



# Déterminismes physiologiques, morphologiques et moléculaires de l'efficience d'utilisation de l'eau en lien avec la réponse à la sécheresse chez les peupliers : de la feuille à la plante entière

Maxime Durand

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Par : Maxime DURAND

Déterminismes physiologiques, morphologiques et moléculaires de l'efficience d'utilisation de l'eau en lien avec la réponse à la sécheresse chez les peupliers: de la feuille à la plante entière.

5 septembre 2019

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À ma mère, Véronique



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---

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## TABLE DES MATIÈRES

<b>REMERCIEMENTS</b>	<b>5</b>
<b>LISTE DES ABREVIATIONS COURAMMENT UTILISEES</b>	<b>13</b>
<b>AVANT-PROPOS</b>	<b>15</b>
<b>État de l'art</b>	<b>19</b>
1. <i>Le peuplier</i>	19
1.1.   L'histoire et le genre <i>Populus</i>	19
1.2.   Ecologie et répartition des peupliers	20
1.3.   Culture et risques associés	22
2. <i>Changements globaux et sécheresses</i>	24
2.1.   Changements climatiques observés	24
2.2.   Sécheresses édaphiques	25
2.3.   Impacts sur les végétaux	26
3. <i>Stomates</i>	28
3.1.   Rôles des stomates	28
3.2.   Mécanismes d'ouverture et de fermeture des stomates	30
3.3.   Facteurs induisant des mouvements stomatiques	30
3.4.   Modélisation de la conductance stomatique	33
3.5.   Dynamique des mouvements stomatiques	35
4. <i>Efficience d'utilisation de l'eau</i>	39
4.1.   Définitions et composants à différentes échelles	39
4.2.   Intérêt et utilisation des isotopes de carbone	41
<b>PROBLEMATIQUE ET OBJECTIFS DE RECHERCHE</b>	<b>46</b>
<b>REFERENCES</b>	<b>48</b>

<b>Chapitre I :</b>	<b>61</b>
<b>Altération des dynamiques stomatiques induite par un changement d'irradiance et du déficit de pression en vapeur d'eau sous sécheresse : impact sur l'efficience de transpiration chez le peuplier.</b>	<b>61</b>
• Présentation synthétique de l'article	61
• Article: Altered stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought: impacts on the whole plant transpiration efficiency of poplar genotypes	64
• Transition	93
<b>Chapitre II :</b>	<b>97</b>
<b>Efficience d'utilisation de l'eau au niveau de la feuille et de la plante entière : est-ce que les résultats obtenus en conditions contrôlées sont conservés en conditions naturelles ?</b>	<b>97</b>
• Présentation de l'article	97
• Article: Leaf-level and whole-plant water-use efficiency under drought: do results reached in controlled conditions still hold in natural environments?	100
• Transition	142
<b>Chapitre III :</b>	<b>147</b>
<b>Impact des conditions de croissance sur la dynamique stomatique induite par des changements de l'irradiance ou du VPD sous sécheresse.</b>	<b>147</b>
• Présentation synthétique de l'article	147
• Article: Impacts of growing conditions on the stability of stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought.	150
• Transition	188
<b>Chapitre IV :</b>	<b>192</b>
<b>Le contenu en élément et l'expression de gènes candidats dans les cellules de garde sont connectés aux variations spatiotemporelles de la conductance stomatique.</b>	<b>192</b>
• Présentation synthétique de l'article	192
• Article: Element content and candidate gene expression in guard cells are connected to spatiotemporal variations in stomatal conductance.	195

<b>Discussion générale</b>	<b>228</b>
1. <i>Dynamiques temporelles de la conductance stomatique</i>	228
1.1. Vers une modélisation dynamique à l'échelle globale ?	228
1.2. Critiques	239
1.3. Perspectives	240
2. <i>Efficience d'utilisation de l'eau</i>	243
2.1. Vers des critères de sélection basés sur l'efficience ?	243
2.2. Critiques	246
2.3. Perspectives	247
<b>ANNEXES</b>	<b>254</b>
• Annexe 1. Production scientifique et technique réalisée pendant la thèse.	254
<i>Publications</i>	254
<i>Conférences</i>	254
<i>Posters</i>	255
<i>Autres communications</i>	255
<i>Enseignement</i>	255
<i>Formations</i>	256
<i>Encadrement</i>	256
<i>Développement</i>	257
<b>RÉFÉRENCES</b>	<b>259</b>
<b>RÉSUMÉ</b>	<b>273</b>



## LISTE DES ABREVIATIONS COURAMMENT UTILISEES

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Paramètres	Définition	Unités
<b>A</b>	Assimilation nette de CO <sub>2</sub>	µmol m <sup>-2</sup> s <sup>-1</sup>
<b>g<sub>s</sub></b>	Conductance stomatique à la vapeur d'eau	mol m <sup>-2</sup> s <sup>-1</sup>
<b>g<sub>bl</sub></b>	Conductance de couche limite	mol m <sup>-2</sup> s <sup>-1</sup>
<b>g<sub>m</sub></b>	Conductance mésophyllienne	mol m <sup>-2</sup> s <sup>-1</sup>
<b>C<sub>i</sub></b>	Concentration en CO <sub>2</sub> dans les cavités sous-stomatiques	ppm
<b>C<sub>a</sub></b>	Concentration en CO <sub>2</sub> atmosphérique	ppm
<b>δ</b>	Composition isotopique en carbone	%
<b>Δ</b>	Discrimination isotopique en carbone	‰
<b>Φ<sub>c</sub></b>	Pertes en carbone indépendantes des processus foliaires	
<b>Φ<sub>w</sub></b>	Pertes en eau indépendantes de la transpiration foliaire	
<b>WUE</b>	Efficience d'utilisation de l'eau	mg g <sup>-1</sup>
<b>W<sub>i</sub></b>	Efficience d'utilisation de l'eau intrinsèque (A/g <sub>s</sub> )	µmol mol <sup>-1</sup>
<b>TE</b>	Efficience de transpiration à l'échelle de la plante entière.	mg g <sup>-1</sup>
<b>g<sub>0</sub> et G</b>	Valeurs de g <sub>s</sub> en régime permanent avant et après un changement environnemental	mol m <sup>-2</sup> s <sup>-1</sup>
<b>τ</b>	Temps de réponse stomatique (modèle dynamique)	s
<b>λ</b>	Délai de la réponse stomatique (modèle dynamique)	s
<b>SL<sub>max</sub></b>	Pente maximum au point d'infexion (modèle dynamique)	mol m <sup>-2</sup> s <sup>-2</sup>
<b>A<sub>p</sub></b>	Aire de l'ouverture de l'ostiole	µm <sup>2</sup>
<b>GCL</b>	Longueur des cellules de garde	µm
<b>AGC</b>	Aire des cellules de garde	µm
<b>SD</b>	Densité stomatique	mm <sup>-2</sup>
<b>TLA</b>	Surface foliaire de la plante entière	cm <sup>2</sup> ou m <sup>2</sup>
<b>WU</b>	Utilisation cumulée de l'eau à l'échelle de la plante entière	kgH <sub>2</sub> O
<b>E</b>	Transpiration journalière moyenne de la plante entière par unité de surface foliaire	kgH <sub>2</sub> O m <sup>-2</sup> jour <sup>-1</sup>
<b>RH</b>	Humidité relative	%
<b>VPD</b>	Déficit de pression en vapeur d'eau	kPa
<b>ABA</b>	Acide abscissique	
<b>QTL</b>	Locus de caractères quantitatifs : région du génome étroitement associé à un trait quantitatif.	



## AVANT-PROPOS

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Ces travaux de thèse ont été conduits au sein de l'unité mixte de recherche SILVA (Nancy, Grand-Est), et particulièrement dans l'équipe PhARE (Physiologie des Arbres et des Réponses à l'Environnement), sous la supervision de Didier Le Thiec et Oliver Brendel.

Le projet de thèse a été financé par la région Grand-Est et par le département EFPA (Écologie des Forêts, Prairies et milieux Aquatiques) de l'INRA. Ces travaux s'inscrivent au sein du projet européen WATBIO (<http://www.watbio.eu/>), pour la recherche des traits, et leurs déterminants moléculaires, impliqués dans la réponse à la sécheresse. Ils s'inscrivent également dans le projet Up-Trans, financé par le LabEx ARBRE (<http://mycor.nancy.inra.fr/ARBRE/>) et fait suite à plusieurs thèses au sein de l'unité mixte de recherche SILVA (Nancy, Grand-Est) et du Laboratoire de Biologie des Ligneux et des Grandes Cultures (Orléans, Centre-Val de Loire).

Pendant ces quatre ans, j'ai eu l'occasion de présenter mes résultats dans plusieurs colloques tels que l'école d'été du Plant Environment Physiology Group à Lisbonne, le symposium international IUFRO sur l'efficience d'utilisation de l'eau des arbres forestiers sous sécheresse à Nancy, et le symposium international IUFRO sur le peuplier à Buenos Aires. Une collaboration avec le "Hawkesbury Institute for the Environment" de l'Université de Sydney en Australie m'a permis de travailler sur des questions de modélisation des échanges gazeux pendant un mois et demi avec Dr. Remko Duursma et Dr. Belinda Medlyn.

Les trois stagiaires et les nombreuses mains d'œuvre que j'ai eu l'occasion d'encadrer, ainsi que les 150 heures d'enseignement (et la formation associée) que j'ai eu la chance de donner à l'Université de Lorraine, m'ont permis d'améliorer mes compétences en pédagogie, mais surtout de découvrir une envie de partager le savoir.

Ces travaux de thèse vont permettre d'aboutir à quatre publications scientifiques, dont une est déjà publiée, deux autres sont soumises et la dernière est en cours d'écriture. Une liste complète de la production scientifique et technique réalisée pendant ces quatre années de thèse est disponible en annexe.



# ÉTAT DE L'ART

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# ÉTAT DE L'ART

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## 1. Le peuplier

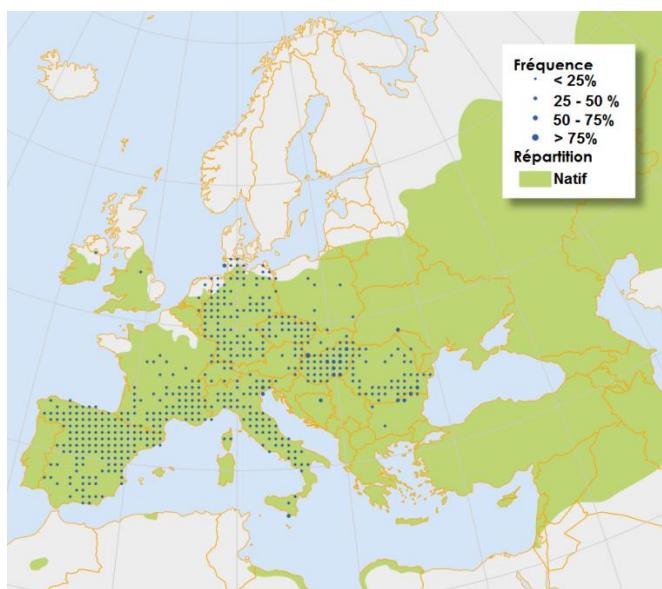
### 1.1. L'histoire et le genre *Populus*

Les peupliers ont une longue histoire commune avec l'Homme, tous deux s'installant préférentiellement près des points d'eau. Il y a plus de 10 000 ans, les habitants de la rivière de l'Euphrate au Moyen-Orient utilisaient déjà du peuplier pour le chauffage et la construction de leurs habitations (Stettler, 2009), tout comme les Amérindiens Ojibwés 8 000 ans av. J.-C. (Hageneder, 2005) et les résidants de Youmulakekum, en Chine pendant la période précédant la dynastie Han (700-200 av. J.-C.). Dans la mythologie grecque, il est raconté que les dieux possédaient des couronnes tissées de peupliers tremble (*Populus tremula*, L.). À l'aube du 19<sup>e</sup> siècle, pendant leur traversée transcontinentale de l'océan atlantique au Pacifique, les explorateurs Lewis et Clark dépendaient des peupliers pour le chauffage, leurs canoës et leurs abris (DeVoto, 1997). Au milieu des années 1800 les peupliers servaient d'inspiration aux peintres impressionnistes français, comme Paul Cézanne (« *Les Peupliers* », 1880), Gustave Courbet (« *Marine, le peuplier* », 1875) ou Claude Monet et sa série de 23 tableaux prenant pour sujet des peupliers bordant la Seine. Le peuplier ayant un bois blanchâtre, il a été très utilisé pour la peinture sur panneau, par exemple il a servi de support pour la célèbre Joconde de Léonard de Vinci.

Le genre *Populus* est divisé en six sections (*Abaso*, *Aigeiros*, *Leucoides*, *Populus*, *Tacamahaca*, et *Turanga*), dont l'apparition est difficile à définir. La plus ancienne section (*Abaso*) daterait de la fin du Paléocène, d'après des empreintes de feuilles fossilisées de *Populus cinnamomooides* (Lesquereux) datant de 58 millions d'années (Collinson, 1992). La section *Leucoides* serait apparue pendant l'Eocène, puis *Tacamahaca* à la fin de l'Oligocène, *Aigeiros* au Miocène et *Populus* au Pliocène. Les peupliers noirs (*Populus nigra* L.) ont été introduits en Europe depuis la Perse (actuellement Iran) par l'intermédiaire de l'Italie vers 1750. Les peupliers de Virginie (*Populus deltoides* Bartr.) quant à eux, ont été introduits au 17<sup>e</sup> et 18<sup>e</sup> siècle depuis l'Amérique du Nord, sous plusieurs noms de cultivars.

Jusqu'au 20<sup>e</sup> siècle les peupliers plantés étaient principalement des espèces naturellement présentes, ou des hybrides spontanés issus d'espèces d'Europe et d'Amérique du Nord. L'hybridation entre espèces au sein ou entre sections est courante dans le genre *Populus* (Vanden Broeck *et al.*, 2005). La première hybridation contrôlée de peuplier a été réalisée par

A. Henry en 1912 (Henry, 1914), puis par la suite au jardin botanique de New York (Stout & Schreiner, 1933). Rapidement, le premier institut de recherche dédié à la création variétale de peupliers a vu le jour en Italie en 1937 dans la vallée de la rivière du Po (Dickmann, 2006). C'est à ce moment qu'est né le clone I214 (Jacometti, 1937), encore largement cultivé aujourd'hui de par le monde, et un des clones utilisés dans cette présente thèse. Des pénuries de bois au cours des années 1930 ont provoqué l'établissement d'une commission nationale du peuplier par le Ministère français de l'Agriculture. L'implication d'autres pays européens a permis dans un second temps d'étendre et de transformer cette commission en commission internationale pour le peuplier (IPC) sous la gouvernance de la FAO en 1958 (FAO, 1958).

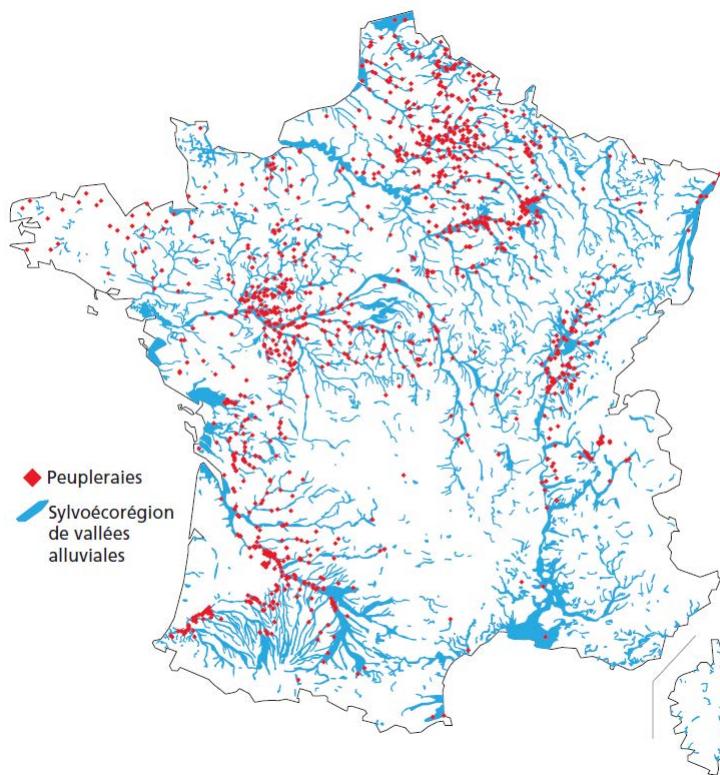


**Figure 1.** Aire de distribution de *Populus nigra* (L.) en Europe, Asie et Afrique. Les fréquences sont reportées à partir d'inventaires forestiers et la répartition depuis le programme européen EUFORGEN. D'après De Rigo *et al.* (2016).

## 1.2. Ecologie et répartition des peupliers

Au sein de la famille des *Salicaceae*, les peupliers sont des dicotylédones arbustives et dioïques, à feuillage caducifolié ou rarement semi-persistant. Les arbres portent des fleurs pistillées (femelle, produisant les graines) ou staminées (mâles, portant les pollens) réunies en chatons, sans calice ni corolle et s'allongeant depuis les bourgeons axiaux reproducteurs. Les feuilles de peupliers sont diverses, d'une espèce et d'un génotype à l'autre, peuvent changer avec l'âge et au sein d'un même arbre. Elles sont amphistomatiques, avec une densité stomatique très souvent supérieure sur la face abaxiale. La distribution géographique des peupliers s'étant des forêts boréales aux tropiques.

En France, l'espèce dominante à l'état naturel est le peuplier noir, ou peuplier d'Italie (*Populus nigra*), devant *Populus tremula* (L.) et *Populus alba* (L.). Sa distribution naturelle s'étend des îles britanniques au nord, aux côtes méditerranéennes au sud, jusqu'en Afrique du Nord. A l'est, elle atteint la Chine et le Kazakhstan (Fig. 1). Bien que son aire de répartition soit large, la présence des peupliers est rare à l'échelle géographique. Inféodés aux milieux humides à cause de leur forte consommation en eau et faible tolérance à l'ombrage, les peupliers sont des plantes pionnières courantes d'environnements perturbés. Nous les retrouvons peu en forêts denses, mais sont couramment répartis le long des rivières et dans des zones humides ripariennes ou alluviales (Fig. 2). La dynamique hydrogéomorphologique détermine l'hétérogénéité spatiale et temporelle de l'habitat riparien (Naiman *et al.*, 2005). Les peupliers au sein de la ripisylve jouent un rôle écologique essentiel. Le système racinaire des peupliers permet de maintenir la cohésion des sédiments, réduisant l'érosion des berges et limitant les dégâts des inondations. Ils permettent aussi de filtrer les eaux de ruissellement (épuration des nutriments excédentaires comme l'azote des eaux agricoles) et stimuler la biodiversité par la création d'habitats spécifiques (Stobrawa, 2014).



**Figure 2.** Répartition des peupleraies en France métropolitaine. D'après Du Puy *et al.* (2017).

Le génome du peuplier de l'Ouest (*Populus trichocarpa*, Torr. & Gray) a été séquencé en 2006 (Tuskan *et al.*, 2006), le troisième après *Arabidopsis thaliana* (L.) et *Oryza sativa* (L.). Avec

485 millions de paires de base, son génome est relativement petit, six fois plus petit que celui du maïs (*Zea mays*, L.). Même si la triploïdie et la tétraploïdie existent au sein de la section *Populus*, la plupart des espèces sont diploïdes ( $2n = 38$ ; Isebrands & Richardson, 2014). Ces caractéristiques, ainsi que sa facilité de culture, sa capacité d'hybridation, de reproduction végétative et sa croissance rapide en font de fait le premier organisme modèle arbustif (Jansson & Douglas, 2007; Stobrawa, 2014).

### 1.3. Culture et risques associés

A la suite de la seconde guerre mondiale, la demande de produits dérivés du bois fut en hausse. La croissance de la population mondiale accélère également la propagation de ces hybrides à croissance rapide à travers le monde, notamment en Chine, en Inde, en Australie, au Brésil, au Chili, en Nouvelle Zélande et en Afrique du Sud (FAO, 1958). La superficie mondiale de peupliers est estimée à plus de 87 millions d'hectares, principalement au Canada, aux USA en Chine et en Russie (98% du total à eux quatre), dont 8.6 millions sont plantés essentiellement en Chine pour 88%. En France, la culture du peuplier représentait 236 000 ha en 2008 (Tableau 1), soit la plus grande surface de peupliers plantés en Europe (FAO, 2012).

