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Tuning the porosity of hard carbons elaborated from sucrose

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ABSTRACT

Hard carbons are among the most promising anode materials for Na-ion batteries (NIB) and are extensively studied. Ne verth eless , it is stil l ne cessary to decipher th e electr ochem ica l inte rcalation beha vio r of sodium whic h is still under debate in the literature. Here, we present an innovating approach for elaborating hard carbon from simple sucrose precursor. It consists in a low-temperature pyrolysis at 500 °C under controlled argon pressure, followed by low-temperature carbonization. Using complementary characterization techniques (XRD, Raman, TEM, gas adsorption, SAXS) and galvanostatic electrochemical measurements, we show that this elaboration route allows the possibility to control and modify the porosity of the hard carbons without any modification of the structure of the material. This work leads us to assign the low potential plateau to the development of quasimeta l cluste rin g of sodium into na rro w poro sit y deve loped tune d thanks to th e pyro l ysi s unde r pressure .

1 . Introduction

the porosity of hard carbons elaborated from sucrose

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10. L. Speyer, M. Bolmont, S. Cahen , S. Font Thanks to the abundance and low-cost of sodium, Na-ion batteries (NIB) ar e exte nsively studie d as it is co nsi dered as a co mpl eme ntary technology to lithium-ion batteries (LIB). Indeed, if NIB have a lower sp ecifi c energy de nsity than lithiu m -io n sy stems mostly becaus e of th e higher atomic mass of sodium an d it s higher redo x pote ntial , they ar e su stainable an d exhibi t some technica l adva ntage s ve rsu s LIB. A chal lengin g issu e fo r th e emergenc e of NI B remain s th e deve lopment of suit able negative electrode materials. Graphite, the most current material employed for LIB with a theoretical specific capacity of 372 mAh.g⁻¹ ca nno t be co nsi dered fo r Na -io n sy stems , sinc e sodium inte rcalate s very poorly betwee n graphene la yer s with a sp ecifi c capa cit y reac hin g 35 mAh.g⁻¹ only [1]. Hard carbons are non-graphitizable carbons materials extensively studied as negative electrodes in NIB and constitute exce llent ca ndidate s fo r th e replac ement of graphite . They ca n be pr e pare d by si mpl e pyro l ysi s of abundant an d ea sil y avai lable pr ecu rsors such as saccharides and biomass waste $[2]$. Hard carbons can be depicted as smal l an d curved ra ndoml y arranged graphiti c domain s form ing a microporous structure, that cannot form any graphitic material even at very high temperatures [3,4]. This structural picture is a part of the explanation for the interactions between sodium ions and hard carbons which fit well with hard carbon as anode for NIB technology. Neverth eless , th e pr ecise storag e mech anism s ar e no t pe rfectly clear.

Galvanostatic cycling experiments performed on hard carbon electrodes tested in half-cell versus metallic sodium can be described with two distinct regions: a slope above 0.1 V vs Na⁺/Na, and a plateau region below 0.1 V vs Na^+ /Na. There seems to be a consensus about the first storage phenomenon, *i.e*. adsorption of sodium ions on the various defects (heteroatoms, structural defects, carbon edges ...) of hard carbons : it is attributed to th e slop e region of th e ga lvano stati c cyclin g si g nal for higher voltage. These defects are reactive sites, and are easily acce ssible, whic h explains that sodium inte racts with thes e site s at higher voltage [5–[8](#page-10-4)]. Some authors report correlations between the slope capa cit y an d thes e defect s [[6](#page-10-5) , 9 –[11\]](#page-10-6). In addition , th e larg e variet y of po ssi bl e defect s in hard ca rbons , whic h ar e di sordere d materials, cr eates an energeti c di str i b ution fo r th e adsorption ph eno mena. Then , adsorption occurs on a quit e larg e voltag e range, in acco rdanc e with a slopin g po te ntial [[5](#page-10-4) , [7\]](#page-10-7). Moreover , it is well -know n that defect s tend to di sappear when the temperature of pyrolysis increases, and the decrease of the capacity associated to the slope region with higher temperatures is a gen-eral observation [[7](#page-10-7),[9](#page-10-6)[,10](#page-10-8),[12\]](#page-10-9). In fact, the controversy concerns two othe r sodium storag e ph eno mena: inte rcalation betwee n graphene la y er s into th e smal l graphiti c domains, an d closed micr o por e -filling.

Th e most cu rrent techniqu e fo r probin g th e inte rcalation is *ex -situ* , *in -situ* an d *operando* X -ra y di ffraction : a shift of th e (002) peak to a lower angle indicates the increase of the interplanar distance due to the sodi ation mech anism . Ho wever , as pointe d ou t by Zhan g et al . [[13\]](#page-10-10), since hard carbons are disordered materials, diffraction peaks are broad an d a shift is di fficult to assess clearly. It ma y explai n th e co ntr ove rsial results reported by X-ray diffraction and Raman spectroscopy in different studies: some authors observe shift signals during the high voltage

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reduction (slope region [\[14](#page-10-11)–16]) others below 0.1 V (the plateau region $[5,6,12]$ $[5,6,12]$ $[5,6,12]$ $[5,6,12]$ $[5,6,12]$ $[5,6,12]$), and some of them do not detect any sodium intercalation [\[13](#page-10-10) ,[17\]](#page-10-12). In some studies, inte rcalation is associated to th e adsorp tion on defect s on th e slop e region . An ioni c stat e is reported fo r sodium on the slope region by Na nuclear magnetic resonance and X-ray photoelectron spectroscopy , ne verth eless this ioni c fe ature is in acco rdanc e with both phenomena [[6](#page-10-5),[7](#page-10-7),[18](#page-10-13)[,19](#page-10-14)]. Intercalation can be assigned to the en d of th e slop e sinc e defect s ar e more reactive ve rsu s sodium ions than inte rlaye r domain s [[7](#page-10-7) [,20](#page-10-15)], an d ca n even co ntinu e on th e platea u region for smaller interplanar distances [\[20](#page-10-15)].

