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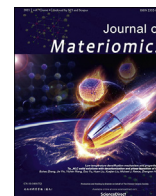
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## Opinion paper

Surface morphology-optical properties relationship in thermochromic VO<sub>2</sub> thin films obtained by air oxidation of vanadium nitride

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## ABSTRACT

In this paper, vanadium nitride (VN) thin films have been deposited on Al substrates by reactive magnetron sputtering. Thermochromic VO<sub>2</sub> films have been obtained by air oxidation of VN samples performed at three temperatures (450, 525 and 550 °C) at various durations (lower than 50 min). X-ray diffraction and Raman spectrometry of the VN oxidized films indicate that VO<sub>2</sub> and V<sub>2</sub>O<sub>5</sub> are the only phases produced during the oxidation process. Vanadium dioxide is the first oxide formed. It coexists with VN in a long period at 450 °C or suddenly disappears at 525 and 550 °C. Whatever the temperature, V<sub>2</sub>O<sub>5</sub> is exclusively detected after the total oxidation of VN. This oxide is detrimental to the thermochromic performance of films. The emissivity-switching properties of the oxidized films were analyzed by infrared camera in the 7.5–13 μm range. The comparison among all the samples exhibiting a thermochromic behavior shows that the maximum emissivity switch is independent of the oxidation temperature and the surface morphology of the samples. These results could open a new strategy in the investigation of VN oxidation as a method to obtain VO<sub>2</sub>, along with an insight into the correlation between surface morphology and optical properties.

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## 1. Introduction

Vanadium dioxide (VO<sub>2</sub>) has been one of the most studied thermochromic materials since its discovery by Morin in 1959 [1]. It undergoes a reversible metal-insulator transition (MIT) from a monoclinic to a tetragonal rutile phase approximately at  $T_{MIT} = 68$  °C. Below the transition temperature, VO<sub>2</sub> exhibits high transmittance in the infrared region and high electrical resistivity, whereas above  $T_{MIT}$  it presents low transmittance and low electrical resistivity [2]. The significant change in optical transmittance and reflectance, particularly in the infrared (IR) region makes this material suitable for numerous applications, for instance as smart windows [3–8], smart radiator devices for spacecraft [9–13], thermal solar collectors [14–16], IR bolometers detectors [17,18], infrared camouflage [19–22]...

However, to employ VO<sub>2</sub> as smart coating some challenges need to be overcome. For example, in the case of smart windows: the luminous transmittance ( $T_{lum}$ ) and solar transmittance modulation ( $\Delta T_{sol}$ ) have to be high. On the other hand, the transition

temperature of the material should be close to room temperature, therefore  $T_{MIT}$  of the VO<sub>2</sub> should be lowered [23]. There are different strategies to improve the thermochromic performance of VO<sub>2</sub> thin films. The selection of the substrate can influence the switching performance of the material [24,25]. Nevertheless, the substrate choice is linked directly to the desired application. Elemental doping is one of the most practical and feasible methods to tune the optical properties of VO<sub>2</sub> films as well as change  $T_{MIT}$ . It has been shown that W is one of the most successful elements to reduce  $T_{MIT}$  of VO<sub>2</sub> films [26,27]. On the contrary, Krammer et al. proved that  $T_{MIT}$  could be increased to approx. 94 °C by Ge doping [28]. Several dopants (Mg, Ca, Sr, Ba, Al, Sn, among others) have been studied as an effective way to enhance the optical properties of VO<sub>2</sub> films [15,23,29–32]. Moreover, it has been proven that some anti-reflective coatings can improve the optical properties of VO<sub>2</sub> films (ZrO<sub>2</sub> [33], TiO<sub>2</sub> [34,35]). Furthermore, anti-reflective layers such as TiO<sub>2</sub> [6,34], SiO<sub>2</sub> [36], AZO [37] and SiN<sub>x</sub> [38] have been used to prevent further oxidation (in case the films continue to be in air-direct contact).

Although great advances have been made in the thermochromic properties of VO<sub>2</sub>, the synthesis of this material on large surfaces is still an issue. Since the vanadium-oxygen binary system contains plenty of oxides with a stoichiometry close to that of VO<sub>2</sub> [39],

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perfect control of the oxygen content is required on the whole surface of the substrate. Using the conventional magnetron sputtering process, such composition control is hardly achieved. An alternative method to grow  $\text{VO}_2$  on large surfaces is to use a two-step process: deposition of a metallic vanadium film followed by an air-controlled oxidation step to synthesize the pure  $\text{VO}_2$  phase [40]. In a recent paper, we proposed a new strategy to obtain high-quality  $\text{VO}_2$  films by the controlled oxidation of vanadium nitride films (VN) deposited on Si substrate [41]. The latter allowed us to generate a phase diagram of VN thin film oxidation at 450 °C.

In the present work, VN thin films were reactively sputter-deposited on Al substrates. The air oxidation of VN samples is performed at three temperatures (450, 525 and 550 °C) and several durations up to 50 min. We study the effect of the temperature and the oxidation duration on the formation of  $\text{VO}_2$  films. We aim to determine the influence of the oxidation parameters on the functional properties of the obtained  $\text{VO}_2$  films.