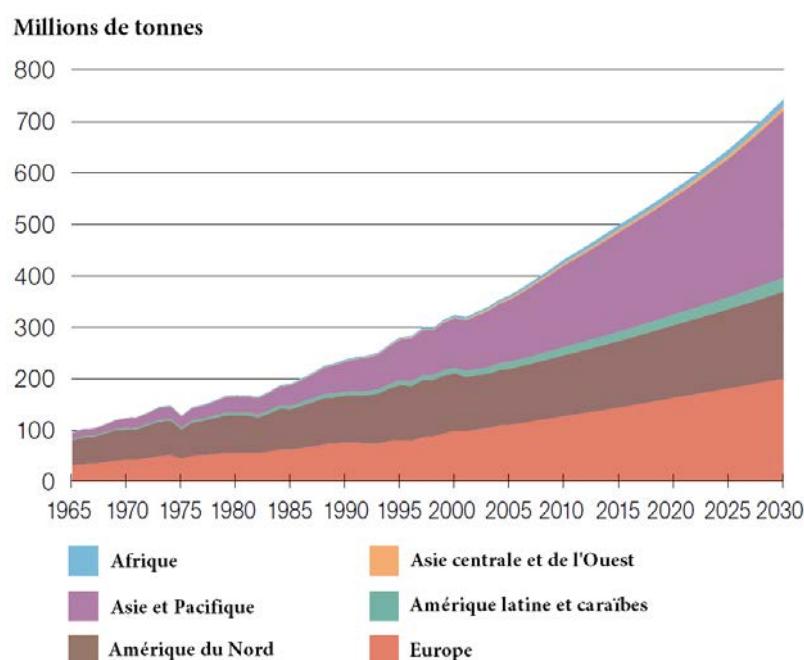
**Tableau 1.** Superficie de peupliers à l'état naturel ou cultivé par pays. D'après FAO (2012). Les chiffres de la France sont ceux de 2008 puisque les données n'ont pas été actualisées dans le rapport de 2012.

Pays	Superficie total de peupliers (ha)	Superficie de peupliers plantés (ha)
Canada	30 360 400	44 128
Russie	24 757 000	0
États-Unis	17 698 300	45 000
Chine	12 900 000	7 570 000
Inde	362 700	700
France	275 800	236 000
Iran	185 000	150 000
Italie	143 655	101 430
Turquie	132 963	125 000
Espagne	119 600	105 000
Roumanie	73 240	47 942
Argentine	61 000	40 500

A l'état cultivé, les hybrides du peuplier noir avec un peuplier américain (*Populus deltoides*), les peupliers euraméricains (*Populus euramericana* ou *Populus canadensis*) sont les plus courants. D'autres hybrides, comme les peupliers interaméricains (*Populus deltoides* ×

*trichocarpa*) ont également été beaucoup utilisés pour leur vigueur et leur capacité de résistance aux pathogènes, et en particulier à la rouille foliaire. Cependant, de nouvelles virulences à la fin des années 1990 l'ont rendu fortement sensible à la rouille. Ainsi, il est aujourd'hui très peu utilisé comparé aux hybrides euraméricains (Thivolle-Cazat, 2002).

La propagation végétative des peupliers peut se faire à partir de simples tiges de bois, desquelles se formeront de nouvelles racines et tiges. Le bois de peuplier présente de nombreuses qualités qui permettent une grande diversité d'utilisations. Son anatomie poreuse se traduit par une certaine légèreté, un grain droit et une texture uniforme. Le bois n'est pas très rigide, faiblement résistant aux chocs et à la pliure, ce qui rend son travail, à la main ou par des machines, aisément. De plus il est facilement cloué, vissé ou collé. Parmi ses nombreuses utilisations, il peut servir à la fabrication de pulpes, de papiers, de contreplaqué, de panneaux composites, de bûches, de bois d'œuvre, de caisses, de boîtes, d'allumettes, de baguettes et de copeaux d'emballage. Il est notamment utilisé pour les emballages de camembert. La demande en bois et produits dérivés devraient augmenter de façon continue dans les années à venir atteignant une hausse de 20% d'ici 2060 (Fig. 3), conduite par la croissance démographique et économique ainsi que par des politiques publiques environnementales et énergétiques (FAO, 2018).



**Figure 3.** Production globale observée (1965-2005) et projetée (2005-2030) de papiers et cartons. D'après un rapport de la FAO sur l'état des forêts dans le monde (FAO, 2018)

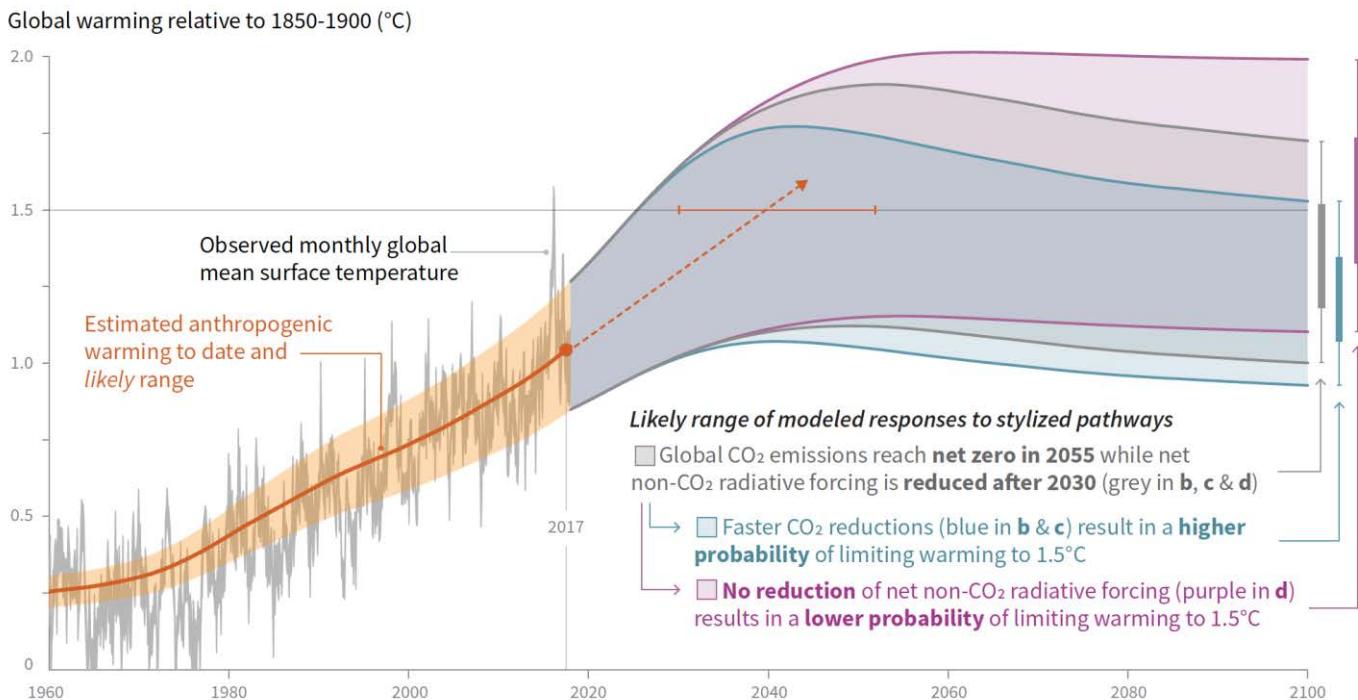
## 2. *Changements globaux et sécheresses*

### 2.1. Changements climatiques observés

Dans un récent rapport du groupe d'experts intergouvernemental sur l'évolution du climat (IPCC, 2018), le comité rapporte que les hausses de température observées aujourd'hui à travers le globe de 0.8 à 1.2°C au-delà des températures de l'ère préindustrielle atteindront très probablement 1.5°C entre 2030 et 2052. Les zones continentales sont d'ailleurs sujettes à de plus fortes augmentations que les océans, notamment dans les hautes latitudes de l'hémisphère Nord. Ceci implique un accroissement de la fréquence et de l'intensité des extrêmes climatiques (Chevuturi *et al.*, 2018). Il faut noter que les conditions régionales spécifiques sont déterminantes pour une grande partie des impacts locaux des changements climatiques. En particulier les motifs de précipitations et de sécheresse, sont hautement dépendants du climat local.

Ces changements climatiques résultent essentiellement d'émissions de gaz à effet de serre (CO<sub>2</sub> principalement) d'origine anthropiques. Les concentrations de CO<sub>2</sub> dans l'atmosphère ont augmenté de 280 ppm en 1750, à l'aube de l'ère industrielle, à 390 ppm en 2011. Chaque décennie est marquée par une augmentation substantielle de la quantité d'émissions, avec une hausse moyenne de 1.9% par an dans les années 1980, 1% dans les années 1990 et 3.1% par an depuis 2000 (Peters *et al.*, 2012). Cette augmentation était à l'origine principalement due aux émissions liées à la déforestation et à des changements d'occupation des territoires. A partir de 1920, la part majoritaire des émissions de gaz à effet de serre provient de combustibles fossiles (Le Quéré *et al.*, 2013). De plus les émissions de méthane, un gaz à effet de serre émis en bien moindre quantité que le CO<sub>2</sub> mais ayant un potentiel de réchauffement global 25 fois supérieur, sont en forte augmentation depuis 2007 (Nisbet *et al.*, 2019).

Les efforts internationaux pour limiter l'augmentation des températures globales au-delà de 2°C semblent pour l'heure inconséquents au vu des prédictions d'émission de gaz à effet de serre (Fig. 4; Solomon *et al.*, 2009; IPCC, 2018). Les augmentations des émissions de méthane ne sont, par exemple, pas prévues dans les accords de Paris. Les objectifs fixés pourraient ainsi être plus complexes à atteindre.



**Figure 4.** Evolution des températures globales observées et prédictions sous divers scénarii d'émissions anthropiques. Adapté d'après le rapport du GIEC sur les effets d'un réchauffement global d'1.5°C (IPCC, 2018).

## 2.2. Sécheresses édaphiques

Une sécheresse édaphique se définit comme un déficit hydrique prolongé mais temporaire (IPCC, 2018). De ce fait elle intervient de par le monde, aussi bien dans les régions les plus arides que les plus humides. Bien que le concept soit facile à déterminer, les sécheresses interviennent par le couplage de processus atmosphériques, hydrologiques et biogéophysiques. Ainsi, il est difficile de s'accorder sur une définition stricte et unifiée d'une sécheresse. Par conséquent le début et la fin, tout comme l'intensité ou la résistance à une sécheresse sont des paramètres complexes à caractériser. Enfin, une sécheresse est toujours définie sous le prisme des organismes qui la subissent. Ces caractéristiques forcent son étude à l'aide d'indices, et de seuils variant selon les régions considérées ou les objets d'étude.

Les fortes variations locales de précipitations, rendent difficile de déterminer avec certitude les tendances à l'échelle globale. Cependant, il est probable que les changements globaux induisent une augmentation de la fréquence et de l'intensité des sécheresses (IPCC, 2014), lié à des réductions des précipitations à l'échelle régionale associées, ou non, à une augmentation de l'évaporation induite par les hausses de températures (Dai, 2012). En Afrique, dans le sud de l'Europe, dans l'est et le sud de l'Asie et dans l'est de l'Australie la quantité de terrains sujets aux sécheresses s'est étendue significativement depuis 1950 (Dai, 2011). Les prédictions des

modèles de climat globaux prédisent une augmentation de l'aridité, et donc des sécheresses, dans les années à venir (Sheffield & Wood, 2008).

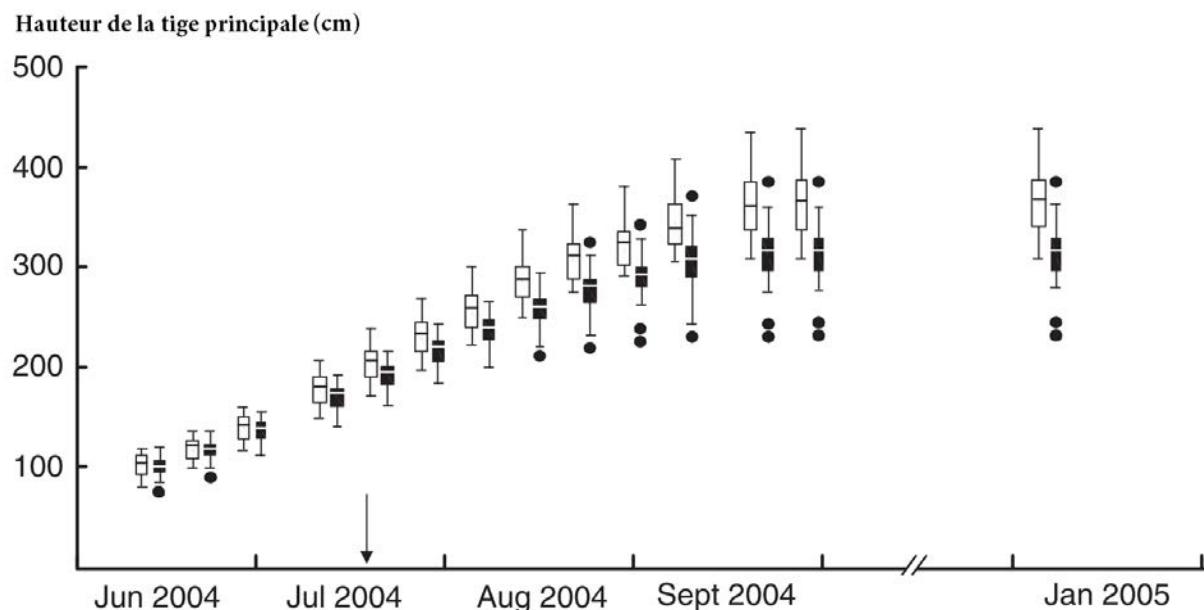
L'impact des sécheresses est considérable à la fois au niveau économique, humanitaire et environnemental (Touma *et al.*, 2015). La sécheresse de 2003 en Europe a causé à elle seule la perte d'environ 16 milliards d'euros et la canicule à proprement parlé 20 000 morts supplémentaires en France. Aux États-Unis, des épisodes de sécheresse de 2012 à 2013 dans les plaines centrales ont couté 12 milliards de dollars de dommages (Hoerling *et al.*, 2013). Des sécheresses en Ukraine et Russie en 2010 ont induit une baisse drastique de la production de blé, entraînant une hausse significative des prix mondiaux pour les pays importateurs comme l'Égypte ou la Tunisie, mettant en lumière les conséquences globales de sécheresses locales (Sternberg, 2011). Au-delà des pertes économiques, les sécheresses ont un impact sur les pénuries de nourriture et d'eau dans les pays les moins développés. Ces pénuries entraînent une hausse de la mortalité, des déplacements de masse de réfugiés d'un pays à l'autre et dans certain cas des conflits armés (Hsiang *et al.*, 2013).

### 2.3. Impacts sur les végétaux

L'impact des changements climatiques, en particulier des sécheresses sur les forêts et les végétaux en général, est une préoccupation centrale de la recherche agronomique actuelle. Les forêts occupent environ 30% des terres sur le globe, et sont étroitement liées au climat et à l'atmosphère avec lesquels elles échangent de l'eau, du CO<sub>2</sub>, et divers composés (Bonan, 2008). Tous les effets des changements climatiques sur les forêts ne sont pas négatifs, par exemple l'élévation des concentrations atmosphériques en CO<sub>2</sub> pourrait augmenter la séquestration de carbone et la productivité des forêts (Allen *et al.*, 2015). Diverses espèces répondront de façon différente aux changements globaux, induisant des modifications de composition et de répartition des espèces au sein des écosystèmes forestiers (Etzold *et al.*, 2019). Cependant ces observations pourraient ne pas refléter les tendances globales puisqu'il n'existe pas de programme de surveillance systématique des forêts. Elles pourraient être le résultat d'une attention accrue récente de la communauté scientifique envers la mortalité des forêts (Allen *et al.*, 2010). De plus, différentes études divergent dans leurs méthodes et définitions de la sévérité des stress. Néanmoins, de récentes études montrent que certains écosystèmes forestiers pourraient déjà répondre aux changements climatiques. Le taux de mortalité des arbres est en hausse, en réponse aux augmentations des températures et aux sécheresses, même dans des écosystèmes normalement non limité par la disponibilité en eau (Allen *et al.*, 2010). D'autre

part l'impact des sécheresses, intensifié par les changements globaux (Allen *et al.*, 2015), entraîne généralement une diminution de la productivité primaire des forêts (Ciais *et al.*, 2005).

La réponse des végétaux à la sécheresse est complexe. D'ordinaire, elles induisent une réduction de la production de biomasse, en particulier sur les espèces considérées comme grandes consommatrices d'eau comme l'eucalyptus (Coopman *et al.*, 2008) ou le peuplier (Fig. 5; Monclus *et al.*, 2006). La division et l'allongement cellulaire peuvent être inhibés en cas de stress hydrique prolongé (Hsiao, 1973). Au sein de la plante, l'eau est transportée sous tension des racines vers les feuilles par le xylème. C'est en fait sous l'effet de l'évaporation de l'eau dans les cavités sous-stomatiques que la colonne de sève brute est « tirée » des racines vers les feuilles. Sous cet état, l'eau est susceptible de se vaporiser puisque la pression au sein du xylème est plus négative que la pression de vapeur du liquide (Dixon & Joly, 1895). Lorsque ce phénomène de cavitation se produit, le vaisseau conducteur devient saturé en air, provoquant une embolie. Ce phénomène peut être induit par la sécheresse, alors appelé embolie estivale. Si une partie significative des vaisseaux conducteurs souffrent d'embolie, le fonctionnement hydraulique de la plante et sa capacité à alimenter les parties supérieures du végétal, comme les feuilles, ne peuvent être assurés adéquatement (Cruiziat *et al.*, 2002).



**Figure 5.** Dynamique de la hauteur de la tige principale chez 29 génotypes de peupliers euraméricains bien irrigués (boites blanches) ou sous sécheresse (boites noires). Les boites représentent la médiane, le premier et troisième quartile. Les barres verticales représentent les valeurs maximales et minimales. D'après Monclus *et al.* (2006).

Le comportement des espèces face à la sécheresse varie d'une espèce à l'autre. Nous pouvons qualifier des plantes capables de supporter une certaine dessiccation de tolérante à la sécheresse,

tandis que celles stockant une grande quantité d'eau tout en limitant les pertes, peuvent être qualifiées de résistantes. Une ancienne classification groupe les réponses au stress hydrique en plusieurs stratégies (Kearney & Shantz, 1911). L'échappement concerne les espèces « éphémères », ayant une période de croissance courte (quelques semaines) et des graines matures avant que la plante soit en contact avec un déficit hydrique. La stratégie d'évitement consiste à limiter l'épuisement de la réserve en eau. Cette économie d'eau peut faire intervenir un contrôle de la transpiration, notamment par la fermeture des stomates ou en limitant la surface foliaire susceptible de transpirer. En conséquence, le taux de croissance de ces plantes est généralement faible. Une autre possibilité implique un développement fort du système racinaire permettant une grande exploration et exploitation des réserves en eau du sol. Nous pouvons définir le comportement isohydrique d'une espèce selon sa régulation du potentiel hydrique foliaire de jour. Les plantes dites anisohydriques (orge, tournesol) montrent une diminution substantielle du potentiel hydrique foliaire lorsque le sol s'assèche, alors que les espèces isohydriques (maïs, peuplier) ont tendance à le maintenir (Tardieu & Simonneau, 1998). Ainsi les espèces anisohydriques seraient plus tolérantes à des déficits hydriques modérés, maintenant les échanges gazeux et donc l'assimilation de CO<sub>2</sub>. Au contraire, un stress hydrique fort entraînerait des dommages irréversibles sur ces espèces (par exemple une embolie). Même si le lien entre le maintien du potentiel hydrique foliaire et la réponse stomatique à un déficit hydrique a été remis en question (Prieto *et al.*, 2010), ces comportements forment un gradient dans lequel le caractère d'isohydrie est comparé entre espèces ou génotypes.

