

Patiromer for the management of hyperkalaemia in patients receiving renin-angiotensin-aldosterone system inhibitors for heart failure: design and rationale of the DIAMOND trial

Javed Butler, Stefan D Anker, Tariq Jamal Siddiqi, Andrew J S Coats, Fabio Dorigotti, Gerasimos Filippatos, Tim Friede, Udo-michael Göhring, Mikhail N Kosiborod, Lars H Lund, et al.

▶ To cite this version:

Javed Butler, Stefan D Anker, Tariq Jamal Siddiqi, Andrew J S Coats, Fabio Dorigotti, et al.. Patiromer for the management of hyperkalaemia in patients receiving renin-angiotensin-aldosterone system inhibitors for heart failure: design and rationale of the DIAMOND trial. European Journal of Heart Failure, 2021, 10.1002/ejhf.2386. hal-03467277

HAL Id: hal-03467277 https://hal.univ-lorraine.fr/hal-03467277

Submitted on 6 Dec 2021

HAL is a multi-disciplinary open access archive for the deposit and dissemination of scientific research documents, whether they are published or not. The documents may come from teaching and research institutions in France or abroad, or from public or private research centers.

L'archive ouverte pluridisciplinaire **HAL**, est destinée au dépôt et à la diffusion de documents scientifiques de niveau recherche, publiés ou non, émanant des établissements d'enseignement et de recherche français ou étrangers, des laboratoires publics ou privés.

Patiromer for the management of hyperkalaemia in patients receiving renin– angiotensin–aldosterone system inhibitors for heart failure: design and rationale of the DIAMOND trial

Javed Butler¹, Stefan D. Anker², Tariq Jamal Siddiqi³, Andrew J. S. Coats⁴, Fabio Dorigotti⁵, Gerasimos Filippatos⁶, Tim Friede⁷, Udo-Michael Göhring⁵, Mikhail N. Kosiborod⁸, Lars H. Lund⁹, Marco Metra¹⁰, Carol Moreno Quinn⁵, Ileana L. Piña¹¹, Fausto J. Pinto¹², Patrick Rossignol¹³, Peter Szecsödy⁵, Peter Van der Meer¹⁴, Matthew Weir¹⁵, Bertram Pitt¹⁶

¹Department of Medicine, University of Mississippi, Jackson, Mississippi, USA;

²Department of Cardiology (CVK); and Berlin Institute of Health Center for Regenerative Therapies (BCRT); German Center for Cardiovascular Research (DZHK) partner site Berlin; Charité Universitätsmedizin Berlin, Germany;

³Department of Internal Medicine, Dow University of Health Sciences, Karachi, Pakistan:

⁴University of Warwick, Warwick, UK;

⁵Vifor Pharma, Glattbrugg, Switzerland;

⁶National and Kapodistrian University of Athens, School of Medicine, Athens University Hospital Attikon, Athens, Greece;

⁷University Medical Center Göttingen, Göttingen, Germany; DZHK (German Center for Cardiovascular Research), Göttingen partner site, Göttingen, Germany;

⁸Department of Cardiovascular Disease, Saint Luke's Mid America Heart Institute and University of Missouri-Kansas City, Kansas City, Missouri, USA;

⁹Department of Medicine, Unit of Cardiology, Karolinska Institutet, Solna, Sweden;

¹⁰Department of Cardiology, University and Civil Hospital, Brescia, Italy;

¹¹Central Michigan University, Mount Pleasant, Michigan, USA;

¹²Santa Maria University Hospital, CAML, CCUL, Faculdade de Medicina da Universidade de Lisboa, Lisbon, Portugal;

¹³Centre d'Investigation Clinique Plurithématique Pierre Drouin - INSERM CHU de Nancy, Nancy, France;

¹⁴Department of Cardiology, University Medical Center Groningen, Groningen, Netherlands;

¹⁵Division of Nephrology, Department of Medicine, University of Maryland School of Medicine, Baltimore, USA;

¹⁶Division of Cardiology, University of Michigan, Ann Arbor, Michigan, USA.

This article has been accepted for publication and undergone full peer review but has not been through the copyediting, typesetting, pagination and proofreading process which may lead to differences between this version and the Version of Record. Please cite this article as doi: 10.1002/ejhf.2386

Corresponding Author: Javed Butler, MD MPH MBA. University of Mississippi Medical

Center, 2500 N. State Street, Jackson, MS 39216, USA; Fax number: 601 984-5608;

Telephone number: 601 984-5600; Email address: jbutler4@umc.edu

ABSTRACT

Aims

In patients with current or a history of hyperkalaemia, treatment with renin–angiotensin–aldosterone system inhibitors (RAASi) is often compromised.

Patiromer, a novel potassium (K⁺) binder, may improve serum K⁺ levels and adherence to RAASi.

Methods

The DIAMOND trial will enrol ~820 patients with heart failure with reduced ejection fraction (HFrEF; ejection fraction ≤40%). Patients meeting the screening criteria will enter a single-blinded run-in phase where they will be started or continued on a mineralocorticoid receptor antagonist (MRA) titrated to 50 mg/day and other RAASi therapy to ≥50% target dose, and patiromer. Patiromer will be titrated up to a maximum three packs/day (8.4 g/pack) to achieve optimal doses of RAASi without hyperkalaemia. The run-in phase will last up to 12 weeks, following which patients will undergo double-blind randomization in a 1:1 ratio to receive either continued patiromer or placebo (patiromer withdrawal). The primary endpoint is the mean difference in serum K⁺ from randomization between patiromer and placebo arms. Secondary endpoints will include hyperkalaemia events with K⁺ value >5.5 mEq/L, durable enablement of MRA at target dose, investigator-reported adverse events of hyperkalaemia, hyperkalaemia-related clinical endpoints and an overall RAASi Use Score (using a 0–8-point scale) comprising all-cause

death, occurrence of cardiovascular hospitalization or usage of comprehensive HF medication.

Conclusion

The DIAMOND trial is designed to determine if patiromer can favourably impact K⁺ control in patients with HFrEF with hyperkalaemia or a history of hyperkalaemia leading to RAASi therapy compromise, and in turn improve RAASi use.

Keywords

heart failure; potassium; hyperkalaemia; patiromer; renin–angiotensin–aldosterone system inhibitors; mineralocorticoid receptor antagonists; adherence; trial design.

