exhibiting the most 310 intensive agricultural activity (Fig. 2D). The impact of invasive alien species on native fish 311 assemblages, was also the most important in sites located on main large rivers (the Loire, the 312 Rhone, the Adour-Garonne, and to a lower extent, the Seine rivers) and their lowland tributaries (Fig. B). Finally, we assessed the global impairment probability of the whole fish 313 314 communities (including native and invasive alien species) over the decade, by averaging the 315 impairment probabilities of the 19 HD and WQ models with at least good performances 316 (AUC<sub>test</sub> > 0.70; Table 1). Least impacted sites were mainly located within or near the main mountain ranges (e.g., Pyrenees, Alps, Massif Central mountains, and to a lower extent the 317 Vosges, and Jura Mountains; Fig. 2E). 318

319

## 320 Impairment probabilities across temporal scales

This approach has also allowed assessing broad temporal patterns in stream degradation at large spatial scale. Figure 3 depicts changes in the distribution of impairment probabilities at all the sites sampled in both 2005 and 2015 (n = 543). These trends showed pressure-specific patterns. There was only a significant decreasing risk of community impairment due to 'Nitrogen compounds' and to 'Phosphorous compounds' in 2015 compared to 2005 (Wilcoxon sign-rank test for paired data = 9.5 10<sup>4</sup> and 1.0 10<sup>5</sup>, both p-values < 0.0001,

respectively; Fig. 3D and F). Differences in the total impairment probabilities (i.e. mean impairment probabilities of the 19 HD and WQ models with AUC<sub>test</sub> > 0.70; Table 1) between 2005 and 2015, tended to decrease, indicating a weak improvement in overall site condition over the decade (Wilcoxon sign-rank test for paired data =  $1.0 \ 10^5$ , p-value < 0.0001; Fig. 3G). There was also a significant decrease in the impairment probabilities induced by the invasive alien species (Wilcoxon sign-rank test for paired data =  $8.7 \ 10^4$ , p-value = 0.0004; Fig. 3H).

334

335 Finally, the diagnosis-based approach allowed detecting temporal patterns in ecological recovery or ecological degradation at a local spatial scale (i.e. site level). Two sites, A and B 336 337 (located on the Fig. 2F), were sampled between 2005 and 2015, and characterized using 338 outputs of the three most efficient WQ ('Nitrates', 'Nitrogen compounds', 'Phosphorous 339 compounds') and HD ('Longitudinal continuity', 'Stream width', 'Transportation facilities') 340 models. Site A displayed low impairment probabilities for all the six pressure types over the 341 whole decade (impairment probabilities far lower than 0.50; Fig. 4A) with no clear variation 342 in temporal pattern. In contrast, site B exhibited rather high impairment probabilities 343 (impairment probabilities  $\geq 0.50$ ) for all the six pressure categories in 2005. However, for this 344 site, the model results seem to indicate an improvement over the decade regarding the three 345 nutrient-related pressures: nitrates and, during the more recent years, phosphorous and other 346 nitrogen compounds (Fig. 4B).

347

348 Ecological validation

The relationships between impairment probabilities and observed metric values were explored for the five most contributive metrics to each model (among 1576 tested metrics) and each of the 19 most accurate RF models (AUC<sub>test</sub> > 0.70; Table 1). For example, the probability of

352 impairment in longitudinal continuity between a given site and the sea increased with a 353 decreasing proportion of individuals requiring more than four years to reach sexual maturity 354 in the fish assemblage (i.e., Anguillidae, sea and river lampreys; Fig. 5A). Conversely, the 355 proportion of eurythermal individuals in the assemblage was positively correlated to the 356 probability of impairment by hydrological instability (Fig. 5B). There was a negative and non-357 linear relationship between the relative abundance of individuals with a long period of 358 reproduction and the probability of significant impairment of fish communities by nitrates 359 (Fig. 5C). Finally, a negative, linear relationship was also detected between the proportion of 360 lithophilous spawners and the probability of impairment by fungicides (Fig. 5D). The 361 remaining relationships and associated correlation coefficients are listed in Table H.