Co ncernin g th e micr o por e -fillin g mech anism , th e pore vo lum e an d sp ecifi c su rface area of hard ca rbons decrease when th e te mpe r ature of trea tment increase s together with an increase in th e platea u capa city, bu t some author s indicate that this co rrelation betwee n th e te xture an d the plateau capacity is not relevant $[10,11,21]$ $[10,11,21]$ $[10,11,21]$ $[10,11,21]$ $[10,11,21]$. However, in many publications, th e poro sit y is probed by ga s adsorption only . Ne verth eless , hard ca rbons ar e know n to develo p closed pore s when th e te mpe r ature of pyrolysis increases [\[15](#page-10-18),[22\]](#page-10-19), *i.e.* pores which are not accessible to gas molecules, so physisorption provides partial information. In fact, an increase in th e pyro l ysi s te mpe r ature implie s a decrease in th e acce ssibl e porosity concurrently with a development of the closed porosity not ea sil y ev idenced as th e tota l poro sit y globally decreases.

spectra to the methods and the methods in the spectra of the methods in the spectra of the methods in the spectra of the content of Smal l -Angl e X -ra y Scatte rin g (SAXS) allows a stud y of th e overal l poro sit y of hard ca rbons [\[23](#page-10-20)], an d author s usin g this more reliable techniqu e report th e pore -fillin g on th e platea u region [\[14](#page-10-11) ,[15](#page-10-18) ,[24](#page-10-21) [,25](#page-10-22)]. Th e stud y of th e electronic stat e of sodium by Na NM R an d XP S on th e plateau region indicates that it is close to a metallic state, which is in accordance with a quasi-metal sodium clustering into pores [[7](#page-10-7),[18](#page-10-13),[19](#page-10-14)[,26](#page-10-23),27]. Moreover, closed pores are the less accessible sites in hard ca rbons , an d thei r fillin g occu r mainly fo r lo w di ffusion rates, as me asure d by Ga lvano stati c Inte rmi ttent Titr ation Techniqu e (GITT) [[8](#page-10-25),[20](#page-10-15),[28](#page-10-26)]. Electrochemical dilatometry measurements are also in accordance with a micropore-filling on the plateau region [29,30]. Interes tingly, Ilic et al . report that fo r a co mme rcial hard ca rbon, th e platea u capa cit y is pr ogressively decrease d afte r ball -milling, *i. e.* afte r th e co n ve rsion of closed pore s into open pore s [31]. Some author s indicate that the pore-filling on the plateau region can occur in association with sodium intercalation [20,25]. The plateau capacity increases with higher temperatures of treatment, but for very high temperatures, a smal l decrease is sometime s reported . It is attributed to th e stru ctura l changes in hard carbons at high temperature, especially the decrease of th e inte rpl ana r di stanc e with graphitization that li mit s th e inte rcalation of sodium ions an d then th e closed pore s fillin g (als o in acco rdanc e with a co ntr ibution of inte rcalation if it occurs on th e platea u region) $[7,11,29,32,33]$ $[7,11,29,32,33]$ $[7,11,29,32,33]$ $[7,11,29,32,33]$ $[7,11,29,32,33]$ $[7,11,29,32,33]$ $[7,11,29,32,33]$ $[7,11,29,32,33]$.

It appear s that th e closed micr o porosit y seem s to be especially im portant for the development of the low-voltage plateau capacity. In addition , du rin g th e firs t charge -discharg e cycles , th e deco mposition of th e electrolyt e occurs with th e fo rmation of a pa ssivation layer, called SEI (Solid Electrolyte Interphase). The SEI can prevent the solvent intercalation an d help s to th e electrod e st abi lit y an d cycl abi lity, bu t it s fo r mation co nsume s sodium ions an d then lead to an irreversible capa cit y as well as cell polarization and diffusivity [14,34–36]. The main common strategy to limit the SEI formation is a decrease in the specific surface area of hard carbons [37], possibly addressed by pyrolyzing at high te mpe r ature . Such a therma l trea tment also allows th e deve lopment of closed poro sity. Th e best material s regardin g thei r electr ochem ica l pe r fo rmances ar e us ually reported around 1400 –1500 °C , a te mpe r ature rang e that is quit e energy co nsu ming.

In this paper, we propose an innovative technique for elaborating hard carbons with a controlled porosity, using pressurized pyrolysis. The use of pressure has already been proposed as a solution for control-ling porosity. The precursor can be heated in an autoclave [[38](#page-11-1)[,39](#page-11-2)] or treated in hydrothermal conditions [\[40](#page-11-3)]. By hydrothermal carbonization , some author s report th e prep aration of ca rbo n microspheres with smooth surfaces which limits the SEI formation [\[41](#page-11-4),[42\]](#page-11-5), but the porosit y of th e materials, especially th e closed one, is no t pr ecisely dete r mined. During pyrolysis, heteroatoms are progressively eliminated from the precursors as gaseous species, and our study postulates that appl yin g a pressure woul d limi t and/or co ntrol th e ga s emission ou t of the material. Then, the surface of the particles would be modified becaus e less deve loped by gase s activation , an d a higher pr opo rtion of mi cr o pores woul d be closed . This woul d allo w to develo p a co nsequen t plateau capacity together with limiting the SEI formation, even at relatively lo w te mpe r atures.

If th e chem ica l do pin g of hard ca rbons ca n be a co nsi dered stra teg y [43 –45], it is impo rtant to optimize th e te xture of th e materials. In this aim, a lo t of studie s abou t th e sodium storag e mech anism s ar e base d on the preparation of hard carbons with different pyrolysis temperatures, an d thei r physic o -chemical an d electr ochem ica l characte r ization . A variation of temperature simultaneously implies the modification of the chem ical, stru ctura l an d te xtura l properties of hard ca rbons , whic h makes difficult to assess the relationships between the different sections of th e charge /di scharge curves an d th e inte raction s betwee n sodium ions an d th e materials. This bo ttl eneck is on e of th e re asons wh y th e sodium storag e mech anism s ar e stil l unde r debate . A pressure vari ation is expected to have a significant influence on the porous texture only, maintaining the chemical composition and degree of graphitic order almost unchanged. Thei r electr ochem ica l beha vio r should make th e un de rstan din g of sodium storag e mech anism s ea sier.