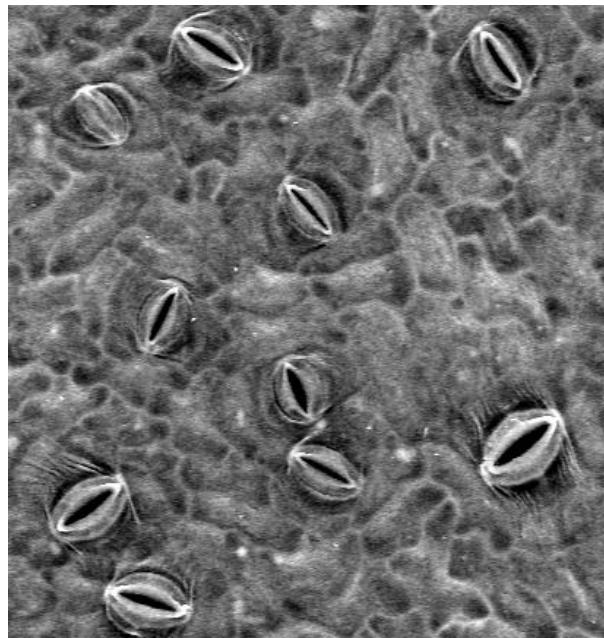
Les problématiques liées à l'augmentation de la demande en bois entrent en conflit avec la projection de déclin de la productivité des forêts dans les années à venir. Exprimée simplement, la production de biomasse est issue de la transformation du CO<sub>2</sub> atmosphérique en sucres pendant le processus de photosynthèse dans les feuilles, tandis que les pertes en eau proviennent pour 99% de la transpiration des feuilles. Ces entrées de CO<sub>2</sub> et sorties d'H<sub>2</sub>O s'effectuent au même endroit de la feuille, au sein des stomates.

### 3. Stomates

#### 3.1. Rôles des stomates

Les stomates forment des pores sur l'épiderme des parties aériennes, principalement au niveau des feuilles. Ils sont composés d'une paire de cellules, dites de garde, avec un orifice central appelé ostiole en contact avec les espaces sous-stomatiques du mésophylle (Fig. 6). Ces cellules de garde peuvent modifier leur volume sous l'influence de divers facteurs endogènes et/ou

environnementaux. Ceci entraîne des variations de l'ouverture de l'ostiole et par conséquent modifie les flux de CO<sub>2</sub> entrant et d'H<sub>2</sub>O sortant de la feuille. Ces variations permettent ainsi de moduler deux processus cruciaux chez les végétaux : la photosynthèse et la transpiration. En 1977, Cowan & Farquhar proposent l'hypothèse que les mouvements stomatiques sont régulés de sorte que l'assimilation de CO<sub>2</sub> soit maximale pour des pertes en eau minimales.



**Figure 6.** Stomates de la face abaxiale d'une feuille de peuplier euraméricain. Photographie prise au microscope électronique à balayage équipé d'un détecteur d'électrons rétrodiffusés. Grandissement x1000.

Ces échanges gazeux, dépendant du degré d'ouverture des stomates sont mesurés, par analogie de la loi d'Ohm, comme l'inverse d'une résistance, c'est-à-dire une conductance. Cette conductance stomatique ( $g_s$ ) augmente ou diminue selon le degré d'ouverture des cellules de garde et les différences de pression entre les cavités sous-stomatiques et l'atmosphère. Une autre résistance à la diffusion de molécules entre la feuille et l'environnement, est la conductance de couche limite ( $g_{bl}$ ). Cette conductance représente une couche d'air à la surface des feuilles qui n'est pas mélangé avec l'atmosphère. Elle est influencée par la vitesse du vent, la taille de la feuille et ses aspérités de surface (stomates dans des cavités, présence de poils). Cette couche prend son importance dans des houppiers denses, et dans des conditions de vent minimales. Dans ces conditions, l'environnement perçu par les cellules de garde est influencé par la couche limite, formant une boucle de rétroaction où les stomates sont influencés par leur propre transpiration et assimilation photosynthétique (Aphalo & Jarvis, 1993b). Ce phénomène

peut se produire au niveau de la feuille mais aussi de la plante entière, alors découpée de l'environnement (Jarvis & McNaughton, 1986).

### 3.2. Mécanismes d'ouverture et de fermeture des stomates

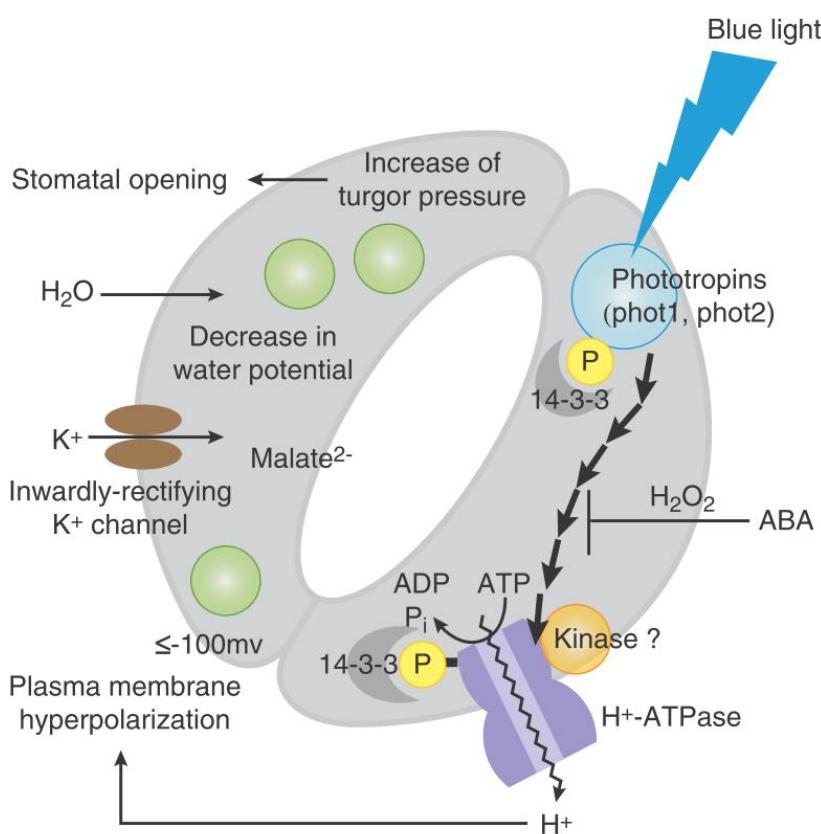
Les mouvements stomatiques sont provoqués par une déformation mécanique des parois des cellules de garde, induite par des mouvements d'eau entrant et sortant des cellules de garde. Ces flux d'eau ont pour origine une modification du gradient de potentiel électrochimique entre l'intérieur et l'extérieur de la cellule (Jones, 2014). Pendant l'ouverture stomatique, les pompes à protons ATPase-dépendante sur la membrane plasmique des cellules de garde expulsent vers l'apoplaste des ions H<sup>+</sup> (Kinoshita & Shimazaki, 1999). Cette augmentation de protons d'un seul côté de la membrane plasmique provoque une hyperpolarisation membranaire, qui a pour effet d'activer des canaux ioniques. Parmi eux, principalement des transporteurs de potassium (Humble & Raschke, 1971; Schroeder *et al.*, 1984), mais aussi de chlore et de malate qui permettent de tamponner les variations de pH liées aux mouvements de protons (Kim & Lee, 2007). L'ouverture stomatique peut aussi être maintenue par l'accumulation de sucres (Outlaw & Manchester, 1979). La fermeture stomatique peut faire intervenir une augmentation des pools de calcium cytosolique (McAinsh *et al.*, 1990). Elle s'effectue par la dépolarisation de la membrane plasmique induite par l'inactivation des pompes à protons (Kinoshita *et al.*, 1995). Les canaux entrant de potassium (Sato *et al.*, 2009) sont également inactivés, alors que des canaux sortant de potassium (Schroeder *et al.*, 1987; Schroeder & Hagiwara, 1989), de chlore et de malate sont activés (Vahisalu *et al.*, 2008; Geiger *et al.*, 2010).

La paroi des cellules de garde est dissymétrique, étant épaisse, rigide et cutinisée du côté de l'ostiole et fine du côté externe. De plus les microfibrilles de cellulose au sein de la paroi imposeraient une courbure anisotropique de par leur orientation radiale (Franks *et al.*, 1998). Les mouvements d'eau créent une force motrice responsable de l'augmentation du volume de ces cellules, un renforcement de la pression de turgescence et ainsi, un élargissement du diamètre de l'ostiole. Tous les stomates ne sont pas synchrones dans leurs mouvements. Les variations locales et les résistances à la diffusion latérale au sein des feuilles, peuvent entraîner des différences d'ouverture entre stomates, nécessitant d'observer le phénomène dans son ensemble (Fig. 6).

### 3.3. Facteurs induisant des mouvements stomatiques

Comme indiqué précédemment, les variations de l'ouverture des stomates sont régies par de nombreux facteurs environnementaux. Parmi eux, la lumière est la seule pour laquelle les

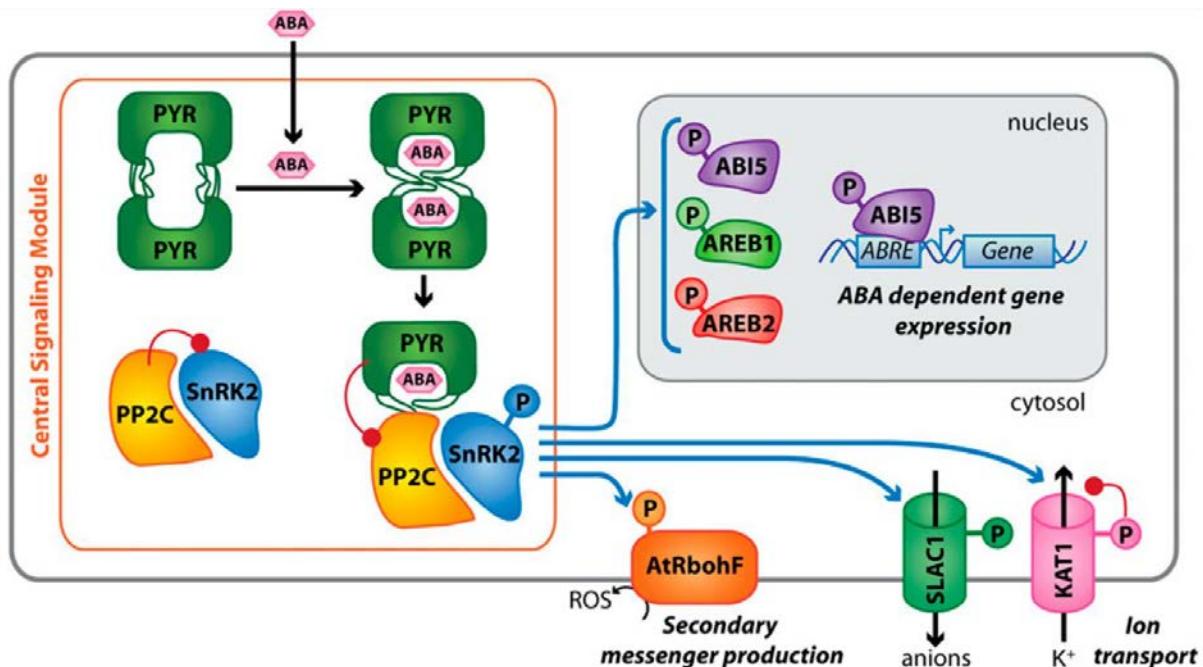
mécanismes de perception ont pu être identifiés. De manière générale, la plupart des plantes ouvrent leurs stomates en réponse à une augmentation de l'irradiance et les ferment à l'obscurité. Il faut séparer deux mécanismes de réponse à la lumière, dépendant de la longueur d'onde, qui agissent de concert (Shimazaki *et al.*, 2007). La lumière bleue agit comme un signal perçu par des phototropines, PHOT1 et PHOT2, récepteurs à la lumière bleue (Kinoshita *et al.*, 2001). Ces phototropines sont responsable de l'activation d'abord par phosphorylation des pompes ATPase-dépendantes (Sondergaard *et al.*, 2004; Yamauchi *et al.*, 2016), puis par la fixation de protéines 14-3-3 (Fig. 7; Palmgren, 2001). La réponse à la lumière bleue est rapide et nécessite une faible intensité lumineuse.



**Figure 7.** Ouverture stomatique en réponse à la lumière bleue. D'après Shimazaki *et al.* (2007).

Contrairement à la réponse à la lumière bleue, la réponse à la lumière rouge active la photosynthèse. Elle pourrait également être responsable de l'accumulation de sucres et de l'activation de transporteur de K<sup>+</sup> (Olsen *et al.*, 2002). Les mécanismes impliqués dans la perception et la signalisation de la lumière rouge sont encore peu connus mais pourraient faire intervenir, en partie, des variations de CO<sub>2</sub> dans les cavités sous-stomatiques (C<sub>i</sub>) du mésophylle (Roelfsema *et al.*, 2002; Mott *et al.*, 2008; Lawson *et al.*, 2014). La réponse à la lumière rouge

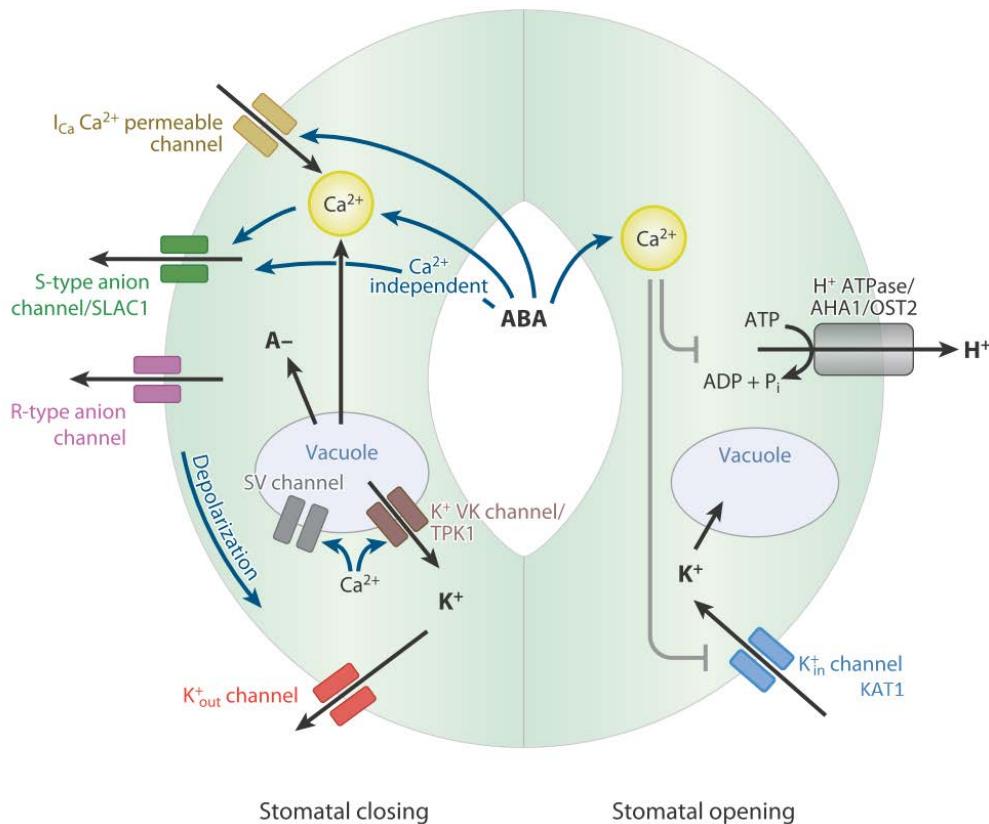
agit de manière synergique avec la lumière bleue, produisant une ouverture stomatique plus grande que la somme des deux réponses (Assmann, 1988).



**Figure 8.** Voie de signalisation de l'acide abscissique. En absence d'ABA, les PP2C inhibent l'activité kinase de SnRK2 (OST1). Lorsque l'ABA se fixe à des dimères PYR/PYL, les complexes PP2C-SnRK2 se dissocient et inhibent l'activité des PP2C, libérant SnRK2. Les cibles de SnRK2 impliquent la régulation de canaux ioniques, de l'expression de gènes, et la production de messagers secondaires. D'après Hubbard *et al.* (2010).

En réponse à un déficit hydrique édaphique, les stomates se ferment, limitant les pertes en eau. Nous ne savons pas encore si les mécanismes responsables de la fermeture des stomates sont d'origine hydraulique ou hormonale (Rodriguez-Dominguez *et al.*, 2016). D'un côté, la diminution de pression de turgescence des cellules foliaires pourrait conduire à une rétroaction négative activement régulée (Sperry *et al.*, 2002; Buckley, 2005; Brodribb & Cochard, 2009). Ce mécanisme permettrait de retourner l'avantage mécanique de l'épiderme, contribuant à l'ouverture stomatique lorsque les pressions de turgescence diminuent (Franks *et al.*, 2001; Franks & Farquhar, 2007). D'un autre côté, la baisse de la conductance stomatique en sécheresse pourrait être induite avant tout, par des signaux hormonaux tels que l'acide abscissique (ABA, Fig. 8). L'ABA se déplacerait des racines vers les feuilles, étant générée indépendamment du statut hydrique foliaire (Davies & Zhang, 1991; Tardieu & Simonneau, 1998; Dodd, 2005). Les cellules de garde possèdent par ailleurs la voie complète de biosynthèse de l'ABA (Bauer *et al.*, 2013). En se fixant sur des récepteurs de la famille PYR/PYL, l'ABA inhibe des phosphatases de type PP2C encodées par le gène *ABII* (Yoshida *et al.*, 2006; Park

*et al.*, 2009). Se faisant, le facteur OST1 n'est plus réprimé, ce qui permet d'inactiver les canaux potassiques entrant, tels que KAT1 (Fig. 9; Sato *et al.*, 2009). Des transporteurs de type R et S, pour « rapid » et « slow » (Schroeder & Keller, 1992), tel que SLAC1 (type S) sont également activés (Geiger *et al.*, 2009). La réponse des stomates à un déficit hydrique d'origine atmosphérique, faisant varier le déficit de pression de vapeur d'eau entre la feuille et l'air extérieur (VPD), est peu connue. De la même façon que pour une réduction de la disponibilité en eau dans le sol, plusieurs mécanismes ont été proposés. La réponse stomatique au VPD a été liée à la fois à un mécanisme hydraulique passif et à une réponse activement régulée par l'ABA (Assmann *et al.*, 2000; Peak & Mott, 2011; Mc Adam & Brodribb, 2015).



**Figure 9.** Fermeture stomatique liée à l'acide abscissique. A gauche, signalisation de l'ABA liée à la fermeture des stomates. A droite, signalisation de l'ABA liée à l'inhibition de l'ouverture stomatique. D'après Kim & Lee (2007).

### 3.4. Modélisation de la conductance stomatique

Modéliser pour prédire l'évolution de la conductance stomatique est une étape importante permettant d'évaluer les paradigmes actuels et de synthétiser notre compréhension des mécanismes responsables des mouvements stomatiques. Ces modèles peuvent s'intégrer par exemple aux modèles de climat globaux pour prédire l'évolution de la végétation et de la séquestration de carbone au sein des forêts (Franks *et al.*, 2018).

De nombreux modèles, dont très peu sont complètement mécanistes, ont été développés depuis une cinquantaine d'années (Damour *et al.*, 2010). Un des premiers modèles de conductance stomatique, proposé par Jarvis (1976), calcule  $g_s$  à partir de la conductance stomatique maximale, successivement limitée par l'ajout de fonctions empiriques environnementales (lumière, VPD, CO<sub>2</sub>). Ce type de modèle, difficile à utiliser si nous considérons le nombre de paramètres à estimer pour chacune des fonctions, ne tient pas compte de potentielles interactions entre variables. D'autres modèles comme celui de Ball, Woodrow & Berry (1987) et plus tard affiné par Aphalo & Jarvis (1993a), utilisent la relation entre l'assimilation de CO<sub>2</sub> et la conductance stomatique, modulée par l'humidité de l'air :

$$g_s = g_0 + g_1 \left( \frac{A * RH}{C_a} \right) \quad (1)$$

Avec  $g_s$  la conductance stomatique, A l'assimilation nette de CO<sub>2</sub>, RH l'humidité relative, C<sub>a</sub> la concentration en CO<sub>2</sub> atmosphérique et g<sub>0</sub> et g<sub>1</sub> des paramètres empiriques. L'utilisation de l'humidité relative dans ce modèle est sujette à discussions, certains auteurs considérant que la réponse à l'humidité seule ne permet pas de tenir compte des interactions complexes avec la température (Aphalo & Jarvis, 1991). Ainsi le modèle de Leuning (1995), dérivé de celui de Ball & Berry utilise le VPD, lui-même dépendant de l'humidité relative et de la température :

$$g_s = g_0 + g_1 \left( \frac{A}{(C_a - \Gamma^*) \left( 1 + VPD / VPD_0 \right)} \right) \quad (2)$$

Avec  $\Gamma^*$  la concentration en CO<sub>2</sub> au point de compensation de l'assimilation en tenant compte de la respiration à l'obscurité, et VPD<sub>0</sub> un paramètre empirique. D'autres encore, tel que le modèle de Tuzet (2003), modifie la partie liée à l'humidité relative pour considérer l'impact du potentiel hydrique foliaire. Plus récemment, Medlyn *et al.* (2011) ont utilisé un modèle de photosynthèse simple dans lequel l'assimilation en CO<sub>2</sub> est supposée dépendre de C<sub>i</sub> et de l'irradiance. En imposant la contrainte supplémentaire postulée par Cowan & Farquhar (1977), que la conductance est régulée pour maximiser la photosynthèse en regard des pertes en eau, les auteurs aboutissent à un modèle, curieusement proche de celui de Ball & Berry, de type :

$$g_s = g_0 + 1.6 \left( 1 + \frac{g_1}{\sqrt{VPD}} \right) \frac{A}{C_a} \quad (3)$$

Cependant, la relation entre A et g<sub>s</sub> n'est pas aussi simple. La relation A et g<sub>s</sub> n'est pas linéaire mais asymptotique (Wong *et al.*, 1979), impliquant une gamme de valeurs de g<sub>s</sub> pour lesquelles A n'augmente plus. De plus, A et g<sub>s</sub> sont à la fois liés par une boucle de rétroaction (Farquhar

*et al.*, 1978), mais peuvent aussi réagir aux variables environnementales de manière indépendante l'un de l'autre (Bunce, 1988). Ainsi, même si la formulation des modèles de type Ball & Berry (équations 1, 2 et 3) sous-entend que A contrôle  $g_s$ , en réalité ni A ne contrôle  $g_s$ , ni  $g_s$  ne contrôle A et ni l'un ni l'autre ne peuvent vraiment être considérés comme déterminant. Une explication alternative de ce type de modèle est qu'il décrit plutôt la relation entre A et  $g_s$  (Aphalo & Jarvis, 1993a).