Introduction

Renin–angiotensin–aldosterone system inhibitors (RAASi) are recommended for patients with heart failure with reduced ejection fraction (HFrEF).^{1,2} These agents have multiple beneficial effects, but they increase the risk of hyperkalaemia,³ which is more pronounced in patients with HF, who tend to be older and have chronic kidney disease (CKD) and diabetes mellitus.^{4–6} This often contributes to suboptimal prescription of RAASi therapy.^{3,7–9} Previous studies have demonstrated that after an episode of hyperkalaemia, RAASi doses are lowered in a large proportion of patients.^{10–14} Many patients discontinue therapy permanently and suffer adverse outcomes.¹² Epstein et al. observed that nearly 60% of patients with HF who discontinued RAASi experienced an adverse clinical event.¹⁰ Trevisan et al. showed that stopping mineralocorticoid receptor antagonist (MRA) after a hyperkalaemia episode was associated with a lower risk of recurrent hyperkalaemia but a higher risk of death and cardiovascular (CV) events.¹⁵ Consequently, a gap remains between guideline recommendations and real-world practice, where management of HF with optimal use and dose of RAASi is hindered by hyperkalaemia.

Patiromer is a sodium-free, novel potassium (K⁺) binder that is well tolerated and efficacious in maintaining normokalaemia. The DIAMOND (Patiromer for the Management of Hyperkalemia in Subjects Receiving RAASi Medications for the Treatment of Heart Failure; NCT03888066) trial was initially designed to evaluate whether patiromer-enabled RAASi therapy can improve clinical outcomes in patients with HFrEF with either hyperkalaemia or history of hyperkalaemia-related compromise of RAASi therapy. However, related to the impact of ongoing severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) pandemic on clinical trials, the trial's primary endpoint was changed to serum K⁺ control prior to closeout and database lock or unblinding of treatment assignment.

Study design

Trial structure and oversight

The DIAMOND trial is a prospective phase 3b multinational, multicentre, double-blind, randomized withdrawal, parallel-group, placebo-controlled trial that is designed to evaluate whether patiromer treatment in patients who developed hyperkalaemia while receiving RAASi medications will result in improvements in K⁺ concentration and in turn lead to optimization of RAASi use consistent with guidelines. The trial is conducted in accordance with the principles of the Declaration of Helsinki and the International Council on Harmonization guidelines for Good Clinical Practice. An independent ethics committee approved the clinical protocol at every participating centre. All subjects provide written informed consent. The sponsor is Vifor Pharma, Inc.

The trial was designed by the Executive Committee, whose members included academic investigators and representatives of Vifor Pharma, Inc. The Executive Committee was responsible for developing the trial protocol, supervising the development of case report forms, and the statistical plan, overseeing the enrolment, and the quality of follow-up. National leaders from different countries ensured that investigators remain committed to their responsibilities and encourage recruitment. A blinded Endpoint Adjudication Committee assessed all potential clinical events to evaluate whether they adhere to prespecified criteria for a clinical or a safety endpoint. An independent Data Monitoring Committee was responsible for assuring safety and making recommendations regarding the continuity or cessation of the trial. The members of these Committees are listed in the *Appendix*.

Study participants

Men and women aged ≥18 years who have chronic HFrEF, New York Heart Association functional class II–IV symptoms with a left ventricular ejection fraction of ≤40% as measured by any echocardiographic, radionuclide, magnetic resonance imaging, angiographic, or computerized tomography within 12 months from randomization are eligible for enrolment (*Table 1*). Subjects are required to have hyperkalaemia at screening (defined by two K⁺

values of >5.0 mEq/L) while receiving an angiotensin-converting enzyme inhibitor (ACEi)/angiotensin II receptor blocker (ARB)/angiotensin receptor-neprilysin inhibitor (ARNi), and/or an MRA. Alternatively, subjects are also eligible if they are normokalaemic at screening but have a prior history of RAASi discontinuation due to hyperkalaemia. *Table 2* displays the brain natriuretic peptide and N-terminal pro B-type brain natriuretic peptide thresholds that had to be met for inclusion, according to history of atrial fibrillation and previous HF hospitalizations. Subjects with an estimated glomerular filtration rate (eGFR) <30 mL/min/1.73 m² per the Chronic Kidney Disease Epidemiology Collaboration equation, acute decompensated HF within 4 weeks before screening, symptomatic hypotension or systolic blood pressure <90 mmHg, or any significant comorbidity which may impact the patient's clinical course, independent of HF, were excluded from the trial. A complete list of exclusion criteria is provided in *Table 3*.

Study visits and follow-up

Following screening, eligible subjects are enrolled into a single-blinded run-in phase with weekly visits. The purpose of the run-in phase is to control K⁺ levels with patiromer while simultaneously optimizing the use and doses of RAASi medications, including MRA (titrated to 50 mg/day). Patiromer is titrated up to a maximum of three packs/day (8.4 g/pack). After the run-in phase that can last for up to 12 weeks, subjects undergo double-blind randomization in a 1:1 ratio, to receive either continued patiromer or placebo (patiromer withdrawal) (*Figure 1*). Randomization is performed by using permuted block design and is stratified by geographic region. Randomized subjects continue the same number of packets of patiromer as established at the end of the run-in phase and are instructed to continue the ACEi/ARB/ARNi and MRA regimen that was administered at the end of the run-in phase.

Prior to initiation of assigned patiromer/placebo, and as part of the randomization criteria, K⁺ concentration is measured at baseline. Thereafter, samples for the measurement are collected at every visit, starting from Day 3, and then at Weeks 1, 2, 6, 18 and every 3 months thereafter until the end of study (EoS). The original EoS date would have been set

by the sponsor when sufficient number of subjects would have been enrolled to reach the expected number of events. However, the study endpoint was changed to control of K⁺ levels, and the total number of patients enrolled was adjusted. Patient-reported outcomes are evaluated using the Kansas City Cardiomyopathy Questionnaire and EuroQol five-dimension, five-level (EQ-5D-5L) questionnaire. Subjects are monitored for changes in renal function, especially during medication adjustments and when there is a change in clinical status. All randomized subjects are followed for the occurrence of prespecified outcome events during the course of the trial. Subjects who prematurely discontinue patiromer/placebo should remain in the study for the collection of events data up to and including the common EoS and receive usual care during the study phase.

