### Supplementary data online

#### PRISMA IPD flow diagram



Statistical analyses: Details on Daniels and Hughes model, bootstrap and  $R^2$ Here are some elementary definitions. The log hazard ratio (HR) from the Cox proportional hazards model is used as the measure of the treatment effect. For the surrogacy assessment, the true endpoint is based on the adjudicated and surrogate endpoint on the investigator-assessed events. Furthermore, the study is denoted by *i*, the observed treatment effect for the true (adjudicated) endpoint by  $\hat{\theta}_i$ , and the observed treatment effect for the surrogate (investigator-reported) endpoint by  $\hat{\gamma}_i$ . The corresponding SEs and correlation are denoted by  $\sigma_i$ ,  $\delta_i$  and  $\rho_i$ , respectively.

The Daniels and Hughes model is essentially an 'error in variable' regression model.

For a two-arm study, the model is given by:

$$\begin{pmatrix} \hat{\theta}_i \\ \hat{\gamma}_i \end{pmatrix} \sim MVN \left( \begin{pmatrix} \theta_i \\ \gamma_i \end{pmatrix}, \begin{pmatrix} \sigma_i^2 & \rho_i \sigma_i \delta_i \\ \rho_i \sigma_i \delta_i & \delta_i^2 \end{pmatrix} \right)$$

where the treatment effect for the true endpoint is modelled as a linear function of the treatment effect for the surrogate endpoint:

$$\theta_i | \gamma_i \sim N(\alpha + \beta \gamma_i, \tau^2)$$

This model can easily be extended to multi-arm studies; in the current case, an extension up to three arms (two treatment effects) is required, i.e.:

$$\begin{pmatrix} \hat{\theta}_{i1} \\ \hat{\theta}_{i2} \\ \hat{\gamma}_{i1} \\ \hat{\gamma}_{i2} \end{pmatrix} \sim MVN \begin{pmatrix} \theta_{i1} \\ \theta_{i2} \\ \gamma_{i1} \\ \gamma_{i2} \end{pmatrix}, \begin{pmatrix} \sigma_{i1}^2 & \rho_{i\theta_{12}}\sigma_{i1}\sigma_{i2} & \rho_{i11}\sigma_{i1}\delta_{i1} & \rho_{i11}\sigma_{i1}\delta_{i2} \\ \rho_{i\theta_{12}}\sigma_{i1}\sigma_{i2} & \sigma_{i2}^2 & \rho_{i21}\sigma_{i2}\delta_{i1} & \rho_{i22}\sigma_{i2}\delta_{i2} \\ \rho_{i11}\sigma_{i1}\delta_{i1} & \rho_{i21}\sigma_{i2}\delta_{i1} & \delta_{i1}^2 & \rho_{i\gamma_{12}}\delta_{i1}\delta_{i2} \\ \rho_{i11}\sigma_{i1}\delta_{i2} & \rho_{i22}\sigma_{i2}\delta_{i2} & \rho_{i\gamma_{12}}\delta_{i1}\delta_{i2} & \delta_{i2}^2 \end{pmatrix} \end{pmatrix}$$

Here, for study *i*,

- +  $\rho_{i\theta_{-}}$  denotes the correlation between treatment effects for the true endpoint
- $\rho_{i\gamma_{-}}$  denotes the correlation between treatment effects for the surrogate endpoint
- *ρ<sub>i</sub>*.. denotes the correlation between treatment effects across the true and the surrogate endpoint

The relationship between the true and the surrogate endpoint is then

$$\theta_{ik}|\gamma_{ik} \sim N(\alpha + \beta \gamma_{ik}, \tau^2)$$

As is typically done, it was assumed that the SEs  $\sigma_{ik}$ ,  $\delta_{ik}$  and the correlations  $\rho_{i\theta_{,r}}$ ,  $\rho_{i\gamma_{,r}}$ ,  $\rho_{i...}$  are known. While the SEs are directly obtained from the Cox models, the correlations are obtained through bootstrapping, using 1000 samples with

replacement. Therefore, it was only required to specify the following prior distributions (all of them weakly informative):

$$\alpha \sim N(0, 2^2)$$
  

$$\beta \sim N(0, 2^2)$$
  

$$\gamma_{ik} \sim N(0, 2^2)$$
  

$$\tau \sim HN(0.5)$$

Here, HN(s) is the half-normal distribution with scale *s*, i.e. if  $x \sim N(0, s^2)$  then  $|x| \sim HN(s)$ . The motivation for using these priors for HRs follows similar arguments as outlined for log odds ratios in Friede et al<sup>5</sup>.

The model was implemented in JAGS, for which the code is given as an R function below. The data structure for this model is essentially a 'wide' structure, with the following data being required:

- nstudies: number of studies (scalar)
- nparms: vector of length nstudies, each entry determining the number of parameters of the study (i.e. twice the number of treatment comparisons)
- m: matrix of dimension nstudies x max(nparms[]), observed treatment effects for the true and surrogate endpoint, respectively. E.g. in our case, if the first is a two-arm study, it will be m[1,1:6] = c(..., ..., NA, NA, NA, NA).
- prec: array of dimensions nstudies x max(nparms[]) x max(nparms[]),
   observed precision (i.e. inverse variance) matrices. E.g. in the current case,
   if the first is a two-arm study, prec[1,1:6,1:6] will be NA except for
   prec[1,1:2,1:2] which will be the precision matrix for the first study
- ntrtc: vector of length nstudies, each entry determining the number of treatment comparisons of the study