### 3.5. Dynamique des mouvements stomatiques

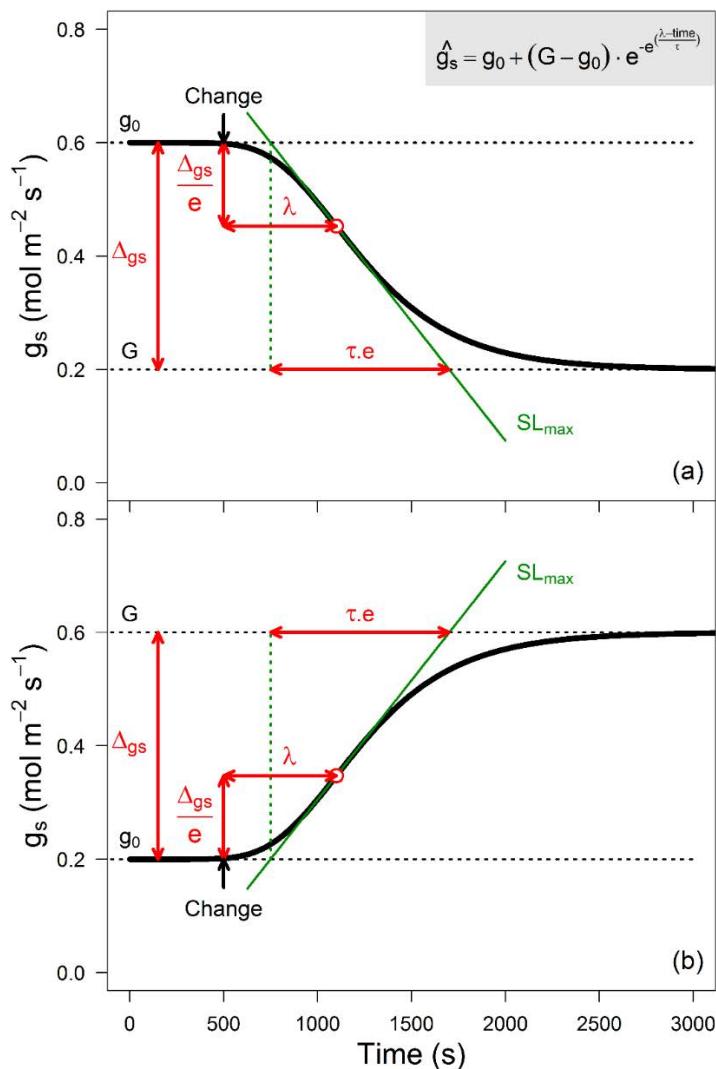
La plupart des modèles décrits précédemment sont définis en « régime permanent ». C'est-à-dire que pour un changement environnemental donné, A et  $g_s$  sont instantanément ajustés à leur nouvel environnement. Or, même si les variations de la photosynthèse sont très rapides, de l'ordre de la seconde, les variations de l'ouverture des stomates peuvent prendre quelques dizaines de minutes à plusieurs heures (Mc Ausland *et al.*, 2016). Ces variations temporelles de  $g_s$  induisent une hystérésis, ainsi elle est à la fois influencée par les variations du milieu extérieur mais également par son propre état antérieur (Ng & Jarvis, 1980). En considérant les variations naturelles de  $g_s$  lorsque les conditions environnementales sont maintenues stables (de Dios, 2017), auxquelles s'ajoutent en conditions naturelles les variations continues de l'irradiance, de la température, de  $C_a$  et de l'humidité de l'air, il est peu probable (ou rare) que  $g_s$  atteigne ou maintienne un régime permanent.

Les premiers essais de modélisation de ces dynamiques temporelles de  $g_s$  ont été réalisés en mesurant le temps entre le changement environnemental et le moment où  $g_s$  atteint un nouveau régime permanent (en conditions contrôlées stables), ou par régression de la partie linéaire de la réponse de  $g_s$  (revu par Viallet-Chabrand *et al.*, 2017). Ces approches posent un problème de comparaison entre différentes études évaluant la réponse de  $g_s$  par différents estimateurs. Afin d'intégrer la totalité de la réponse dynamique de  $g_s$ , les modèles les plus utilisés à l'heure actuelle décrivent empiriquement la forme de la variation de  $g_s$  par une équation exponentielle (Qu *et al.*, 2016) ou sigmoïdale (Viallet-Chabrand *et al.*, 2013). A partir de ces équations, différents paramètres empiriques décrivant le temps, la vitesse et l'amplitude de la réponse, peuvent être extraits. Le modèle sigmoïdal présente l'intérêt de pouvoir estimer un délai de la réponse stomatique (entre le changement environnemental et le début de la réponse de  $g_s$ ), reporté chez plusieurs espèces (Barradas *et al.*, 1994; Vico *et al.*, 2011). Le modèle sigmoïde le plus utilisé aujourd'hui est celui développé par Viallet-Chabrand *et al.* (Viallet-Chabrand *et al.*, 2013; Mc Ausland *et al.*, 2016; Gérardin *et al.*, 2018) de type :

$$g_s = g_0 + (G - g_0)e^{-e^{\frac{\lambda-t}{\tau}}} \quad (4)$$

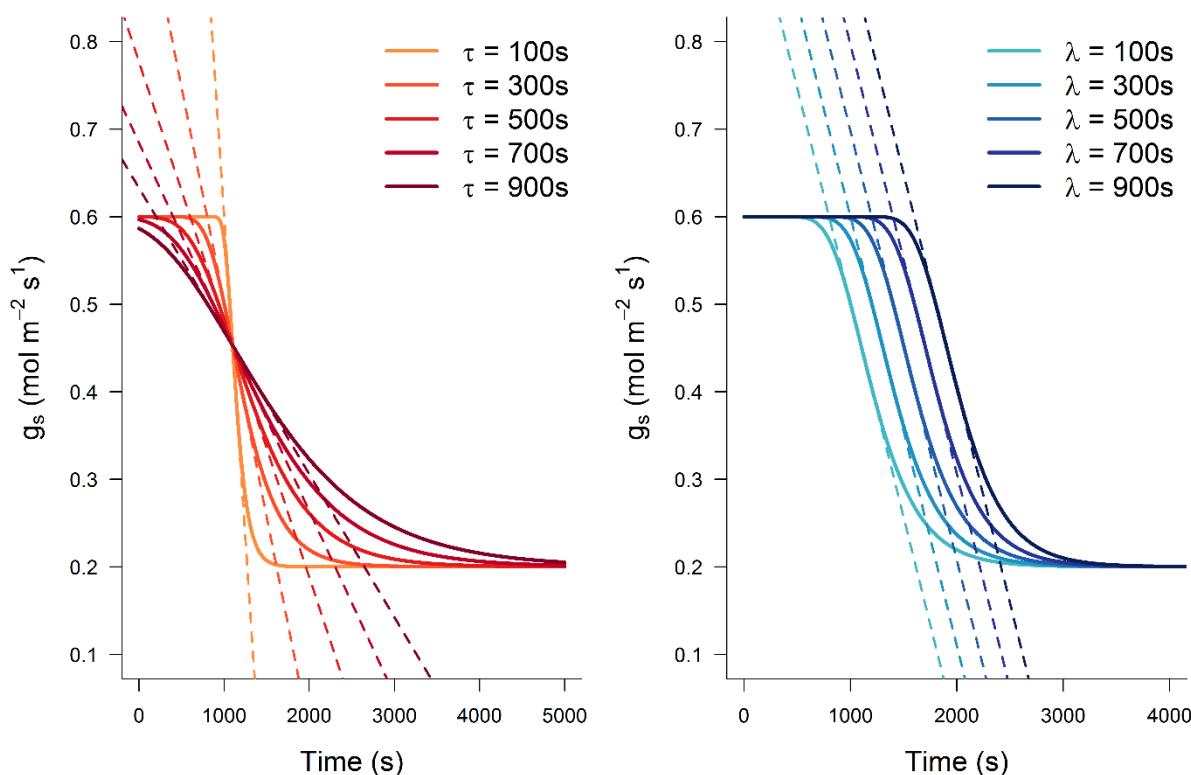
Avec  $g_0$  et  $G$  les valeurs de  $g_s$  respectivement avant et après le changement environnemental,  $t$  le temps,  $\lambda$  le délai de réponse,  $\tau$  une constante de temps reflétant le temps de la réponse de  $g_s$  (Fig. 10 et 11) et « e » le nombre d'Euler ( $\approx 2.718$ ). A partir de cette équation, la pente maximum de la régression,  $SL_{max}$ , peut être calculée :

$$SL_{max} = \frac{G - g_0}{\tau \cdot e} \quad (5)$$



**Figure 10.** Description du modèle dynamique sigmoïde de Gérardin *et al.* (2018). (a) et (b) fermeture et ouverture stomatique, respectivement. La flèche noire montre le moment du changement environnemental.  $g_0$  et  $G$  sont les valeurs de conductance stomatique ( $g_s$ ) initiale et finale, respectivement.  $\Delta_{gs}$  est l'amplitude de  $g_s$ ,  $\lambda$  est le délai entre le changement environnemental et le moment où la pente est à un maximum (point blanc).  $SL_{max}$  est la tangente à ce point. En multipliant  $\tau$  et  $e$  ( $\approx 2.718$ ), nous obtenons le temps nécessaire pour modifier  $g_s$  de  $g_0$  à  $G$  si la vitesse était constante et égale à  $SL_{max}$ .

Cette équation illustre également la relation mathématique entre  $SL_{max}$  (vitesse),  $\tau$  (temps) et l'amplitude ( $G - g_0$ ). Seul  $\lambda$  est indépendant mathématiquement. Au-delà des contraintes inhérentes à un modèle descriptif empirique, ce modèle est spécifique à la condition environnementale testée. Comparer des résultats de ce modèle à des variations expérimentales de  $g_s$  nécessite la mise en place d'un protocole expérimental évaluant la dynamique temporelle de  $g_s$  par paliers sur une large gamme de variations. Son utilisation requiert également la résolution de plusieurs équations différentielles (pour calculer la vitesse de  $g_s$  à chaque instant de la simulation) et la définition de valeurs de  $g_s$  « cible », c'est-à-dire la valeur de  $g_s$  en régime permanent à l'instant donné si la réponse était instantanée (Vialet-Chabrand *et al.*, 2016).



**Figure 11.** Illustration de la variation des paramètres  $\tau$  (a) et  $\lambda$  (b) sur la dynamique temporelle de la conductance stomatique.  $g_0 = 0.6 \text{ mol } m^{-2} s^{-1}$ ,  $G = 0.2 \text{ mol } m^{-2} s^{-1}$ ,  $\tau = 300\text{s}$  et  $\lambda = 100\text{s}$  sauf si mentionné autrement.

Quelques études ont pu mettre en évidence une diversité de la dynamique temporelle de  $g_s$  en réponse à la lumière (Mc Ausland *et al.*, 2016), au VPD (Males & Griffiths, 2017), au déficit hydrique et au CO<sub>2</sub> (Haworth *et al.*, 2018). Cependant, aujourd’hui nous ne connaissons pas les déterminants régissant cette diversité de dynamique stomatique. Il faut d’abord noter que  $g_s$  n’est pas linéairement liée à l’ouverture du pore stomatique ( $A_p$ ,  $\mu\text{m}^2$ ). La densité stomatique (SD,  $\text{mm}^{-2}$ ) et la profondeur de l’ostiole ( $d_p$ ,  $\mu\text{m}$ ) participent également aux variations de  $g_s$  en

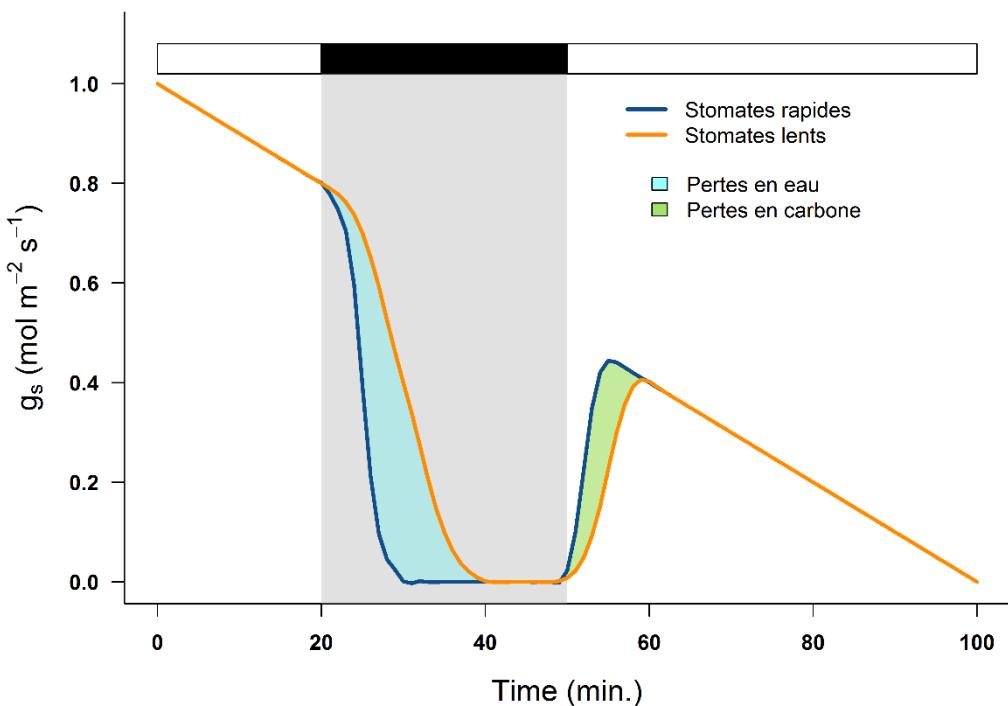
modifiant le chemin du CO<sub>2</sub> et de H<sub>2</sub>O de la surface de la feuille jusqu'à la surface cellulaire où les gaz passent à l'état liquide. Nous pouvons relier ces différents paramètres à partir du modèle de Franks & Farquhar (2001) adapté du modèle de Brown & Escombe (1900) :

$$g_s = \frac{SD \times A_p \times D / V}{d_p + \pi/2 \sqrt{A_p / \pi}} \quad (6)$$

Avec D la diffusivité de l'eau dans l'air ( $\approx 2.82 \times 10^{-5} \text{ m}^2 \text{ s}^{-1}$ ) et V le volume molaire de l'air ( $\approx 0.0244 \text{ m}^3 \text{ mol}^{-1}$ ). La morphologie des cellules de garde, en forme d'haltère chez les monocotylédones et en forme de haricot chez les dicotylédones, et l'avantage mécanique de l'épiderme (en termes de pression de turgescence) par rapport aux cellules de garde pourraient aussi participer à la diversité des dynamiques stomatiques (Franks & Farquhar, 2007). La contribution de l'épiderme serait aussi en mesure d'être modifiée selon l'espacement des stomates et le nombre variable de cellules épidermiques de différentes tailles qui les entourent (Dow *et al.*, 2014). Des stomates encerclés par un faible nombre de cellules épidermiques seraient potentiellement susceptibles de ressentir une pression de turgescence plus faible. Le transport de solutés à travers les membranes, responsable des mouvements d'eau à travers les stomates pourraient également modifier la dynamique stomatique selon leur quantité et leur activité. Une hypothèse avancée par Drake *et al.* (2013) propose que des stomates de grande taille soient plus lents à répondre aux changements environnementaux. L'idée prend racine du fait que des petits objets possèdent une surface externe plus grande par rapport à leur volume, comparé à des gros objets. L'hypothèse ne fait pas consensus dans la littérature (Elliott-Kingston *et al.*, 2016; Mc Ausland *et al.*, 2016; Gérardin *et al.*, 2018; Kardiman & Ræbild, 2018) et presuppose que les flux de solutés à travers les cellules de garde soient uniformes, une fois normalisés par la surface des cellules de garde (Lawson & Blatt, 2014; Raven, 2014).

Cette diversité de dynamique stomatique entraînerait différents impacts. Des stomates plus rapides quand les conditions ne sont plus propices (par ex. le passage d'un nuage diminuant l'assimilation de CO<sub>2</sub>) permettraient d'économiser des pertes en eau au moment de la fermeture stomatique (Fig. 12). Economiser l'eau du sol en fermant ses stomates plus rapidement lors d'épisodes de sécheresse permettrait de limiter l'épuisement des ressources du sol (Mc Ausland *et al.*, 2016). Lorsque les conditions deviennent favorables à nouveau, une ouverture plus rapide pourrait capitaliser sur l'augmentation de l'assimilation en CO<sub>2</sub>. Cette dissymétrie de l'impact de la dynamique stomatique en réponse à un changement de lumière entre l'ouverture et la

fermeture pourrait influencer le rapport entre A et  $g_s$ , modifiant le coût hydrique de la photosynthèse (Ooba & Takahashi, 2003).



**Figure 12.** Illustration des impacts théoriques de la dynamique stomatique sur les pertes en eau et en carbone. La zone noire et grisée correspond au passage d'un nuage, réduisant la photosynthèse et induisant une fermeture des stomates.

#### 4. Efficience d'utilisation de l'eau

##### 4.1. Définitions et composants à différentes échelles

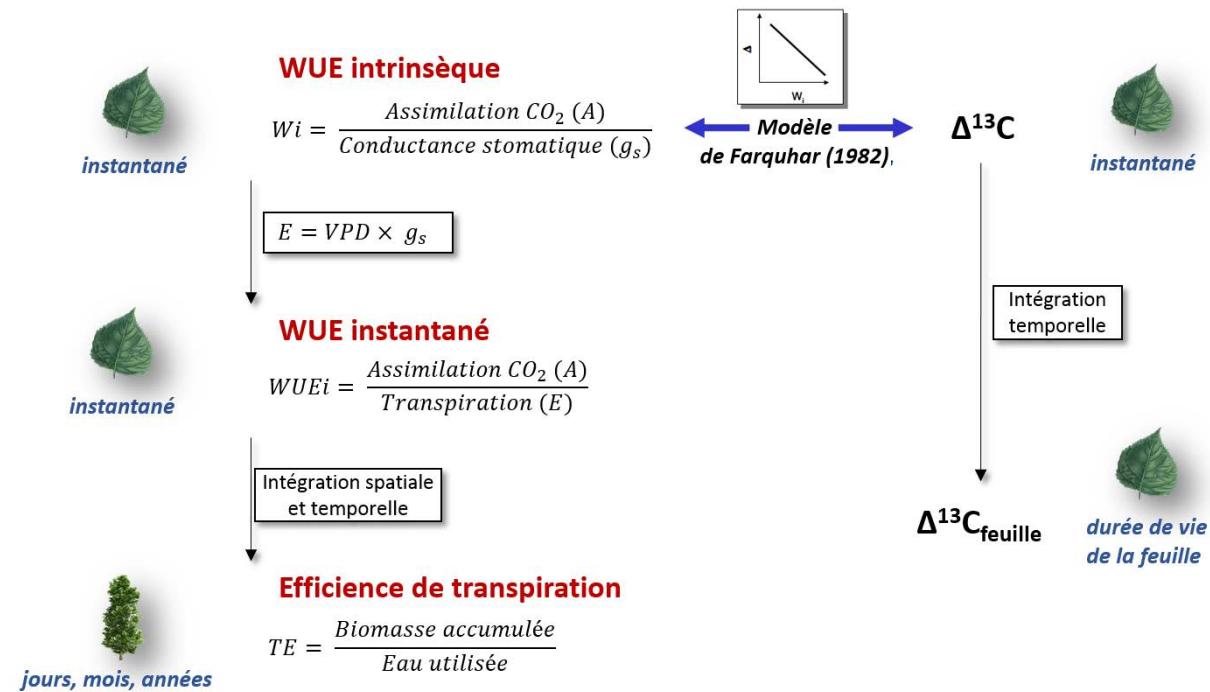
Nous avons vu précédemment que le rapport entre A et  $g_s$  n'est pas fixe, et les mécanismes régissant ces deux processus peuvent être régulés conjointement ou indépendamment. Ce ratio est appelé l'efficience d'utilisation de l'eau (WUE). C'est un trait complexe, définissable à plusieurs échelles (Fig. 13). Au niveau de la feuille, le rapport A/ $g_s$  est communément appelé l'efficience d'utilisation de l'eau intrinsèque ( $W_i$ ). C'est un trait hautement variable selon les variations environnementales puisque défini de manière instantanée. Nous pouvons aussi décrire le flux de CO<sub>2</sub> de l'atmosphère vers la feuille comme le produit entre la conductance stomatique au CO<sub>2</sub> ( $g_c$ ) et la différence entre C<sub>a</sub> et C<sub>i</sub> tel que :

$$A = g_c(C_a - C_i) \quad (7)$$

Puisque la diffusion de l'eau dans l'air est 1.6 fois plus rapide que celle du CO<sub>2</sub> ( $g_s = 1.6g_c$ ),

nous pouvons remplacer le numérateur du ratio A/g<sub>s</sub> par l'équation (7) et le dénominateur par 1.6g<sub>c</sub>. En simplifiant par g<sub>c</sub> nous obtenons :

$$W_i = \frac{A}{g_s} = \frac{(C_a - C_i)}{1.6} = \frac{C_a(1 - C_i/C_a)}{1.6} \quad (8)$$



**Figure 13.** Illustration des différentes intégrations spatiales et temporelles de l'efficience d'utilisation de l'eau.