362

#### 363 DISCUSSION

#### 364 *Reliability of the diagnosis-based approach*

365 Combining a diverse array of taxonomic and functional information on fish communities has 366 enabled us to build efficient models predicting community impairment by individual 367 anthropogenic pressure categories in a multi-pressure context. Compared to many other 368 studies on fish assemblages, we have constructed an unparalleled fish characteristic database 369 by gathering and harmonizing information from various published functional trait databases, 370 enriched by additional information on life-history strategies (e.g., longevity) and taxonomy (at 371 family and order levels) of fish (Table B). We have taken into account a large set of pressure 372 categories related to water quality (n = 13), habitat degradation (n = 14), and invasive alien 373 species (n = 1), unlike most of previous fish studies investigating the effects of pressures 374 related to WQ (Azimi and Rocher, 2016), HD (Adamczyk et al., 2017) or both WQ and HD 375 but targeting less than 15 pressure categories (Pont et al., 2006; Schinegger et al., 2018, 2012; but see Allan et al., 2013). The majority of the pressure-specific models built here exhibited 376

377 very good (AUC<sub>test</sub>  $\ge$  0.80) or good performances (0.70  $\le$  AUC<sub>test</sub> < 0.80). Using the 20 best 378 performing models, we have detected a higher proportion of sampling events impaired by HD 379 than WQ or IAS pressures (Fig. 1A). This result is consistent with recent literature that has 380 considered that hydromorphological alterations (e.g. river discontinuities) may be the most 381 frequent pressures acting on fish communities (Poikane et al., 2017; Schinegger et al., 2012) 382 and on European surface waters, in general (EEA, 2018). Although we must be careful when 383 comparing studies with not strictly similar definitions of pressure types, the obvious trend of a 384 greater effect of HD pressures on fish communities suggests (i) more widespread 385 anthropogenic modifications in HD than WQ conditions of streams, and/or (ii) higher 386 sensitivity of fishes to changes in HD conditions than to changes in WQ conditions. Because 387 we have found (i) a higher proportion of fish communities impaired by HD than WQ pressure 388 categories (Fig. 1A) and (ii) no significant differences in the capacity of RF models to detect 389 HD or WQ pressure categories, the first hypothesis seems more plausible.

390

## 391 *Disentangling multiple co-occurring pressures*

392 In this diagnosis-based approach, a RF model is built for each pressure category to detect 393 bio/ecological shifts in fish communities. However, biological communities are often 394 simultaneously impacted by multiple pressures (Allan et al., 2013; Poikane et al., 2017; 395 Schinegger et al., 2018; this study). We found that fish communities were significantly 396 impacted by, on average,  $7.34 \pm 0.03$  abiotic pressure categories (mean  $\pm$  SE; impairment 397 probability > 0.50; min. = 0, max. = 17). In a multi-pressure context, RF models are robust 398 methods to detect the main impairment probabilities of fish communities by individual 399 pressure categories using various combinations of biological and taxonomic metrics that 400 respond to those pressures (Table H, Fig. 5). However, there are two main reasons explaining 401 why the combined effects of co-occurring pressures on fish communities (e.g. direct, indirect,

nested, additive, synergistic or antagonistic) may have reduced the efficiency of some RF 402 403 models in their capacity to detect the effects of individual pressure categories (Townsend et 404 al., 2008; Villeneuve et al., 2018). First, the intensity of a given pressure may not be strong 405 enough to provide a distinguishable signal in the functional trait profiles of fish communities. 406 Second, the pressure gradient was not wide enough - i.e., provided not enough contrasting 407 situations ("low" vs. "significant") - to be efficiently discriminated by RF models. In those cases, comparing the variations in trait profiles of fish communities in mono- vs. multi-408 409 pressure contexts may help to improve the performance of poorly accurate RF models (Larras 410 et al., 2017) and to understand the underlying effects of multiple pressures on ecosystem 411 functions (Poikane et al., 2017).