- m.alpha, prec.alpha, m.beta, prec.beta, m.gamma, prec.gamma: each a scalar, mean and precision for prior distributions of alpha, beta, and gamma[i,k]
- inv.squared.scale: the precision (inverse of squared scale) of the half-normal prior distribution for tau

```
mod <- function(){</pre>
```

```
for(i in 1:nstudies){
```

```
m[i,1:nparms[i]] ~ dmnorm(mn[i,1:nparms[i]], prec[i,1:nparms[i],1:nparms[i]])
```

```
mn[i,1:ntrtc[i]] <- theta[i,1:ntrtc[i]]
```

```
mn[i,(ntrtc[i] + 1):nparms[i]] <- gamma[i,1:ntrtc[i]]
```

```
for(k in 1:ntrtc[i]){
```

```
gamma[i,k] ~ dnorm(gamma.m, gamma.prec)
```

```
theta[i,k] ~ dnorm(theta.m[i,k], prec.tau)
```

```
theta.m[i,k] <- alpha + beta*gamma[i,k]
```

```
}
```

```
}
```

```
alpha ~ dnorm(m.alpha, prec.alpha)
```

```
beta ~ dnorm(m.beta, prec.beta)
```

```
prec.tau <- pow(tau, -2)
```

```
tau ~ dnorm(0, inv.squared.scale)%_%T(0,)
```

```
pred ~ dnorm(0, prec.tau)
```

}

For the Bayesian  $R^2$ , the proposal by Gelman et al<sup>7</sup>was followed which is defined it as:

$$R^{2} = \frac{Explained \ variance}{Explained \ variance + Residual \ variance}$$

In fact, the Bayesian  $R^2$  will have a posterior distribution as well, since both the explained and the residual variance are a function of the model parameters. Therefore, for each MCMC draw *s*, the above can be written as:

$$R_s^2 = \frac{Explained \ variance_s}{Explained \ variance_s + Residual \ variance_s} = \frac{V_1^N y_n^{pred \ s}}{V_1^N y_n^{pred \ s} + \ var_{res}^s}$$

To reflect the fact that the weight (amount of information) differs between the  $y_n$ , because these reflect treatment comparisons, inverse variance weights were used based on the variance of the adjudicated treatment effect and the random effect variance. The weighted variances can then be calculated. Finally, it was noted that the above  $R^2$  is still an approximation, since the uncertainty of the explanatory variable is ignored (treatment effect based on investigator-reported endpoint).

Study name	Study design
HFrEF and HFpE	F
PARADIGM-HF	Cardiovascular death includes death classified in any of the following categories:
	1. Fatal Myocardial Infarction (MI):
	Fatal MI may be adjudicated in any of the following three
	scenarios:
	a. Death occurring within 14 days after a documented MI, in which
	there is no conclusive evidence of any other cause of death.
	Subjects who are being treated for a MI and who die as a result of
	complications of the MI (eg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI-related
	death.

## Table S1: CV death definition as per CEC

b. Autopsy evidence of a recent infarct with no conclusive evidence of any other cause of death

c. An abrupt death that has characteristics suggestive of an acute infarct but do not meet the strict definition of a MI. Suggestive characteristics are:

□ presentation with acute ischemic symptoms

□ AND one of the following:

ECG changes indicative of an acute injury
abnormal cardiac biomarkers
other evidence (eg, echocardiography, ventriculography, or scintigraphy) of new ventricular wall motion abnormality

## 2. Pump Failure:

Death occurring in the context of clinically worsening symptoms and/or signs of heart failure (HF) without evidence of another cause of death.

Death occurring as a complication of the implantation of a ventricular assist device, cardiac transplant, or other surgery primarily for refractory HF.

Death occurring after referral to hospice specifically for progressive HF.

*Note:* If worsening HF is secondary to MI, then MI should be listed as the primary cause of death if the subject suffered an MI within 14 days of death (as above).

## 3. Sudden Death:

Death occurring unexpectedly in an otherwise stable subject. Further subclassification of sudden death will be as follows:

 death witnessed or subject last seen alive <1 hour previously or (2) subject last seen alive >1 hr and <24 hrs previously

### 4. Presumed Sudden Death

Death occurring unexpectedly in an otherwise stable subject last seen alive  $\ge$  24 hours previously, with circumstances suggestive of sudden death.

### 5. Presumed Cardiovascular Death:

Death likely due to a cardiovascular cause in which the available clinical data is insufficient to support a more specific cause of death.

	<ul> <li>6. Fatal Stroke: Death occurring as a result of a documented stroke. Where possible, the stroke will be further classified as ischemic, ischemic with hemorrhagic conversion, primary intracranial haemorrhage, or unknown.</li> <li>7. Fatal Pulmonary Embolism: Death occurring as a direct result of a documented pulmonary embolism.</li> <li>8. Procedure-Related Death: Death occurring during a cardiovascular procedure or as a result of complications related to a cardiovascular procedure (e.g. percutaneous coronary intervention), usually within 14 days.</li> <li>9. Other Cardiovascular Death:</li> </ul>
	Death resulting from a specifically documented cardiovascular cause other than those listed above.
ATMOSPHERE	Cardiovascular Death
	Cardiovascular death is defined as follows:
	1. Fatal Myocardial Infarction (MI):
	Fatal MI may be adjudicated in any of the following three scenarios:
	a. Death occurring within 14 days after a documented MI, in which there is no conclusive evidence of any other cause of death. Subjects who are being treated for a MI and who die as a result of complications of the MI (eg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI-related death.
	b. Autopsy evidence of a recent infarct with no conclusive evidence of any other cause of death
	c. An abrupt death that has characteristics suggestive of an acute infarct but do not meet the strict definition of a MI. Suggestive characteristics are:
	<ul> <li>presentation with acute ischemic symptoms</li> </ul>