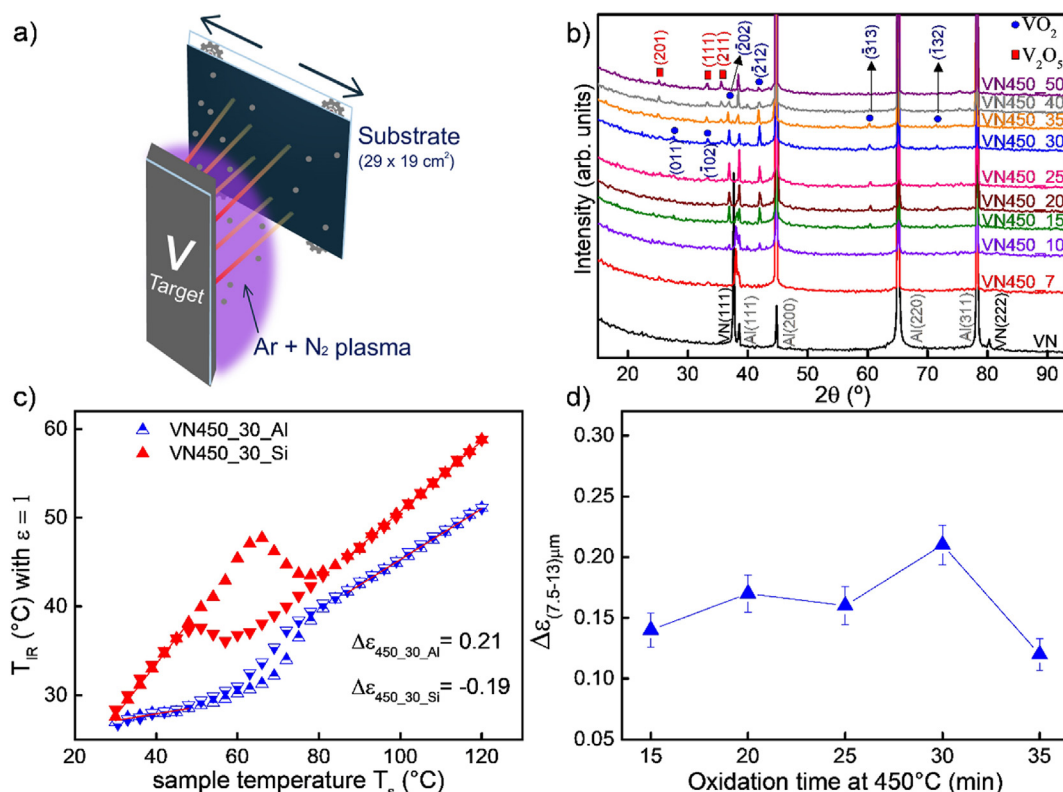
## 2. Experimental details

An in-line semi-industrial sputtering machine was used to deposit the VN thin films. The volume of the chamber is approx. 350 L. The substrate holder offers the possibility to produce samples with a  $29 \times 19 \text{ cm}^2$  surface. Aluminum (Al) foils of this size were used as substrates. Several silicon pieces ( $2 \times 2 \text{ cm}^2$ ) were also placed on the Al substrate. This method provides samples with the same thickness on different substrates in a single run. To guarantee films homogeneity the substrate holder moved back and forth in front of the V target at a speed of 50 cm/min during 1 h (Fig. 1 a shows a

well-simplified scheme of the semi-industrial chamber). The VN films were deposited using a metallic vanadium target (33 cm length, 5 cm width, 0.6 cm thick and 99.95% purity) in an Ar– $\text{N}_2$  reactive mixture. The flow rates of argon and nitrogen were fixed to 30 and 5 standard cubic centimeters per minute (scm), respectively. The V target was powered by a pulsed-DC power supply (Pinnacle + Advanced Energy) with a current fixed at 1.5 A. The frequency of DC-pulses and their off-time were kept at 50 kHz and 4  $\mu\text{s}$ , respectively. The total pressure measured with an MKS Baratron gauge was fixed to 1 Pa. The VN films were deposited without external heating and the target to substrate distance was 5 cm. The thickness of the films (175 nm) was measured by a Bruker DektakXT tactile profilometer.

To obtain thermochromic  $\text{VO}_2$ , the VN films were annealed in a Carbolite HRF 7/45 furnace adapted with a sample tray loader to avoid the drop of temperature when inserting the samples. The air annealing was performed at three different temperatures (450, 525 and 550 °C) and various durations (from a few min up to 50 min). The films were labeled “VN” for as-deposited vanadium nitride films and “VNtemperature\_time” for the oxidized films at various temperatures and annealing duration.