412

#### 413 Ecological validation and interpretation

414 The analysis of the contribution of the most important biological metrics to the model 415 impairment probabilities as well as the analysis of the response patterns of those metrics along 416 an increasing gradient of impairment probability, allowed us gaining insights into the 417 potential mechanisms explaining the community responses - linear or non-linear - to multiple pressures (Fig. 5, Table H). Yet, mechanisms of whole community responses to multiple 418 419 pressures may be more complex than mere pressure-response relationships. For example, HD 420 and WQ pressures may have direct lethal or sublethal effects on fish and indirect functional 421 effects that percolate - more or less rapidly - through food webs. A combination of those 422 direct and indirect effects may explain why the best RF model performances were obtained 423 using different integration periods for the different WQ pressure categories. Therefore, the 424 different integration periods should be interpreted as the period of time over which individual 425 WQ parameters need to be taken into account to observe significant shifts in the functional 426 composition of fish communities. A comprehensive ecological interpretation of RF model

outputs and underlying mechanisms would thus require answering the following questions i) *"which combinations of fish metrics respond to which pressures?*" and ii) *"which ones are the most (or least) responsive?*". Although we have provided a few examples (Fig. 5, Table H),
with more than 1500 fish metrics taken into account in this study, this task is challenging and
beyond the scope of the present study. Addressing this task along with evaluating functional
trait profiles in mono- vs. multi-pressure context would represent a significant addition to the
research in the field of ecological risk assessment.

434

## 435 Impairment probabilities across spatial and temporal scales

Based on the large spatial-temporal extent of our datasets, we have been able to investigate 436 437 spatial and temporal patterns in fish community responses to anthropogenic pressures, via RF 438 model results. The spatial patterns of river degradation found in this study were consistent 439 with those observed in recently published papers; e.g. the widespread impact of longitudinal 440 continuity impairment (Fig. 2A) on European (EEA, 2018; Schinegger et al., 2018) and North 441 American fish communities (Cooper et al., 2016). In addition, Schinegger et al. (2012) 442 showed that, overall, both WQ and HD pressures impaired lowland rivers whereas HD 443 pressures dominantly impaired mountain and headwater streams, resulting in lowland streams 444 being prone to a higher risk of degradation than mountain streams. Our analyses have 445 confirmed this observation as the mean impairment probability (IP) of the 19 best performing 446 abiotic RF models was negatively correlated with the site altitude (Spearman rank correlation 447 coefficient = -0.59, p-value < 0.0001; see also Fig. 2E).

448

The temporal patterns in river conditions indicate that risks of river impairments, by at least
two WQ variables, have significantly decreased between 2005 and 2015 (e.g., for nitrogen
(except nitrates) and phosphorous compounds; Fig. 3D and F). These results are in line with

452 recent reductions in nutrient pollutions within freshwater ecosystems after many EU 453 environmental policies to prevent nutrient losses (EEA, 2018; Floury et al., 2013; Latli et al., 454 2017). Similar decreasing trends were observed for the overall risk of river degradation (Fig. 455 3E). At the site scale, the diagnosis-based approach was also able to detect a decrease in 456 impairment risks due to two WQ pressures ('Nitrates' and 'Nitrogen compounds') over a ten-457 year period (Fig. 4B). Overall, a powerful advantage of this approach is that various 458 combinations of spatial (from site to catchment area) and temporal (from years to decades) 459 scales can be investigated in order to optimize the selection of the most appropriate 460 restoration measures, considering the pressure categories providing the highest impairment probabilities. 461

462

#### 463 *Effects of invasive alien species*

464 Our diagnosis-based approach using a wide variety of taxonomic and functional information 465 has demonstrated high performance for evaluating the risk of community impairment by 466 invasive alien species (AUC*test* = 0.893). With 43% of all the sampling events being 467 significantly impacted by invasive alien species (impairment probability > 0.5; Fig. 1A; Fig. 468 B), our results have indicated that this pressure category is widespread across the French 469 metropolitan territory. Those results are in line with many other recent studies from other 470 locations worldwide (e.g., Europe, North America, New Zealand) and dealing with various 471 invasive alien species across the tree of life (e.g., fish, reptile, birds, fungi, plants; Gallardo et 472 al., 2016; Peoples and Goforth, 2017a, 2017b; Peoples and Midway, 2018; Strubbe et al., 473 2015).