In line with this , a nove l an d si mpl e pressu riz ation device whic h ca n be co nnected to classica l tubula r fu rnace ha s been deve loped in ou r group. Then , hard ca rbons ar e elab orate d from sucrose, a si mple, su s tainable and abundant precursor, under different pressures from 1 bar (a tmo spheric pressure) to 7 bar. Sucros e ha s also been ch ose n regard in g th e fact that such a ca rbohydrat e is a thermose tting pr ecu rso r with ou t an y in itial poro sity. In orde r to excl usively stud y th e impact of th e pressure on the evolution of the pore structure, it consists in an interesting starting point. Hard carbons prepared are then characterized using mult i -scal e techniques , an d thei r electr ochem ica l beha vio r is eval uated by ga lvano stati c cycling.

2 . Method s an d material s

2. 1 . Materials preparatio n

Hard carbons are prepared from commercial sucrose. Sucrose is first introduced in a silica boat and dehydrated at 180 °C during 24 h in a muffle furnace. The resulting brown solid is then pyrolyzed under argon flow in a stainless-steel tubular horizontal furnace.

It is worth noting that the furnace is pressurized, but still flushed by co nstan t ga s flow . By this way, argo n an d deco mposition gase s such as CO or $CO₂$ are eliminated from the atmosphere of the furnace which avoids th e activation of th e elab orate d hard ca rbons an d an undesire d increase in th e sp ecifi c su rface area . As argo n flushe s th e su rface of th e formed carbon material which decomposes by forming activation gases $(CO, CO₂, H₂O)$, it is necessary to regulate precisely the flow in order to co ntrol th e activation ph eno m enon. This co ntrol is realized usin g a ball flowmeter adjusted at a constant value during the whole process. Elsewhere, th e reacto r is paired with th e afor eme ntioned pressu riz ation de vice developed in our team in order to control the pressure without mo d ifyin g th e argo n flow du rin g th e whol e process.

Pressure is applied during the pyrolysis at 500 °C during 2 h, with a heating rate of 5 °C.min $^{-1}$, with a range from 1 to 7 bar controlled with a manometer. This step helps improving the cross-linking degree of the mate rial. Th e as -obtained blac k soli d is then hand -grinded, an d finall y ca rbonize d in th e same fu rnace , bu t unde r atmo spheric pressure , at 850 °C or 950 °C during 3 h with a heating rate of 5 °C.min⁻¹. This step aims to increase the carbon content of the material and is realized at atmo spheric pressure as th e rigi d skel eto n of th e ca rbo n mate ria l ha s been formed previously. If the [850°C–950 °C] temperature range remains relatively low regarding classical elaboration process of hard carbons, it has been selected in order to minimize the environmental footprint of the process. During all steps, the outcoming $\rm C_xH_y$ gases formed upon thermal treatments are neutralized using a burner continuously. Sa mples ar e finall y su bjected to 20 mi n of mild ball milling, mand atory fo r fu rther characte r izations.

2. 2 . Materials characterization

ds characterization

of μ and σ and μ and σ and El eme ntary anal ysi s wa s pe rformed on a Thermo Fisher Flashsmart , by a 1 mg sa mpling. Fo r tran smi ssion electron microscopy (TEM) obse r vations, sa mples ar e firs t di spersed in ethano l an d deposite d on a co p pe r grid with a hole y ca rbo n film , then observed with a Jeol AR M 200F micr oscop e operatin g at 20 0 kV . X -ra y di ffractogram s ar e acquired us in g a Bruker D8 Advanc e di ffractomete r with a moly bdenu m anticath ode ($\lambda_{\text{K}\alpha1}$ = 70.930 pm) with a 1 h accumulation repeated 12 times, on an angula r rang e from 2° to 50 ° (2 θ) . Sa mples ar e placed in Li ndemann glas s ca pilla rie s (1.5 mm diam eter) an d rotate d at 10 rpm. Rama n spec troscopy is carried out with a Horiba Jobin-Yvon LabRAM HR800 spectrometer operating at $\lambda = 633$ nm, after dispersion of the samples in ethano l an d deposition on a glas s slide, an d 5 acqu isition s have been performed on each sample. Adsorption isotherms (N_2 77 K and CO_2 27 3 K) ar e co llected usin g a Micromeritic s ASAP 2020 device , afte r ou t gassin g th e sa mples at 40 0 °C du rin g 12 h. Th e sp ecifi c su rface areas, pore volumes and pore size distributions are calculated using the 2D-NLDFT model. Helium pycnometry is performed on a manual Sievert apparatu s adapte d fo r de nsity me asurement , with sa mples ther mostated at 25 °C an d ou tgassed du rin g 72 h. Va lue s of de nsity ar e ca l culated from an average of five experimental points, with the error determined by the standard deviation between these points. Small angle X-Ray scattering (SAXS) measurements are carried out on a SAXSPace instrument (A nto n Paar), usin g line -collimatio n sy ste m at th e SAXS fa ci lit y in th e " PhotoNS technica l platform of th e L2CM la b oratory UM - R7053". This instrument is attached to a ID 3003 laboratory X-Ray generator (General Electric) equipped with a sealed X-Ray tube (PANalytical, $\lambda_{\text{Cu, K}\alpha} = 154.2 \text{ pm}$) operating at 40 kV and 50 mA. The samples were intr oduce d in a Li ndemann glas s ca pillary , with a diam ete r equa l to 2. 0 mm , su bsequentl y sealed , before bein g placed at 25 °C , inside an evac uated sa mpl e chambe r equipped with a te mpe r ature -controlled sa mpl e holder unit , an d expose d to X -Ra y beam fo r abou t 30 min. Scat te rin g of X -Ra y beam is recorded by a 1D dete cto r (Mythe n 2 with stri p of 50 μm \times 8 mm) placed at 317 mm distance from sample. Scattering inte nsities I(q) ar e pr ovide d as a function of th e ma gnitude of th e scat tering vector $q = (4π/λ) sin(θ)$, where 2θ is the total scattering angle. Thanks to a transl ucent beam stop allo win g th e me asurement of an at tenuated primary beam at $q = 0$, all measured intensities can be calibrated by normalizing the attenuated primary intensity. The data are collected in a q-range from 0.13 to 7.7 nm^{-1} and then corrected for the background scatte ring, from th e empt y ca pillary an d fo r slit -smearing effects by a desmearing procedure, using Lake method. After correction, obtained intensities are scaled into absolute units using water as a re ference mate rial.