X-ray diffraction (XRD) measurements were conducted in a  $\theta$ – $2\theta$  configuration with  $\text{Cu K}\alpha_1$  radiation ( $\lambda = 1.5406 \text{ \AA}$ ) using a Bruker D8 Advanced diffractometer. The Raman spectra were measured with a Horiba Jobin-Yvon LabRAM spectrometer. A Nd:YAG laser (532 nm wavelength) was used as the excitation source. To avoid local heating effects (such as oxidation from  $\text{VO}_2$  to  $\text{V}_2\text{O}_5$ ), the power of the laser beam was controlled to approx. 10 mW. The microstructural characterization of the sample surfaces was



**Fig. 1.** a) Scheme of the semi-industrial sputtering machine used to deposit VN precursors films. Different from lab-scale sputtering chambers, the substrate holder moved back and forth in front of the V target to ensure films homogeneity. b) X-ray diffractograms of as-deposited VN film on aluminum substrate and the resulting oxidized films after air annealing at 450 °C for various durations. c) Thermal camera measurements of apparent infrared temperatures ( $T_{IR}$ ), as a function of sample temperature ( $T_s$ ) for VN films on Al and Si substrates oxidized during 30 min. Up and down triangles represent the profiles for temperature ramping up and down, respectively. The red lines obtained by a linear fitting in the low-temperature (LT) and high-temperature region (HT) enabled the determination of emissivity values before and after MIT. d) Dependence of the emissivity switch (on the range of 7.5–13  $\mu\text{m}$ ) with the oxidation time at 450 °C for samples on Al substrates showing a thermochromic behavior.

observed by scanning electron microscopy (SEM) in a Philips FEG XL 30. Transmission electron microscopy (TEM) was performed in the VN525\_3 sample with a JEOL ARM 200-Cold FEG (point resolution 0.19 nm). For this analysis, a cross-section TEM sample of the film was prepared by a focused ion beam FIB-SEM dual beam system (FEI Helios Nanolab 600i). An IMS 7F Cameca secondary ions mass spectrometry system was used to obtain the compositional profiles of the films as a function of depth. The instrument was configured to have  $\text{Cs}^+$  primary ion energy of 5 keV, secondary ion energy of 2 keV, a raster size of 100  $\mu\text{m}$  and an analyzed area of 63  $\mu\text{m}$ .

The study of optical properties of the films as a function of the temperature (30–120 °C range) was performed using a FLIR A300 infrared camera (IR) with a spectral IR wavelength from 7.5 to 13  $\mu\text{m}$ . The camera is supported by the ResearchIR software package. The emissivity of the oxidized films was calculated from the slope of the temperature measured using the IR camera ( $T_{IR}$ ) as a function of the real temperature ( $T_s$ ) of the sample. Measurements were accomplished by considering  $\epsilon = 1$  regardless of the sample. A Prãzitherm heater system controlled and measured the  $T_s$  of each sample. The emissivity switch,  $\Delta\epsilon = \epsilon_{HT} - \epsilon_{LT}$  was calculated by the difference between the slopes corresponding to each region of the MIT according to the method employed by Benkahoul et al. [13].  $\epsilon_{HT}$  and  $\epsilon_{LT}$  correspond to the emissivity at high and low temperatures, respectively.

### 3. Results

#### 3.1. Thermochromic behavior of oxidized VN samples at 450 °C

VN precursor thin films (175 nm thick) have been deposited by the sputtering of a vanadium target in a reactive Ar–N<sub>2</sub> atmosphere on Al substrate (Fig. 1 a)). The films have been air oxidized at 450 °C during different durations. As-deposited VN films exhibit two peaks corresponding to (111) and (222) planes of the face-centered cubic VN structure (PDF no.00-035-0768), located at approx. 37.9° and 80.3°, respectively (Fig. 1 b)). No other peaks are detected except those coming from the aluminum substrate. The X-ray results for films annealed at 450 °C during various durations in the 7–50 min range are also presented in Fig. 1 b). After 7 min of annealing, the VO<sub>2</sub> phase is not detected. Nevertheless, the oxidation process started, as evidenced by the decline of the VN peak intensity. Besides, these peaks are shifted to higher angles due to stress relaxation during the oxidation process. VN peaks intensity continues to decrease as the annealing time increases, and the main (111) peak disappears at 25 min, indicating that the sample is completely oxidized. On the other hand, after 10 min of air oxidation, a first peak corresponding to the ( $\bar{2}$ 12) monoclinic (m)–VO<sub>2</sub> is detected for the first time close to 42.0°. As oxidation continues, other peaks located at approx.  $2\theta = 27.8, 33.3, 36.7, 60.3$  and  $71.4^\circ$  (PDF no. 04-003-2035) emerge belonging to m-VO<sub>2</sub>. The intensities of these different peaks indicate that the oxide layer does not grow with a strong preferred orientation. Since the as-deposited VN films exhibit a texture along the [111] direction and because the m-VO<sub>2</sub> grains present several diffraction peaks, it may be concluded that there is no structural relationship between the VN and the m-VO<sub>2</sub> grains. Results between 10 and 25 min suggest that within this period m-VO<sub>2</sub> is formed from the VN precursor oxidation. The most intense peak of VO<sub>2</sub> appears at 30 min of oxidation when there is no VN peak anymore (see Fig. S1 supplementary information). All samples oxidized more than 35 min show three peaks that correspond to the orthorhombic V<sub>2</sub>O<sub>5</sub> phase (PDF no. 00-041-1426). Once again there is a competition between phases, in this case, the over-oxidation of VO<sub>2</sub> leads to the formation of the most stable

phase of the V–O system i.e., V<sub>2</sub>O<sub>5</sub>. For the VN450\_50 sample, the VO<sub>2</sub> diffraction peaks almost disappeared, and V<sub>2</sub>O<sub>5</sub> becomes the predominant crystalline phase. These results are in agreement with our previous work on silicon substrate [41] and also with several authors who already proved the transition from V<sup>4+</sup> state to V<sup>5+</sup> state as the oxidation time increases [42,43].

