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Data-efficient learning of object-centric grasp preferences

Yoann Fleytoux¹, Anji Ma^{1,2}, Serena Ivaldi¹, Jean-Baptiste Mouret¹

Abstract—Grasping made impressive progress during the last few years thanks to deep learning. However, there are many objects for which it is not possible to choose a grasp by only looking at an RGB-D image, might it be for physical reasons (e.g., a hammer with uneven mass distribution) or task constraints (e.g., food that should not be spoiled). In such situations, the expert preferences need to be taken into account.

In this paper, we introduce a data-efficient grasping pipeline (Latent Space GP Selector — LGPS) that learns grasp preferences with only a few labels per object (typically 1 to 4) and generalizes to new views of this object. Our pipeline is based on learning a latent space of grasps with a dataset generated with any state-of-the-art grasp generator (e.g., Dex-Net). This latent space is then used as a low-dimensional input for a Gaussian process classifier that selects the preferred grasp *among those proposed by the generator*.

The results show that our method outperforms both GR-ConvNet and GG-CNN (two state-of-the-art methods that are also based on labeled grasps) on the Cornell dataset, especially when only a few labels are used: only 80 labels are enough to correctly choose 80% of the grasps (885 scenes, 244 objects). Results are similar on our own dataset (91 scenes, 28 objects).

I. INTRODUCTION

Robots are often tasked with grasping objects from a box or a conveying belt, especially in industrial settings [1]. Thanks to the recent advances in deep learning, they can now grasp unknown objects with success rates that exceed 90% [2]. To do so, they learn the relationship between the shape of the objects and the most appropriate grasp.

Nevertheless, the best grasp according to the shape is not always the grasp that should be favored. For instance, a hammer should usually be taken by the head because the mass distribution is not uniform, whereas the shape suggest that it should be taken by the handle. Similarly, a sharp knife should usually be grasped by the handle to avoid damaging the gripper; but it might be necessary to grasp it by the blade if the robot needs to give it to an operator. For some objects, it might be legally forbidden grasp them in some way, for example in the food industry; in some other cases, the objects might break or be scratched when grasped in the wrong way, like grasping prescription glasses by a lens, or have other properties that need to be taken into account, like fresh paint on a part of the object. Importantly, the grasp that should be preferred does not always help grasping; instead, it is often a constraint of the task.

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Fig. 1. Grasp generated by Dex-Net 4.0 [3] for a toy hammer and with our method with only 2 labels (one positive label, in green, and one negative label, in red). See section V-A.

Hence, expert knowledge for grasping specific objects is required in many situations; but labelling thousands of images is time-consuming and would need to be performed for each application (e.g., for a hammer factory, then for a knife warehouse, etc.). Our grasp pipeline aims at learning object-centric grasping preferences with a very few examples (typically 1 to 4 per object) and generalizing to other views of the same object. In this work, we target single objects on a simple background, for instance, objects on a conveyor belt in industrial settings.

Our main insight is that we can leverage generic grasp generators to make learning preferences data-efficient in two ways: (1) they can be run on a large dataset of images to learn a latent space for grasps, and (2) they can be used to generate grasp candidates so that a preferencebased classifier only has to choose among good grasps. In other words, a grasp generator takes the needles out of the haystack, and a classifier only has to choose the best needle in a low-dimensional space.

Our main assumption is that we have access to a set of passively-obtained RGB-D images on which a shape-based grasp generator can be run; we consider this dataset as "large and cheap". We represent the generated grasps using rotated and adjusted image patches that embed the grasp and its context in a single input [4], which makes it possible to learn a low-dimensional representation of both the grasps and their context with a Variational Auto-Encoder (VAE) [5], [6]. We then use this low-dimensional representation to train/query a Gaussian Process (GP) classifier [7], [8] that *filters* the grasp generated by a grasp generator, which are often already effective, according to the preferences of the expert. Importantly, the expert can give both "positive labels", that is, grasps that should be favored (e.g., "this is how this should be done"), and "negative labels", that is, grasps that should be avoided (e.g., "do not do this"). We call our method "Latent Space GP Selector" (LGPS).

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Once trained, our pipeline generates grasp candidates, encode them in the latent space, then query a Gaussian process classifier to know the preference of the expert; the robot executes this grasp with a standard planning algorithm [9]. For the preference training, the grasp selected by the expert is encoded to the same latent space and the Gaussian process classifier is updated.