2. 3 . Electrochemica l characterization

80 wt % hard ca rbon, 10 wt % ca rbo n blac k (Timca l SuperP) an d 10 wt % polyvinylidene fl u oride (PVDF) ar e mixe d in N methylpyrrolidon e (NMP) unti l obtainin g a homogeneou s slurry . Th e slurry is coated onto a battery grade aluminum collector (16 μm thick) usin g a do cto r blad e an d drie d overnigh t at 80 °C unde r an argo n flux in a Büchi furnace. 2032 coin cells are assembled under nitrogen atmospher e usin g 1 M NaPF 6 in 1: 1 mass rati o et hylen e ca rbo nate/dimethyl ca rbo nat e (EC/DMC) so lvent as electrolyte, a sodium disk an d tw o glas s microfiber disk s as se p arators . Al l electr ochem ica l test s ar e ca rried ou t with a Bi oLogi c BC S 81 0 cyclin g st ation . Cell s have been an alyze d by ga lvano stati c cyclin g with pote ntial li m itation betwee n 0.01 an d 2.75 V (vs Na⁺/Na) (discharged then charged), with two cycles at C/20 followe d by twenty -five cycles at C/10 rate .

3 . Result s an d discussion

For this study, five experimental conditions were chosen: two samples ca rbonize d at 85 0 °C from 1 to 3 bar, an d thre e ca rbons ca rbonize d at 95 0 °C from 1 to 7 bar. Th e resu ltant hard ca rbons ar e referred as x -y, wher e x is th e pressure applie d du rin g th e pr e -treatmen t (i n bar) an d y th e pyro l ysi s te mpe r ature (i n °C).

3. 1 . Chemical an d structural analysis

El eme ntary anal yse s have been pe rformed fo r th e five hard ca rbo n sa mples of this stud y an d ar e reported in Su ppl eme ntary Material s (Table S1). Th e ca rbo n co ntent reache s 84 wt % fo r sa mples ca rbonize d at 85 0 °C , an d betwee n 86 wt % fo r thos e heated at 95 0 °C . As expected , th e ca rbo n co ntent slightly increase s by raisin g th e te mpe r ature of th e thermal treatment. Nevertheless, for a constant temperature of 950 °C, el eme ntary anal yse s reveal that th e chem ica l co mposition of hard ca r bons remains almost constant when increasing the pyrolysis pressure from 1 to 7 bar, in agre ement with in itial assumption .

To depict th e stru cture of th e five hard ca rbo n sa mples , co mpl eme n tary techniques were used: X-Ray diffraction (XRD), Raman spectroscopy an d TEM.

Structural characterizations were first performed by XRD measure-ments. [Fig.](#page-3-0) 1 shows the corresponding powder XRD patterns revealing broa d di ffraction peak s whic h ca n be indexe d as 002, 10 *l* , 00 4 an d 11 *l* Bragg reflections. These patterns are typical of poor graphitized carbon material s with a 00 2 reflection at 10.20° an d tw o smalle r broa d band s assigned to *hk* 0 an d *hk l* reflections. Th e presence of se veral supe rim pose d peak s formin g a broa d co ntr ibution at 20 ° does no t allo w dete r mining the lateral size of the crystallites ($\rm L_a$ value) by XRD. On the contrary, the reticular distance of the 002 reflection has been evaluated for each sample. From the Full-Width at Half-Maximum of the 002 Bragg peak (β^{mes}), the crystallite size along the **c**-axis noted L_c is calculated by usin g th e Sche rre r equation :

$$
L_c = \frac{0.91 \lambda}{\cos(\theta_{002}) \cdot \beta_{mes}}
$$

Fig. 1. Normalized powder XRD patterns of hard carbon samples (λ_{Mc}) $_{\text{K}\alpha 1}$ = 70.930 pm).

As shown in [Tabl](#page-4-0)e 1, the results are quite similar regardless of the sa mpl e trea tment . Indeed , whatever th e te mpe r ature an d th e applie d pressure, the data do not reveal any significative evolution of the sampl e stru cture .

Leader the strained for the strain If L_a cannot be evaluated from XRD data, Raman spectroscopy is a powerful tool for the structural characterization of low-graphitized car-bon materials. [Fig.](#page-4-1) 2 shows the nice overlapping of the Raman spectra for all samples and [Fig.](#page-4-2) 3 illustrates the spectrum decomposition for the 7 –95 0 sa mpl e with th e adjusted function s fo r each indivi dua l co ntr ibu tion . Each spectrum pr esent s tw o vi s ibl e bands: th e D band around 1350 cm^{-1} and the G band at 1580 cm^{-1} . Those bands respectively correspon d to th e breathin g mode of be nzeni c cycles an d to th e ta nge ntial vibration mode in the carbon planes [[43\]](#page-11-6). So, the intensity of the D band is directly pr opo rtional to th e quantity of ar omati c ring s in th e structure. Within the group of disordered carbons, the increase in I_D value indicates an ordering of the material due to a clustering of these cycles starting from an amorphous carbon to hard or glassy carbons. Note that it is th e opposite te ndenc y fo r graphiti zable ca rbons , fo r whic h th e deve lopment of th e D band is co rrelate d to th e nu mbe r of de fects in the sp² carbon planes $[46]$ $[46]$. In the case of hard carbons, the presence of structural defects induces a peak enlargement, and $\rm I_D/I_G$ ratio remains interesting in order to highlight a possible evolution of order-ing between hard carbons (corresponding values are reported in [Tabl](#page-4-0)e [1](#page-4-0)). A little variation between spectra is noted, as each sample shows an ${\rm I_D/I_G}$ ratio around 2.5 in agreement with this type of disordered materials. A quantitative anal ysi s of th e band s position , inte nsity an d Full Width at Half Maximum (FWHM) is also available in Table S2.