474

475 Our results have indicated, however, that impairment probabilities due to invasive alien476 species globally decreased significantly over time in the sites that were sampled in both 2005

477 and 2015 (i.e., over a decade; Fig. 3H). Assuming that the risk of impairment by invasive 478 alien species reflects the vulnerability of the native assemblage, and then the state of the 479 surrounding ecosystem, we would expect to have higher probabilities of impairment by 480 invasive alien species in disturbed sites compared to less disturbed sites (Gallardo et al., 481 2016). We have tested this hypothesis using two distinct, but complementary ways. First, we 482 have checked the most important fish metrics contributing to the model predictions and found 483 that the "proportion of omnivorous fish species in the native assemblage" was one of these 484 metrics, and was highly (rho = 0.85) and positively correlated to the probability of impairment 485 by IAS (Table H). This first result is supporting our hypothesis since omnivorous and 486 generalist species are usually found in disturbed aquatic systems with high turbidity, organic 487 matter and nutrient load (Gallardo et al., 2016). Second, we have evaluated the correlation 488 between the mean impairment probabilities over the 19 abiotic pressure categories—a proxy 489 of the overall ecosystem state—and the impairment probabilities resulting from the IAS RF 490 model. Interestingly, we have found a positive and significant correlation (Fig. C), suggesting 491 that the significant decrease in the impairment risk of native assemblages by invasive alien 492 species was most likely related to the significant improvement in the ecosystem state over the 493 studied decade (i.e., significant decrease in the mean total impairment probability; Fig. 3G). 494

Although our diagnosis-based approach was efficient at detecting the impairments induced by the invasive alien species and provided encouraging results, we acknowledge that an exhaustive evaluation of their effects on native communities is a more challenging task than simply considering their presence/absence (Jeschke et al., 2014; Schlaepfer, 2018). First, establishing a list of IAS was not a trivial task since this status could change across locations (local scale vs. regional scale), expert knowledge and institutions. This is the reason why we have chosen to combine the information from three main data sources (Table A). Second,

502 along with the presence/absence of invasive alien species, we could integrate their taxonomic 503 richness and composition (i.e, species identities and relative abundances) as well as key 504 functional metrics (e.g., the proportion of invasive alien predators, the maximum weight or 505 fecundity of invasive alien species) within a multi-parametric "invasive alien species" 506 pressure category. In this sense, our data indicate that, when present in the assemblage (i.e., 507 41% of the sampling events using abundance data), the IAS represented on average  $6.1 \pm 0.2$ 508 % of the total fish abundances within the assemblages. Third, we have only considered the 509 impairment of native assemblages by invasive alien fish species whereas recent meta-analyses 510 have demonstrated that the expansion of invasive alien macrophytes (Gallardo et al., 2016) or 511 benthic macroinvertebrates (Latli et al., 2017; Otjacques et al., 2016) could trigger 512 disproportionate adverse effects on native fish communities. Integrating those suggestions in 513 future studies would most likely help to improve our understanding of the impacts of invasive 514 alien species on native fish communities.

515

516 *Caveats* 

517 We admit that the definition of multi-parametric "pressure categories" has required the 518 aggregation of miscellaneous pressure parameters (from 1 to more than 70; described at 519 various spatial and temporal scales). Each parameter may influence the fish assemblages and 520 the fish-based metrics in different ways. The use of multi-parametric pressure categories was, 521 however, a robust way to reduce models' complexity and to deal with heterogeneous 522 environmental data (e.g., parameters with missing data). Indeed, the performance of this 523 predictive approach relies on the accuracy and availability of data used to characterize 524 anthropogenic pressures. For instance, the 'Acidification' model was dropped since the 525 associated pressure gradient could not be properly described (i.e., lack of significantly 526 impacted sampling events). In addition, the amount of data available for describing the