Nous pouvons également calculer WUE au niveau foliaire en considérant la transpiration foliaire (E) de manière similaire à l'équation (7), comme le produit de g<sub>s</sub> et de la différence de pression en vapeur d'eau entre la feuille (e<sub>i</sub>) et l'atmosphère (e<sub>a</sub>) tel que VPD = e<sub>i</sub> - e<sub>a</sub>. Cette définition assume une conductance de couche limite, g<sub>bl</sub>, infinie (c'est-à-dire une résistance nulle). Le rapport A/E (W<sub>inst</sub>) représente alors le ratio des flux entrant de CO<sub>2</sub> sur les flux sortant d'H<sub>2</sub>O. En modifiant l'équation (8) pour inclure le VPD nous obtenons (Farquhar & Richards, 1984):

$$W_{inst} = \frac{A}{E} = \frac{(C_a - C_i)}{1.6(e_i - e_a)} = \frac{C_a(1 - C_i/C_a)}{1.6(e_i - e_a)} \quad (9)$$

L'efficience d'utilisation de l'eau est utilisée aussi bien en agronomie qu'en foresterie. Dans ces domaines, WUE est souvent mesurée au niveau de la plante entière ou de l'écosystème (Navarro *et al.*, 2018). Ces dernières années, les prévisions économiques et climatiques prévoyant une augmentation de la demande en bois (voir paragraphe 1.3) et des sécheresses

(voir paragraphe 2.2), conduisent à une augmentation du nombre d'études visant à améliorer la production de biomasse par rapport à la consommation en eau (Condon *et al.*, 2004). Ce ratio est appelé l'efficience de transpiration (TE). Il est défini à l'échelle de la plante entière et sur une période de temps donnée, et est intrinsèquement relié aux définitions à l'échelle foliaire de WUE. Cependant, de nombreux autres processus interviennent sur WUE au niveau de la plante entière par rapport à la feuille, entraînant des pertes de carbone ( $\Phi_c$ ) et d'eau ( $\Phi_w$ ) non associées à la photosynthèse (Farquhar *et al.*, 1989).  $\Phi_c$  tient compte des gains de carbone par les tiges photosynthétiques ou les fruits immatures et des pertes en carbone par la respiration des organes autres que les feuilles (tiges, racines, fruits).  $\Phi_w$  comprend les pertes d'eau de la plante entière de nuit et des organes autres que les feuilles de jour (Farquhar & Richards, 1984; Cernusak *et al.*, 2007). En incluant ces termes à l'équation (9) nous obtenons une estimation instantanée de WUE,  $TE_{inst}$  :

$$TE_{inst} = \frac{c_a(1 + \varphi_c)(1 - c_i/c_a)}{1.6(e_i - e_a)(1 + \varphi_w)} \quad (10)$$

La mesure de TE est laborieuse, nécessitant la détermination précise des gains de biomasse et les pertes en eau cumulées (WU) sur une période souvent longue (semaines, années). Sa mesure sur des jeunes plants en pot demande la pesée régulière de chaque plante et la détermination, destructive, de la biomasse produite (Rasheed *et al.*, 2013). Sur des arbres adultes, l'estimation de l'eau consommée peut se faire à partir de mesures du flux de sève. La biomasse produite est souvent difficile à estimer, en particulier au niveau des racines et fait souvent intervenir des estimations à partir du diamètre ou du volume du tronc (Olbrich *et al.*, 1993; Navarro *et al.*, 2018).

#### 4.2. Intérêt et utilisation des isotopes de carbone

Le carbone possède quatre isotopes. Deux sont radioactifs ( $^{11}\text{C}$  et  $^{14}\text{C}$ ) et deux sont stables ( $^{12}\text{C}$  et  $^{13}\text{C}$ ). L'abondance naturelle du  $^{13}\text{C}$  approche 1.1% (contre 98.9% pour  $^{12}\text{C}$ ). Au sein de la matière organique, différents processus discriminent contre le  $^{13}\text{C}$  au profit du  $^{12}\text{C}$ . Etudier ces processus de discrimination revient à comparer le ratio d'isotopes  $^{13}\text{C}$  par rapport au  $^{12}\text{C}$ . Par convention le ratio isotopique  $^{13}\text{C}/^{12}\text{C}$  est rapporté à un standard international, la Vienna Pee Dee Belemnite (Gonfiantini, 1984) pour en déterminer la composition isotopique ( $\delta$ ):

$$\delta = \frac{1000 \times \left( \frac{^{13}\text{C}}{^{12}\text{C}}_{ech} - \frac{^{13}\text{C}}{^{12}\text{C}}_{std} \right)}{\frac{^{13}\text{C}}{^{12}\text{C}}_{ech}} \quad (11)$$

Le rapport isotopique pour le carbone de la matière organique est toujours inférieur à celui du standard. Cette composition isotopique provenant d'un échantillon biologique ( $\delta_{ech}$ ), peut être comparée à celle du milieu source, c'est-à-dire de l'atmosphère au moment où l'échantillon s'est développé ( $\delta_{air}$ ). De cette façon, les processus de discrimination propre à l'organisme ( $\Delta$ ) pourront être mis en lumière :

$$\Delta = \frac{1000(\delta_{air} - \delta_{ech})}{1000 + \delta_{ech}} \quad (12)$$

Chez les végétaux, les sources principales de discrimination au niveau des feuilles sont les premières réactions de carboxylation par la ribulose-1,5-bisphosphate carboxylase (Rubisco,  $\approx 27\text{-}30\%$ ) et par la diffusion du CO<sub>2</sub> de l'atmosphère vers les cavités sous-stomatiques ( $\approx 4.4\%$ ). Il existe plusieurs autres processus, d'importance moindre mais non négligeable, tel que la diffusion du CO<sub>2</sub> dans la phase liquide (Brugnoli & Farquhar, 2000), la photorespiration et la respiration de jour (Gillon & Griffiths, 1997). En 1982, Farquhar *et al.* (1982) développent un modèle permettant de lier la discrimination du <sup>13</sup>C au moment de l'entrée du CO<sub>2</sub> dans la feuille et le rapport C<sub>i</sub>/C<sub>a</sub>. Cette relation n'est pas instantanée mais intégrée dans le temps et pondérée par l'assimilation de CO<sub>2</sub>. Elle prend la forme :

$$\Delta = a + (b - a) \frac{C_i}{C_a} \quad (13)$$

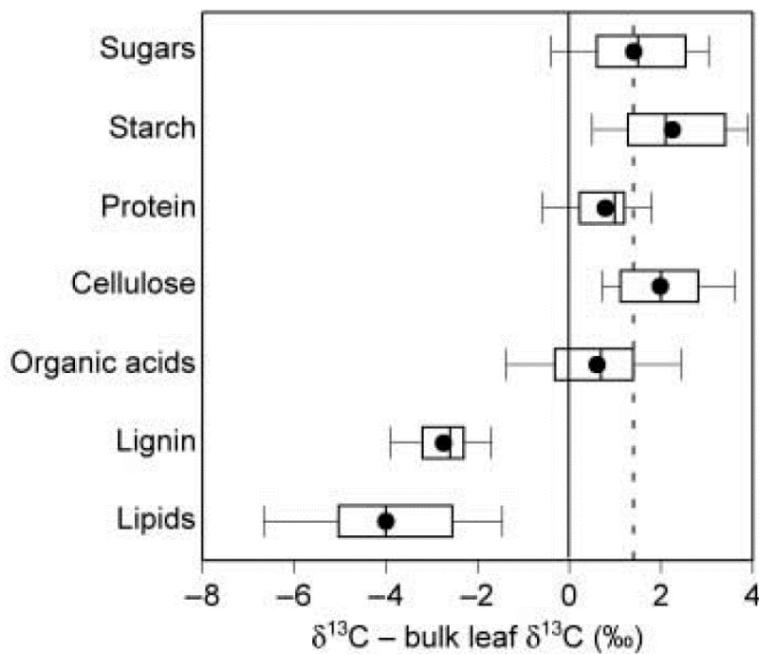
Avec « a » la discrimination contre le <sup>13</sup>CO<sub>2</sub> lors de la diffusion gazeuse de la surface de la feuille aux cavités sous stomatiques et « b » la discrimination contre le <sup>13</sup>CO<sub>2</sub> pendant la carboxylation (Farquhar *et al.*, 1982). Le ratio C<sub>i</sub>/C<sub>a</sub> reflète le ratio entre la demande et la disponibilité en CO<sub>2</sub>. Puisqu'il apparaît à la fois dans l'équation (8) et (13), nous pouvons établir une corrélation négative théorique entre  $\Delta$  et W<sub>i</sub>. Cette corrélation a été validée de façon expérimentale chez de nombreuses espèces herbacées (Farquhar *et al.*, 1989; Anyia *et al.*, 2007) et arborées (Ponton *et al.*, 2002; Marguerit *et al.*, 2014). Lorsque cette relation n'est pas apparente, la cause principale est souvent un problème de correspondance entre l'intégration temporelle de  $\Delta$  et la mesure instantanée de W<sub>i</sub> par échange gazeux foliaires. Il est également possible qu'un décalage entre les valeurs prédites par le modèle et les valeurs mesurées soit observé. Ce décalage provient majoritairement de résistances au cheminement du CO<sub>2</sub> des cavités sous-stomatiques aux sites de carboxylation au niveau des chloroplastes. De la même façon que les autres résistances aux flux de CO<sub>2</sub>, nous nous y référons communément à travers la conductance du mésophylle (g<sub>m</sub>), qui n'est pas prise en compte dans le modèle simple de

discrimination. Le modèle complet, bien plus compliqué, a été décrit quelques années plus tard (Farquhar & Richards, 1984):

$$\Delta = a_b \frac{C_a - C_s}{C_a} + a \frac{C_s - C_i}{C_a} + (b_s + a_l) \frac{C_i - C_c}{C_a} + b \frac{C_c}{C_a} - f \frac{\Gamma^*}{C_a} - e' \frac{R_d}{A+R_d} \frac{C_c - \Gamma^*}{C_a} \quad (14)$$

Avec  $a_b$ ,  $a$  et  $a_l$  les fractionnements associés à la diffusion du  $^{13}\text{CO}_2$  à travers la couche limite, les stomates et dans l'eau, respectivement.  $b_s$  est le fractionnement du  $^{13}\text{CO}_2$  à son entrée en solution à 25°C.  $C_s$  et  $C_c$  sont les concentrations en  $\text{CO}_2$  à la surface des feuilles et aux sites de carboxylation, respectivement.  $e'$  et  $f$  sont les fractionnements liés à la respiration de jour et à la photorespiration, respectivement. Enfin,  $R_d$  est le taux de la respiration « au noir » de jour.

$\Delta$  peut être mesuré sur divers échantillons végétaux impliquant différent processus biochimiques et physiologiques ayant potentiellement des fractionnements isotopiques post-photosynthétiques (Fig. 14; Bowling *et al.*, 2008). L'étude de la composition isotopique sur ces différents compartiments intègre les processus de discrimination isotopique au moment de leur formation. Ainsi, les tiges et les feuilles sont composées, entre autre, de cellulose, d'hémicellulose et de lignine, mis en place pendant la formation des tissus. D'autres réserves carbonées, notamment les sucres solubles et l'amidon sont les premiers produits de la photosynthèse, et ont un turnover de quelques jours et d'une semaine, respectivement (Brugnoli & Farquhar, 2000). L'abondance relative des différents composés carbonés et leur contribution à la composition isotopique de la feuille entière peuvent créer une discordance entre le signal et les valeurs réelles du ratio  $A/g_s$  (Bowling *et al.*, 2008). La respiration et la photorespiration ont aussi un impact sur la composition isotopique, qui peut différer selon l'espèce et les conditions environnementales (Ghashghaie *et al.*, 2001; Ghashghaie *et al.*, 2003).



**Figure 14.** Composition isotopique de différents composés de plantes vasculaires C<sub>3</sub>, relativement à la composition isotopique de la feuille entière (ligne noire). La ligne pointillée représente la composition isotopique moyenne des sucres solubles. Les lignes verticales des boîtes montrent les 10<sup>e</sup>, 25<sup>e</sup>, 50<sup>e</sup> (médiane), 75<sup>e</sup>, et 90<sup>e</sup> centiles et le point noir la moyenne. D'après Bowling *et al.* (2008).

La détection de régions génomiques (QTL, « quantitative trait loci ») impliquées dans la régulation de traits phénotypiques quantitatifs permet d'étudier le lien entre différents traits, selon leur co-localisation. Des déterminants génétiques de l'efficience d'utilisation de l'eau, ont pu être mis en évidence chez plusieurs espèces pérennes (Brendel *et al.*, 2002; Casasoli *et al.*, 2004; Marguerit *et al.*, 2014). Chez le chêne, un QTL lié à l'efficience d'utilisation de l'eau (Brendel *et al.*, 2008) a été co-localisé avec un QTL lié à la densité stomatique (Gailing *et al.*, 2008). Ces résultats suggèrent un contrôle génétique de WUE, en association avec la régulation stomatique chez cette espèce (Roussel *et al.*, 2009a; Roussel *et al.*, 2009b).



## PROBLEMATIQUE ET OBJECTIFS DE RECHERCHE

Les augmentations de température, de l'intensité et de la durée des sécheresses présentent un problème majeur pour la culture du peuplier. Sa grande consommation en eau limite généralement sa répartition dans les zones humides. Par ailleurs la demande industrielle en produits de bois ne cesse d'augmenter et constraint les populiculteurs à installer des plantations en zones mésophiles dans la vallée de la Loire et de la Garonne. Ces territoires sont susceptibles de subir des sécheresses plus longues et plus intenses qu'en zones alluviales. Le concept d'efficience d'utilisation de l'eau apparaît ainsi essentiel afin d'améliorer ou maintenir la croissance des peupliers tout en réduisant leur consommation d'eau.

A travers l'étude des traits anatomiques, physiologiques et moléculaires liés à l'efficience d'utilisation de l'eau, les connaissances des déterminants et de la variabilité génotypique de  $W_i$  chez le peuplier, se sont développées (Marron, 2003; Monclús, 2006; Chamaillard, 2011; Rasheed, 2012). Un lien fort entre  $W_i$  et  $g_s$  a été identifié chez les peupliers, suggérant que d'autres traits stomatiques tels que leur taille, leur densité et leur vitesse d'ouverture et de fermeture pourraient être impliqués. Ces traits pourraient être liés à des déterminants moléculaires (Berger & Altmann, 2000; Masle *et al.*, 2005) et influencer les différences génotypiques et sous sécheresse d'efficience d'utilisation de l'eau. Cette dernière semblerait être sous contrôle génétique chez plusieurs espèces arborées (Brendel *et al.*, 2008; Marguerit *et al.*, 2014). Chez les peupliers, de précédentes études ont montré que les différences d'efficience à l'échelle de la feuille sont comparables à celles au niveau de la plante entière (Rasheed *et al.*, 2013), et sont maintenues à différents niveaux de VPD (Rasheed *et al.*, 2015) et avec l'âge (Rasheed *et al.*, 2011). Puisque de nombreux traits peuvent influencer la comparaison de résultats à différentes échelles, il nous apparaît nécessaire d'examiner cette problématique à différentes échelles et sous différentes conditions environnementales.

L'objectif général de la thèse est d'améliorer notre compréhension de la variabilité de la réponse au déficit hydrique chez les peupliers. Plus spécifiquement, nous souhaitons répondre aux questions suivantes :

**1. Existe-t-il des différences de dynamique des mouvements stomatiques liées aux variables environnementales (lumière, VPD) entre génotypes et selon leur état hydrique ? Et quelle est leur influence sur les variations de TE entre génotypes et en condition de sécheresse ?**

**2. Est-ce que les différences de dynamique stomatiques potentiellement observées sont liées à des déterminants physiologiques, morphologiques et/ou moléculaires ?**

**3. Pouvons-nous mettre en évidence une différence de ces déterminants et de leurs contributions relatives à WUE en conditions contrôlées par rapport aux conditions naturelles ?**

**4. Comment les déterminants décrits dans les questions précédentes et traités au niveau de la feuille contribuent à WUE à l'échelle de la plante entière ?**

Pour répondre à ces questions, nous avons mis en place deux plantations de peupliers, l'une en serre et l'autre en pépinière, destinées à subir une sécheresse édaphique. Les mêmes génotypes de peupliers (deux différents clones de *Populus euramericana* et deux de *Populus nigra*) ont été utilisés sur les deux dispositifs. Ils ont été choisis sur la base de leur sensibilité différente à la sécheresse et dans le but de comparer entre génotypes et espèces.

L'expérience en serre a pour but, dans un premiers temps, de quantifier la dynamique temporelle des mouvements stomatiques en réponse à un changement d'irradiance et de VPD. Ceci sur les quatre génotypes de peuplier et en réponse à la sécheresse. Différents traits mesurés au niveau foliaire et au niveau de la plante entière ont servi à établir à la fois, les déterminants principaux de cette dynamique stomatique, et l'impact au niveau de la plante entière. Dans un deuxième temps, des prélèvements foliaires ont permis d'étudier le contenu en éléments et l'expression génique au sein des cellules de garde. Ces mesures ont été réalisées séparément sur chacune des faces de la feuille et à deux moments de la journée (matin et après-midi). Elles ont pour but d'examiner d'une part leur variabilité génotypique, et d'autre part leurs variations, en lien avec  $g_s$ , induites par les conditions endogènes (face de la feuille) et environnementales (sécheresse, moment de la journée).

L'expérience en pépinière servira de point de comparaison, par rapport à l'expérimentation en serre. L'efficience de transpiration, et  $\Delta$  mesurée sur la feuille entière, ainsi que leurs composants (production de biomasse, assimilation de CO<sub>2</sub>, eau utilisée, conductance stomatique, transpiration), seront quantifiés et la dynamique temporelle des mouvements stomatiques sera évaluée afin de mettre en lumière des différences, ou des correspondances, entre niveaux d'organisation (feuille, plante entière) et entre conditions environnementales et les causes sous-jacentes.