Ho wever , othe r co ntr ibution s appear ne cessary to full y mode l on e spectrum as showed in Fig. 3. S and A bands represent other contributions due to disorder in the material, respectively associated to the res-

Tabl e 1

Su mmarize d stru ctura l characte r ization of hard ca rbo n sa mples from XR D an d Rama n spectroscopy anal yses.

Sample	$2\theta_{002}$ ($^{\circ}$ Mo)	d_{002} (nm)	β ^{mes} \int_{0}^{3} (°)	L_{002} (nm)	I_{D}/I_{G} L_{α} (nm)	
$1 - 850$	10.11	0.40	3.50	1.06	3.87 2.6	
$3 - 850$	10.46	0.39	3.90	0.95	4.11 2.4	
$1 - 950$	10.26	0.40	3.72	1.00	4.02 2.5	
$3 - 950$	10.38	0.39	3.80	0.98	3.94 2.5	
$7 - 950$	10.18	0.40	3.68	1.01	4.02 2.5	

Fig. 2. Raman spectra ($\lambda = 632.81$ nm) of hard carbon samples, normalized on the G band (1593-1596 cm^{-1}).

Fig. 3 . Rama n spectrum of 7 –95 0 sa mpl e an d it s deco mposition in S, D, A, G co ntr ibution s accordin g to Gaus s functions.

piration mode of benzene rings adjacent to heteroatoms, and the vibrational mode of $sp²$ carbon atoms adjacent to defects, causing out-ofplane deformations [[47\]](#page-11-8). The width of these bands and their intensities agre e with a larg e amount of stru ctura l an d chem ica l defects. In addi tion, the very weak signal at 2700 cm^{-1} , at the position of the 2D band dedicated for the stacking order along the **c**-axis, confirms there is no graphitization fo r this kind of ca rbo n mate rial.

According to the adaptation by Mallet-Ladeira et al. [[48](#page-11-1)] of the Tuinstra and Koenig's law for carbon materials, the crystallite size in the (ab) plane, L ^a value, ca n be estimate d as fo llows :

$$
L_a(nm) = \frac{4.4}{\frac{I_D}{I_G}} * \left(\frac{2.41}{E_L(eV)}\right)^4, with E_L
$$

=
$$
\frac{h * C}{\lambda}
$$

= 1.9632 eV

In [Tabl](#page-4-0)e 1, L_a values are all very close to 4 nm and confirm, accordin g to th e identica l spectr a shapes , that ther e ar e no notabl e stru ctura l di ffe rence s betwee n thos e materials.

Using X-ray diffraction and Raman spectroscopy, it appears that for th e tw o studie d te mpe r atures, th e pressure vari ation does no t induce any significant modification of the structure of the materials. It remains however relevant to monitor the microstructure of the HC samples at th e loca l scal e by HRTE M expe r iments.

[Fig.](#page-5-0) 4 shows TEM images of the different samples. As expected, micr ographs reveal di sordere d stru cture s with smal l ar omati c domain s an d a lo w degree of graphiti c order. Ho wever , it is locall y po ssibl e to observe a slight structural evolution of the samples, due to the applied pressure and the carbonization temperature. Indeed, a statistical study betwee n sa mples 1 –85 0 an d 7 –95 0 ha s been pe rformed . Thes e sa mples have been selected in order to highlight any tiny local structural evolution with pressure and temperature. The comparison reveals a slight increase in th e la teral size of th e ordere d domain s as well as a loca l de crease in the interlayer distance from 0.43 nm to 0.38 nm, nevertheless in agre ement with th e averag e va lue s ca lculate d from di ffrac tograms. Th e global appearance of th e 7 –95 0 sa mpl e reveal s a less di s ordere d stru cture with larger ordere d domains. Although XR D an d Ra man spectroscopy observations show no modification of the structure by th e pressure , TE M give s a re l evant loca l tren d indica tin g a po ssibl e loca l ev olution in th e microstructure of th e sa mples .

Fig. 4. TEM images of hard carbon samples.

3. 2 . Textural analysis

Dete rmi nin g th e te xtura l properties of hard ca rbo n sa mples is esse n tial to prob e th e effect of th e pressure . As a firs t approach , adsorption vo lum etr y is used to obtain info rmation on th e acce ssibl e poro sit y usin g two gas probe molecules. By $\rm N_2$ adsorption at 77 K, each sample depicts a hybrid type-I isotherm with a vertical asymptote at low $\rm P/P_{0}$ (corresponding to a microporous material) and type-II with an increase in adsorbed gas at higher P/P_0 representative for non-porous surfaces ([Fig.](#page-6-0) [5](#page-6-0)). It appear s also a hy steresi s loop whic h is no t closed fo r al l th e isotherms, assuming that the gases have difficulties to desorb the materia l du e to th e expected to rtuosit y of th e pore s in hard ca rbons . Di ffe r - ence s ar e also notabl e betwee n sa mples with th e vari ation in th e quan tity of adsorbed nitr ogen, linked with th e amount of acce ssibl e poro sity.

 $CO₂$ adsorption at 273 K has also been investigated as a complementary gas probe molecule to characterize the ultramicroporosity of the material (<0.7 nm). The corresponding isotherms are available in Fig. S1 . 2D -NLDF T ca lculation s have been pe rformed to quantify th e sp ecifi c su rface area (SSA) an d th e pore vo lumes avai lable fo r each molecule, which values are reported in [Tabl](#page-6-1)e 2. Both values of N_2 and $CO₂$ adsorption are presented in [Tabl](#page-6-1)e 2 because of their complementa rity.