527	intensity of WQ pressure categories was more variable among sampling events compared to
528	HD ones (i.e., more missing data in WQ than in HD pressure categories; Tables C and D).
529	Yet, the performances of WQ and HD models were not significantly different (i.e., no
530	significant difference in AUC <sub>test</sub> between the ten HD and nine WQ models exhibiting at least
531	'good' performances; see 'Results' section), thus demonstrating the robustness of this
532	diagnosis-based approach. We therefore advocate that future studies on risk assessment
533	should seek to establish standardized definitions of pressure categories based on (i) data
534	availability and accuracy, and (ii) a broad range of individual pressure parameters, including,
535	but not limited to, climatic factors (e.g. modifications in temperature and precipitation
536	patterns), and overharvesting (Schinegger et al., 2013).
537	
538	A recurrent issue in ecological risk assessment studies is to discriminate the individual effects
539	of correlated pressure categories. This issue, however, can be addressed with our diagnosis-
540	based approach by evaluating the most contributive fish metrics to each RF model of the
541	correlated pressure categories. The hypothesis behind is that two strongly correlated pressures
542	should be translated into similar functional trait profiles within communities. Although we
543	found that the non-random co-occurrence of pressure categories was low overall (mean
544	Cohen's Kappa coefficient = $0.07 \pm 0.01$ ), a few pairs of pressure categories exhibited rather
545	high co-occurrences (Table G). Interestingly, correlated pressure categories shared some
546	similar fish metrics among the five most important ones to each model thus confirming our
547	hypothesis (Tables G and H).

549 Implications of the diagnosis-based approach

550 The present work has proposed an approach to help scientists and environmental managers for

551 identifying the individual impacts of various anthropogenic pressures potentially impairing

stream fish communities, both taxonomically and functionally (step II). We have designed the 552 553 R ecodiag-package to allow assessment of pressure-specific impairment risks and to 554 investigate the responses of communities to contrasting pressure levels. This R package 555 produces convenient visualizations of model outputs (radar charts), enhancing the 556 simultaneous evaluation of multiple pressures and the comparison of impairment risks over 557 time. This last point is particularly useful for stream managers to rank the effects of co-558 occurring pressures for prioritizing management measures and implementing the most 559 appropriate ones, for restoring river integrity (step III). Although we mainly presented results 560 at the country scale (mainland France), this approach can also be applied at the site or local 561 scale, which is, to date, the main spatial scale of most management measures. For instance, in 562 the situation of Fig. 4B, stream managers could focus their efforts on reducing the effects of 'Phosphorous compounds', 'Transportation facilities' and anthropogenic modifications of 563 564 'Stream width', by acting on the individual parameters defining these pressure categories (e.g. 565 total phosphorous concentrations, presence of roads or railways near stream banks and areas 566 of intensive farming, respectively). The other significant pressures could be more difficult to 567 manage over a short period (e.g. the cumulative effects of barriers between the studied site 568 and sea; pressure category 'Longitudinal continuity') or did not represent serious threats 569 (impairment probability lower than 0.50; 'Nitrates', and 'Nitrogen compounds').

570

#### 571 Conclusion

The current application of the diagnosis-based approach does not aim at replacing existing fish-based indices. The major goal of fish-based indices is to provide an efficient evaluation of the global impact of anthropogenic pressures impairing the monitored water bodies. This approach is rather complementary to fish-based indices for more precisely identifying the nature of the anthropogenic pressures significantly impairing each of these water bodies (here,

577 river reaches) and for helping managers to select the best strategy for restoring water and 578 habitat quality in these water bodies (e.g. reaching the 'good' ecological status). Out of the 28 579 abiotic and biotic pressure categories tested, the random forest models have efficiently 580 detected the impairments due to nine chemical, ten hydromorphological and one biological 581 pressures. The best performing models also indicated that fish communities were impacted, 582 on average, by seven abiotic pressure categories but the overall impairment probabilities of 583 the whole fish assemblages (including native and invasive alien species) decreased 584 significantly between 2005 and 2015. This diagnosis-based approach is robust and flexible 585 enough to assess specific risks of river degradation under multi-pressure scenarios using 586 distinct biological quality elements (i.e., macroinvertebrates, diatoms, and now fishes). It is 587 also capable to handle complex pressure-response relationships (linear and non-linear relationships), able to diagnose various water bodies (from headwaters to lowland rivers), and 588 589 to simultaneously consider a variety of pressure categories (hydrological, chemical and 590 biological ones). In fine, merging the information provided by distinct biological quality 591 elements (e.g., macroinvertebrates, diatoms, fishes, macrophytes) should pave the way for a 592 holistic and efficient understanding of the structure and functions of stream ecosystems, that 593 will help water managers worldwide in the decision-making process in relation to river 594 restoration.