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# CHAPITRE I

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**Altération des dynamiques stomatiques induite par un changement d'irradiance et du déficit de pression en vapeur d'eau sous sécheresse : impact sur l'efficience de transpiration chez le peuplier**



## CHAPITRE I :

**Altération des dynamiques stomatiques induite par un changement d'irradiance et du déficit de pression en vapeur d'eau sous sécheresse : impact sur l'efficience de transpiration chez le peuplier.**

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### Présentation synthétique de l'article

#### *Contexte*

La demande actuelle de produits issus du bois est en augmentation, favorisée notamment par la croissance économique et la mise en place de politiques publiques environnementales. La productivité des plantations de peupliers est fortement dépendante de la disponibilité en eau du sol, qui pourrait être limitée par l'augmentation probable de l'intensité et la fréquence des sécheresses, favorisée par les changements climatiques. Au niveau des feuilles, les flux de CO<sub>2</sub> entrant pour la photosynthèse et les pertes en H<sub>2</sub>O par transpiration sont régulés par les stomates. Cependant, la dynamique temporelle des mouvements stomatiques est bien plus lente que celle de la photosynthèse, induisant un découplage des deux flux, et modifiant l'efficience d'utilisation de l'eau. Nous avons posé l'hypothèse que les variations génotypiques de la dynamique stomatique contribuaient significativement aux différences d'efficience de transpiration chez le peuplier par le biais de la transpiration.

#### *Objectifs*

Par la quantification de la dynamique stomatique de quatre génotypes de peupliers en réponse à un changement de lumière et de VPD sous sécheresse, nous examinerons : (1) la variabilité génotypique de la dynamique temporelle de la conductance stomatique, (2) l'impact de la sécheresse sur la dynamique stomatique, (3) les déterminants foliaires de la dynamique stomatique, et en particulier la morphologie des stomates et (4) l'impact de cette dynamique à l'échelle de la plante entière.

#### *Stratégie*

Deux génotypes de peupliers euraméricains (Carpaccio et I214) et deux génotypes de peupliers noirs (6J29 et N38) ont été plantés en serre à partir de boutures. Après 60 jours de croissance, le contenu en eau du sol sur une moitié des peupliers a été réduit de 27.9% à 18.3%. Des récoltes, ainsi que l'utilisation d'un automate de pesées et d'arrosages ont permis de mesurer la biomasse produite, la transpiration et l'efficience d'utilisation de l'eau. Des prélèvements foliaires ont été destinés à la mesure de différents traits morphologiques stomatiques (taille et

densité). La dynamique stomatique a été quantifiée à l'aide de systèmes d'échanges gazeux, permettant le contrôle des variables environnementales. Ces dernières sont maintenus stables, à l'exception de l'irradiance et du VPD. Leur variation soudaine a provoqué des mouvements stomatiques que nous avons enregistré. Ces données ont été ensuite ajustées à un modèle sigmoïdal afin d'extraire plusieurs paramètres de la dynamique, servant à la comparaison entre traitements.

### Résultats

Nous avons observé une forte variabilité génotypique de la dynamique stomatique en réponse à un changement d'irradiance et du VPD. De manière générale, les mouvements stomatiques étaient plus rapides chez les peupliers euraméricains que chez les peupliers noirs, en particulier en réponse à un changement de VPD. Le génotype I214 était toujours le plus rapide à fermer ses stomates, comparé aux autres génotypes. La sécheresse a allongé significativement le délai de la réponse stomatique après une diminution de l'irradiance. Cependant, seul I214 a montré une diminution de la vitesse maximale de la fermeture des stomates liée à une réduction de l'irradiance et du VPD en sécheresse. Le plus souvent les différences entre régimes hydriques et entre génotypes ont été observées pendant la fermeture des stomates plutôt que pendant leur ouverture. La vitesse des stomates, et d'autres paramètres associés (temps, délais), ont pu être corrélés significativement et positivement à la densité et l'index stomatique. De plus, la taille des stomates (longueur des cellules de garde, aire des stomates à la surface des feuilles) était corrélée négativement à la vitesse de changement de la conductance stomatique. La plupart des corrélations ont été observées avec les données anatomiques des stomates de la face adaxiale de la feuille et en réponse au VPD. Enfin, la transpiration de la plante entière était corrélée négativement avec la vitesse des stomates, bien que les peupliers présentant les stomates les plus rapides aient une consommation en eau plus importante.

### Conclusions

Cette étude est à notre connaissance la première montrant le lien suspecté entre la dynamique des stomates et la transpiration de la plante entière. Cependant, puisque les plantes les plus grandes étaient aussi celles qui consommaient le plus d'eau, nous n'avons pas pu observer un effet semblable sur l'efficience d'utilisation de l'eau de la plante entière. La dynamique stomatique était corrélée avec la morphologie des stomates, mettant en lumière leur rôle, en particulier dans la réponse au VPD plutôt qu'à l'irradiance, chez les peupliers. L'absence de corrélation entre les paramètres dynamiques en réponse à l'irradiance et au VPD montre également que les mécanismes physiologiques des mouvements stomatiques, spécifiques à la

variable environnementale, induisent une vitesse propre. Enfin, nous avons montré que la sécheresse pouvait ralentir la dynamique stomatique, contrairement à quelques précédentes études sur des espèces plus tolérantes à la sécheresse (Haworth *et al.*, 2018).

**Article: Altered stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought: impacts on the whole plant transpiration efficiency of poplar genotypes**

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# Altered stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought: impacts on the whole-plant transpiration efficiency of poplar genotypes

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## Summary

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**Key words:** drought, kinetics of stomatal responses, light, *Populus*, stomatal conductance, vapour-pressure deficit (VPD), water use efficiency (WUE).

- Recent findings were able to show significant variability of stomatal dynamics between species, but not much is known about factors influencing stomatal dynamics and its consequences on biomass production, transpiration and water-use efficiency (WUE).
- We assessed the dynamics of stomatal conductance ( $g_s$ ) to a change of irradiance or vapour-pressure deficit (VPD) in two *Populus euramericana* and two *Populus nigra* genotypes grown under control and drought conditions. Our objectives were to determine the diversity of stomatal dynamics among poplar genotypes, and if soil water deficit can alter it. Physiological and morphological factors were investigated to find their potential links with stomatal morphology, WUE and its components at the whole-plant level.
- We found significant genotypic variability of  $g_s$  dynamics to both irradiance and VPD. Genotypes with faster stomatal dynamics were correlated with higher stomatal density and smaller stomata, and the implications of these correlations are discussed.
- Drought slowed  $g_s$  dynamics, depending on genotype and especially during stomatal closing. This finding is contrary to previous research on more drought-tolerant species. Independently of the treatment, faster stomatal dynamics were negatively correlated with daily whole-plant transpiration, presenting new evidence of a previously hypothesized contribution of stomatal dynamics to whole-plant water use.

## Introduction

Droughts, or temporary soil water deficits, are likely to increase in intensity and frequency as a result of global changes (IPCC, 2014). Since 1950, the amount of land subjected to droughts has significantly expanded in Africa, southern Europe, eastern and southern Asia, and eastern Australia (Dai, 2011). Current global climate models predict a decline in soil moisture and a rising frequency of short- and long-term droughts in the future (Sheffield & Wood, 2008).

Although a decrease in soil moisture will induce a reduction in plant biomass production (Monclús *et al.*, 2006; Coopman *et al.*, 2008), wood demand has risen and will most likely continue to rise, driven by demography, economic growth and environmental as well as energy policies (FAO, 2018). This divergence in trends will further impact populations, economies and the environment in the coming years as even regional droughts have global impact (Sternberg, 2011). In order to mitigate the effects of drought on growth a promising strategy is to improve whole-plant transpiration efficiency (*TE*) (Condon *et al.*, 2004), the amount of biomass produced for a given amount of water used (see Supporting Information Table S1 for a full list of abbreviations).

Poplar productivity is closely linked to water availability (Tschaplinski & Blake, 1989). Although poplars are naturally found in riparian ecosystems due to their high water requirements, poplar cultivation extends to mesophyte habitats where they are more frequently subjected to soil water deficits. Monclús *et al.* (2005, 2006) showed in hybrid poplars that even a moderate drought induced a large reduction of biomass even though  $^{13}\text{C}$  discrimination, a proxy of leaf-level intrinsic water use efficiency ( $W_i$ ), the ratio between net  $\text{CO}_2$  assimilation ( $A$ ) and stomatal conductance to water vapour ( $g_s$ ; Farquhar & Richards, 1984) was highly variable, driven mainly by  $g_s$  as opposed to productivity traits.

At the leaf level, stomata are at the interface between the atmosphere and the leaf. They play a central role in regulating  $A$  used by photosynthetic processes, and  $\text{H}_2\text{O}$  losses by transpiration. A long-standing theory of stomatal behaviour proposed that  $g_s$  is balanced in order to maximize  $A$  and minimize water loss (Cowan & Farquhar, 1977). However,  $A$  and  $g_s$  are not always tightly coupled (Knapp & Smith, 1990). First, the often-reported correlation between  $A$  and  $g_s$  is not linear (Wong *et al.*, 1979), which results in a range of high  $g_s$  at which  $A$  does not improve further. Secondly, diurnal changes of  $g_s$  are several orders of magnitude slower than those of  $A$ , excluding modifications of

carboxylation and electron transport rates. This results in a decoupling of  $A$  and  $g_s$  following an environmental change (Kaiser & Kappen, 2000), prompting large variations of  $W_i$ . Although  $g_s$  is often studied under steady-state conditions (Damour *et al.*, 2010), recent investigations suggest that  $g_s$  rarely reaches a steady state in naturally changing environments (Rayment *et al.*, 2000; Viallet-Chabrand *et al.*, 2013). Stomatal dynamics appear, therefore, as a limiting factor in the control of  $A$  and transpiration. Faster stomata, by enabling a closer tracking of environmental conditions, could function more closely to an optimal stomatal behaviour described by Cowan & Farquhar (1977). Moreover, faster stomatal closing could limit the rate at which soil moisture is exhausted by the plant, preventing or at least moving back an edaphic drought event. Plants living in drier habitats seem to have on average faster stomatal response when irradiance change (Vico *et al.*, 2011). However, the direct impact of drought appears to be species-specific (Gerardin *et al.*, 2018; Haworth *et al.*, 2018).

Despite multiple studies showing the diversity of stomatal dynamics across species (Vico *et al.*, 2011; Dumont *et al.*, 2013; Mc Ausland *et al.*, 2016; Qu *et al.*, 2016; Males & Griffiths, 2017), the main drivers of these differences are still unclear. Drake *et al.* (2013) proposed that the lower surface-to-volume ratio of larger stomata may cause slower movements of water in and out of the guard cells which would translate into slower aperture changes. Some authors were able to establish a correlation between stomatal size, density and speed of response to irradiance (Drake *et al.*, 2013; Haworth *et al.*, 2016; Xiong *et al.*, 2018). However, this hypothesis might only be relevant in specific cases allowing for a wide diversity of stomatal sizes but similar morphologies (Raven, 2014; Elliott-Kingston *et al.*, 2016; Lawson & Viallet-Chabrand, 2019). If vapour-pressure deficit (VPD) and irradiance-induced stomatal dynamics are correlated, it would suggest that a common factor may drive these two responses (e.g. stomatal morphology).

We quantified the stomatal speed of closing and opening in response to a step change in irradiance and VPD in four poplar genotypes from two species *Populus nigra* and *Populus nigra* *x* *deltoidea* (*Populus euramericana*). We subjected them to two contrasting water availabilities in order to answer the following questions: (1) Do parameters related to irradiance and VPD-induced stomatal dynamics vary among poplar genotypes?; (2) Does soil water deficit increase the speed of stomatal response?; (3) Are stomatal dynamics in response to irradiance and VPD related?; (4) Is the speed of stomatal response negatively correlated with stomatal size and positively with stomatal density?; and (5) Is faster stomatal response associated with lower transpiration, water use and thus higher TE?

## Materials and Methods

### Plant material and growth conditions

Four poplar genotypes were used throughout our experiment. Carpaccio and I214 are commercially available *Populus deltoidea* *x* *nigra* (Moench.) clones, whereas 6J29 and N38 are

*P. nigra* (L.) genotypes originating from natural populations in France (Drôme 6; FR-6) and Italy (La Zelata; IT1), respectively. Poplar clones were chosen for their common use for wood production (*P. euramericana*), contrasted natural distribution (*P. nigra*) and diversity of drought tolerance. I214 and 6J29 are generally found to be sensitive to drought (Table S2; Chen *et al.*, 1997; Giovannelli *et al.*, 2007; Muller & Lambs, 2009; Viger *et al.*, 2016). The two species were selected to serve as a basis for comparison between traditionally planted against naturally occurring species of *Populus*. 64 shoot cuttings were planted in 10-l pots with drainage holes and a saucer filled by 9.5 kg ( $\pm 1.5\%$ ) of a sand:peat mixture (1 : 1, v/v) maintained constant at a volumetric soil water content (SWC) of 27.9% on the 91<sup>st</sup> day of the year. The soil was fertilized by adding 20 mg of slow-release nutritive granules (Nutricote T100, 13 : 13 : 13 NPK and micronutrients; Fertil SAS, Boulogne-Billancourt, France) and 1 g l<sup>-1</sup> CaMg(CO<sub>3</sub>)<sub>2</sub>. To limit soil evaporation, 1.4 kg of white marble gravel (8–12 mm) covered each pot. Four additional nonplanted pots (two for each water treatment) also were used to estimate soil evaporation. Cuttings grew in a fully automated glasshouse at INRA-Nancy (48°45'09.3"N, 6°20'27.6"E; Champenoux, France) for 60 d under natural light. Environmental conditions in the glasshouse were affected by weather conditions, but the temperature was regulated to have a free range between 15 and 25°C. In order to maintain the SWC constant (Fig. S1), pots were weighed at least three times a day (QC65EDE-D; Sartorius-AG Göttingen, Germany; accuracy:  $\pm 0.1$  g) and watered back to a reference mass calculated for each pot with a weighting and watering robot to 85% of field capacity for the control plants. The mass difference over 24 h, to which soil evaporation was subtracted, was assumed to represent daily water use. Cumulated water use (WU) was computed for each plant over the duration of the experiment. Diameter and height of each plant were measured once a week throughout the experiment.

### Transpiration efficiency and drought set-up

On the 151<sup>st</sup> day of the year, watering on half of the plants (chosen randomly) was stopped until a volumetric SWC of 18.3% was reached. The target value was reached after 2 d. The control of SWC was based on pot mass and a calibration between volumetric SWC measured by Time Domain Reflectometry (HD2; IMKO, Ettlingen, Germany) and pot mass. New reference masses for each plant were computed by fitting a linear regression between the total pot mass and SWC ( $R^2 > 0.8$ ). The drought was maintained for 25 d, reference masses were adjusted each week to take the plant growth into account.

Four individuals per genotype and the remaining 48 trees were harvested, respectively, before and after the drought period. Leaves, stems and roots were oven-dried at 60°C until they reached a constant dry mass which was recorded in order to estimate initial and final biomass in each compartment. Biomass increment was computed for each individual from the difference between the harvested dry mass and mean genotypic biomass estimated at the start of the experiment. Whole-plant TE was

computed per individual as total biomass increment ( $DMT$ ) over  $WU$ . Total leaf area ( $TLA$ ) was estimated twice during the experiment by measuring each leaf width and fitting an allometric relationship between leaf area and leaf width ( $R^2 > 0.98$  for every genotype). Additionally,  $TLA$  of the trees was measured during both harvests right before drying (Li-3000A; LiCor, Lincoln, NE, USA). Because  $TLA$  and plant height were highly correlated ( $R^2 > 0.97$ ), we estimated  $TLA$  for each day of the experiment from splined weekly measures of plant height. By dividing for each day and each individual, their daily  $WU$  by their daily estimated  $TLA$ , we were able to calculate daily whole-plant transpiration (see Fig. 1). The average of these computations over the drought period is later referred to as  $E$ .

### Leaf gas exchange

On the 161<sup>st</sup> day of the year, we monitored the diurnal dynamics of gas exchange ( $A$  and  $g_s$ ) every hour from 05:30 to 18:00 h (local time) on five replicates per genotype and water treatment (i.e. 40 individuals) on the first fully expanded leaf at the start of the drought using a portable photosynthesis system (Li-6200; LiCor).

All other gas exchange measurements used the same leaves and four intercalibrated Li-6400XT portable photosynthesis systems (LiCor) in order to control environmental factors inside the leaf cuvette. Unless stated otherwise, vapour pressure deficit (VPD) is considered to be leaf-to-air. Leaves were first left to acclimatize inside the leaf cuvette (Photosynthetic Active Radiation, PAR, 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$ ; CO<sub>2</sub> concentration, 400 ppm; leaf temperature, 25°C; VPD, 1 kPa; flow, 600  $\mu\text{mol s}^{-1}$ ) until  $g_s$  reached a steady-state ( $SS_0$ ; defined here as a variation < 5% over 5 min). At this point, PAR was switched to 200  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and  $g_s$  was recorded every 45 s until it reached a new steady-state ( $SS_1$ ). PAR was then switched back to 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$  until  $g_s$  reached the last steady-state ( $SS_2$ ). The same protocol was used for the

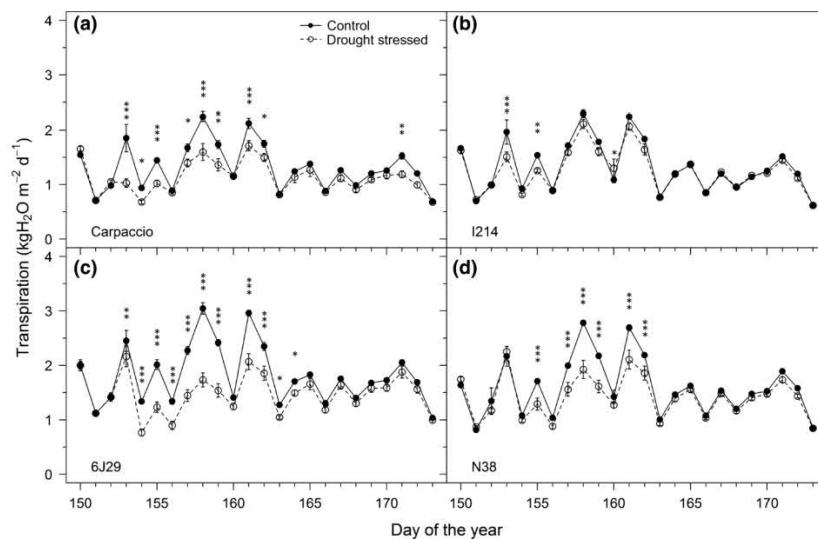
stomatal response curves to a change of VPD except that PAR was fixed at 1000  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and VPD was switched from 1 to 3 kPa and then back to 1 kPa. VPD pressures were chosen because they are regularly encountered in the field and to assure strong repeatability of the experiment. The magnitude of change in  $g_s$  was defined as the difference in  $g_s$  between two steady states (i.e. between  $SS_1$  and  $SS_0$  for closing or  $SS_2$  and  $SS_1$  for opening).

### Stomatal morphology

Leaves used in gas exchange measurements were sampled on the 172<sup>nd</sup> day of the year and immediately frozen into liquid nitrogen. Leaves were then freeze-dried following Dumont *et al.* (2014). In order to study both sides of the leaves two discs of 78.54  $\text{mm}^2$  were sampled from each leaf with a stamper. Discs were then platinum-coated to avoid charging effects (EM ACE600; Leica, Wetzlar, Germany), placed under a scanning electron microscope (SIGMA-VP; Carl Zeiss Microscopy, Oberkochen, Germany) coupled with a backscattered secondary electron detector at accelerating voltage of 20 kV. The working distance was set at 9 mm and the magnification at  $\times 500$ , leading to a resolution of 0.279  $\mu\text{m}^2$  per pixel. Sixteen pictures covering an area of 3.44  $\text{mm}^2$  were used to measure stomatal density ( $SD$ ) by manual counting using IMAGEJ software, excluding stomata overlapping in the margins of the picture. Stomatal index ( $SI$ ) was computed as  $SD$  over the total number of cells (i.e. stomatal and epidermal cells). Length and surface area of the guard cells ( $GCL$  and  $AGC$ , respectively) was measured on 48 randomly chosen stomata per discs using the IMAGEJ software and calculated following de Boer *et al.* (2016).