Primary and secondary endpoints

The original primary endpoint of the trial was to assess the efficacy of patiromer-enabled optimization of RAASi therapy on time to CV death or first CV hospitalization. However, due to the impact of the SARS-CoV-2 pandemic, and resulting coronavirus disease 2019 (COVID-19), slowing of the enrolment rate, and the shift in hospitalization patterns and the adaptations of the treatment practices for HF, there was a profoundly lower-than-expected enrolment rate as well as event rate. Additionally, considering the risk to the patients as well as the logistics concern related to the impact on COVID-19 pandemic on the operations of the trial, the objective of the study was adjusted by the academic oversight team and the sponsor while the study remained blinded.

The primary endpoint was changed to mean change in K⁺ levels from baseline to all available post-baseline K⁺ values (with sufficient numbers of patients at follow-up visits) to be analysed by a mixed model for repeated measures approach. A Gaussian linear model for repeated measures will be used to evaluate the primary endpoint with treatment, geographic region, sex, baseline type 2 diabetes mellitus status and treatment by visit interaction serving as factors, and baseline K⁺ level and eGFR as covariates. All analyses will be restricted to serum K⁺ levels and not substituted by plasma values, as plasma values

are systematically lower.¹⁷ K⁺ values, either central or local laboratory measured, will be assessed at all visits, and if both values are present at a given visit, then central values will be used. Least squares mean changes from baseline will be reported for both treatment groups with 95% confidence intervals (CI) as well as the difference between the least squares group means with 95% CI and *P*-value testing the null hypothesis of no treatment effect.

Secondary efficacy endpoints will be analysed in a hierarchal manner and summarized descriptively through the calculation of point estimates by treatment group along with 95% CIs for the treatment differences. First, the time to the first event of hyperkalaemia (K⁺ >5.5 mEq/L) will be analysed. Second, the time to event of reduction of the MRA dose below target (50 mg of spironolactone or eplerenone) will be assessed using a Cox proportional hazards regression model. Discontinuation of target dose would be required for at least 14 days, or less if at the end of the study. Third, investigator-reported adverse events of hyperkalaemia (time-to-first and recurrent) will be analysed using a negative binomial regression with the logarithm of the individual follow-up time as offset. A joint frailty model of the total (first and recurrent) hyperkalaemia events and time to death as terminating event will be performed. Hyperkalaemia-related specific outcomes will be analysed using the unmatched win-ratio approach, with the following hierarchical components, all assessed during comparable follow-up times: time to CV death; total number of CV hospitalizations; and the total number of hyperkalaemia events with K⁺ >6.5 mEq/L, >6.0-6.5 mEq/L and >5.0-6.0 mEq/L. In addition, RAASi use score will be analysed using the win-ratio approach for each pair of patients at the end of the comparable follow-up period for that pair of patients. Hierarchical components of the RAASi use score are illustrated in Figure 2.18 This score, ranging from 0 to 8 points, will be analysed at the respective time points for each patient in each comparison, and consists of all-cause death, total number of CV hospitalizations, and HF medication use. A list of other secondary endpoints, other endpoints and safety evaluations are provided in *Table 4*.

Sample size and power calculation

The sample size of 410 subjects per treatment arm for a total of 820 subjects will provide 90% power to detect a difference between the placebo and the patiromer group on the mean change in K⁺ levels from baseline. This sample size calculation was based upon the following assumptions: 2-sided alpha level of 5%, a difference between group means of 0.116, a standard deviation of 0.5, and a 5% loss to follow-up.¹⁹

Discussion

The DIAMOND trial will evaluate whether the use of patiromer, a novel K⁺ binder, allows better serum K⁺ control in patients with HFrEF who are hyperkalaemic or have a history of hyperkalaemia and are being optimized on RAASi therapy. Previous studies have consistently demonstrated an association between elevated K⁺ levels with lower use of RAASi, which has poor prognostic implications in HFrEF.^{4,5} High K⁺ levels often leads to dose reduction or discontinuation of these proven therapies.⁴⁻⁶ Patiromer is a non-absorbable polymer that binds to free K⁺ in the gastrointestinal tract, thereby reducing the amount of K⁺ being absorbed in the blood.²⁰ This ensures maintenance of normokalaemia without incurring adverse events due to the suboptimal dosing of guideline-recommended HF therapies.

The safety and efficacy of patiromer in patients with HF and/or CKD receiving RAASi therapy were initially established in several key clinical studies. In the 4-week PEARL-HF trial (Evaluation of Patiromer in Heart Failure Patients), patients treated with patiromer were significantly more likely to increase their dose of spironolactone from 25 to 50 mg/day compared with those receiving placebo without experiencing hyperkalemia. Similarly, in the 12-week OPAL-HK study (A Two-Part, Single-Blind, Phase 3 Study Evaluating the Efficacy and Safety of Patiromer for the Treatment of Hyperkalemia in patients with chronic kidney disease and hyperkalemia), 6% and 56% of patients in the patiromer and placebo groups, respectively, had to discontinue RAASi therapy due to recurrence of hyperkalaemia. However, the reliability of these findings is limited by small sample size, short follow-up

period and restricted analysis of HF subgroups that were not well phenotyped. Similar to patiromer, sodium zirconium cyclosilicate (SZC) is also a Food and Drug Administration-approved treatment for chronic hyperkalaemia. The PRIORITIZE HF study (Potassium Reduction Initiative to Optimize RAAS Inhibition Therapy With Sodium Zirconium Cyclosilicate in Heart Failure) trial was also evaluating the efficacy and safety of using SZC to initiate and intensify RAASi therapy in HFrEF. However, the trial was prematurely terminated due to the COVID-19 pandemic, which resulted in a reduced sample size and inconclusive results. The REALIZE-K (Study to Assess Efficacy and Safety of SZC for the Management of High Potassium in Patients With Symptomatic HFrEF Receiving Spironolactone) trial is currently ongoing to determine if SZC can allow safe optimization of RAASi in patients with HFrEF while maintaining normokalaemia. The Potassium in Patients with HFrEF while maintaining normokalaemia.