• AND one of the following:
<ul> <li>ECG changes indicative of an acute injury</li> </ul>
o abnormal cardiac biomarkers
<ul> <li>o other evidence (eg, echocardiography, ventriculography, or scintigraphy) of new ventricular wall motion abnormality</li> </ul>
2. Pump Failure:
Death occurring in the context of clinically worsening symptoms and/or signs of HF without evidence of another cause of death.
Death occurring after the implantation of a ventricular assist device or after surgery primarily for refractory HF.
Death occurring after referral to hospice specifically for progressive HF.
<i>Note:</i> If worsening HF is secondary to MI, then MI should be listed as the primary cause of death if the subject suffered an MI within 14 days of death (as above).
3. Sudden Death:
Death occurring unexpectedly in an otherwise stable subject. Further subclassification of sudden death will be as follows:
<ol> <li>death witnessed or subject last seen alive &lt;1 hour previously</li> <li>or</li> </ol>
(2) subject last seen alive ≥1 hr and <24 hrs previously
4. Presumed Sudden Death
Death occurring unexpectedly in an otherwise stable subject last seen alive ≥24 hours previously, with circumstances suggestive of sudden death.
5. Presumed Cardiovascular Death:

	Death likely due to a cardiovascular cause in which the available clinical data is insufficient to support a more specific cause of death. 6. Fatal Stroke:
	Death occurring as a result of a documented stroke. Where possible, the stroke will be further classified as ischemic, ischemic with hemorrhagic conversion, primary intracranial haemorrhage, or unknown.
	7. Fatal Pulmonary Embolism:
	Death occurring as a direct result of a documented pulmonary embolism.
	8. Procedure-Related Death:
	Death occurring during a cardiovascular procedure or as a result of procedure-related complications, usually within 14 days.
	9. Other Cardiovascular Death:
	Death resulting from a specifically documented cardiovascular cause other than those listed above.
PARAGON-HF	Cardiovascular Death
	Cardiovascular death includes death classified in any of the following categories:
	<b>1. Fatal Myocardial Infarction (MI):</b> Fatal MI may be adjudicated in any of the following three scenarios:
	a. Death occurring within 14 days after a documented MI, in which there is no conclusive evidence of any other cause of death. Subjects who are being treated for a MI and who die as a result of complications of the MI (eg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI-related death.

b. Autopsy evidence of a recent infarct with no conclusive evidence of any other cause of death

c. An abrupt death that has characteristics suggestive of an acute infarct but do not meet the strict definition of a MI. Suggestive characteristics are:

presentation with acute ischemic symptoms

□ AND one of the following:

ECG changes indicative of an acute injury
 abnormal cardiac biomarkers
 other evidence (eg, echocardiography,

*ventriculography, or scintigraphy) of new ventricular wall motion abnormality* 

### 2. Heart Failure:

Death occurring in the context of clinically worsening symptoms and/or signs of heart failure (HF) without evidence of another cause of death.

Death occurring as a complication of the implantation of a ventricular assist device, cardiac transplant, or other surgery primarily for refractory HF.

Death occurring after referral to hospice specifically for progressive HF.

*Note:* If worsening HF is secondary to MI, then MI should be listed as the primary cause of death if the subject suffered an MI within 14 days of death (as above).

## 3. Sudden Death:

Death occurring unexpectedly in an otherwise stable subject. Further subclassification of sudden death will be as follows: a. death witnessed or subject last seen alive <1 hour previously **or** b. subject last seen alive ≥1 hr and <24 hrs previously

### 4. Presumed Sudden Death:

Death occurring unexpectedly in an otherwise stable subject last seen alive  $\geq$ 24 hours previously, with circumstances suggestive of sudden death.

### 5. Presumed Cardiovascular Death:

Death likely due to a cardiovascular cause in which the available clinical data is insufficient to support a more specific cause of death.

## 6. Fatal Stroke:

	Death occurring as a result of a documented stroke. Where possible, the stroke will be further classified as ischemic, ischemic with hemorrhagic conversion, primary intracranial haemorrhage, or unknown.	
	<b>7. Fatal Pulmonary Embolism:</b> Death occurring as a direct result of a documented pulmonary embolism.	
	8. Cardiovascular Procedure-Related Death: Death occurring during a cardiovascular procedure or as a result of complications related to a cardiovascular procedure (e.g. percutaneous coronary intervention), usually within 14 days. The CEC will subcategorize these deaths as related to percutaneous coronary intervention (PCI-related), coronary artery bypass- grafting (CABG-related), valvular procedures (valvular), or other cardiovascular procedures (other).	
	<b>9. Other Cardiovascular Death:</b> Death resulting from a specifically documented cardiovascular cause other than those listed above.	
Acute HF (HFrEF and HFpEF)		
ASTRONAUT	Cardiovascular Death	
ASTRONAUT	Cardiovascular Death Cardiovascular death is defined as follows:	
ASTRONAUT	Cardiovascular Death Cardiovascular death is defined as follows: 1. Fatal Myocardial Infarction (MI):	
ASTRONAUT	Cardiovascular Death Cardiovascular death is defined as follows: 1. Fatal Myocardial Infarction (MI): Fatal MI may be adjudicated in any of the following three scenarios:	
ASTRONAUT	<ul> <li>Cardiovascular Death</li> <li>Cardiovascular death is defined as follows:</li> <li>1. Fatal Myocardial Infarction (MI):</li> <li>Fatal MI may be adjudicated in any of the following three scenarios:</li> <li>a. Death occurring within 14 days after a documented MI, in which there is no conclusive evidence of any other cause of death.</li> <li>Subjects who are being treated for a MI and who die as a result of complications of the MI (eg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI-related death.</li> </ul>	
ASTRONAUT	<ul> <li>Cardiovascular Death</li> <li>Cardiovascular death is defined as follows:</li> <li>1. Fatal Myocardial Infarction (MI):</li> <li>Fatal MI may be adjudicated in any of the following three scenarios:</li> <li>a. Death occurring within 14 days after a documented MI, in which there is no conclusive evidence of any other cause of death.</li> <li>Subjects who are being treated for a MI and who die as a result of complications of the MI (eg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI-related death.</li> <li>b. Autopsy evidence of a recent infarct with no conclusive evidence of any other cause of death</li> </ul>	
ASTRONAUT	<ul> <li>Cardiovascular Death</li> <li>Cardiovascular death is defined as follows:</li> <li><b>1. Fatal Myocardial Infarction (MI):</b></li> <li>Fatal MI may be adjudicated in any of the following three scenarios:</li> <li>a. Death occurring within 14 days after a documented MI, in which there is no conclusive evidence of any other cause of death.</li> <li>Subjects who are being treated for a MI and who die as a result of complications of the MI (eg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI-related death.</li> <li>b. Autopsy evidence of a recent infarct with no conclusive evidence of any other cause of death</li> <li>c. An abrupt death that has characteristics suggestive of an acute infarct but do not meet the strict definition of a MI. Suggestive characteristics are:</li> </ul>	