Focusing on N_2 adsorption results for the 850 °C series, the values are quite high and present no significative change. Looking at the samples pyrolyzed at higher temperature, a trend appears with a significa-

Fig. 5. Adsorption isotherms (N_2 at 77 K) of hard carbon samples.

Tabl e 2

Sp ecifi c su rface area s an d pore vo lumes ca lculate d by 2D -NLDF T mode l from N_2 and CO_2 adsorption isotherms, and average density measured by helium py cno m etry.

Sample	SSA _{DEF} N ₂ (m^2, g^{-1})	Micropore volume N_2 (cm ³ .g ⁻¹)	Ultramicropore volume CO_2 (cm ³ .g ⁻¹)	He density
1–850	549	0.164	0.181	1.86
$3 - 850$	564	0.197	0.168	1.82
1-950 316		0.161	0.170	2.00
$3 - 950$	209	0.092	0.162	1.85
$7 - 950$	127	0.055	0.159	1.88

tive decrease of SS A an d pore vo lum e with a thre efold fa cto r betwee n 1 an d 7 bar, in agre ement with a si gni ficant infl uence of th e pressure at this temperature. Moreover, a huge difference of pore volume is evidenced comparing the two gas probe molecules, with systematically higher values for the CO_2 contribution. It means that hard carbons contain a non-negligible quantity of ultramicropores. Regarding on CO_2 results, ultr amicr o por e vo lum e seem s to fo llo w th e same trend, with a slower and homogeneous decrease whether for the 850 °C or 950 °C series . Even at 7 bar, a larg e amount of ultr amicr o pores remains, whic h ar e hard to clos e even with pressure .

To improv e th e te xtura l anal ysi s of th e HC dependin g on th e prob e molecule, pore size distributions determined by N_2 or CO_2 adsorption have been extracted from 2D-NLDFT calculations and plotted on Fig. 6. Fi gures (a) an d (b) show a main di str i b ution peak ce ntere d at 0. 9 nm (for N_2 adsorption the peaks under 0.7 nm being not relevant because nitr oge n adsorption is no t reliable to characte riz e ultr a -microporosity) whos e inte nsity decrease s betwee n 85 0 an d 95 0 series , well matc hin g with a decrease in N_2 pore volume when rising the carbonization temperature (see Table 2). A shift toward smaller pore size appears for 3 –85 0 an d even more clearl y fo r 7 –950, whic h co nfirm s that th e pres sure shrink s th e size of acce ssibl e micr o pores . Fo r ultr amicr o pores , size distributions are better evaluated by $CO₂$ adsorption ([Fig.](#page-7-0) 6c and d), an d ther e is no si gni ficant change betwee n 85 0 an d 95 0 °C series in term s of pore diam eters , showin g mainly size s ce ntere d at 0. 4 an d 0.6 nm. Nevertheless, the same shift seen with N_2 distribution is also observed with higher pressu rized sa mples , bu t only fo r th e 0. 6 nm peak. So, the effect of the applied pressure tends to lightly decrease the pore size even for ultramicropores, along with the creation of larger pores still only accessible by $CO₂$ as showed by the appearance of a broa d peak abov e 0. 8 nm fo r both te mpe r atures.

Density values given in [Tabl](#page-6-1)e 2 have been measured by helium pycno m etry, an d ar e a ke y to unde rstan d th e ev olution of closed poro sit y which is not characterized by gas adsorption. Indeed, for a single tempe r ature , th e de nsity decrease s when th e applie d pressure increases, whic h is an indicato r of th e cr eatio n of poro sit y inacce ssibl e fo r helium molecules as well as other gas probe molecules (N_2 et CO₂).

SAXS is also a dete rminant anal ysi s techniqu e probin g th e whol e mi cr o porosity, open an d closed one, whic h is co mpl eme ntary to ga s ad sorption [23]. Fig. 7 shows SAXS curves fitted using a classical model fo r hard ca rbons [22 ,31 ,49 –51]:

$$
I(q) = \frac{A}{q^4} + \frac{B.a_1^4}{(1+q^2.a_1^2)^2} + D
$$

Th e firs t term co rresponds to th e smal l q va lue s an d th e di ffusion of macropores (*i.e*. the surface of particles), and A is proportional to the su rface area of macr o pores . Th e se con d term re present s th e di ffusion of micropores: B is proportional to the surface area of micropores and \boldsymbol{a}_1 is pr opo rtional to thei r gyration radius . D is a background term . From B and a_1 values, a volume V proportional to the pore volume can be estimate d [31]:

$$
V = B.a_1
$$

Since V_{SAXS} is proportional to total pore volume, a scaling factor (the same fo r al l sa mples) is applie d to V in orde r to estimate SAXS vo lumes (V_{SAXS}) which are comparable to pore volumes obtained from adsorption (N_2 and CO_2) measurements. a_1 , B, V and V_{SAXS} values are listed in Tabl e S3 .

[Fig.](#page-8-0) 8 shows the comparison of $\rm V_{SAMS}$ and pore volumes $\rm V_{N2}$ and $\rm V_{CO2}$ give n by adsorption results, as a function of pressure an d fo r each te m pe r ature of ca rbonization .

At 85 0 °C , th e di ffe rence betwee n SAXS an d adsorption pore vo l umes is no t si gni ficant fo r both gases, bu t become s higher at 95 0 °C . As SAXS simu ltaneousl y detect s open an d closed pores, this increa sin g di fference is attributed to a development of micropores which are inaccessible to $N₂$ [2–[5\]](#page-10-1). The progressive micropore closure when the thermal treatment temperature increases is a well-known phenomenon [\[22](#page-10-19)]. At 950 °C, one can notice that the difference between pore volume determine d by SAXS an d ga s adsorption increase s with pressure in th e case of N_2 , and decreases in the case of CO_2 . It can be confirmed by an estimation of th e closed pore (CP) vo lume, give n by th e di ffe rence betwee n V_{SAXS} and V_{N2} , and the proportion of closed pore volume %CP:

$$
CP = V_{SAXS} - V_{N2}
$$

$$
\%CP = \frac{V_{SAXS} - V_{N2}}{V_{SAXS}} \times 100
$$

The comparison between CP and %CP calculated for both gases would not be appropriated because SAXS does not discriminate ultramicropores from micropores. It is worth noting that V_{SAXS} is proportional to th e tota l micr o por e vo lum e (ope n an d closed). Then , it should be clarified that CP and %CP are only estimated values (although the scaling factor between V and $\rm{V_{SAXS}}$ is adjusted so that CP values present the same orde r of ma gnitude as va lue s reported in th e li ter ature [\[20](#page-10-15),[28](#page-10-26),[52](#page-11-10)]. The CP and %CP variations are plotted as a function of pressure on [Fig.](#page-8-1) 9 .