In circumstances where discontinuation of RAASi therapy is deemed necessary in HFrEF due to hyperkalaemia, guidelines suggest that the drug discontinuation period is kept to a minimum with the reintroduction of RAASi therapy when possible. 1,2,26 However, evidence suggests that a significant proportion of these patients are unable to benefit from these recommendations due to prescriber inertia or persistent risk or fear of hyperkalaemia. Indeed, MRAs are often discontinued but rarely restarted after an episode of hyperkalaemia. The DIAMOND study included high-risk subjects (e.g. those with CKD, diabetes mellitus, older age, elevated natriuretic peptides) who pose the greatest therapeutic dilemma to clinicians, because they have the highest risk of developing hyperkalaemia but stand to benefit most from RAASi therapies. The DIAMOND trial will also assess clinical endpoints, such as time to CV death and CV hospitalization, but will not be powered for them. It will also evaluate quality-of-life measures. In addition, the trial will assess a novel composite endpoint including a RAASi use score using the win ratio. 18

The COVID-19 pandemic continues to evolve and has affected all aspects of clinical research, including enrolment, conduct of study procedures, and the natural history trajectory of the disease process, which has had an impact on outcomes. The DIAMOND trial is the first, and so far only, trial that was designed to assess clinical outcomes with the

use of an enablement strategy for RAASi therapy. Unfortunately, for the foreseeable future, this question will remain unanswered with the change in the plans for the DIAMOND trial described herein. These dynamics will continue to affect other trials and hinder development of novel therapies for various diseases, underscoring the need for adapting to alternate research strategies to achieve these goals.

The DIAMOND trial will be the largest trial ever performed to assess the impact of patiromer on K⁺ control in patients with HFrEF with hyperkalaemia or a history of hyperkalaemia on a background of guideline-directed RAASi medication. Given the contraindication of hyperkalaemia with the usage of RAASis, this trial will add significantly to our knowledge about controlling K⁺ levels and the possible benefits of enabling RAASis in patients with HFrEF with the use of patiromer.

Acknowledgements

Funding

This study was sponsored and funded by Vifor Pharma

Conflict of Interest

All authors have completed and submitted the ICMJE Form for Disclosure of Potential Conflicts of Interest. Tim Friede reported consulting fees from Vifor Pharma, Bayer, Biosense Webster, CSL Behring, Galapagos, Minoryx, Novartis, LivaNova, Janssen, Roche, and honoraria from Fresenius Kabi. Carol Moreno Quinn reported support from Vifor Pharma as a Vifor employee. Javed Butler reported consulting fees from Abbott, Adrenomed, Amgen, Applied Therapeutics, Array, AstraZeneca, Bayer, Boehringer Ingelheim, CVRx, G3 Pharma, Impulse Dynamics, Innolife, Janssen, LivaNova, Luitpold, Medtronic, Merck, Novartis, Novo Nordisk, Relypsa, Sequana Medical, and Vifor Pharma. Gerasimos Filippatos reported honoraria from Bayer and Boehringer Ingelheim, committee membership for Medtronic, Vifor Pharma, Amgen, Servier, and Novartis, and grants from the European Commission.

Matthew Weir reported consulting fees from Vifor Pharma and AstraZeneca, and honoraria from Vifor Pharma. Peter Van der Meer reported grants from Vifor Pharma, Pfizer, AstraZeneca, and Ionis, consulting fees from Vifor Pharma, Novartis and AstraZeneca, and honoraria from Vifor Pharma and Pharmacosmos. Lars H. Lund reported grants from AstraZeneca, Vifor, Boston Scientific, Boehringer Ingelheim, and Novartis, consulting fees from Merck, Vifor Pharma, AstraZeneca, Bayer, Pharmacosmos, MedScape, Sanofi, Lexicon, Myokardia, Boehringer Ingelheim, and Servier, honoraria from Abbott, MedScape, Radcliffe, AstraZeneca, and Novartis, and stock options and patents with AnaCardio. Fabio Dorigotti reported support and stock options from Vifor Pharma as a Vifor employee. Fausto J. Pinto reported consulting fees from Vifor Pharma and NovoNordisk, honoraria from Servier, Pfizer, Novartis, and Boehringer Ingelheim, and presidency (unpaid) of the World Heart Federation. Stefan D. Anker reports consulting fees from Abbott, Bayer, Boehringer Ingelheim, Cardiac Dimension, Cordio, Impulse Dynamics, Novartis, Occlutech, Servier, and Vifor Pharma, and grant support from Abbott and Vifor Pharma. Marco Metra reported consulting fees from Actelion, Amgen, AstraZeneca, Abbott Vascular, Bayer, Servier, Edwards Therapeutics, Livanova, Vifor Pharma, and WindTree Therapeutics. Udo-Michael Göhring reports grants, support and stock options from Vifor Pharma. Mikhail Kosiborod reported consulting fees from Amgen, Applied Therapeutics, AstraZeneca, Bayer, Boehringer Ingelheim, Eli Lilly, Esperion Therapeutics, Janssen, Merck, Novo Nordisk, Sanofi, and Vifor Pharma, grants from AstraZeneca and Boehringer Ingelheim, and grant support from Abbott and Vifor Pharma, and honoraria from AstraZeneca, Boehringer Ingelheim, and Novo Nordisk. Andrew Coates reported honoraria from Astra Zeneca, Bayer, Boehringer Ingelheim, Menarini, Novartis, Nutricia, Servier, Vifor, Abbott, Actimed, Arena, Cardiac Dimensions, Corvia, CVRx, Enopace, ESN Cleer, Faraday, Gore, Impulse Dynamics, Respicardia, and Viatris. Patrick Rossignol reported grants from AstraZeneca, Bayer, Fresenius, Novartis, Vifor Fresenius Medical Care Renal Pharma, Relypsa, and Vifor, consulting fees from Bayer, Idorsia, G3P, KBP, and Sanofi, honoraria from Sequana

medical, AstraZeneca, Bayer, Fresenius, Novartis, Grunenthal, Stealth Peptides, Vifor Fresenius Medical Care Renal, NovoNordisk, Ablative Solutions, Corvidia Relypsa, and Vifor CardioRenal, and non-financial support from Servier, Fresenius, and G3P. Dr Piña serves on an advisory board for Vifor Pharma Ltd and on the Steering Committee of the DIAMOND clinical trial. Bertram Pitt reported consulting fees from Astra Zeneca, Boehringer Ingelheim/Lilly, Sanofi/Lexicon, SCPharmaceuticals, SQinnovations, G3 Pharmaceuticals, Sarfez, KBP biosciences, Cereno scientific, Phase bio, Proton Intel, and Vifor Pharma, stock options from SCPharmaceuticals, SQinnovations, G3 Pharmaceuticals, Sarfez, Cereno scientific, KBP biosciences, Proton Intel, and Vifor Pharma, US Patent 9931412- site specific delivery of eplerenone to the myocardium, and US Patent pending 63/045,783 – Histone-modulating agents for the treatment and prevention of organ damage. No other authors reported disclosures.