◆ AND one of the following:
<ul> <li>ECG changes indicative of an acute injury</li> </ul>
o abnormal cardiac biomarkers
<ul> <li>o other evidence (eg, echocardiography, ventriculography, or scintigraphy) of new ventricular wall motion abnormality</li> </ul>
2. Pump Failure:
Death occurring in the context of clinically worsening symptoms and/or signs of HF without evidence of another cause of death
Death occurring after the implantation of a ventricular assist device or after surgery primarily for refractory HF
Death occurring after referral to hospice specifically for progressive heart failure
<i>Note:</i> If worsening HF is secondary to MI, then MI should be listed as the primary cause of death if the subject suffered an MI within 14 days of death (as above).
3. Sudden Death:
Death occurring unexpectedly in an otherwise stable subject. Further subclassification of sudden death will be as follows:
<ul> <li>(1) death witnessed or subject last seen alive &lt;1 hour previously</li> <li>or</li> </ul>
(2) subject last seen alive ≥1 hr and <24 hrs previously
4. Presumed Sudden Death:
Death occurring unexpectedly in an otherwise stable subject last seen alive $\ge$ 24 hours previously, with circumstances suggestive of sudden death.
5. Presumed Cardiovascular Death:
Death likely due to a cardiovascular cause in which the available clinical data is insufficient to support a more specific cause of death.
6. Fatal Stroke:
Death occurring as a result of a documented stroke. Where possible, the stroke will be further classified as ischemic, ischemic with hemorrhagic conversion, primary intracranial haemorrhage, or unknown.
7. Fatal Pulmonary Embolism:
Death occurring as a direct result of a documented pulmonary

	embolism.
	8. Procedure-Related Death:
	Death occurring during a cardiovascular procedure or as a result of procedure-related complications, usually within 14 days.
	9. Other Cardiovascular Death:
	Death resulting from a specifically documented cardiovascular cause other than those listed above.
RELAX-AHF-2	Definitions used to classify cardiovascular deaths
	<ul> <li>Death due to Heart Failure / Cardiogenic Shock (Pump failure): Death occurring in the context of clinically worsening symptoms and/or signs of heart failure without evidence of another cause of death. New or worsening signs and/or symptoms of congestive heart failure (CHF) may include any of the following:</li> <li>New or increasing symptoms and/or signs of heart failure requiring the initiation of, or an increase in, treatment directed at heart failure or occurring in a patient already receiving maximal therapy for heart failure</li> </ul>
	Heart failure symptoms or signs requiring continuous     intravenous therapy or oxygen administration
	Confinement to bed predominantly due to heart failure symptoms
	• Pulmonary oedema sufficient to cause tachypnoea and distress not occurring in the context of an acute myocardial infarction or as the consequence of a primary arrhythmic event
	• Cardiogenic shock, manifest as clinical signs and symptoms of hypoperfusion felt to be secondary to cardiac dysfunction, and not occurring in the context of an acute myocardial infarction or as the consequence of a primary arrhythmic event
	Patients who are hospitalized and are being actively treated for heart failure and who have a sudden death as the terminal event will be classified as having a heart failure related death.
	Sudden Cardiac Death:Death that occurs unexpectedly in a previously stable patient willbe adjudicated as witnessed or unwitnessed sudden cardiacdeaths:Witnessed sudden cardiac death:• Witnessed and instantaneous without new or worsening

symptoms
<ul> <li>Witnessed within 60 minutes of the onset of new or worsening cardiac symptoms</li> </ul>
<ul> <li>Witnessed and attributed to an identified arrhythmia (e.g., captured on an electrocardiographic (ECG) recording or witnessed on a monitor by either a medic or paramedic)</li> </ul>
<ul> <li>Subjects unsuccessfully resuscitated from cardiac arrest or successfully resuscitated from cardiac arrest but who die without identification of a non-cardiac aetiology</li> <li>Note that if a witnessed sudden cardiac death occurs as a complication of another primary cardiac process, eg, cardiogenic shock or acute myocardial infarction, the primary process should be adjudicated as the cause of death.</li> </ul>
Unwitnessed sudden cardiac death:
An unwitnessed death is one that occurs in a patient who when last seen alive within an observation period of 72 hours:
<ul> <li>Did not manifest another life-threatening non-cardiac disease (e.g., infectious, metabolic disorders); and/or</li> </ul>
<ul> <li>Did not reveal a cause other than cardiovascular (e.g., trauma) at the scene of death; and/or</li> </ul>
• Death was ruled cardiovascular in cause on an autopsy report or death certificate, and occurred in the absence of pre-existing circulatory failure or other modes of death.
If an unwitnessed sudden cardiac death occurs, information regarding the patient's clinical status within the week preceding death should be reviewed for potential insight into the primary cause of death.
Death due to Acute Coronary Syndrome / Acute Myocardial
<b>Infarction:</b> Death occurring up to 14 days after a documented acute myocardial infarction [verified either by the diagnostic criteria below for acute myocardial infarction or by autopsy findings showing
recent myocardial infarction or recent coronary thrombus] and where there is no conclusive evidence of another cause of death. Acute coronary syndrome / acute myocardial infarction: At least one of the following biochemical indicators of myocardial necrosis
<ul> <li>CKMB greater than 2 x the upper limit of the normal (ULN) or</li> <li>Troponin I or T greater than 2 x ULN</li> </ul>