Among the exciting change in properties during the phase transition on VO<sub>2</sub>, the emissivity switch provides an excellent idea of the thermochromic response of the films. The higher the difference in emissivity between the monoclinic and the tetragonal phase of VO<sub>2</sub>, the better the thermochromic properties. Fig. 1 c) shows the apparent infrared temperature as a function of the real temperature of two samples oxidized during 30 min at 450 °C on different substrates (Al and Si). Both samples present a good thermochromic performance. There are two important features to discuss in this figure: first the difference in sign in the emissivity switch. Benkahoul et al. disclosed that VO<sub>2</sub> deposited on highly IR-reflective substrates such as Al exhibit opposite behavior to VO<sub>2</sub> deposited on IR-transparent substrates such as Si and quartz [13]. Hence, the choice of the substrate is directly related to the desired application (applications in the solar thermal domain required a highly IR-reflectivity substrate). Second, the significant difference in the hysteresis width of both systems is related to the distinct VO<sub>2</sub> film grow orientation in each substrate (compare Fig. 1 in Ref. [41] and Fig. 1 b)) [44].

Fig. 1 d) displays the dependence of the emissivity switch in the 7.5–13  $\mu\text{m}$  range as a function of the annealing duration at 450 °C for films deposited on aluminum substrates. The values of  $\Delta\epsilon$  are ranging from 0.12 to 0.21 (see Fig. S2 in the supplementary information). A tendency to increase the  $\Delta\epsilon$  until a maximum value at 30 min of oxidation is the result of the competing effect of VN oxidation and the growth of VO<sub>2</sub>. However, with higher oxidation duration the formation of V<sub>2</sub>O<sub>5</sub> starts and affects the thermochromic effect. Following the same line of thought due to the increasing (decreasing) presence of V<sub>2</sub>O<sub>5</sub> (VO<sub>2</sub>) samples with 40 and 50 min of oxidation do not exhibit thermochromic response anymore. Moreover, samples oxidized less than 15 min with an important residual VN layer (see Fig. S1 in the supplementary information) present a poor thermochromic performance. These findings are in agreement with XRD and also with our previous results [41]. The difference between the present work and our previous one corresponds to the nature of the substrate: Al vs. Si. The type of substrate does not modify the structure of the films. Thermochromic behavior is obtained for both substrates for very close annealing durations. The main difference is related to the sign of the emissivity switch that is positive using a reflective substrate (Al) and negative using an IR-transparent substrate (Si).

#### 3.2. Thermochromism performance on oxidized VN samples at 525 °C and 550 °C

To study the effect of air oxidation at higher temperatures on the thermochromic behavior, VN precursor thin films were annealed at 525 °C and 550 °C during distinct durations. Compared to section 3.1, the annealing temperature has been increased, as a consequence, the annealing duration has been reduced to take into account the increase of the oxidation kinetics. Fig. 2 a) (Fig. 2 b)) depicts the diffractograms for samples oxidized at 525 °C (550 °C) between 3 and 7 min (2–5 min). The corresponding XRD results for VN film (equal to those displayed in Fig. 1 b)) are included as a guideline for a better comparison of the oxidation process. Sample VN525\_3 displays several peaks corresponding to m-VO<sub>2</sub> and the signal of VN almost disappeared, indicating that the oxidation is almost complete. VO<sub>2</sub> is the only oxide presents on the samples oxidized between 3 and 5 min, besides VN peaks completely

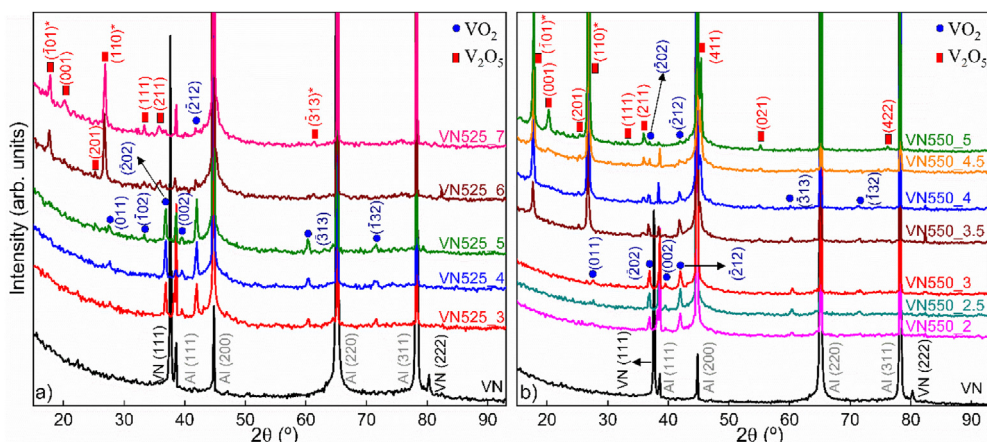


Fig. 2. X-rays diffractograms of as-deposited VN film on aluminum substrate and the resulting oxide films after air oxidation at a) 525 °C and b) 550 °C for various durations.