FIGURE LEGENDS

Figure 1 Design of the DIAMOND trial. ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin receptor blocker; ARNi, angiotensin receptor-neprilysin inhibitor; BNP, brain natriuretic peptide; eGFR, estimated glomerular filtration rate; EoS, end of study; HFrEF, heart failure with reduced ejection fraction; LVEF, left ventricular ejection fraction; MRA, mineralocorticoid receptor antagonist; NT-proBNP, N-terminal pro B-type brain natriuretic peptide; R, randomization; RAASi, renin–angiotensin–aldosterone system inhibitor; sK⁺, serum potassium.

Figure 2 Hierarchical components of the renin–angiotensin–aldosterone system inhibitors use score. ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin receptor blocker; ARNi, angiotensin receptor-neprilysin inhibitor; BB, beta blockers; CV, cardiovascular; MRA, mineralocorticoid receptor antagonist.

Table 1 Inclusion criteria for the DIAMOND trial

- 1. Subject provides written informed consent prior to study participation
- 2. Age 18 years or greater
- 3. Current New York Heart Association class II–IV
- 4. Left ventricular ejection fraction ≤40%, measured by any echocardiographic, radionuclide, magnetic resonance imaging, angiographic, or computerized tomography method in the last 12 months (without subsequent measured ejection fraction >40% during this interval)
- 5. Receiving any dose of a beta blocker for the treatment of HF or unable to tolerate beta blocker (reason documented)
- Estimated glomerular filtration rate ≥30 mL/min/1.73 m² at screening (based on a single local laboratory analysis of serum creatinine and calculation using the CKD-EPI equation)
- 7. Hyperkalaemia at screening (defined by two local K⁺ values of >5.0 mEq/L, each obtained from a separate venipuncture, e.g. one in each arm or two separate venipunctures in the same arm) while receiving ACEi/ARB/ARNi, and/or MRA OR

Normokalaemia at screening (defined by two local K⁺ ≥4.0–≤5.0 mEq/L, each obtained from a separate venipuncture, e.g. one in each arm or two separate venipunctures in the same arm) with a history of hyperkalaemia documented by usual care K⁺ measurement >5.0 mEq/L while on RAASi treatment in the 12 months prior to screening, leading to a subsequent and permanent dose decrease or discontinuation of one or more RAASi medications

- 8. Females of childbearing potential must be non-lactating, must have a negative pregnancy test at screening, and must agree to continue using contraception throughout the study and for 4 weeks after study completion
- 9. With hospitalization for HF or equivalent (e.g. emergency room or outpatient visit for

worsening HF during which the patient received intravenous medications for the treatment of HF) within the last 12 months before screening

- a) Without atrial fibrillation at screening, BNP^a level must be greater than 150 pg/mL (18 pmol/L) or NT-proBNP must be greater than 600 pg/mL (71 pmol/L)
- b) With atrial fibrillation at screening, BNPa level must be greater than 300 pg/mL (35 pmol/L) or NT-proBNP must be greater than 1200 pg/mL (142 pmol/L)

OR

<u>Without</u> hospitalization for HF or equivalent (e.g. emergency room or outpatient visit for worsening HF during which the subject received intravenous medications for the treatment of HF) within the last 12 months before screening

- a) Without atrial fibrillation at screening, BNP^a level must be greater than 300 pg/mL (35 pmol/L) or NT-proBNP must be greater than 1200 pg/mL (142 pmol/L)
- b) With atrial fibrillation at screening, BNP^a level must be greater than 600 pg/mL (71 pmol/L) or NT-proBNP must be greater than 2400 pg/mL (284 pmol/L)

ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker; ARNi, angiotensin receptor-neprilysin inhibitor; BNP, brain natriuretic peptide; CKD-EPI, Chronic Kidney Disease Epidemiology Collaboration; HF, heart failure; K⁺, serum potassium; MRA, mineralocorticoid receptor antagonist; NT-proBNP, N-terminal pro B-type brain natriuretic peptide; RAASi, renin—angiotensin—aldosterone system inhibitor.

^aFor subjects treated with ARNi (sacubitril/valsartan) in the previous 4 weeks before screening, only NT-proBNP values are to be considered.

Table 2 Brain natriuretic peptide and N-terminal pro B-type brain natriuretic peptide threshold levels (based on local laboratory), comorbidities, and previous hospitalizations

	Subjects with hospitalization	Subjects with no hospitalization
	for HF or equivalent ^a within last	for HF or equivalent ^a within last
	12 months	12 months
Cubicata proposition	BNP ^b >150 pg/mL	BNPb >300 pg/mL
Subjects presenting	(18 pmol/L)	(35 pmol/L)
without atrial fibrillation when the blood sample was collected	or	or
	NT-proBNP >600 pg/mL	NT-proBNP >1200 pg/mL
	(71 pmol/L)	(142 pmol/L)
Subjects presenting with	BNP ^b >300 pg/mL	BNP ^b >600 pg/mL
	(35 pmol/L)	(71 pmol/L)
atrial fibrillation when	or	or
the blood sample was	NT-proBNP >1200 pg/mL	NT-proBNP >2400 pg/mL
collected	(142 pmol/L)	(284 pmol/L)

BNP, brain natriuretic peptide; HF, heart failure; NT-proBNP, N-terminal pro B-type brain natriuretic peptide.

^aE.g. urgent emergency room or outpatient visit for worsening HF during which the subject received intravenous medications for the treatment of HF.

^bFor subjects treated with angiotensin receptor-neprilysin inhibitor (sacubitril/valsartan) in the previous 4 weeks before screening, only NT-proBNP values are to be considered.