AND at least one of the two following criteria: Typical clinical presentation consistent with myocardial infarction defined as typical cardiac ischemic type pain/discomfort or dyspnoea felt to be due to ischemia OR... Typical ECG changes consisting of any of the following: • new abnormal Q waves (or new R waves in lead V1-V2) in at least two consecutive leads evolving, ischemic ST segment or T wave changes in at least two consecutive leads. new left bundle branch block. If death occurs before biochemical confirmation of myocardial necrosis can be obtained, adjudication should be based on clinical presentation and ECG evidence. Death due to an acute myocardial infarction that occurs as a direct consequence of a cardiovascular investigation/procedure/operation will be classified as death due to cardiac procedure. Death due to Cerebrovascular Event: Ischemic stroke Hemorrhagic stroke or hemorrhagic change Stroke, unknown mechanism Death occurring up to 30 days after a suspected or confirmed stroke based on clinical signs and symptoms as well as neuroimaging and/or autopsy, and where there is no conclusive evidence of another cause of death. This category will include death occurring up to 30 days after a stroke that is either due to the stroke or caused by a complication of the stroke. Death due to Other Cardiovascular Causes: Peripheral vascular disease Systemic embolus Pulmonary embolus Cardiac procedure complication Vascular procedure complication Other cardiovascular death, specify Death must be due to a documented cardiovascular cause not included in the above categories (e.g. pulmonary embolism, other vascular death or as a complication of a cardiovascular intervention). Presumed Cardiovascular Death:

	All deaths not attributed to the categories of cardiovascular death
	and not attributed to a non-cardiovascular cause, are presumed
	cardiovascular deaths and as such are part of the cardiovascular
	mortality endpoint
Diabetes and pr	e-diabetes
ALTITUDE	Cardiovascular Death
	Cardiovascular death is defined as follows:
	1. Fatal Myocardial Infarction (MI):
	Fatal MI may be adjudicated in any of the following three scenarios:
	a. Death occurring within 14 days after a documented MI, in which there is no conclusive evidence of any other cause of death. Subjects who are being treated for a MI and who die as a result of complications of the MI (egg, sudden death, pump failure, or cardiogenic shock) will be classified as having had a MI related death.
	b. Autopsy evidence of a recent infarct with no conclusive evidence of any other cause of death
	c. An abrupt death that has characteristics suggestive of an acute infarct but do not meet the strict definition of a MI. Suggestive characteristics are:
	presentation with acute ischemic symptoms
	• AND one of the following:
	<ul> <li>AND one of the following.</li> <li>CG changes indicative of an acute injury</li> </ul>
	o abnormal cardiac biomarkers
	<ul> <li>o other evidence (e.g., echocardiography, ventriculography, or scintigraphy) of new ventricular wall motion abnormality</li> </ul>
	2 Pump Failure
	Death occurring in the context of clinically worsening symptoms and/or signs of HF without evidence of another cause of death
	Death occurring after the implantation of a ventricular assist device

or after surgery primarily for refractory HF
<i>Note:</i> If worsening HF is secondary to MI, then MI should be listed as the primary cause of death if the subject suffered an MI within 14 days of death (as above).
3. Sudden Death:
Death occurring unexpectedly in an otherwise stable subject. Further subclassification of sudden death will be as follows:
<ol> <li>death witnessed or subject last seen alive &lt;1 hour previously</li> <li>or</li> </ol>
(2) subject last seen alive ≥1 hr and <24 hrs previously
4. Presumed Sudden Death:
Death occurring unexpectedly in an otherwise stable subject last seen alive $\ge$ 24 hours previously, with circumstances suggestive of sudden death.
5. Presumed Cardiovascular Death:
Death likely due to a cardiovascular cause in which the available clinical data is insufficient to support a more specific cause of death.
6. Fatal Stroke:
Death occurring as a result of a documented stroke. Where possible, the stroke will be further classified as ischemic, ischemic with hemorrhagic conversion, primary intracranial haemorrhage, or unknown.
7. Fatal Pulmonary Embolism:
Death occurring as a direct result of a documented pulmonary embolism.