The increase in CP and %CP calculated from N_2 adsorption measurement s indicate s that pressure ge nerates a pr ogressive cl osure of pore s which are accessible to this probe molecule. In fact, it is known that the reticulation of carbohydrates happens at relatively low temperature be-low 500–600 °C [\[53](#page-11-11),[54\]](#page-11-12). So, applying a mechanical force at this temperature upon pyrolysis will induce a direct impact on the pore formation, such as the collapse of the porosity which is formed by gas genera-

Fig. 6. Pore size distribution calculated by 2D-NLDFT on N₂ adsorption isotherms of 850 °C series (a) and 950 °C series (b), and on CO₂ adsorption isotherms of 85 0 °C series (c) an d 95 0 °C series (d).

Fig. 7. SAXS curves for hard carbon samples.

tion (a s illu strated by an expe r ime nta l weight loss of 60 % afte r this step). This porosity evolution is revealed by the evolution of the shape of th e SAXS curves . As me ntioned ea rlier , it is no t po ssibl e to co nclud e concerning the ultramicropore closure: as the ultramicropore volume remain s almost co nstant, we po stulate that appl yin g a pressure du rin g th e pyro l ysi s step closes th e larges t micr o pores , whic h is su fficien t to decrease th e overal l de nsity of th e sa mples .

3. 3 . Electrochemica l characterizations

In order to probe the role of the ultramicroporosity on the electrochem ica l sodi ation , hard ca rbons ca rbonize d at 95 0 °C series have been integrated into coin cell s face to meta lli c sodium in orde r to pe rform galvanostatic cycling. Several tests have been realized and representative result s ar e pr esented . Du e to th e very lo w infl uence of pressure on th e te xtura l properties of 850° sa mples , only electr ochem ica l characte r - izations of 950° series are presented. [Fig.](#page-8-2) 10 shows the resultant discharge an d charge curves at C/20 cu rrent rate then fo llowe d by cycles at C/10 .

As expected , th e ga lvano stati c curves show a shap e with th e tw o well defined region of a typical NIB hard carbon anode: a high potential decrea sin g as a slope, then a lo w -voltag e plateau. Li ttl e di ffe rence s ap pear betwee n th e thre e sa mples an d especially th e 7 –950, whic h show a better stability between each cycle, reflecting a better cycling behavior. In orde r to full y describe th e curves , in itial coulombi c efficiency (ICE) and reversible capacities have been reported in [Tabl](#page-8-3)e 3 for the three sa mples , co nsi derin g tw o regions: th e slope, co verin g th e rang e be tween 2.75 and 0.1 V, and the plateau in the 0.1–0.01 V range.

The increase in pressure during hard carbon elaboration induces se veral ph eno mena. Fo r th e firs t cycle, th e valu e of IC E seem s to in crease slightly which is relevant considering the decreasing in specific surface observed by N_2 adsorption for these materials. The less accessibl e su rface to th e electrolyt e is deve loped , th e less a SE I laye r ca n be formed leadin g to a decrease in th e irreversible capa city.

Fo r a si ngl e cycle, th e valu e of tota l capa cit y seem s to be globally equi v alent betwee n sa mples , resultin g by a decrease in th e slop e capa c ity together with an increase in the plateau capacity. In the literature, th e slop e region is ge nerally de d icate d to defect s an d inte rcalation into graphitic domains, but also to capacitive adsorption on the surface at higher pote ntials. So , it should be note d that simu ltaneousl y with th e decrease of the N_2 specific surface area we can note a shortening of this slope at higher pressure. Nevertheless, there are too many contributions on this wide range of potential to interpret its evolution in a clear and meanin gfull y way.

For the plateau part, its higher length for 7–950 sample well highlights that more cr eated closed poro sit y (a s su pported by te xtura l anal y sis) can be sodiated. This is relevant with an increase in the sodium clustering phenomenon [\[52\]](#page-11-10). This correlation makes us possible to as-

Fig. 8. Comparison of pore volumes calculated from adsorption and estimated by from SAXS at a) 850 °C b) 950 °C.

Fig. 9. Estimation of closed pore volume CP and proportion of closed pore volume %CP.

Fig. 10. Galvanostatic cycling curves of 1–950 (a), 3–950 (b) and 7–950 (c). Samples were cycled at C/20 twice (full lines) then at C/10 25 times (dashed lines, only th e 1st, 5th,10th , 15th , 20th an d 25th cycles ar e showed at C/10).

Tabl e 3

Data retrieved from galvanostatic measurement for the 950 °C series at their 2n d cycl e at C/20 .

Sample	ICE (%)	Total reversible capacity $(mA.h.g^{-1})$	Slope capacity $(mA.h.g^{-1})$	Plateau capacity $(mA.h.g^{-1})$	Slope proportion (9)	Plateau proportion (%)
$1 - 950$	61.8	242.1	137.1	105.0	56.6	43.4
$3 - 950$ $7 - 950$	62.6 65.4	240.9 245.9	120.1 120.7	120.8 125.2	49.9 49.1	50.1 50.9

sign the Na⁺ filling of closed porosity with the low potential plateau on ga lvano stati c curve, as also pr opose d by othe r author s [[55](#page-11-13) [,56](#page-11-7)].

Th e same quantitative anal ysi s ha s been realized on se veral charge / discharge cycles and the results are given in [Fig.](#page-9-0) 11.