Table 3 Exclusion criteria for the DIAMOND trial

- Current acute decompensated heart failure within 4 weeks before screening. Subjects with a
 discharge from a hospitalization for acute decompensation of heart failure longer than
 4 weeks before screening may be included
- 2. Symptomatic hypotension or systolic blood pressure <90 mmHg
- 3. Significant primary aortic or mitral valvular heart disease (except secondary mitral regurgitation due to left ventricular dilatation)
- 4. Heart transplantation or planned heart transplantation (i.e. currently on a heart transplant waiting list) during the study period
- Diagnosis of peripartum or chemotherapy-induced cardiomyopathy or acute myocarditis in the previous 12 months
- 6. Implantation of a cardiac resynchronization therapy within 4 weeks before screening
- 7. Restrictive, constrictive, hypertrophic, or obstructive cardiomyopathy
- 8. Untreated ventricular arrhythmia with syncope in the previous 4 weeks
- 9. History of, or current diagnosis of, a severe swallowing disorder, moderate-to-severe gastroparesis, or major gastrointestinal surgery (e.g. bariatric surgery or large bowel resection)
- 10. A major cardiovascular event within 4 weeks prior to screening, including acute myocardial infarction, stroke (or transient ischaemic attack), a life-threatening atrial or ventricular arrhythmia, or resuscitated cardiac arrest
- 11. Liver enzymes (alanine aminotransferase, aspartate aminotransferase) >5 times upper limit of normal at screening based on the local laboratory
- 12. Diagnosis or treatment of a malignancy in the past 2 years, excluding non-melanoma skin cancer and carcinoma *in situ* of the cervix, prostate cancer with Gleason score <7, or a condition highly likely to transform into a malignancy during the study
- 13. Presence of any condition (e.g. drug/alcohol abuse; acute illness) that, in the opinion of the investigator, places the subject at undue risk, or prevents complete participation in the trial procedures, or potentially jeopardizes the quality of the study data

- 14. Use of any investigational product for an unapproved indication within 4 weeks prior to screening or currently enrolled in any other type of medical research judged not to be scientifically or medically compatible with this study
- 15. Known hypersensitivity to patiromer (RLY5016) or its components
- 16. Subjects currently being treated with or having taken any one of the following medications in the 7 days prior to screening: sodium or calcium polystyrene sulfonate or sodium zirconium cyclosilicate, or patiromer
- 17. An employee, spouse, or family member of the Sponsor (Vifor Pharma), investigational site or the Contract Research Organization
- 18. Planned or scheduled dialysis within 3 months from screening

Table 4 List of secondary endpoints, other endpoints and safety evaluations

Key Secondary Endpoints (Hierarchically Ordered)

- 1. Hyperkalaemia events with a serum K⁺ value >5.5 mEq/L
- 2. Durable enablement to stay on the MRA target dose (of 50 mg daily spironolactone or eplerenone, respectively) as assessed by the between treatment group difference of the cumulative frequency of patients not staying on that target dose

Note: Discontinuation of the target dose for at least 14 days (or less if at the EoS) is required to confirm this endpoint.

- 3. Investigator-reported events of hyperkalaemia (first and recurrent events)
- 4. Hyperkalaemia-related hard outcomes endpoints (win ratio)
 - a. Time to CV death
 - b. Total number of CV hospitalizations
 - c. Total number of hyperkalaemia toxicity events with serum K⁺ >6.5 mEq/L
 - d. Total number of hyperkalaemia events with serum K⁺ >6.0–6.5 mEq/L
 - e. Total number of hyperkalaemia events with serum K⁺ >5.0 mEq/L
- 5. RAASi use score (win ratio)

Note: This score (of 0–8 points) will be analysed at the respective time points for each patient in each comparison, and it consists of the following components:

- a. All-cause death
- b. Occurrence of a CV hospitalization
- c. HF medication use and dose for (i) an ACEi/ARB/ARNi, (ii) an MRA, and (ii) a beta blocker

Other secondary endpoints:

- Durable enablement to stay on the target dose of ACE/ARB/ARNI as assessed by the between-treatment group difference of the cumulative frequency of subjects not staying on that target dose
 Note: Discontinuation of the target dose for at least 14 days (or less if at the EoS) is required to confirm this endpoint.
- Durable hyperkalaemia-free enablement to stay on the MRA target dose (days on 50 mg MRA without hyperkalaemia)
- Total number of hyperkalaemia toxicity events with K⁺ >6.5 mEq/L

- Total number of hyperkalaemia toxicity events with K⁺ >6.0–6.5 mEq/L
- Emergency treatment for hyperkalaemia (hospitalization or emergency room)
- Total number of hyperkalaemia toxicity events with K⁺ >5.0–6.0 mEq/L
- KCCQ, OSS, CSS and TSS during the treatment phase
- Investigator-reported events of hyperkalaemia (recurrent events)
- Proportion of subjects on ≥50% of target dose of ACEi, ARB, or ARNi and of MRA at the EoS visit
- Time to first occurrence of CV death or CV hospitalization

Other endpoints:

- CV death
- First and recurrent CV hospitalizations
- First and recurrent HF hospitalizations (or equivalent in outpatient clinic)
- Patient-reported outcome: EQ-5D-5L questionnaire
- Proportion of subjects on any dose of MRA at the EoS visit
- Proportion of subjects on any dose of ACEi, ARB, or ARNi at the EoS visit
- Change in proteinuria from screening
- Change in NT-proBNP from screening
- Change in high-sensitivity troponin from baseline
- Functional status by NYHA class
- 30-day HF rehospitalization after a prior HF hospitalization
- Health economics and outcomes research analyses
- Mean difference in K⁺ change from baseline between active and placebo arms at other time points

Safety evaluations:

- Adverse events, including all-cause mortality
- All-cause mortality
- Slope of eGFR change during the study
- Decline in eGFR >50% or end-stage renal disease, renal death, or need for dialysis
- Laboratory parameters other than those defined as efficacy endpoints

ACEi, angiotensin-converting enzyme inhibitor; ARB, angiotensin II receptor blocker;

ARNi, angiotensin receptor-neprilysin inhibitor; BNP, brain natriuretic peptide; CSS, clinical summary score; CV, cardiovascular; eGFR, glomerular filtration rate; EoS, end of study;

HF, heart failure; K⁺, serum potassium; KCCQ, Kansas City Cardiomyopathy Questionnaire;

MRA, mineralocorticoid receptor antagonists; NT-proBNP, N-terminal pro B-type brain natriuretic peptide; NYHA, New York Heart Association; OSS, overall summary score;

RAASi, renin–angiotensin–aldosterone system inhibitor; TSS, total symptom score.