While VAE and GP have been combined together in different fields (e.g., [10] for videos), our main contribution is the combination of grasp generators (which can, for instance, be based on deep learning) with an image-based grasp representation to learn a latent space of grasps in an unsupervised way. We show that our pipeline makes it possible to learn grasps that are about 80% consistent with the expert labels with less than one example per object on the Cornell dataset [11] (885 scenes, 244 objects) and on our own dataset (91 scenes, 28 objects).

II. RELATED WORK

Vision-based robotic grasp planning methods can be classified into analytical [12] and data-driven approaches [13]. Analytical approaches require information about the physical properties of the manipulated objects (shape, mass, centre of mass, friction coefficient), which is possible only on wellcontrolled manufacturing scenarios. By contrast, data-driven approaches attempt to generalize to unknown objects by being trained on datasets of grasp examples.

Data-driven approaches mainly differ in the kind of dataset they use. A recently successful idea is to create large synthetic databases from 3D models and simulations [14], [15], [4], [16], [17], [18]. The strength of these datasets is their size (millions of objects), but they currently only take into account the 3D shape (via depth data): they assume a uniform mass distribution of objects. As they strongly rely on simulation, they often need adaptation methods to be effective with real robots [19]; on the other hand, automatically gathering sufficient training data through trial and error experiment with real robots [20], [21] is highly timeconsuming and does not necessarily provide better results (e.g., 50,000 trials and 700 hours of robot use in [20], [21]).

The second kind of data-driven approaches learn from a dataset in which objects have been labeled by experts to specify where/how to grasp objects. Several studies have been proposed to exploit data from captured expert manipulation such as [22], which learns interactions from videos of experts, or [23], [24], which uses custom handheld devices to collect grasping demonstration. Nevertheless, many recent work [25], [26], [27] use the Cornell dataset [11] or similarly acquired dataset [28], [29] which provides thousands of grasp locations labeled by humans.

These grasps demonstrations have been used to infer an evaluation function that ranks grasp candidates according to the expert specification[30], [20], [31]. Recently Generative Grasping CNN (GG-CNN) [26], Generative Residual Convolutional Neural Network (GR-ConvNet) [27] and other works use hand-designed labels to generate pixel-wise grasp affordance map. The grasping task is then similar to semantic

segmentation, in which some parts of the object are deemed graspable whereas some others have to be avoided.

While generic grasping of unknown objects needs to use generic datasets, labeling specific datasets for specific objects is highly time-consuming. A few papers therefore focus on learning grasp preferences with as few "demonstrations" as possible [32]. In particular, [33] proposes a CNN, pixelwise segmentation pipeline that predicts authorized grasping location from depth image while avoiding prohibited locations. The demonstrations are gathered by applying colored tape to the operator's fingers, who thus can demonstrate parallel grasps. This pipeline was evaluated with 5 types of industrial objects (socket wrenches, pliers, light bulbs, cups, and screws), using between 1 and 3 demonstrations for training. To allow CNN to be trained with so few labels, the authors apply heavy data augmentation and train on each type of objects separately, which allow them to reach between 70% and 90% success depending on the object. However, they report that their pipeline can at best grasp 2 objects with 2 different grasping strategies with the same neural network.

III. PROBLEM STATEMENT

Our main assumptions are: (1) the robot has an RGB-D camera; (2) all the objects can be grasped from the top; (3) we have access to a large unlabeled dataset of RGB-D images (D); (4) we have access to a small labeled dataset (\leq 4 per object) of grasps as either positive (good grasp) or negative (grasp to avoid) (dataset E).

Our main objective is to learn to reproduce the grasps that the expert labeled as good for new views of objects that have been seen previously. Our secondary objective is to generalize to objects that have never been seen but that are close to those already labeled.