595

#### 596 SUPPORTING INFORMATION

- 597 Table A: List of fish species
- 598 Table B: List of fish characteristics
- 599 Table C: Description of water quality pressure categories
- 600 Table D: Description of hydromorphological pressure categories
- 601 Table E: *ecodiag*-package

- Table F: Results of RF models for water quality pressure categories and associated integration
- 603 periods
- Table G: Cohen's kappa coefficients evaluating the non-random co-occurrence of pressure
- 605 categories
- 606 Table H: List of the most contributive biological metrics to RF models
- 607 Figure A: Distribution of impairment probabilities across pressure levels for RF models

608 exhibiting at least 'good' performances

609 Figure B: Time-integrated map of fish assemblage impairment probabilities (IP) by the

610 invasive alien species.

- Figure C: Correlation between the mean total IP and the mean IP by the invasive alien
- 612 species.
- 613
- 614 AUTHORS' CONTRIBUTION

615 PUP and CM designed methodology; CM developed the *ecodiag*-package; LV, AC and KK

616 helped with environmental data; JB and M-LM worked on biological and environmental data

617 related to migratory fish species; SB provided morphological trait data; SD and YR brought

- 618 insights regarding WFD implications and ecology of fish communities; OD contributed to
- 619 research design and ideas, compiled all biological and environmental data, performed

analyses, and led the writing of the manuscript. All authors contributed critically to the drafts

- 621 and gave final approval for publication.
- 622

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634	
635	DATA ACCESSIBILITY
636	Data will be made available in the form of a data paper or through public repositories.
637	
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- three biological indices to physico-chemistry, hydromorphology and land use.
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867 Table and figure captions

868	Table 1: List of pressure categories and associated codes, integration period (for chemical
869	pressures only; expressed in number of years - before sampling events - taken into account for
870	pressure description), and AUC of models built on the learning datasets (AUC <sub>learn</sub> ) and tested
871	against new data (AUC <sub>test</sub> ). Within hydromorphological (HD) and chemical (WQ) pressure
872	categories, individual models are sorted in decreasing order of AUC <sub>test</sub> . Shades of grey denote
873	model performances: dark grey = at least "good"; light grey = "fair"; blank = "poor".

874

875 Figure 1: A/ Relative proportions of sampling events with impairment probabilities higher 876 (black bars; "significant" impact) than 0.5 for each of the 20 hydromorphological (HD), water 877 quality (WQ), and biological (IAS) models with AUC<sub>test</sub> > 0.7; B/ Principal Component 878 Analysis performed on impairment probabilities from the 19 models corresponding to the HD 879 (dashed lines and triangles) and WQ (solid lines and circles) pressure categories (n = 8529880 sampling events). Correlations of the probabilities provided by the 19 models with the two 881 first principal components. Due to the particularity of the IAS model (see Material and 882 Method section), associated impairment probabilities were projected onto the existing 883 multivariate space (as a supplementary variable) and correlations recalculated (dotted line and 884 square). See Table 1 for the list of codes and labels.