Figure 1

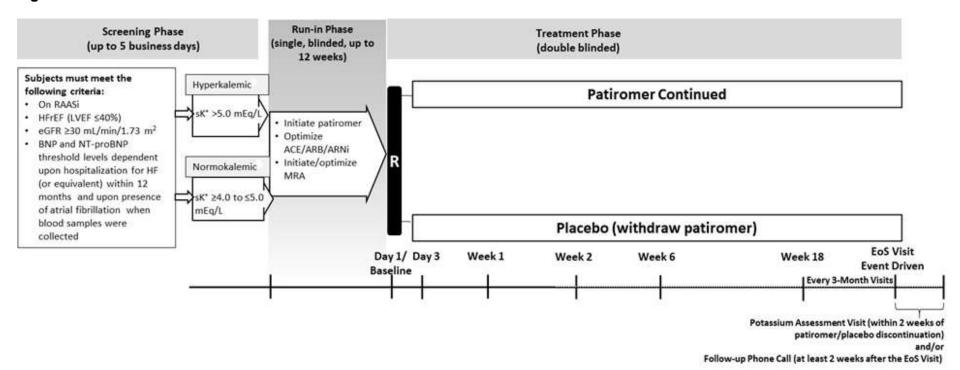
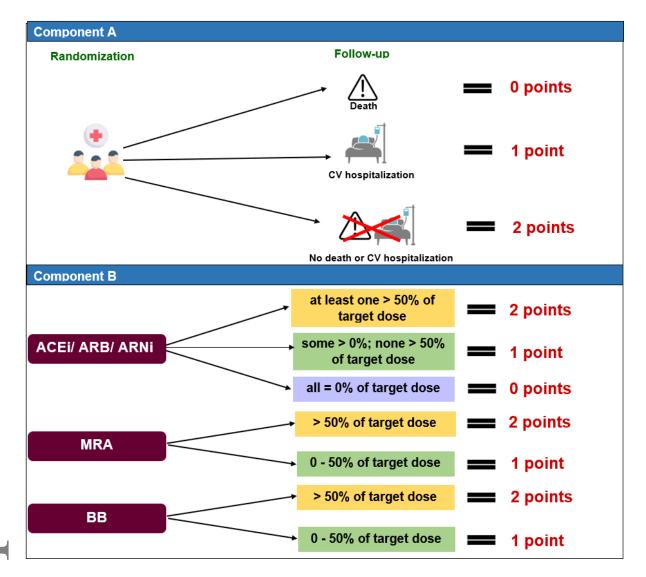


Figure 2



References

- 1. Ponikowski P, Voors AA, Anker SD, Bueno H, Cleland JGF, Coats AJS, Falk V, González-Juanatey JR, Harjola VP, Jankowska EA, Jessup M, Linde C, Nihoyannopoulos P, Parissis JT, Pieske B, Riley JP, Rosano GMC, Ruilope LM, Ruschitzka F, Rutten FH, van deer Meer P. 2016 ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure. *Eur Heart J* 2016;**37**:2129–2200.
- 2. Yancy CW, Jessup M, Bozkurt B, Butler J, Casey DE Jr, Colvin MM, Drazner MH, Filippatos G, Fonarow GC, Givertz MM, Hollenberg SM, Lindenfeld J, Masoudi FA, McBride PE, Peterson PN, Stevenson LW, Westlake C. 2016 ACC/AHA/HFSA focused update on new pharmacological therapy for heart failure: an update of the 2013 ACCF/AHA guideline for the management of heart failure: a report of the American College of Cardiology/American Heart Association Task Force on Clinical Practice Guidelines and the Heart Failure Society of America. *Circulation* 2016;**134**:e282–e93.
- 3. Seliger SL. Hyperkalemia in patients with chronic renal failure. *Nephrol Dial Transplant* 2019;**34**(Suppl 3):iii12–iii18.
- 4. Bandak G, Sang Y, Gasparini A, Chang AR, Ballew SH, Evans M, Arnlov J, Lund LH, Inker LA, Coresh J, Carrero JJ, Grams ME. Hyperkalemia after initiating renin-angiotensin system blockade: the Stockholm Creatinine Measurements (SCREAM) Project. *J Am Heart Assoc* 2017;**6**:e005428.
- 5. Cooper LB, Benson L, Mentz RJ, Savarese G, DeVore AD, Carrero JJ, Dahlström U, Anker SD, Lainscak M, Hernandez AF, Pitt B, Lund LH. Association between potassium level and outcomes in heart failure with reduced ejection fraction: a cohort study from the Swedish Heart Failure Registry. *Eur J Heart Fail* 2020;**22**:1390–1398.
- 6. Beusekamp JC, Tromp J, van der Wal HH, Anker SD, Cleland JG, Dickstein K, Filippatos G, van der Harst P, Hillege HL, Lang CC, Metra M, Ng LL, Ponikowski P, Samani NJ, van Veldhuisen DJ, Zwinderman AH, Rossignol P, Zannad F, Voors AA, van der Meer P. Potassium and the use of renin-angiotensin-aldosterone system inhibitors in heart failure with reduced ejection fraction: data from BIOSTAT-CHF. *Eur J Heart Fail* 2018;**20**:923–930.

- 7. Maggioni AP, Anker SD, Dahlström U, Filippatos G, Ponikowski P, Zannad F, Amir O, Chioncel O, Leiro MC, Drozdz J, Erglis A, Fazlibegovic E, Fonseca C, Fruhwald F, Gatzov P, Goncalvesova E, Hassanein M, Hradec J, Kavoliuniene A, Lainscak M, Logeart D, Merkely B, Metra M, Persson H, Seferovic P, Temizhan A, Tousoulis D, Tavazzi L; Heart Failure Association of the ESC. Are hospitalized or ambulatory patients with heart failure treated in accordance with European Society of Cardiology guidelines? Evidence from 12,440 patients of the ESC Heart Failure Long-Term Registry. *Eur J Heart Fail* 2013;**15**:1173–1184.
- 8. Savarese G, Carrero JJ, Pitt B, Anker SD, Rosano GMC, Dahlström U, Lund LH. Factors associated with underuse of mineralocorticoid receptor antagonists in heart failure with reduced ejection fraction: an analysis of 11 215 patients from the Swedish Heart Failure Registry. *Eur J Heart Fail* 2018;**20**:1326–1334.
- 9. Rossignol P, Lainscak M, Crespo-Leiro MG, Laroche C, Piepoli MF, Filippatos G, Rosano GMC, Savarese G, Anker SD, Seferovic PM, Ruschitzka F, Coats AJS, Mebazaa A, McDonagh T, Sahuquillo A, Penco M, Maggioni AP, Lund LH; Heart Failure Long-Term Registry Investigators Group. Unravelling the interplay between hyperkalaemia, reninangiotensin-aldosterone inhibitor use and clinical outcomes. Data from 9222 chronic heart failure patients of the ESC-HFA-EORP Heart Failure Long-Term Registry. *Eur J Heart Fail* 2020;22:1378–1389.
- 10. Epstein M, Reaven NL, Funk SE, McGaughey KJ, Oestreicher N, Knispel J. Evaluation of the treatment gap between clinical guidelines and the utilization of renin-angiotensin-aldosterone system inhibitors. *Am J Manag Care* 2015;**21**(11 Suppl):S212–S220.
- 11. Ouwerkerk W, Voors AA, Anker SD, Cleland JG, Dickstein K, Filippatos G, van der Harst P, Hillege HL, Lang CC, Ter Maaten JM, Ng LL, Ponikowski P, Samani NJ, van Veldhuisen DJ, Zannad F, Metra M, Zwinderman AH. Determinants and clinical outcome of uptitration of ACE-inhibitors and beta-blockers in patients with heart failure: a prospective European study. *Eur Heart J* 2017;38:1883–1890.