vanished at 5 min. The maximum intensity of  $\text{VO}_2$  peaks are detected after 5 min, following the oxidation process, these peaks rapidly decrease in intensity and almost fade away after 7 min. In contrast, after 5 min of oxidation, intense peaks of  $\text{V}_2\text{O}_5$  emerge caused by the over-oxidation of  $\text{m-VO}_2$ . Interestingly, a monoclinic  $\text{V}_2\text{O}_5$  phase (PDF no. 00-061-0027) is revealed together with the already detected orthorhombic  $\text{V}_2\text{O}_5$  phase (PDF no. 00-041-1426). The peaks of the  $\text{m-V}_2\text{O}_5$  are situated around  $2\theta = 17.8, 26.8$  and  $61.4^\circ$  and identified with an asterisk (\*) in the figure.

The X-ray diffractograms of samples oxidized at 550 °C (Fig. 2 b) are similar to those reported at 525 °C (Fig. 2 a)). The only phase of the V–O system detected among 2 and 3 min is  $\text{m-VO}_2$ . For higher durations, peaks corresponding to monoclinic and orthorhombic  $\text{V}_2\text{O}_5$  phase arise due to the over-oxidation of  $\text{VO}_2$ . During this period the intensity of the peaks belonging to  $\text{m-VO}_2$  starts to decrease inducing a vanishing of this phase after 5 min of oxidation.

The X-ray results for the three studied temperatures suggest that the VN oxidation occurs on the same stages. The first phase of the V–O system detected is  $\text{m-VO}_2$  that could coexist with VN. The second and third phases are orthorhombic and monoclinic  $\text{V}_2\text{O}_5$ , which always appeared only when there is no signal of VN anymore. Yet again, these results illustrate the change from the  $\text{V}^{4+}$  state into the  $\text{V}^{5+}$  state with the oxidation time increase and are consistent with the findings of previous studies [41–43].

Oxidation kinetics seems to be the main difference in the annealing process between 525 °C and 550 °C, according to X-ray results. The phases belonging to the binary V–O system crystallize in structures with low symmetries giving a high amount of diffraction peaks. The application of the sole XRD method to characterize the oxide layer may induce some mistakes in the film structure determination. Thus, the use of a second characterization method is mandatory. Within this objective, we have employed Raman spectrometry. Fig. 3 presents room-temperature Raman spectra of oxidized VN films between 3 and 5 min at 525 °C and 550 °C.

The samples annealed at 525 °C and the VN550\_3 one show similar vibration bands (located at approx. 194, 224, 263, 389 and  $624\text{ cm}^{-1}$ ) that can be assigned to  $\text{m-VO}_2$  phase, in agreement with the literature [45–48]. In the case of the oxidized films at 550 °C during 4 and 5 min, the shape of the spectra is different from the other samples. Some vibration bands still correspond to  $\text{VO}_2$ , yet new bands are detected at approx. 195, 282, 301, 405 and  $532\text{ cm}^{-1}$  that are readily ascribed to the orthorhombic  $\text{V}_2\text{O}_5$  phase [45,49]. These findings confirm the simultaneous presence of  $\text{VO}_2$  and  $\text{V}_2\text{O}_5$  in VN550\_4 and VN550\_5 samples as well as the only existence of