### Model description

Stomatal response curves were fitted using a sigmoidal model (Viallet-Chabrand *et al.*, 2013). Our goal was to empirically



**Fig. 1** Daily transpiration per unit leaf area of two *Populus deltoides* × *nigra* (a) Carpaccio and (b) I214, and two *Populus nigra* genotypes (c) 6J29 and (d) N38 under contrasting water availability. The drought experiment started on the 151<sup>st</sup> day. Closed and open circles are (respectively) for control and drought-stressed treatments (means ± SE). Significant differences between water treatments for each date is shown ( $n = 6$ ). \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ .

describe  $g_s$  dynamics to evaluate the specific parts of the  $g_s$  response and assess differences among genotypes. By nature, the model has no predictive value. It takes the form:

$$\hat{g}_s = g_0 + (G - g_0)e^{-e^{\left(\frac{\lambda}{\tau}\right)}} \quad \text{Eqn 1}$$

( $\hat{g}_s$ , the fitted  $g_s$ ;  $g_0$  and  $G$ , steady-state values of  $g_s$  ( $\text{mol m}^{-2} \text{s}^{-1}$ ) at the start and end of the curve (respectively);  $\tau$ , a time constant (s);  $\lambda$ , lag time (s);  $t$ , time (s)). Further details regarding the model parameters can be found in Gerardin *et al.* (2018) and in Fig. S2. From these parameters an estimator of the speed of the stomatal response, the maximum slope ( $SL_{\max}$ ), can be calculated as:

$$SL_{\max} = \frac{G - g_0}{\tau \times e} \quad \text{Eqn 2}$$

( $e$ , Euler's number ( $e \approx 2.718$ )). The fitting procedure used R/NLMLINB function (R Core Team, 2017) with uniform random starting values for  $\tau$  and  $\lambda$  (Fig. S3). We used the equation linking stomatal aperture ( $A_p$ ) and  $SD$  with  $g_s$  (Franks & Farquhar, 2001), of the form:

$$\hat{g}_s = \frac{SD \times A_p \times \frac{D}{V}}{d_p + \frac{\pi}{2} \sqrt{\frac{A_p}{\pi}}} \quad \text{Eqn 3}$$

( $D$ , diffusivity of water in air ( $2.82 \times 10^{-5} \text{ m}^2 \text{s}^{-1}$ );  $V$ , molar volume of air ( $0.0244 \text{ m}^3 \text{ mol}^{-1}$ );  $d_p$ , pore depth, assumed to be equal to half of the guard cell width (de Boer *et al.*, 2016)). By re-purposing Eqn 1 to simulate changes of aperture instead of  $g_s$  and re-calculating  $g_s$  with Eqn 3 we were able to compare theoretically the dynamics of  $A_p$  and  $g_s$  simultaneously and the interplay with  $SD$ .

### Statistical analysis

Statistics were done using R 3.4.3 (R Core Team, 2017, all data used are available in Table S4). A type 2 two-way ANOVA was used to detect significant differences among the four genotypes and the two water treatments. Normality and homoscedasticity were checked by a Shapiro–Wilk normality test and a Levene test, respectively. Post-hoc contrast analyses were performed to test differences between modalities for each factor. Diurnal gas exchange measurements ( $n=5$ ) and daily transpiration ( $n=6$ ) were tested with a type 2 two-way repeated ANOVA design with genotypes and water treatments as between-subjects factors and the time as within-subjects factors. Normality of the residuals at each repeated measurements was checked by a Shapiro–Wilk normality test and sphericity was checked with Mauchly tests. When sphericity was violated, we used the Greenhouse–Geisser correction. Correlations were computed by performing ANCOVA with stomatal dynamics parameters as dependent variables, water treatment as categorical independent variable and either stomatal morphology, whole-plant growth parameters or other stomatal

dynamics parameters as continuous independent variables ( $n=24$ ). Partial  $R^2$  for the continuous independent variables were computed and  $P$ -values were adjusted to control the false discovery rate. Significant differences were considered at  $P<0.05$  for all tests.

## Results

### Growth and gas-exchange in relation to water availability

Our experimental drought induced significant differences of growth and water use (for all tests,  $n=6$ ). Before, no significant differences were found between treatments (Table S3). Height increment was decreased for all genotypes compared to the control trees, from 13% for I214 and up to 32% for 6J29 (Table 1). We also found a small overall 12% decrease in diameter increments.  $DMT$  was the highest for I214 and the lowest for N38 control trees which summed up to a mean difference of 18.9 g between the two genotypes.  $DMT$  was similar between water treatments for Carpaccio and N38 but was reduced by 25% in I214 and 6J29 mainly due to a decline of root (by 12.7 g) and leaf (by 9.4 g) dry mass increments, respectively.  $TLA$  was marginally lower in drought-stressed trees compared to the control except for 6J29 which showed a significant 39% decrease. Drought also reduced  $WU$  by 4.2, 2.0, 4.9 and 2.5 kgH<sub>2</sub>O for Carpaccio, I214, 6J29 and N38, respectively. I214 showed the lowest reduction in  $WU$  (12%), whereas 6J29 showed the highest (30%). Consequently, we found that drought induced a 14% decline of  $TE$  in I214 but a significant increase for Carpaccio and N38 (41% and 26%, respectively). In summary, although drought had a similar effect among poplar genotypes by reducing growth and water use, the magnitude of the response was different and led to distinct modifications of  $TE$ .

Values of  $E$  ranged from 0.62 to 3.04 kgH<sub>2</sub>O m<sup>-2</sup> d<sup>-1</sup> across all genotypes and water treatments ( $n=6$ ; Fig. 1). On average over the drought period, all genotypes had a significantly lower transpiration in the drought-stressed trees compared to the control (Table 1). More precisely, transpiration was significantly reduced on 9, 2, 11 and 6 out of the 23 d in Carpaccio, I214, 6J29 and N38, respectively. Daily fluctuations of transpiration were strongly linked with fluctuations of global radiation and atmospheric VPD (Fig. S4) that drove the potential evapotranspiration (Fig. S5a). There was a decreasing trend of whole-plant transpiration during the experiment (Fig. S5b), which may have resulted from leaf ageing, changes of the immediate light environment or naturally developing  $SD$  gradients with height. This could explain why significant differences between water treatments were found mostly in the first 13 d, where potential evapotranspiration also was at the highest.

As for the daily gas-exchange dynamic ( $n=5$ ; Fig. 2),  $A$  was higher among black poplars compared to the two hybrids with a mean maximum of 16.0, 19.0, 24.1 and 25.4  $\mu\text{mol m}^{-2} \text{s}^{-1}$  for Carpaccio, I214, 6J29 and N38, respectively. Only isolated differences of  $A$  between control and drought-stressed trees were found for 6J29 and N38. In essence, I214 was the only genotype

**Table 1** Growth, biomass production and water use of two *Populus deltoides × nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under contrasting water availability

Genotype	Treatment	<i>H</i> (cm)	<i>D</i> (mm)	<i>DMT</i> (g)	<i>DML</i> (g)	<i>DMS</i> (g)	<i>DMR</i> (g)	<i>WU</i> (kg H <sub>2</sub> O)	<i>E</i> (kg H <sub>2</sub> O m <sup>-2</sup> d <sup>-1</sup> )	<i>TLA</i> (cm <sup>2</sup> )	<i>TE</i> (g l <sup>-1</sup> )
Carpaccio	Control	70 ± 6.9 a	5.1 ± 1 a	77.7 ± 9.2 ab	26.9 ± 2.9 ab	31.1 ± 1.8 ab	19.7 ± 8.9 bcd	20.4 ± 1 a	1.25 ± 0.08 d	2857 ± 504 b	3.81 ± 0.47 d
	Drought	55 ± 2.5 b	4.1 ± 0.6 b	87.5 ± 16.6 a	27.8 ± 4.8 a	30.3 ± 5.7 b	29.5 ± 8.8 b	16.2 ± 0.6 b	1.01 ± 0.11 f	2711 ± 144 b	5.38 ± 0.94 bc
I214	Control	69.3 ± 4.3 a	4.8 ± 0.6 ab	91.6 ± 10.2 a	22.1 ± 2.2 cde	29.5 ± 2.8 b	40.1 ± 9.1 a	16.3 ± 0.6 b	1.25 ± 0.03 d	2420 ± 197 bc	5.63 ± 0.63 b
	Drought	60.1 ± 3.7 b	4.2 ± 0.5 ab	69.6 ± 12.7 bc	19.2 ± 2.7 ef	23 ± 2.8 c	27.4 ± 7.8 bc	14.3 ± 0.8 c	1.14 ± 0.1 e	2139 ± 261 c	4.85 ± 0.74 c
6J29	Control	67.4 ± 3.2 a	5.1 ± 1 a	79.5 ± 8.4 ab	25.5 ± 2.5 abc	35.6 ± 4.2 a	18.4 ± 4.6 cd	16.1 ± 1.5 b	1.73 ± 0.07 a	3448 ± 600 a	4.94 ± 0.22 bc
	Drought	46 ± 3.8 c	4.5 ± 0.6 ab	61.4 ± 5.8 c	16.1 ± 3.5 f	29.7 ± 3.6 b	15.7 ± 5.7 d	11.2 ± 1.1 d	1.4 ± 0.1 c	2094 ± 333 c	5.31 ± 0.19 bc
N38	Control	72.4 ± 4.5 a	3.9 ± 0.5 b	72.7 ± 7.1 bc	23.1 ± 2.1 bcd	30.4 ± 2 b	19.2 ± 3.9 cd	13.5 ± 0.7 c	1.53 ± 0.07 b	2537 ± 220 bc	5.4 ± 0.5 bc
	Drought	59 ± 5.4 b	3.9 ± 0.4 b	72.6 ± 7.2 bc	20.7 ± 2.2 de	29 ± 2.5 b	22.9 ± 6.7 bcd	11 ± 0.3 d	1.34 ± 0.09 cd	2220 ± 187 c	6.83 ± 0.22 a
<i>P</i> -value	Genotype	<0.001	0.022	0.016	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001
	Treatment	<0.001	0.005	0.01	<0.001	ns	ns	0.003	<0.001	ns	<0.001
	Interaction	0.014	ns	0.001	ns	ns	ns	ns	ns	ns	<0.001

Values reported are means ± standard deviation of the difference between the end and the start of the drought ( $n=6$ ). ANOVA factors were considered significant when  $P < 0.05$ . Letters show significant differences by *post hoc* contrast among the eight groups (four genotypes + two water treatments). *H*, plant height increment; *D*, main stem diameter increment; *DMT*, total dry mass increment; *DML*, leaf dry mass increment; *DMS*, stem dry mass increment; *DMR*, root dry mass increment; *WU*, cumulated water use during the drought period; *TLA*, total leaf area increment; *E*, average daily whole-plant transpiration during the drought period per unit leaf area; *TE*, transpiration efficiency; ns, not significant.

that did not show the typical afternoon stomatal closure more strongly in the drought treatment, leading to similar  $W_i$ . By contrast,  $W_i$  was significantly higher in the Carpaccio and N38 drought-stressed trees compared to the control for most of the afternoon and a similar trend can be seen in 6J29 (not significant).

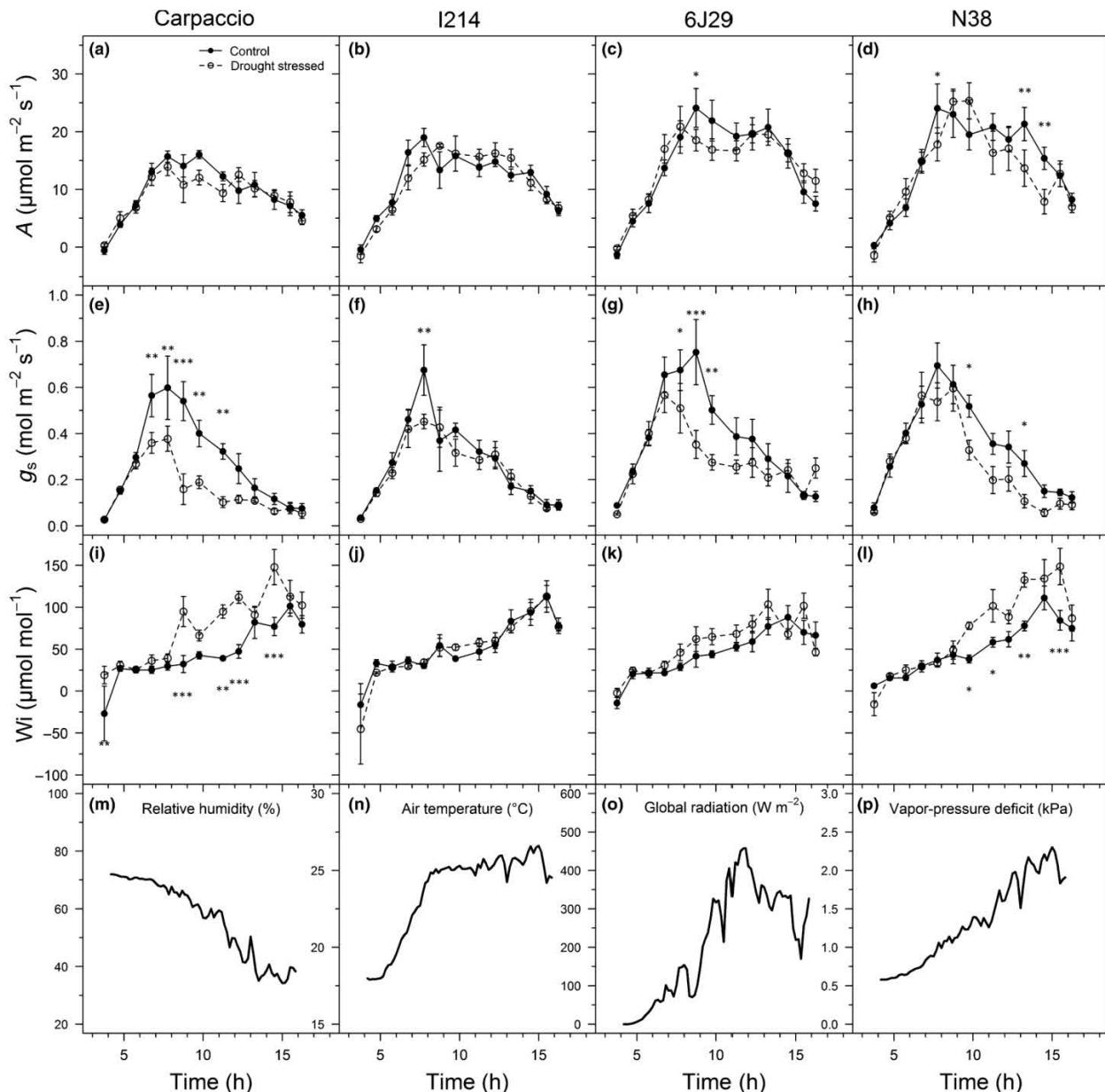
### Stomatal morphology

The leaves used for stomatal morphology also were used for gas-exchange measurements. As such, they were fully mature before the start of the drought so a potential drought effect could not be tested. Stomatal morphology showed more contrasts between leaf sides (<sub>ab</sub>, abaxial; <sub>ad</sub>, adaxial) in the black poplars compared to the hybrids (for all tests,  $n=6$ ). Although *GCL* was similar between leaf sides in the hybrid poplars, *GCL<sub>ad</sub>* was (respectively) 4.9 and 2.4  $\mu\text{m}$  higher in 6J29 and N38 compared to *GCL<sub>ab</sub>* (Table 2). *AGC<sub>ad</sub>* was marginally lower compared to *AGC<sub>ab</sub>* for Carpaccio and I214, whereas it was 34 and 20% higher for 6J29 and N38, respectively. Overall 6J29 had the largest stomata (292.3 and 392.5  $\mu\text{m}^2$  for *AGC<sub>ab</sub>* and *AGC<sub>ad</sub>*, respectively) and I214 the smallest (258.3 and 233.1  $\mu\text{m}^2$  for *AGC<sub>ab</sub>* and *AGC<sub>ad</sub>*, respectively).

For all genotypes, *SD<sub>ab</sub>* was higher than *SD<sub>ad</sub>*. I214 had the highest *SD* on both leaf sides and 6J29 and N38 had similar *SD* on both adaxial and abaxial leaves. Concurrently, *SI* was found to be significantly different across all genotypes and leaf sides. Overall, genotypes with larger stomata (higher *GCL* and *AGC*) tended to be associated with lower *SD* and *SI*.

### Stomatal dynamics induced by a step change of irradiance

Lowering the PAR reduced *A* and *gs* on all genotypes and water treatments with a higher relative reduction of *gs* in the drought-stressed trees ( $n=6$  for all tests; Table S5). The magnitude of *gs* change ranged from 0.21 to 0.29 mol m<sup>-2</sup> s<sup>-1</sup> and from 0.16 to 0.41 mol m<sup>-2</sup> s<sup>-1</sup> for the closing and opening processes, respectively (Fig. 3, S6). Only I214 showed a significant 37% lower magnitude during the stomatal opening in the drought-stressed trees compared to the control. The maximum slope of stomatal closing (*SL<sub>max,d</sub>*) was the highest for I214 and the lowest for 6J29 both for control and drought-stressed trees (I214: 421 and 260  $\mu\text{mol m}^{-2} \text{s}^{-2}$ ; 6J29: 180 and 148  $\mu\text{mol m}^{-2} \text{s}^{-2}$  for control and drought-stressed trees, respectively). In addition, drought-stressed I214 trees showed a significant 38% reduction of the *SL<sub>max,d</sub>* compared to the control. *τ* during stomatal closing (*τ<sub>d</sub>*) was the lowest in I214 both for control (258 s) and drought-stressed trees (339 s) and the highest in N38 for the control (504 s) and in 6J29 for the drought-stressed trees (614 s). Although the ANOVA returned a significant genotype effect ( $P=0.02$ ), *post hoc* comparisons were unable to show any significant genotypic differences of *τ* given the intragroup variance. Similarly, we found an overall 87% increase of  $λ$  during stomatal closing ( $λ_d$ ) in the drought-stressed treatment compared to the control on average for all genotypes ( $P<0.001$ ) but post-hoc comparisons were again unable to identify



**Fig. 2** Gas-exchange dynamic of two *Populus deltoides* × *nigra* (a, e, i) Carpaccio and (b, f, j) I214, and two *Populus nigra* genotypes (c, g, k) 6J29 and (d, l) N38 under contrasting water availability. (a–d) Net  $\text{CO}_2$  assimilation ( $A$ ), (e–h) stomatal conductance to water vapour ( $g_s$ ), and (i–l) intrinsic water use efficiency ( $W_i$ ). (m) Relative humidity, (n) air temperature, (o) global radiation and (p) atmospheric vapour-pressure deficit inside the glasshouse are also reported. Closed and open circles are (respectively) for control and drought-stressed treatments (means  $\pm$  SE). All measurements were done on the 161<sup>st</sup> day of the year (9 June 2016), including the meteorological data. Significant differences between water treatments for each date is shown ( $n = 5$ ). \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ .

differences between water treatments for any specific genotype. Neither genotype nor water treatment had any significant impact on  $\tau$ ,  $\lambda$  nor  $SL_{\max}$  during the stomatal opening ( $\tau_i$ ,  $\lambda_i$  and  $SL_{\max,i}$ , respectively). Overall, stomatal dynamics in the drought treatment were slower during stomatal closing and similar during stomatal opening.

#### Stomatal dynamics induced by a step change of VPD

Compared to the irradiance response, the step change in VPD prompted an almost complete closure of the stomata, which resulted in different magnitudes of  $g_s$  response between genotypes because of differences in  $g_s$  at  $SS_0$  (for all tests  $n = 6$ ; Table S5).

**Table 2** Stomatal morphology measurements of two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) for each of the two leaf sides

Genotype	Leaf side	GCL (μm)	AGC (μm <sup>2</sup> )	SD (mm <sup>-2</sup> )	SI (%)
Carpaccio	Abaxial	26.7 ± 0.8 c	279.5 ± 20.3 cd	142.8 ± 12.5 c	10.9 ± 0.8 b
	Adaxial	27.1 ± 1.1 c	261.4 ± 22.8 de	84.8 ± 7 e	5.9 ± 0.3 f
I214	Abaxial	27.4 ± 1.3 c	258.3 ± 26.1 de	191.1 ± 27.4 a	11.9 ± 0.7 a
	Adaxial	26.5 ± 1.2 c	233.1 ± 26.7 e	116.5 ± 10.4 d	6.7 ± 0.5 e
6J29	Abaxial	29 ± 1.4 b	292.3 ± 35.1 c	149.9 ± 13.5 bc	7.7 ± 0.7 d
	Adaxial	33.9 ± 1.4 a	392.5 ± 61.1 a	64.9 ± 4.8 f	4.8 ± 0.3 g
N38	Abaxial	26.8 ± 0.7 c	271.2 ± 20.8 cd	155.6 ± 14.1 b	8.6 ± 0.8 c
	Adaxial	29.2 ± 0.8 b	326.4 ± 41.5 b	59.5 ± 4.1 f	4.1 ± 0.4 h
<i>P</i> value		<0.001	<0.001	<0.001	<0.001
Genotype		<0.001	<0.001	<0.001	<0.001
Leaf side		<0.001	<0.001	<0.001	<0.001
Interaction		<0.001	<0.001	<0.001	<0.001

Values reported are means ± standard deviation ( $n=6$ ). ANOVA factors were considered significant when  $P<0.05$ . Letters show significant differences by post-hoc contrast among the eight groups (four genotypes + two leaf sides). GCL, guard cell length; AGC, guard cell surface area; SD, stomatal density; SI, stomatal index.