- 12. Trevisan M, de Deco P, Xu H, Evans M, Lindholm B, Bellocco R, Barany P, Jernberg T, Lund LH, Carrero JJ. Incidence, predictors and clinical management of hyperkalaemia in new users of mineralocorticoid receptor antagonists. *Eur J Heart Fail* 2018;**20**:1217–1226.
- 13. Ferreira JP, Butler J, Rossignol P, Pitt B, Anker SD, Kosiborod M, Lund LH, Bakris GL, Weir MR, Zannad F. Abnormalities of potassium in heart failure: JACC State-of-the-Art Review. *J Am Coll Cardiol* 2020;**75**:2836–2850.
- 14. Beusekamp JC, Tromp J, Cleland JGF, Givertz MM, Metra M, O'Connor CM, Teerlink JR, Ponikowski P, Ouwerkerk W, van Veldhuisen DJ, Voors AA, van der Meer P. Hyperkalemia and treatment with RAAS inhibitors during acute heart failure hospitalizations and their association with mortality. *JACC Heart Fail* 2019;**7**:970–979.
- 15. Trevisan M, Fu EL, Xu Y, Savarese G, Dekker FW, Lund LH, Clase CM, Sjölander A, Carrero JJ. Stopping mineralocorticoid receptor antagonists after hyperkalaemia: trial emulation in data from routine care. *Eur J Heart Fail* 2021 Jul 1; doi: 10.1002/ejhf.2287. Online ahead of print.
- 16. DeFilippis EM, Reza N, Donald E, Givertz MM, Lindenfeld J, Jessup M. Considerations for heart failure care during the COVID-19 pandemic. *JACC Heart Fail* 2020;**8**:681–691.
- 17. Cooper LB, Savarese G, Carrero JJ, Szabo B, Jernberg T, Jonsson Å, Dahlbom C, Dahlström U, Larson A, Lund LH. Clinical and research implications of serum versus plasma potassium measurements. *Eur J Heart Fail* 2019;**21**:536–537.
- 18. Pocock SJ, Ariti CA, Collier TJ, Wang D. The win ratio: a new approach to the analysis of composite endpoints in clinical trials based on clinical priorities. *Eur Heart J* 2012;**33**:176–182.
- 19. Pitt B, Anker SD, Bushinsky DA, Kitzman DW, Zannad F, Huang IZ; PEARL-HF Investigators. Evaluation of the efficacy and safety of RLY5016, a polymeric potassium binder, in a double-blind, placebo-controlled study in patients with chronic heart failure (the PEARL-HF) trial. *Eur Heart J* 2011;**32**:820–828.
- 20. Pitt B, Bushinsky DA, Kitzman DW, Ruschitzka F, Metra M, Filippatos G, Rossignol P, Du Mond C, Garza D, Berman L, Lainscak M; Patiromer-204 Investigators. Evaluation of an

individualized dose titration regimen of patiromer to prevent hyperkalaemia in patients with heart failure and chronic kidney disease. *ESC Heart Fail* 2018;**5**:257–266.

- 21. Pitt B, Bakris GL, Bushinsky DA, Garza D, Mayo MR, Stasiv Y, Christ-Schmidt H, Berman L, Weir MR. Effect of patiromer on reducing serum potassium and preventing recurrent hyperkalaemia in patients with heart failure and chronic kidney disease on RAAS inhibitors. *Eur J Heart Fail* 2015;**17**:1057–65.
- 22. US Food and Drug Administration. FDA recommends separating dosing of potassium-lowering drug sodium polystyrene sulfonate (Kayexalate) from all other oral drugs. 6 September 2017.

https://www.fda.gov/media/107623/download#:~:text=Sodium%20polystyrene%20sulfonate %20is%20used,helps%20the%20body%20function%20properly (19 August 2021).

- 23. AstraZeneca Pharmaceuticals LP. Lokelma prescribing information. 2018.
 https://www.accessdata.fda.gov/drugsatfda_docs/label/2018/207078s000lbl.pdf (19 August 2021).
- 24. AstraZeneca. Potassium Reduction Initiative to Optimize RAAS Inhibition Therapy With Sodium Zirconium Cyclosilicate in Heart Failure (PRIORITIZE HF).

https://clinicaltrials.gov/ct2/show/results/NCT03532009 (19 August 2021).

- 25. AstraZeneca. Study to Assess Efficacy and Safety of SZC for the Management of High Potassium in Patients With Symptomatic HFrEF Receiving Spironolactone (REALIZE-K). https://clinicaltrials.gov/ct2/show/NCT04676646 (19 September 2021).
- 26. McDonagh TA, Metra M, Adamo M, Gardner RS, Baumbach A, Böhm M, Burri H, Butler J, Čelutkienė J, Chioncel O, Cleland JGF, Coats AJS, Crespo-Leiro MG, Farmakis D, Gilard M, Heymans S, Hoes AW, Jaarsma T, Jankowska EA, Lainscak M, Lam CSP, Lyon AR, McMurray JJV, Mebazaa A, Mindham R, Muneretto C, Francesco Piepoli M, Price S, Rosano GMC, Ruschitzka F, Kathrine Skibelund A; ESC Scientific Document Group. 2021 ESC Guidelines for the diagnosis and treatment of acute and chronic heart failure. *Eur Heart J* 2021;42:3599–3726.