We evaluate the performance using the *rectangle metric* [11], which makes it possible to compare to previous works using published datasets [11], [34]. For this metric, grasps are represented as rectangles centered on the gripper's center position (x, y), rotated according to the orientation $\theta \in$ $\left[-\frac{\pi}{2}, +\frac{\pi}{2}\right]$, with a width that is equaling the gripper opening l, and a height that encodes the tolerance. Two grasps are compared by looking at how much the two corresponding rectangles overlap. More precisely, given a proposed grasp GC and a ground truth grasp GT, the Intersection over Union (IoU, also called the Jaccard index) corresponds to the normalized area of intersection:

$$IoU(GT, GC) = \frac{|GT \cap GC|}{|GT \cup GC|} \tag{1}$$

The rectangle metric RM is equal to 1 if this overlapping area is above 0.25 and the angular difference is below $\frac{\pi}{6}$:

$$RM(GT,GC) = \begin{cases} 1, & \text{if } IoU(GT,GC) > 0.25\\ & \text{and } \left| GT.\theta - GC.\theta \right| \le \frac{\pi}{6} \quad (2)\\ 0, & \text{otherwise} \end{cases}$$

We compare our results to GR-Convnet [27] and GC-CNN [26] with the rectangle metric on the Cornell Dataset



Fig. 2. The LGPS grasping pipeline, assuming that the VAE has been trained before (Sec. IV-C). From an RGB-D image, a grasp generator (Sec. IV-A) creates grasp candidates as segments. These grasp candidates are represented as rotated patches centered on the middle of the segment (Sec. IV-B). Each of them is fed to a VAE to get their latent representation, which is, in turn, the input of the GP classifier (Sec. IV-D) to obtain the estimated probability of being selected by the expert; the grasp with the highest probability is selected. A second GP is queried to get the width of the gripper for the selected grasp. The "depth" of the grasp (the z-position of the gripper) is computed using the depth image.

[11] and our own dataset, which is focused on meaningful object-specific labels (scissors, hammer, etc.). Our method does not learn/predicts a height (tolerance) for the rectangles, therefore we fixed it to 38 pixels.

While the process described in the present paper is offline — learning is performed with a labeled dataset (or a subset of this dataset) —, we envision future *online* deployments in which an expert correct the robot online only when it is wrong.

IV. METHOD

A. Grasp candidate generation (a)

Given an RGB-D image, grasp candidates can be generated using computer vision techniques [35], random sampling, or methods based on deep learning like Dex-Net [36], Generative Grasping CNN (GG-CNN) [26] or Generative Residual Convolutional Neural Network (GR-ConvNet) [27]. Here we use a grasp generator based on computer vision, which proved to be both fast and effective in our experiments, once combined with a Gaussian process classifier (Sec. IV-D), although a deep-learning generator could take its place easily.

We first extract the object with the GrabCut algorithm [37] which works like a "magic wand" to separate an object from its background using four classes of pixels: from the objects, probably from the object, not from the object, and probably not from the object. We determine the class of each pixel using three methods: HSV-based color segmentation, saliency based Segmentation [38], Gaussian Mixture-based Background/Foreground Segmentation [39]. We run a Canny edge detector [40] to obtain edges and we compute the skeleton [41].

We generate grasps candidates by computing lines that are perpendicular to each edge pixel and to each skeleton pixel [35]. This is achieved by looking at the two nearest neighbors of each point of the edges/skeleton. To keep the number of grasp candidate low, we skip edge/skeleton points



Fig. 3. Grasps are represented as a rotated and cropped patch of the image that is centered on the grasp. This representation makes it possible to feed both grasps and their context to convolutional neural networks.

if the distance with the previous point is below 4 pixels (Cornell dataset) and 8 pixels (our dataset). Finally, we add a random angle (± 0.4 rad, Gaussian distribution) to each candidate to increase the grasp diversity. At that stage, grasps have no gripper width since they are used to (1) generate patches (Section IV-B), which have a fixed-size (therefore only the position and orientation matters), and (2) to query a GP, which will predict the gripper width using latent representation of the patch.

These *n* points and angles $[x, y, \theta]^n$ are the list of grasps candidates for a specific RGB-D image.

B. Grasp candidate representation (b)

We encode grasps as 7-channel *image patches* (Fig. 3), like in [20] and [4]. The main benefit of this representation is that it combines a specification of the grasp (width of the gripper, orientation, position relative to the object) with an image of the object to recognize it. These image patches are easily fed to convolutional neural networks, by contrast to a coordinate-based or feature-based representation, which would need to be associated to the right object.