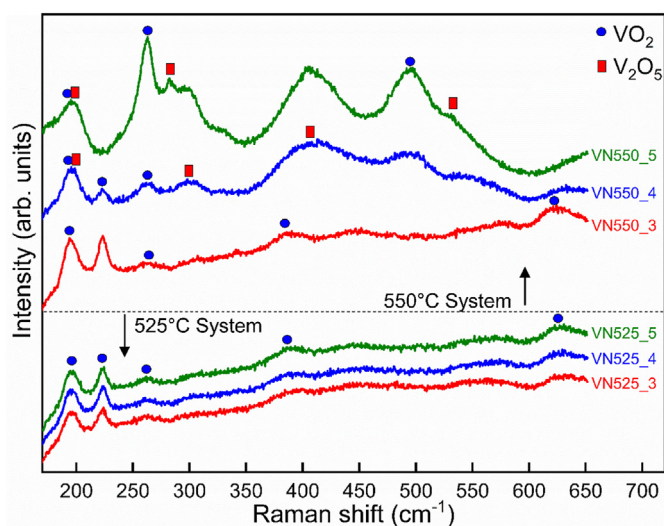
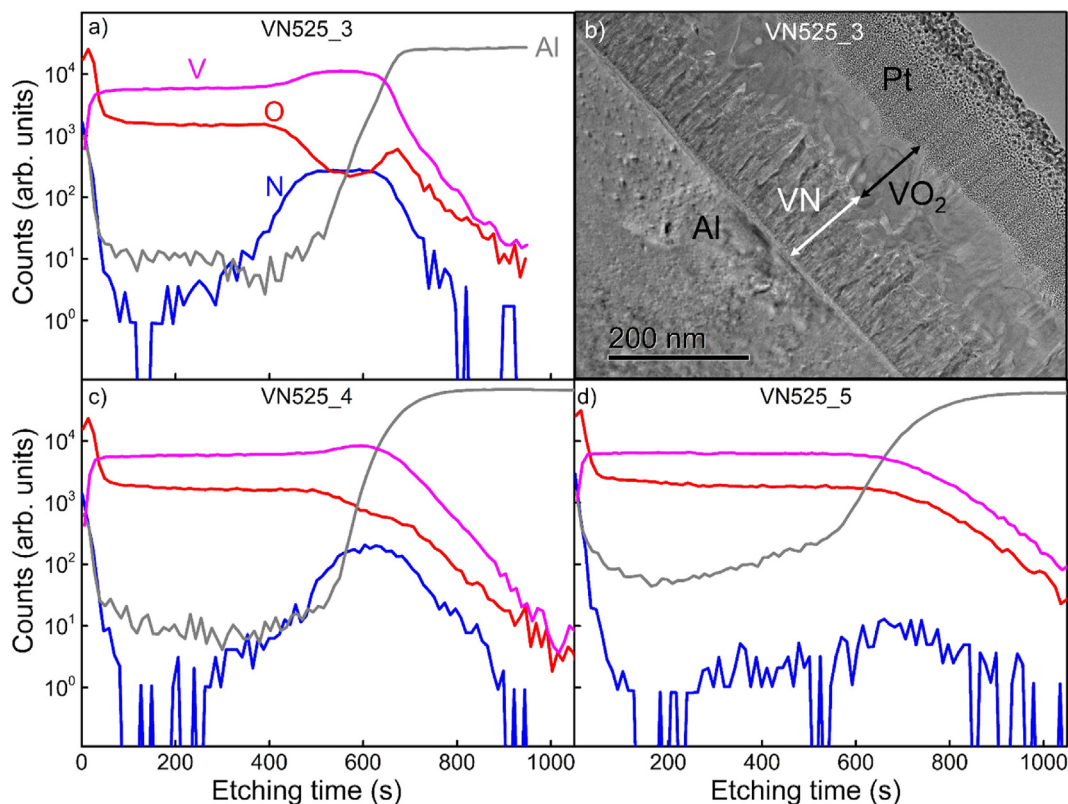


Fig. 3. Raman spectra of air-oxidized VN films during 3–5 min at 525 °C and 550 °C.

$\text{m-VO}_2$  in samples oxidized between 3 and 5 min at 525 °C and 3 min at 550 °C as shown by the X-ray results.

SIMS is a well-known surface analysis technique suitable to obtain composition depth profiles. The SIMS capability of depth profiling with high resolution turns out useful to check the presence of a possible residual layer of VN between the substrate and the formed  $\text{VO}_2$  layer. Fig. 4 a), c) and d) show the SIMS depth profile of samples oxidized at 525 °C for 3, 4 and 5 min respectively. Starting from the sample surface the signal intensities for V, N, O and Al elements are displayed as a function of etching time in each of the three samples. The twenty first seconds of the analyses (until V and O lines cross each other) can be omitted, it corresponds to the depth needed to reach an equilibrium state. The signal corresponding to V and O in Fig. 4 a) is relatively constant during the first 400 s, suggesting the presence of vanadium oxide with a constant composition. During this period the amount of N is negligible thanks to VN oxidation. On the contrary, after 400 s the intensity of these three elements changes, the signal of O drops while the opposite occurs for V and N. The latter evince the presence of a remaining VN layer in the VN525\_3 sample. Fig. 4 b) shows a transmission electron microscopy cross-section of the VN525\_3 sample. The figure depicts close to the Al substrate an uninterrupted columnar VN layer of approx. 90 nm thick and above a  $\text{VO}_2$



**Fig. 4.** SIMS depth profiles of samples oxidized at 525 °C during 3, 4 and 5 min for a), c) and d), respectively. The etching time indicates the depth of the sample from the surface. b) TEM cross-section image of sample VN525\_3.

layer of approx. 140 nm thick (corresponding to the oxidation of an 85 nm thick VN layer). This TEM cross-section image confirms the results obtained by SIMS. From Fig. 4 a) and b) it can be concluded that the sample VN525\_3 is not completely oxidized. The behavior of V, N and O signals from Fig. 4 c) is equivalent to Fig. 4 a), however, the thickness of the remaining VN layer is lower compared to the sample VN525\_3. Moreover, V and O signals are rather constant throughout all the depth profile of sample VN525\_5 (Fig. 4 d)) and N amount is negligible, suggesting that the sample is fully oxidized. The SIMS analysis corroborates the X-ray results of Fig. 2 a) showing the growing presence of VO<sub>2</sub> caused by the air oxidation of VN.