A te ndenc y seem s to appear with th e 7 –95 0 sa mpl e whic h slop e an d plateau capacities tend to quickly fall and then stabilize between cycle 5 an d 10 to reac h th e higher va lue s of capa c ities afte rward . It is also im po rtant to notice th e di ffe ren t beha vio r betwee n th e tw o regions. On [Fig.](#page-9-0) 11a, th e ga p of capa cit y betwee n each sa mpl e on a si ngl e cycl e is reduced compared to the values of plateau capacity more scattered [\(Fig.](#page-9-0) [11](#page-9-0)b) . Th e li ttl e vari ation s of slop e capa city, especially at th e last cycles , coul d be co rrelate d with th e su btl e di ffe rence s at th e very loca l scal e pr esented by TEM. Th e platea u wa s expected to vary more with th e pressure, as depict on [Fig.](#page-9-0) 11b with bigger differences at each cycle. As

Fig. 11. Evolution of slope (a) and plateau (b) capacities depending of different cycles for 950 °C hard carbon samples.

demo nstrate d with SAXS results, 7 –95 0 co ntain a higher amount of closed poro sit y an d is expected to ma x imize cluste rin g du rin g cyclin g at low potential. It's indeed the case regarding on [Fig.](#page-9-0) 11b, where 7–950 have the higher plateau potential on almost all cycles. This other evidenc e su pport s th e assumption of a quas i -meta l cluste rin g in deve loped closed poro sity.

In order to get a better picture of the global performances of the different hard carbons, total values of reversible capacities of all cycles are reported on Fig. 12. The same tendencies are observed, especially for 7 –95 0 whic h capa cit y decrease s faster at th e firs t cycles , then reache s the highest capacities after stabilization. The differences between 1-950 an d 3 –95 0 sa mples ar e smalle r afte r th e 10th cycle, so a high pressure is mand atory to full y highligh t an infl uence in term s of capa city. This is the same conclusion with the cycling behavior, with 7–950 which appear s to be more st abl e on this cyclin g time . Regardin g th e di ffe rence betwee n th e 3r d an d th e 27th cycl e (correspon din g to th e 1s t an d last cycle at $C/10$), we obtain 91, 110 and 52 mAh.g⁻¹ respectively for

Fig. 12. Evolution of discharge capacities for cycles at C/20 and C/10.

1 –950, 3 –95 0 an d 7 –950. So , th e high -pressure sa mpl e seem s to be th e optima l hard ca rbo n on this rang e of pressure fo r electr ochemistr y ap pl ications.

Dive rgences ar e less obviou s in term s of coulombi c efficiency (CE) , whos e va lue s ar e plotte d in Fig. S2 . Excepted fo r th e 1s t an d 2n d cycl e at C/20, each hard carbon tends to stabilize with a CE above 90%, and remaining almost stable during the 25 cycles, proving that pressure has no t a si gni ficant infl uence on th e capa cit y fade .

4 . Conclusion

In this paper, prep aration of hard ca rbons ha s been pe rformed from si mpl e sucros e pr ecu rsor. A ne w wa y of hard ca rbo n elab oration is pr e sented whic h spec ificity is a pyro l ysi s step unde r argo n pressure . El e me nta l anal ysis, XRD, Rama n spectroscopy an d TE M me asurement s al lo w to affirm that th e chem ica l co mposition an d th e stru cture ar e no t affected by th e applie d pressure du rin g th e pyro l ysi s trea tment . Co n versely, th e poro sit y is clearl y mo d ified at high pressure , an d even at moderate temperatures, a pressure of a few bars allows a micropore closure and then a clear decrease in the specific surface area and N_2 pore volume. The pressure variation makes it possible to study of the evolution of th e te xtura l properties excl usively , what ca nno t be envi saged if chemical or structural properties are modified by modifying the carbonization temperature. The combination of N_2 and CO_2 gas adsorption , SAXS , an d helium py cno m etr y revealed an increase in closed poro sit y inside of th e studie d materials.

This study also aims to address the electrochemical insertion of Na $^{\mathrm{+}}$ ions into hard carbons, under debate in the literature. In this work, looking at the galvanostatic cycling experiments, we assume that the lo w pote ntial platea u is well co rrelate d with th e increase of closed poro sity, that make s it po ssibl e th e attr ibution of this lo w pote ntial re gion to sodium sorption ph eno men a su ppose d as near -meta l cluste rin g of sodium ions inside of internal pores. This result constitutes an interes tin g step co ncernin g th e stud y of sodium sorption ph eno men a in hard ca rbons . Finally, co mpa rin g th e electr ochem ica l pe rfo rmances of se v eral hard carbons, it seems that the sample pyrolyzed at 7 bar is an optima l mate ria l amon g th e studie d pressure range.

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CRediT authorship contribution statemen t

L. Raspado: Writing – review & editing, Writing – original draft, Visualization, Investigation, Formal analysis. **L. Speyer:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supe rvision , Methodology, Inve stigation , Fo rma l anal ysis. **M. Bo lmont :** Writin g – review & editing, Inve stigation , Fo rma l anal ysis. **S. Cahen:** Writing – review & editing, Writing – original draft, Visualization, Validation, Supervision, Resources, Project administration, Methodology, Fo rma l anal ysis, Co nce ptualiz ation . **S. Fontana:** Writin g – re view & editing, Visualization, Validation, Supervision, Methodology, Investigation, Funding acquisition, Formal analysis. **C. Hérold:** Writing – review & editing, Writing – original draft, Visualization, Validation , Supe rvision , Resources, Pr oject admi nistr ation , Methodology, Funding acquisition, Formal analysis, Conceptualization.

Declaratio n of competin g interest

The authors declare that they have no known competing financial inte rests or pe rsona l relationship s that coul d have appeared to infl u ence th e work reported in this paper.

Data availability

No data wa s used fo r th e research describe d in th e article.

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Appendix A . Supplementar y data

Su ppl eme ntary data to this articl e ca n be foun d online at https:// doi.org/10.1016/j.jpcs.2024.112013 .

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