To create these patches from $[x, y, \theta]$ (section IV-A), we: (1) rotate the image to correspond to the orientation of the segment, (2) translate the image to be centered on the center of the segment, (3) crop the image to 128×128 pixels. The width of the gripper is ignored for the patch extraction stage. Our images patches are 7-channel: 3 channels for the RGB image, 1 channel for the depth, and 3 channels for the surface normal image, generated from the depth gray-scale image.

C. Latent space for grasp (c)

We here assume that we have access to a large dataset of patches (called *d*) that is not labelled. We train a convolutional β -Variational Auto-Encoder (β -VAE) using a large number of patches (at least 40, 000, depending on the dataset) generated from RGB-D images. The last layer of the decoder uses a tanh activation function because we normalize our input to [-1, 1]. The extraction of grasp patches and their encoding into the latent space takes took less than 2 seconds per scene on average on our computer.

D. Expert preference model learning (d) with Gaussian processes

We now assume that we have access to a second dataset, for which a small set of patches have been labeled either as positive (selected by the expert) or negative (grasp to avoid). For the positive examples, the dataset also contains the gripper opening width selected by the expert.

We train a Gaussian processes classifier [8] that takes as input the latent code for a patch, that is, a grasp candidate, and outputs a score between 0 and 1 that describes the probability that the grasp would be chosen by the expert. Using the positive example, we also train Gaussian process regressor [8] that takes the same input and outputs a probability distribution of the width of the gripper. Compared to neural networks, Gaussian processes classifiers are more accurate when there are little data [8], at the expense of a longer query/training time (query is $O(n^2)$ with n the number of samples). In our tests, we observed query time of less than 0.06 second per scene.

For each generated grasp (Sec. IV-A), we first generate the corresponding patch, encode it to the latent space using the β -VAE decoder, then query the GP to obtain its score. We select the grasp candidate with the highest score. To scale the GP classifier to many samples without compromising on query time, we use "Scalable Variational Gaussian Process classifiers' [7], which is based on a variational inducing point framework, although any GP classifier could be used instead (for less than about 500 labels, a standard GP classifier works well). The gripper opening width is selected by querying the GP regressor with the selected patch (a standard GP is used for this as we only need a single query, compared to many queries for the classifier).

To use the oriented rectangle representation (see Fig. 3), we use a fixed *height* value. When executing the selected grasp with the robot, the depth is computed by using the depth data from the RGB-D camera: we extract an oriented cropped patch of the depth point cloud with the width of the

selected grasp and a fixed width (5 pixels), and we use the closest point to the gripper (that is, the highest point of the object) as the *z*-reference.

V. EXPERIMENTAL EVALUATION

We evaluate our approach on the Cornell dataset [42] (885 scenes, 244 objects, 5055 positive label, 2822 negative labels) and on a custom dataset for which humans typically have preferences (91 scenes, 28 objects, 447 positive labels, 145 negative labels). For the Cornell dataset, the VAE is trained on the 885 scenes (244 objects). For our dataset, images are obtained with an Intel RealSense D415 Depth Camera mounted on the gripper of a Franka-Emika Panda robot (Fig. 1) that is positioned 65 centimeters above the objects. The objects are mostly from the YCB dataset [43]. We used a 32-dimensional latent space (output of the VAE and input of the GP).

We focus on the performance with very few labels (fewer than 4000, ideally fewer than 50) because we envision interactive or semi-interactive scenarios in which an expert does not want to spend much time in labeling. In addition, we are interested in generalizing to new views of objects for which we have labels, and not necessarily to new objects that have never been seen. As a consequence, we make no effort to split the training set and the learning set into disjoint sets of objects; on the contrary, we typically expect our algorithm to select the right grasp with 1-2 grasp examples of the same object but with different view. For instance, we do not expect our algorithm to know how to grasp a hammer if it has never seen a hammer, but we want it to learn how to grasp a hammer from any point of view once it has been explained how to do so once or twice.

We compare our method to two state-of-the-art grasping methods that are based on expert labels: Generative Grasping CNN (GG-CNN) [26] and Generative Residual Convolutional Neural Network (GR-ConvNet) [27] using the rectangle metric (Sec. III). We were unable to run Dex-Net 4.0 [3] on the Cornell dataset because the objects are too far (Dex-Net requires 0.5 to 0.7m) and the dataset does not give the camera intrinsic parameters. GG-CNN and GR-Convnet are two recent algorithms that use a fullyconvolutional neural network to generate grasp quality and grasp poses/width (x, y, θ, w) at every pixel from RGB-D scenes and to sample grasp candidates. GR-ConvNet reports a state-of-the-art accuracy of 97.7% on the Cornell dataset.