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	8. Procedure-Related Death:
	Death occurring during a cardiovascular procedure (e.g., a coronary artery bypass graft procedure or a percutaneous coronary angioplasty), or as a result of procedure-related complications within 14 days).
	9. Other Cardiovascular Death:
	Death resulting from a documented cardiovascular cause other than those listed above.
NAVIGATOR	Cardiovascular death This category will include the following:
	1. Sudden Cardiac Death: Death that occurs instantaneously or within 60 minutes of onset of symptoms and the cause of the death is unknown. Unobserved death within 60 minutes of last contact will be classified as sudden death. Sudden death may occur in the hospital. This category will also include post-resuscitation death, defined as follows: Patients in whom a cardiac and/or respiratory arrest occurs within 60 minutes of the onset of cardiac or suspected cardiac symptoms but a) are resuscitated and b) do not regain normal vital functions and c) die more than 60 minutes from the onset of symptoms leading to the arrest
	2. Myocardial Infarction Death: a) Definite - Death which occurs during the hospitalization for the MI and is related to a cardiac complication (e.g. CHF, arrhythmia, shock) of the acute event. MI is documented by clinical, electrocardiographic and enzyme criteria or angiographic or pathological findings. If patient has a documented MI then dies "suddenly" while making an otherwise normal recovery the death will be classified in this category.
	<b>b) Probable</b> - As above but MI is documented by two of three criteria (ECG, Enzyme, Clinical Setting); or patient presentation in typical clinical setting with chest pain or other findings suggestive of Acute MI in the absence of diagnostic enzyme or ECG changes; or the attending physician states that the patient died from MI but does not provide documentation.

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<ul> <li>3. Congestive Heart Failure: Death from intractable congestive heart failure (Class III or IV) not associated with an acute event.</li> <li>4. Stroke: Death in which the primary cause is stroke.</li> </ul>
<b>5. Other Cardiovascular Cause:</b> Death in which there is evidence of a primary cardiovascular aetiology, and does not clearly meet the criteria for the categories outlined above. This category also includes: arrhythmogenic death, cardiac rupture, and vascular death (arterial embolism, pulmonary embolism, spontaneous aortic dissection/rupture, and bleeding).
<b>6. Presumed CV death:</b> death occurring when the patient was last seen >60 minutes before death and presumed to be cardiovascular.
<b>7. Cardiovascular procedure related death:</b> death during or within 24 hours following a surgical or percutaneous cardiovascular procedure (e.g. PCI, CABG, etc.) and considered related to the process

# Table S2: HFH definition as per CEC

Study name	Study design		
HFrEF and HFpEF			
PARADIGM-HF	<ul> <li>Hospitalization for Heart Failure (HF)</li> <li>Presentation to an acute care facility requiring an overnight hospitalization (change in calendar day) with an exacerbation of heart failure requiring treatment meeting the following criteria:</li> <li><b>1. Symptoms and signs of heart failure:</b></li> <li>One or more of the following symptoms consistent with heart failure:</li> <li>a. Worsening dyspnoea</li> <li>b. Worsening orthopnoea</li> <li>c. Paroxysmal nocturnal dyspnoea</li> <li>d. Increasing fatigue/ worsening exercise tolerance</li> <li>e. Worsening oedema/anasarca</li> </ul>		

Study name	name Study design				
Two or more of the following signs consistent with heaa. Rapid weight gainb. Pulmonary oedema or ralesc. Elevated jugular venous pressured. Radiologic signs of heart failuree. Peripheral oedemaf. Increasing abdominal distension or ascitesg. S3 galloph. Hepatojugular refluxi. Elevated brain natriuretic peptide (BNP) or N-terminaBNP (>most recent baseline)					
	AND 2. Treatment Treatment with intravenous diuretics, intravenous vasodilators, intravenous inotropes, mechanical fluid removal (e.g., ultrafiltration or dialysis), or insertion of an intra-aortic balloon pump for hemodynamic compromise. Initiation of standing oral diuretics or intensification (doubling) of the maintenance diuretic dose will also qualify as treatment.				
	<ul> <li>Hospitalization for Heart Failure (HF)</li> <li>Presentation to an acute care facility requiring an overnight hospitalization (change in calendar day) with an exacerbation of heart failure requiring treatment meeting the following criteria:</li> <li><b>1. Symptoms and signs of heart failure:</b></li> <li>One or more of the following symptoms consistent with heart failure:</li> <li>a. Worsening dyspnoea</li> <li>b. Worsening orthopnoea</li> <li>c. Paroxysmal nocturnal dyspnoea</li> <li>d. Increasing fatigue/ worsening exercise tolerance</li> </ul>				
ATMOSPHERE	<ul> <li>AND</li> <li>Two or more of the following signs consistent with heart failure: <ul> <li>a. Rapid weight gain</li> <li>b. Pulmonary oedema or rales</li> <li>c. Elevated jugular venous pressure</li> <li>d. Radiologic signs of heart failure</li> <li>e. Peripheral oedema</li> <li>f. Increasing abdominal distension or ascites</li> <li>g. S3 gallop h. Hepatojugular reflux</li> <li>i. Elevated brain natriuretic peptide (BNP) or N-terminal pro-BNP (&gt;most recent baseline)</li> </ul> </li> </ul>				
	AND 2. Treatment Treatment with intravenous diuretics, intravenous vasodilators.				