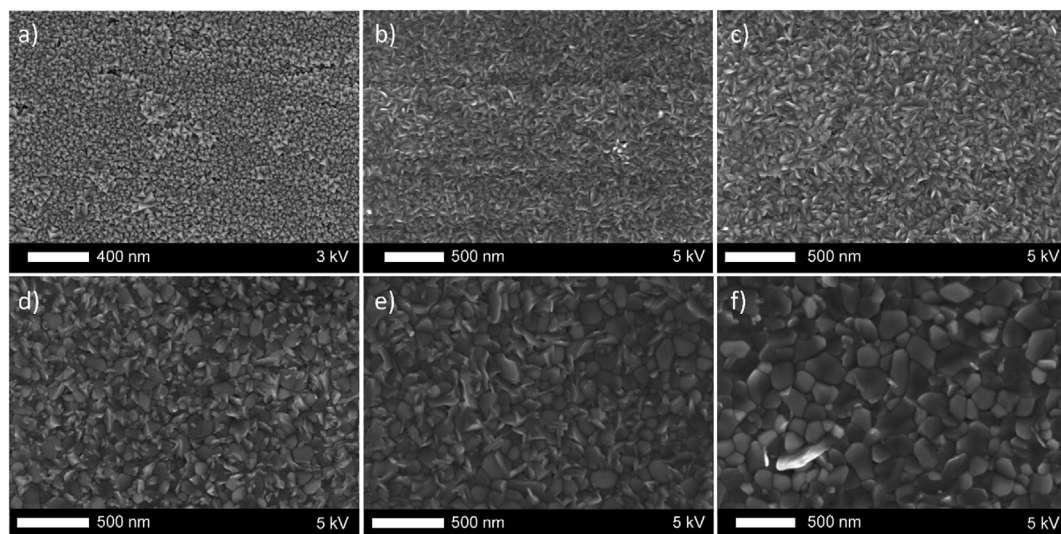
Fig. 5 depicts the top view SEM images of six noteworthy samples: the as-deposited VN films and samples oxidized during 15, 30 min at 450 °C, 4, 5 min at 525 °C and 3 min at 550 °C. Note that all the images have not the same magnification, but all of them except the as-deposited VN were acquired with identical acceleration voltage. The as-deposited VN film exhibits a surface morphology with small tetrahedral grains in agreement with the [111] preferred orientation evidenced by XRD (as the VN films on Si substrate [41]). Along the oxidation process, the morphology of the oxidized samples considerably changes when compared to the VN films (Fig. 5 a)). After 15 min of oxidation at 450 °C (Fig. 5 b)), the oxidized VN grains merge into an extended structure, enlarging grain size. Increasing the annealing time at the same temperature leads to the growth of the grains (Fig. 5 c)). Samples VN525\_4 (Fig. 5 d)) and VN525\_5 (Fig. 5 e)) present different morphology than samples oxidized at 450 °C, the grains are bigger and more rounded. In the case of Fig. 5 f) (VN550\_3) the grains are equiaxed and are the largest among all the images. It is noteworthy that despite drastic different morphology and grain size the images of Fig. 5 c), e) and f) correspond to samples completely oxidized, in

which the only phase detected is m-VO<sub>2</sub>.

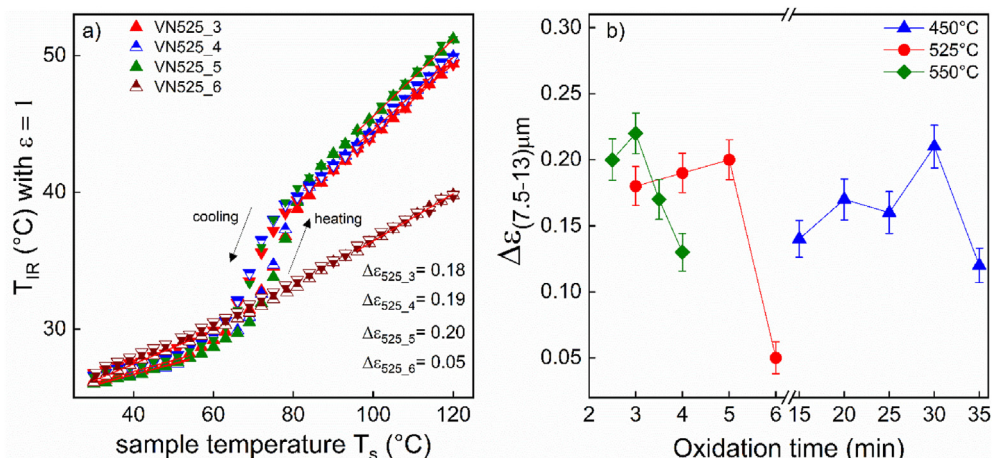
The optical modulation properties in the 7.5–13 μm IR domain for samples oxidized at 525 °C in a range of 3–6 min are studied with an infrared camera (Fig. 6 a)). Air-annealed samples between 3 and 5 min display hysteresis loops near the MIT region, a characteristic thermochromic signal. The corresponding values for the emissivity switch for these samples slightly increase with oxidation time. This is a consequence of the continuous growth of VO<sub>2</sub> from the oxidation of VN, reaching a maximum after 5 min. However, the  $\Delta\epsilon$  value of VN525\_6 drops drastically to 0.05 (75% less when compared with the VN525\_5 sample) caused by the over-oxidation of VO<sub>2</sub> to V<sub>2</sub>O<sub>5</sub>. This behavior is in total accordance with X-ray and Raman results (Fig. 2 a) and Fig. 3).