For the following experiments, we are interested in comparing our method's performances (in term of following the preferences) according to the number of available labels. To split our datasets image-wise, we order the RGB-D scenes by objects and randomly pick one scene per object for the testing data set, on which every algorithm is tested.

We generate the training and validation sets for a specified number of labeled grasps by randomly selecting data points outside of the test set. These labels are then split into 5 groups in order to perform 5-fold cross validation to train GG-CNN and GR-ConvNet. Each method is trained with the Results on the Cornell dataset (885 scenes, 244 objects) IW split training 231 objects 641 scenes



Fig. 4. Rectangle metric for the Cornell dataset and our dataset. The x-axis corresponds to the number of labels used for training (training+validation data, 641 scenes) and the y-axis to the rectangle metric in percent of the number of scenes in the test set (244 scenes). The rectangle metric counts how often the selected grasp matches at least one of the positive labels. For the Cornell dataset, the top plot shows the rectangle metric from 0 to all the available labels (GR-ConvNet and GG-CNN do not use the negative labels, therefore they cannot use all the labels), the bottom left plot corresponds to the same data but focuses on the results from 0 to 400 labels, and the bottom right plot reports the number of scenes and objects with regards to the number of labels used for training. To better understand the performance of our pipeline, we compare to two "ablations": switching the GP classifier with a logistic regression model for binary classification ("Ours-LR") and using a CNN binary classifier instead of the VAE+GP combination ("Ours-CNN").

same labels available for training, with this difference: GG-CNN and GR-ConvNet discard negative grasps for training (in these methods, everything that is not positive is considered as negative), and our method discards the validation data (since we do not need it for the GP). For instance, for 100 randomly selected labels (e.g., 60 positives and 40 negatives), each fold contains 80 labels for training and 20 for validation; our method is trained with 80 labels whereas GG-CNN and GR-ConvNet would use on average 48 labels (36 labels for training and 12 labels for validation). Please note that we report data using the number of used labels (training and validation), not the number of randomly selected labels, so that the comparison is as fair as possible.

We run our method 5 times for each number of labels (each run is independent); we test with $0, 10, 20, \dots, 500$ labels and $1000, 2000, \dots, 4558$ labels. Overall, we therefore launch 280 ($56 \times 5 = 280$) independent runs of our learning algorithm (and therefore 280 tests). For GR-ConvNet and GG-CNN, we test with 0,100,300,400,1000,3000, and 3588 labels (these methods only use the positive labels) and 5 replicates (total 45 runs for each baseline). We train the VAE part of our method once for each dataset \mathcal{D} , using a 70%-30% training and validation split. The VAE network is trained for 48Hhours on a Nvidia GTX 1080 Ti using the Keras framework and the Adam optimizer with the following parameters: $|B| = 64, \eta = 0.001, w = 128, h = 128, \beta =$ 1.0, m = 32. GG-CNN and GR-ConvNet are both trained on Nvidia GTX 1080 with the provided settings and data

augmentations suited for the Cornell Dataset.

A. Qualitative results

We first checked that our method fits our expectations on an object with a clear choice (Fig. 1). In this experiment, a (toy) hammer has to be taken from the top of the handle (close to the head), and not from the bottom (far from the head). We have chosen this example because a hammer has a very non-uniform distribution of mass that cannot be deduced from the shape alone: grasps that are not close to the head are unlikely to be successful.

Results on our dataset (91 scenes, 28 objects)

For this preliminary experiment, we used a single image with two labels: a positive label for the top part of the handle and a negative label for the bottom part (Fig. 1). We then captured 21 additional scenes of the same hammer in different positions and evaluated how often our method chose to grasp the hammer from the top part (to perform this evaluation, we labeled the 21 scenes, but did not use these labels in training).

The results show that our method selects the right grasp in 19/21 scenes and only fails in the 2 scenes in which the hammer is upright on the table (for which the handle is not accessible with a top-down grasp).