885

Figure 2: Time-integrated map of fish assemblage impairment probabilities (IP) at each of the
1527 sites. For each site, IPs were averaged across sampling events for the two

888 hydromorphological (A/ and B/), and chemical (C/ and D/) pressure categories corresponding

- to RF models with the highest AUC<sub>test</sub>. In E/ a mean IP was calculated for each site by
- averaging IPs across the 19 HD and WQ pressure categories with  $AUC_{test} > 0.70$  and over the

891 2005-2015 period. Lines and shaded areas on maps represent the boundaries of drainage

basins (B1 = Adour-Garonne; B2 = Loire; B3 = Seine; B4 = Escaut-Somme; B5 = Meuse; B6

893 = Rhine; B7 = Rhone; B8 = Corse) and the locations of mountain ranges (M1 = Pyrenees; M2

894 = Massif Central; M3 = Alps; M4 = Jura Mountains; M5 = Vosges), respectively. Panels A-D

are on a different color scale (presented in panel A) compared to panel E. The locations of

896 Site A and B are indicated (cf. Fig. 4).

897

898 Figure 3: Temporal changes in impairment probability distributions (IP), at the sites that were sampled in both 2005 and 2015 (n = 543). Results are provided for the three 899 900 hydromorphological (HD; A/, C/, and E/) and chemical (WQ; B/, D/ and F/) pressure 901 categories corresponding to the RF models with the highest AUC<sub>test</sub> (> 0.80) and for the 19 902 best performing HD and WQ models (AUC<sub>test</sub> > 0.70; G/). Since abiotic and biotic models 903 were built differently, temporal changes in IP due to invasive alien species are shown in the last panel (H/) and were not included in the calculation of the 'Total IP'. (\*\*\*) indicates a 904 905 highly significant decrease in mean IP values (black triangles) in 2015 compared to 2005 906 (Wilcoxon sign-rank test for paired data; all p-values < 0.0001), whereas (\*\*) indicates a 907 significant decrease in IP between the two dates  $(0.0001 \le p-value \le 0.001)$ .

908



- 916 three other pressure categories over the same period. "Long. continuity" = Longitudinal
- 917 continuity; "N. comp." = Nitrogen compounds (except nitrates); "P. comp." = Phosphorous

918 compounds. See Fig. 2F for the site locations in France.

- 920 Figure 5: Relationships between observed metric values (x-axis) and impairment probabilities
- 921 (y-axis) predicted by two hydromorphology-related (A/, B/) and two water quality-related (C/,
- 922 D/) RF models. rho = Spearman rank correlation coefficient (all p-values < 0.0001). We also
- 923 explored the relationships between the impairment probabilities of the 19 best performing RF
- 924 models (AUCtest > 0.70; Table 1) and the observed metric values of the five most
- 925 contributive metrics to the predictions of those models (Table H).





























16 Figure 5

1	Table	1

Code	Pressure category	Year	<b>AUC</b> train	AUCtest		
Chemical:	Chemical:					
WQ03	Nitrates	4	0.914	0.878		
WQ02	Nitrogen compounds (except nitrates)	3	0.897	0.871		
WQ04	Phosphorous compounds	5	0.896	0.849		
WQ01	Organic matter	4	0.894	0.847		
WQ06	Fungicides	5	0.825	0.789		
WQ05	Suspended particles	5	0.803	0.766		
WQ12	PCB	3	0.774	0.760		
WQ09	PAH	4	0.778	0.748		
WQ11	Insecticides	3	0.745	0.719		
WQ08	Herbicides	5	0.767	0.678		
WQ10	Organic micropollutants (other)	5	0.792	0.655		
WQ07	Mineral micropollutants	3	0.674	0.637		
Hydromo	phological:					
HD12	Longitudinal continuity	-	0.924	0.858		
HD10	Stream width	-	0.895	0.852		
HD01	Transportation facilities	-	0.896	0.819		
HD08	Flow types	-	0.925	0.787		
HD04	Clogging risk	-	0.861	0.774		
HD09	Sediments	-	0.863	0.761		
HD07	Catchment anthropization	-	0.854	0.755		
HD05	Hydrological instability	-	0.861	0.748		
HD13	Lateral continuity	-	0.851	0.728		
HD14	Proximal continuity	-	0.852	0.703		
HD11	Stream depth	-	0.852	0.696		
HD02	Riverine vegetation	-	0.857	0.694		
HD03	Urbanization	-	0.820	0.613		
HD06	Straightening	-	0.805	0.597		
Biological						
IAS	Invasive alien species	-	0.897	0.893		