Study name	Study design
	intravenous inotropes, mechanical fluid removal (e.g., ultrafiltration or dialysis), or insertion of an intra-aortic balloon pump for hemodynamic compromise. Initiation of standing oral diuretics or intensification (doubling) of the maintenance diuretic dose will also gualify as treatment.
PARAGON-HF	<ul> <li>Hospitalization for Heart Failure (HF)</li> <li>Presentation to an acute care facility requiring an overnight hospitalization (change in calendar day) with an exacerbation of heart failure requiring treatment meeting the following criteria:</li> <li><b>1. Symptoms and signs of heart failure:</b></li> <li>One or more of the following symptoms consistent with heart failure: <ul> <li>a. Increasing dyspnoea</li> <li>b. Worsening orthopnoea</li> <li>c. Paroxysmal nocturnal dyspnoea</li> <li>d. Increasing fatigue/ decreasing exercise tolerance</li> <li>e. Worsening oedema/anasarca</li> </ul> </li> <li><b>AND</b> <ul> <li>Two or more of the following signs consistent with heart failure:</li> <li>a. Rapid weight gain</li> <li>b. Pulmonary oedema or rales</li> <li>c. Elevated jugular venous pressure</li> <li>d. Radiologic signs of heart failure</li> <li>e. Peripheral oedema</li> <li>f. Increasing abdominal distension or ascites</li> <li>g. S3 gallop</li> <li>h. Hepatojugular reflux</li> <li>i. Elevated brain natriuretic peptide (BNP) or N-terminal pro-BNP (&gt;most recent baseline)</li> <li>j. Congestive hepatomegaly (i.e. not related to intrinsic liver disease)</li> <li>k. Invasive/Non-invasive tests showing cardiac filling pressures or low cardiac output</li> </ul> </li> </ul>
	AND 2. Treatment Treatment with intravenous diuretics, intravenous vasodilators, intravenous inotropes, mechanical fluid removal (e.g., ultrafiltration or dialysis), or insertion of an intra-aortic balloon pump for hemodynamic compromise. Initiation of standing oral diuretics or intensification (doubling) of the maintenance diuretic dose will also qualify as treatment.
Acute HF (HFrEF a	and HFpEF)

Study name	Study design				
	Hospitalization for Heart Failure (HF)				
	Presentation to an acute care facility requiring an overnight hospitalization (change in calendar day) with an exacerbation of heart failure requiring treatment meeting the following criteria: <b>1. Symptoms and signs of heart failure:</b> One or more of the following symptoms consistent with heart failure: a. Worsening dyspnoea b. Worsening orthopnoea c. Paroxysmal nocturnal dyspnoea d. Increasing fatigue/ worsening exercise tolerance				
	AND Two or more of the following signs consistent with heart failure: a. Rapid weight gain				
ASTRONAUT	<ul> <li>b. Pulmonary oedema or rales</li> <li>c. Elevated jugular venous pressure</li> <li>d. Radiologic signs of heart failure</li> <li>e. Peripheral oedema</li> </ul>				
	f. Increasing abdominal distension or ascites g. S <sub>3</sub> gallop				
	h. Hepatojugular reflux i. Elevated brain natriuretic peptide (BNP) or N-terminal pro- BNP (>most recent baseline)				
	AND				
	<b>2. Treatment</b> Treatment with intravenous diuretics, intravenous vasodilators, intravenous inotropes, mechanical fluid removal (e.g., ultrafiltration or dialysis), or insertion of an intra-aortic balloon pump for hemodynamic compromise. Initiation of standing oral diuretics or intensification (doubling) of the maintenance diuretic dose will also qualify as treatment.				
	<ul> <li>Rehospitalization due to heart failure:</li> <li>Rehospitalization due to heart failure will be defined as an event that occurred primarily because of the documented presence of at least 2 of the following 3 criteria:</li> <li>Clinical manifestations of heart failure</li> </ul>				
RELAX-AHF-2	Biomarker or radiographic evidence consistent with heart failure				
	Use of additional or increased pharmacologic or mechanical interventions directed at the treatment of heart failure				
	Clinical manifestations of heart failure including the following signs and symptoms: New or worsening <ul> <li>dyspnoea</li> </ul>				

Study name	Study design				
	orthopnoea				
	paroxysmal nocturnal dyspnoea				
	• oedema				
	pulmonary rales				
	iugular venous distension				
	<ul> <li>new or worsening third heart sound or gallop rhythm</li> </ul>				
<ul> <li>hypotension or cardiogenic shock not occurring in context of an acute myocardial infarction or as the consequence of an arrhythmia, or</li> </ul>					
	<ul> <li>other clinical evidence of new or worsening heart failure, eg, weight gain, or confinement to bed predominantly due to heart failure symptoms</li> <li>Biomarker results consistent with heart failure include documented increased or increasing levels of a natriuretic peptide (BNP or NTproBNP)</li> <li>Radiographic evidence consistent with heart failure includes documented worsening pulmonary congestion or pulmonary</li> </ul>				
	<ul> <li>pattern.</li> <li>The use of additional or increased pharmacologic or mechanic interventions directed at the treatment of heart failure includes</li> <li>Initiation of intravenous diuretic, inotropic, or vasodilator therapy</li> </ul>				
	Significant addition or increase in oral heart failure therapy				
	Up-titration of intravenous therapy, if already on therapy				
	<ul> <li>Initiation of mechanical or surgical intervention (mechanical circulatory or ventilatory support, heart transplantation or ventricular pacing to improve cardiac function), or the use of ultrafiltration, hemofiltration, or dialysis that is specifically directed at treatment of heart failure</li> </ul>				
Diabetes and pre-	diabetes				
	<b>Unplanned Hospitalization for Heart Failure (HF)</b> Presentation to an acute care facility requiring an overnight hospitalization (change in calendar day) with an unexpected exacerbation of heart failure requiring treatment meeting the				
ALITUDE	following criteria:				
	<b>1. Symptoms and signs of heart failure:</b> One or more of the following symptoms consistent with heart failure:				
	b. Worsening orthopnoea				
	c. Paroxysmal nocturnal dyspnoea				
	d. Increasing fatigue/ worsening exercise tolerance				