B. Quantitative results

After about 16 example scenes from the Cornell dataset (16 random labels, which correspond to about 13 positive examples for about 14 objects), our method selects the right



Fig. 5. Typical results from our dataset: the top row shows the objects in scenes used for training (additional scenes of these objects were also used), and the bottom row shows some of our predicted grasps on unseen scenes of the same object.

grasp (according to the rectangle metric) for 66% of the test scenes (244 scenes) (Fig. 4), which include many unseen objects (244 objects total, whereas at best 16 different objects have been seen). As a comparison point, randomly selecting the grasp among those generated leads to a score of 29% (this corresponds to the "0 label" value on the plot), which means that the GP classifier is learning useful knowledge. After 68 labels, our method accurately predicts the grasp for 76% the scenes, whereas GR-ConvNet selects the right grasp in only 55% of the test scenes, and GG-ConvNet only 49%. Our method exceeds 80% with 80 labels (63 different object, 71 scenes, 54 positive labels) and 89.5% with 344 labels. By contrast, the best score reached by GR-ConvNet after 3588 labels¹ is 86.8% and by GG-CNN is 77.9%. Overall, our method clearly outperforms the best score of all the baselines after only 344 labels (versus more than 3000 for the baselines), and only a few hundreds labels are enough to get more than 80% of the grasps right.

The results are similar for our dataset, which is smaller but similar to the Cornell dataset (single object with labels) :our method reaches a score of of 74% with only 24 labels (from 22 scenes, 15 different objects, 20 of them being positive), and 93.57% with 96 labels. To provide a reference point, with 300 labels, GR-ConvNet obtains a score of 75% and GG-CNN a score of 60%.

C. Ablation study

Our pipeline combines a VAE to learn a generic latent space with a GP to classify grasp candidates in this latent space. To understand the contribution of the GP, we replaced it with a logistic regression classifier (from Scikit-Learn). The results (Fig. 4, "Ours-LR") show that the logisitic regression leads to scores similar to those obtained by GR-ConvNet, which shows that the GP classifier is a key component. To evaluate the contribution of the VAE trained on many unlabeled images, we then trained a CNN classifier with the same architecture as the VAE (it takes the same patches as input) but that outputs directly the class of the grasp candidate (correct or incorrect). This CNN is trained only on the labeled patches. The results show (Fig. 4, "Ours-CNN") that this simple approach can lead to competitive results but is clearly outperformed by our pipeline. Overall, both training on unlabeled images and using a GP are necessary to reach a good score according to the rectangle metric.

D. Tests with a Franka-Emika Panda robot

The supplementary video shows a Franka-Emika Panda robot that performs the grasps learned with our pipeline and our dataset (Fig. 1). For each of the 28 objects, the camera on the gripper is positioned 65 cm above the table, our pipeline selects the grasp, and the robot executes it using the planning algorithms of the MoveIt library [44].

The objective of our method is to follow the expert preferences, not to achieve the most successful grasps: the expert might require a grasp that is much harder than other grasps for their own reason (which is what the rectangle metrics evaluate). In these robot experiments, 17% of the grasps did not match what the expert expected and 20% of the grasps were unsuccessful (these two sets of failure do fully not overlap). Most of failures came from issues with grasp generation step (e.g., the preferred grasp was not proposed by the generator or no good grasp were proposed).

VI. CONCLUSIONS

Our pipeline is highly data-efficient because it uses a shape-based grasp generator as a prior, instead of learning grasps only from labels. A direct consequence is that our pipeline is at least as good as the grasp generator that is used: it always chooses among the proposed grasps. When more effective grasp generators are developed, they can be directly leveraged to both create the latent space and the candidates, and our pipeline will become more effective.

This paper focuses on offline training to be compared to the state-of-the-art on well-defined datasets. However, our pipeline would fit well online scenarios during which a "supervisor" corrects the robot only when it is wrong, that is, when the shape-based grasp generator is wrong. The supervisor would then act like a teacher with a competent student, with minimal supervision. In future work, we will design human-in-the-loop studies in conjunction with recent grasp generator to assess the performance on such an online learning process.

¹Please note that the authors GR-ConvNet [27] report an accuracy of 97.7% with all the available labels, but we were unable to obtain the same score with their code (they do not report any score with a subset of the Cornell dataset, like we do here). Our results are consistent with the results reported in the GG-CNN literature [27].

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