Fig. 6 b) displays the dependence of the emissivity switch with oxidation time for the three studied systems (see Supplementary Information Fig. S3 for the 550 °C system). All systems have similar dynamics. The  $\Delta\epsilon$  values increase smoothly when VN and VO<sub>2</sub> phase coexist, until reaching a maximum value when VO<sub>2</sub> is the predominant phase and the precursor is completely oxidized. Further increase of the oxidation time induces a decrease of  $\Delta\epsilon$  due to the over-oxidation of VO<sub>2</sub> and the consequent growth of V<sub>2</sub>O<sub>5</sub>. The optical modulation properties' findings are in full agreement with XRD and Raman results.

Several stimulating conclusions can be drawn from Fig. 6 b). First of all, the maximum values of  $\Delta\epsilon$  are similar (between 0.20 and 0.22) for the three different systems suggesting that they are independent of the oxidation temperature. These results could be related to the fact that all the precursor VN samples have the same thickness. The amount of VN which can be transformed into VO<sub>2</sub> is the same, independent of the air-annealed temperature. The emissivity contrast values found in this paper are in the same range



**Fig. 5.** Top-view SEM images corresponding to a) the as-deposited VN films and samples VN450\_15, VN450\_30, VN525\_4, VN525\_5 and VN550\_3 for b), c), d), e) and f), respectively. Note that the magnification is different, as indicated in each image, besides the image of the as-deposited VN was acquired with a lower acceleration voltage.



**Fig. 6.** a) Thermal camera measurements of apparent infrared temperatures ( $T_{IR}$ ), as a function of sample temperature ( $T_s$ ) for VN films oxidized at 525 °C between 3 and 6 min. Measurements are carried out by considering  $\epsilon = 1$  irrespective to the sample. Up and down triangles represent the profiles for temperature ramping up and down, respectively. The red lines obtained by a linear fitting in the low temperature (LT) and high temperature region (HT) enabled the determination of emissivity values before and after MIT. b) Dependence of the emissivity switch (on the range of 7.5–13  $\mu\text{m}$ ) with the oxidation time for the three studied systems (450, 525 and 550 °C).

as those reported in the literature in the same IR domain. For instance, Benkahoul et al. found  $\Delta\epsilon = 0.26$  in 300 nm thick  $\text{VO}_2$  film deposited on Al using an infrared camera in the 8–14  $\mu\text{m}$  range [13].

It is well reported in the literature the close correlation between electrical properties with the surface morphology of  $\text{VO}_2$  [50–52]. However, the morphology of the samples with the highest emissivity switch (VN450\_30, VN525\_5 and VN550\_3) in the different studied systems is remarkably different (Fig. 5 c), e) and f) respectively). These results suggest that the optical properties are independent of the  $\text{VO}_2$  surface morphology, at least in the 7.5–13  $\mu\text{m}$  IR range.

One more interesting result is related to the best-oxidation time for each studied temperature. Xu et al. [53] reported an optimal oxidation time at different temperatures to obtain high-quality  $\text{VO}_2$  films by oxidation of 100 nm thick vanadium films (using the Sputtering Oxidation Coupling method [40]). Besides, they managed to calculate the diffusion activation energy of the

oxidation process. A direct evaluation of the results of both works shows that the values of activation energy are similar (see Fig. S4 in supplementary information). Yet, the more important result from the comparison is that the time required to obtain the best  $\text{VO}_2$  is larger in VN than in V films, indicating that it is easier to control the oxidation of VN films.

#### 4. Conclusions

This work is dedicated to the synthesis of thermochromic  $\text{VO}_2$  thin films from the air oxidation of VN thin films. We study the effect of three temperatures oxidation (450, 525 and 550 °C) on the optical properties in the 7.5–13  $\mu\text{m}$  range. Throughout the oxidation process at the three temperatures,  $\text{VO}_2$  and  $\text{V}_2\text{O}_5$  are the only phases detected. During the oxidation process, the first oxide formed is  $\text{VO}_2$ , it coexists with VN in a long period at 450 °C or suddenly disappeared at 525 and 550 °C. Furthermore,  $\text{V}_2\text{O}_5$  is detected after the total oxidation of VN. These findings confirm the

oxidation from the  $V^{4+}$  state into the  $V^{5+}$  state with the annealing time increase and correspond with the results of previous studies.

Concerning the optical properties of the oxidized films, the best emissivity switch in each system is quite similar (between 0.20 and 0.22) independently of the oxidation temperature. On the other hand, the film morphology is strongly dependent on the oxidation temperature. Nevertheless, the change of the surface morphology does not influence the optical properties of the films. This indicates that the optical properties of  $VO_2$  in the 7.5–13  $\mu\text{m}$  range are independent of the surface morphology.

Due to the simplicity of producing VN thin film on large surfaces and different substrates, as well as the good optical modulation properties of oxidized films at different temperatures and durations, the VN oxidation process seems encouraging for the production of high-quality thermochromic  $VO_2$  films for furtivity and smart radiator devices.

### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

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### Appendix A. Supplementary data

Supplementary data to this article can be found online at <https://doi.org/10.1016/j.jmat.2020.12.005>.

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