Study name	Study design			
AND Two or more of the following signs consistent with hear a. Rapid weight gain b. Pulmonary oedema or rales c. Elevated jugular venous pressure d. Radiologic signs of heart failure e. Peripheral oedema f. Increasing abdominal distension or ascites g. S3 gallop h. Hepatojugular reflux i. Elevated brain natriuretic peptide (BNP) or N-termina				
	BNP AND 2. Treatment Treatment with either intravenous diuretics, intravenous vasodilators, intravenous inotropes, mechanical fluid removal (e.g., ultrafiltration or dialysis), or insertion of an intra-aortic balloon pump for hemodynamic compromise. Initiation of standing oral diuretics or intensification (doubling) of the maintenance diuretic dose will also qualify.			
NAVIGATOR	<ul> <li>CHF requiring hospitalization Development of the signs and symptoms of CHF not present at screening and requiring hospital management or previously documented CHF that worsens, requiring hospital management. CHF is clinically manifested by one or more of the following features: <ul> <li>a. Dyspnoea on exertion in the absence of new pulmonary disease</li> <li>b. Paroxysmal nocturnal dyspnoea (shortness of breath that awakens the patient from sleep)</li> <li>c. Orthopnoea (sleeping on two or more pillows to facilitate breathing)</li> </ul></li></ul>			
	<ul> <li>AND one or more of the following criteria:</li> <li>a. Pulmonary rales &gt;1/3 of the way up the lung fields present after coughing in the absence of chronic lung disease or respiratory infection.</li> <li>b. Pulmonary oedema on chest x-ray in absence of high suspicion for non-cardiac origin</li> <li>c. New use of oral/intravenous diuretics, intravenous inotropes, intravenous vasodilators, or adjustment of previous diuretic dose</li> <li>d. Oxygen desaturation (&lt;90%) with no evidence of acute or</li> </ul>			

Study name	Study design
	<ul> <li>chronic lung disease</li> <li>e. Jugular venous distention (JVD)</li> <li>f. Bilateral pedal oedema</li> <li>g. Cardiomegaly (cardiothoracic ratio ≥0.55)</li> <li>h. Left ventricular ejection fraction ≤0.40 (new or presumably new)</li> <li>i. Left ventricular fractional shortening &lt;0.25</li> <li>j. S<sub>3</sub> gallop on auscultation</li> <li>k. Elevated BNP level</li> </ul>

# Table S3: Agreement rates between investigator-reported and CEC-adjudicated

# events by region

Study	Region	CV death n (%)	HFH n (%)
PARADIGM-HF	Asia/Pacific and Other	259 (97.7)	215 (66.6)
	Central/Eastern Europe	388 (97.5)	388 (71.9)
	Latin America (including Central America)	205 (96.7)	118 (51.5)
	North America	72 (93.5)	132 (76.3)
	Western Europe	169 (94.4)	237 (70.1)
	-Overall	1093 (96.6)	1090 (68.0)
ATMOSPHERE	Asia/Pacific and Other	333 (99.1)	311 (64.9)
	Central/Eastern Europe	383 (97.2)	273 (57.7)
	Latin America (including Central America)	246 (96.5)	158 (62.2)
	North America	32 (97.0)	42 (71.2)
	Western Europe	291 (94.2)	344 62.8)
	-Overall	1285 (96.8)	1128 (62.2)
PARAGON-HF	Asia/Pacific and Other	51 (98.1)	117 (62.9)
	Central/Eastern Europe	98 (86.7)	212 (73.4)
	Latin America (including Central America)	18 (94.7)	31 (58.5)
	North America	38 (92.7)	160 (81.2)
	Western Europe	69 (89.6)	217 (63.8)
	-Overall	274 (90.7)	737 (69.2)
ASTRONAUT	Asia/Pacific and Other	102 (99.0)	92 (79.3)
	Central/Eastern Europe	74 (98.7)	105 (77.8)
	Latin America (including Central America)	17 (100.0)	34 (75.6)
	North America	5 (100.0)	53 (88.3)
	Western Europe	51 (100.0)	123 (80.4)
	-Overall	249 (99.2)	407 (80.0)

Study	Region	CV death n (%)	HFH n (%)
RELAX-AHF-2	Asia/Pacific and Other	14 (100.0)	31 (86.1)
	Central/Eastern Europe	256 (94.8)	370 (89.6)
	Latin America (including Central America)	56 (91.8)	91 (90.1)
	North America	33 (86.8)	167 (86.5)
	Western Europe	142 (90.4)	413 (86.9)
	-Overall	501 (92.8)	1072 (88.0)
ALTITUDE	Asia/Pacific and Other	140 (95.9)	85 (53.1)
	Central/Eastern Europe	82 (90.1)	77 (68.1)
	Latin America (including Central America)	73 (85.9)	26 (51.0)
	North America	46 (92.0)	78 (70.3)
	Western Europe	138 (89.0)	153 (62.4)
	-Overall	479 (90.9)	419 (61.6)
NAVIGATOR	Asia/Pacific and Other	13 (81.3)	8 (66.7)
	Central/Eastern Europe	39 (86.7)	40 (67.8)
	Latin America (including Central America)	63 (85.1)	30 (75.0)
	North America	41 (87.2)	40 (72.7)
	Western Europe	55 (94.8)	46 (78.0)
	-Overall	211 (87.9)	164 (72.9)

#### Figure S1: Log(ratio of hazard ratios) for main regions, with reference



#### Asia/Pacific and Other

The circles reflect the log(RHR) for each treatment comparison and each region versus Asia/Pacific and Other as reference. The underlying Cox model is specified as  $h(t)=h_0(t)*exp(beta_{region}*region + beta_{trt}*trt + beta_{region}*trt*trt*region)$ . Therefore, defining  $HR_1=exp(beta_{region}*region + beta_{trt}*trt + beta_{region}*trt*trt*region)$  and  $HR_2=exp(beta_{region}*region + beta_{trt}*trt)$ , we obtain  $HR_1/HR_2=exp(beta_{region}*trt*trt*region)=Ratio of Hazard Ratios.$