The magnitude of  $g_s$  change ranged from 0.53 to 0.12 mol m<sup>-2</sup> s<sup>-1</sup> and from 0.11 to 0.26 mol m<sup>-2</sup> s<sup>-1</sup> for the closing and opening processes, respectively (Fig. 4, S7). Magnitude of stomatal closing was overall higher in the control compared to the drought-stressed treatment ( $P=0.017$ ), whereas only I214 showed a significant 35% lower magnitude during the stomatal opening in the drought-stressed trees compared to the control. Similar to the irradiance response,  $SL_{max,d}$  was the highest for I214 and the lowest for 6J29, differing by a factor of 10 and 6.8 for control and drought-stressed trees, respectively (I214: 1112 and 603 μmol m<sup>-2</sup> s<sup>-2</sup>; 6J29: 110 and 88 μmol m<sup>-2</sup> s<sup>-2</sup> for control and drought-stressed trees, respectively). In addition, drought-stressed I214 trees showed a significant 46% reduction of  $SL_{max,d}$  compared to the control. Overall, black poplars tended to have a lower  $SL_{max}$  than hybrid poplars both during the closing and opening processes. Black poplars also showed values of both parameters  $\tau_d$  and  $\lambda_d$  4.7 and 3.1 times higher (respectively) than the hybrid poplars. There was a similar trend between species during the stomatal opening although not as pronounced. Overall, VPD-induced stomatal closing tended to be slower under drought, led by a smaller magnitude of  $g_s$  change but similar  $\tau$  and  $\lambda$ . Differences between species also were more pronounced during the VPD change than during the irradiance change.

#### Comparisons between irradiance and VPD-induced stomatal dynamics

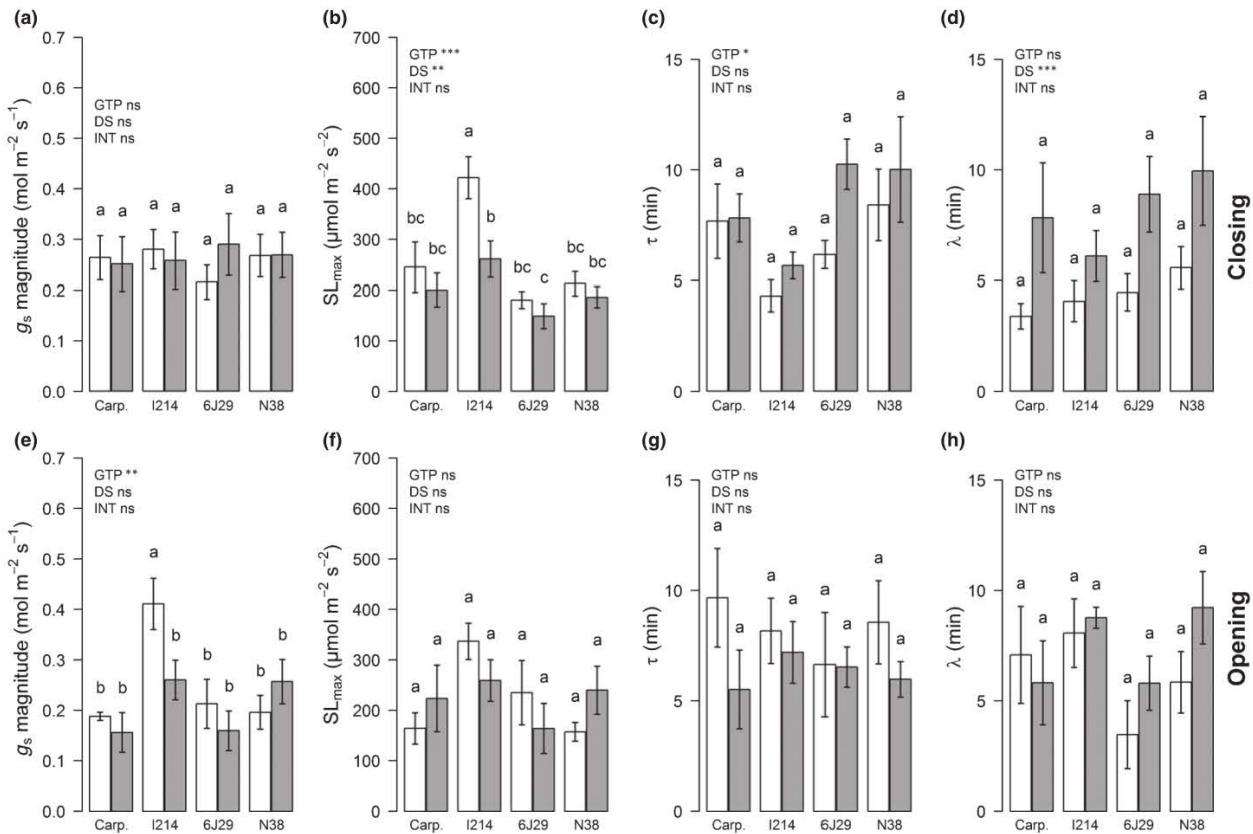
Significant differences in the stomatal dynamics parameters between irradiance and VPD responses were found mostly in the black poplars (for all tests  $n=6$ ).  $\tau_d$  and  $\tau_i$  were significantly lower during an irradiance change for 6J29 and N38 for both water treatments ( $P<0.003$ ) except for  $\tau_d$  in the N38 drought-stressed trees and  $\tau_i$  in the N38 control trees ( $P=0.98$  and 0.05, respectively).  $\lambda_d$  was significantly lower during an irradiance change for 6J29 and N38 for both water treatments ( $P<0.008$ ), whereas all genotypes and water treatments had a  $\lambda_i$  significantly lower during an irradiance change ( $P<0.03$ ) except for the I214 control trees ( $P=0.31$ ).  $SL_{max,d}$  was, however, significantly lower

during an irradiance change only for I214 control and drought-stressed trees ( $P<0.001$ ), whereas  $SL_{max,i}$  was significantly higher only in the 6J29 control ( $P=0.03$ ) despite visible trends of higher  $SL_{max,d}$  and  $SL_{max,i}$  on both black poplars. Dynamics parameters were mostly uncorrelated between irradiance and VPD responses. Only  $\lambda_d$  and  $SL_{max,d}$  but neither  $\tau_d$ ,  $\tau_i$ ,  $\lambda_i$  nor  $SL_{max,i}$  showed significant positive correlation ( $P=0.05$ , 0.09, 0.04, 0.16,  $6.49 \times 10^{-7}$  and 0.37, respectively, for  $\tau_d$ ,  $\tau_i$ ,  $\lambda_d$ ,  $\lambda_i$ ,  $SL_{max,d}$  and  $SL_{max,i}$ ).

#### Correlations between stomatal dynamics and morphology

No correlation was found between stomatal morphology and dynamic parameters when including a genotype effect in the statistical model. Thus, correlations were intergenotypic but not intragenotypic in nature ( $n=24$  for all tests). Except for  $SL_{max,i}$  and  $SD_{ad}$  ( $P=0.03$ ), none of the dynamic parameters of irradiance-induced stomatal opening were significantly correlated with stomatal morphology parameters ( $P>0.06$  in all cases; Fig. 5, S8). However,  $\tau_d$  was negatively correlated with  $SD_{ad}$  and  $SL_{ad}$  ( $P=0.006$  and 0.033, respectively). Additionally,  $SL_{max,d}$  was positively correlated with  $SD$  and  $SI$  on both leaf sides and negatively correlated with  $GCL$  and  $AGC$  on the adaxial side only.

As for the VPD response, most of the significant correlations between stomatal dynamics parameters and stomatal morphology occurred on parameters measured on the adaxial side of the leaf. Although both  $\tau$  and  $\lambda$  during stomatal closing and opening were significantly positively correlated with  $GCL_{ad}$  and  $AGC_{ad}$  ( $P<0.003$  in all cases), only  $GCL_{ab}$  was positively correlated with  $\tau_d$ ,  $\tau_i$  and  $\lambda_i$  ( $P=0.013$ , 0.003 and 0.013, respectively).  $\tau$  and  $\lambda$  during both stomatal closing and opening also were negatively correlated with  $SD_{ad}$  and  $SL_{ad}$ . Only  $SL_{ab}$  was negatively correlated with  $\tau$  and  $\lambda$  during both stomatal opening and closing ( $P<0.003$ ).  $SL_{max}$  during both opening and closing was positively correlated with  $SD$  and  $SI$  on both leaf sides (except between  $SD_{ab}$  and  $SL_{max,i}$ ,  $P=0.83$ ) and negatively correlated with  $GCL_{ad}$  and  $AGC_{ad}$ . Overall, a higher  $SD$  or  $SI$ , as well as smaller stomata, tended to result in faster responses.



**Fig. 3** Dynamic parameters of the stomatal conductance to water vapour ( $g_s$ ) response to (a–d) a decrease or (e–h) an increase of irradiance for two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under contrasting water availability. Values are means  $\pm$  SE. Open and closed bars are control and drought-stressed treatments, respectively. Letters show significant differences by post-hoc contrast among the eight groups (four genotypes + two treatments). Results of two-way ANOVA are given for main effects (GTP, genotype; DS, drought stress) and interaction (INT),  $n=6$ .  $\tau$ , time constant;  $\lambda$ , lag time;  $SL_{\text{max}}$ , maximum slope of the  $g_s$  response. \*,  $P<0.05$ ; \*\*,  $P<0.01$ ; \*\*\*,  $P<0.001$ ; ns, not significant.

### Correlations between stomatal dynamics, growth and water use

Similarly to correlations between stomatal morphology and dynamics parameters, correlations presented here are intergenotypic but not intragenotypic in nature as no significant correlation was found when including a genotype effect into the statistical model ( $n=24$  for all tests). There were significant but weak correlations during stomatal closing between whole-plant growth and dynamic parameters derived from a step change of irradiance (Fig. 6, S8).  $DMT$  was positively correlated with  $SL_{\text{max}}$  and negatively with  $\tau_i$  ( $P<0.04$ ).  $SL_{\text{max},d}$  also was negatively correlated with  $E$  ( $P=0.02$ ). Overall, stronger and more numerous correlations were found between whole-plant growth and dynamic parameters derived from a step change of VPD. Although  $\tau$  and  $\lambda$  were positively correlated with  $E$ , we found a negative correlation with  $E$  and  $SL_{\text{max}}$  (in all cases,  $P<0.001$ ).  $WU$  was negatively correlated with  $\tau_d$ ,  $\lambda_d$  and  $\lambda_i$  ( $P<0.001$ ,  $P<0.001$  and  $P=0.022$ , respectively) and positively with  $SL_{\text{max},i}$  ( $P=0.01$ ).  $DMT$  also was negatively correlated with  $\tau_d$  and

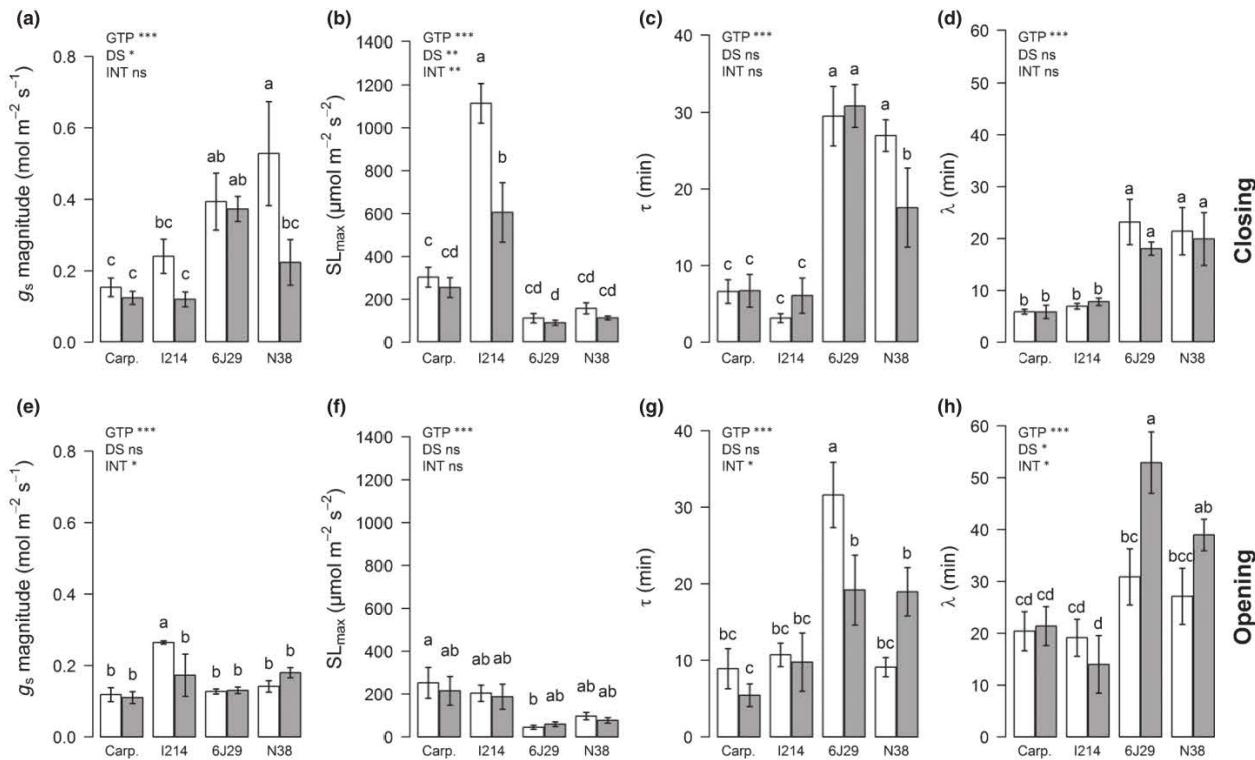
positively with  $SL_{\text{max},i}$  ( $P<0.05$ ).  $TE$  was only found to be positively correlated with  $\lambda_d$  during the VPD response. Overall, slower responding stomata were related to higher transpiration but lower water use and biomass production.

### Discussion

#### Genotypic variability

Most current gas-exchange models use a steady-state approach to compute stomatal conductance ( $g_s$ ) (Damour *et al.*, 2010) which can lead to significant discrepancies between the observed and modeled data (Stegemann *et al.*, 1999; Vialé-Chabrand *et al.*, 2013). Assessing the effect of water availability on the dynamics of  $g_s$  among different poplar genotypes and its potential links with stomatal morphology and transpiration efficiency ( $TE$ ) will help us further our understanding of guard cell functioning on whole-plant water use and improve current models.

In the present study, we fitted a dynamic model to describe the  $g_s$  response to a step change of irradiance or vapour-pressure



**Fig. 4** Dynamic parameters of the stomatal conductance to water vapour ( $g_s$ ) response to (a–d) a decrease or (e–h) an increase of vapour-pressure deficit for two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under contrasting water availability. Values are means  $\pm$  SE. Open and closed bars are control and drought-stressed treatments, respectively. Letters show significant differences by post-hoc contrast among the eight groups (four genotypes + two treatments). Results of two-way ANOVA are given for main effects (GTP, genotype; DS, drought stress) and interaction (INT),  $n = 6$ .  $\tau$ , time constant;  $\lambda$ , lag time;  $SL_{\max}$ , maximum slope of the  $g_s$  response. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; ns, not significant.

GCL <sub>ab</sub>	AGC <sub>ab</sub>	SD <sub>ab</sub>	SI <sub>ab</sub>	GCL <sub>ad</sub>	AGC <sub>ad</sub>	SD <sub>ad</sub>	SI <sub>ad</sub>	$\tau_d$
0	0	0.09	0.11	0.05	0.05	0.21	0.13	$\tau_i$
0.01	0.01	0	0.02	0.03	0.04	0	0.01	$\lambda_d$
0	0.01	0.02	0.01	0.04	0.03	0.06	0.07	$\lambda_j$
0.02	0.02	0.01	0.13	0.1	0.11	0.04	0.05	$SL_{\max d}$
0.11	0.1	0.19	0.25	0.27	0.26	0.43	0.27	$SL_{\max i}$
0	0.01	0.06	0.05	0.02	0.04	0.15	0.04	$\tau_d$
0.18	0.1	0.04	0.68	0.59	0.61	0.47	0.47	$\tau_i$
0.26	0.06	0.01	0.27	0.5	0.37	0.15	0.15	$\lambda_d$
0.07	0.03	0.03	0.5	0.38	0.45	0.32	0.44	$\lambda_j$
0.18	0.08	0.16	0.38	0.34	0.24	0.36	0.2	$SL_{\max d}$
0.02	0.08	0.19	0.48	0.34	0.39	0.64	0.39	$SL_{\max i}$
0.09	0	0	0.2	0.22	0.23	0.15	0.2	$\tau_d$

**Fig. 5** Correlation matrix between dynamic parameters of stomatal conductance to water vapour ( $g_s$ ) response to a decrease or an increase of irradiance or vapour-pressure deficit (VPD) and stomatal morphological parameters for two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38). Subscripts <sub>d</sub> and <sub>i</sub> are, respectively, for stomatal closing and opening to irradiance or VPD and <sub>ab</sub> and <sub>ad</sub> are (respectively) for the abaxial and adaxial side of the leaf. Partial  $R^2$  of the residual variability once the treatment effect is accounted for are shown ( $n = 24$ ). Correlations were considered significant at  $P < 0.05$  and are highlighted in orange or blue for positive and negative relationships, respectively. White cells are nonsignificant correlations. GCL, guard cell length; AGC, guard cell surface area; SD, stomatal density, SI, stomatal index.  $\tau$ ,  $\lambda$  and  $SL_{\max}$  are parameters of the sigmoidal model.

deficit (VPD). Through the analysis of the model parameters, we found during a change of irradiance that the genotype I214 had a significantly higher maximum slope of stomatal closing ( $SL_{max,d}$ ) but not  $SL_{max,i}$  compared to the other genotypes both as a response to irradiance and VPD. Moreover, the parameters  $\tau_d$  and  $\lambda_d$  in the VPD response were significantly higher in the two *Populus nigra* genotypes, indicating a trend of a slower stomatal response despite having a higher magnitude of  $g_s$  change compared to the two *P. euramericana* clones. This trend on closing parameters is consistent with the literature because previous investigations on poplar genotypes also found significant differences in the speed of stomatal closure to an increase in VPD and similar stomatal opening dynamics to either to an increase in blue or red light (Dumont *et al.*, 2013). Significant variability among species in irradiance or VPD-induced stomatal dynamics also have been found previously over a wide diversity of taxa, life-forms and ecologies (Grantz & Zeiger, 1986; Elliott-Kingston *et al.*, 2016; Mc Ausland *et al.*, 2016; Males & Griffiths, 2017; Kardiman & Ræbild, 2018) suggesting species-specific mechanisms of stomatal dynamics. It should be noted that  $\lambda$  is not related to the magnitude of  $g_s$  change and thus will always induce a longer  $g_s$  response. On the contrary stomatal dynamics differing in  $SL_{max}$  and  $\tau$  only (same  $g_s$  magnitude and  $\lambda$ ) will result in a marginal amount of water saved overall, given the sigmoidal

nature of the stomatal response. The higher amount of water lost in the first part of the curve will be balanced mostly by the lower amount of water lost in the late part of the curve. Thus,  $\lambda$  is the main parameter contributing to the variation in the amount of water lost. These considerations could contribute to the observed similar  $E$  among the hybrid poplar genotypes despite them having considerable differences in  $SL_{max}$  but not  $\lambda$  whereas the two *P. nigra* genotypes show a higher  $E$ .

### Water availability altered stomatal dynamics

Under drought, we observed a trend of increasing  $\tau_d$  and decreasing  $SL_{max,d}$  as a result of an irradiance change, the latter being only significant in I214. Additionally, for the irradiance response,  $\lambda_d$  was almost doubled for all genotypes. Several accounts on crops and trees reported a reduced stomatal closing time and either an increased or a decreased stomatal opening time with increasing leaf water potential (Davies & Kozlowski, 1975; Barradas *et al.*, 1994), SWC (Gerardin *et al.*, 2018; Haworth *et al.*, 2018) and in drier climates (Vico *et al.*, 2011). It has been hypothesized that a faster stomatal closure would prevent unnecessary water loss when conditions become unfavourable (Davies & Kozlowski, 1975). This appears contrary to our results despite some studies being difficult to compare as response times give an incomplete picture of the full  $g_s$  response that includes time, magnitude and speed. Poplars are mostly restricted to riparian ecosystems where water availability is rarely limited. Species sensitive to drought might lack the ability to adjust stomatal dynamics in order to track light more efficiently when water availability decreases. Despite the clear reduction of soil water content, I214 exhibited only a marginal reduction of operating  $g_s$  and  $E$  (Figs 1, 2). Although not much is known about the water use of I214, similar daily water use was reported on individuals growing over a mean water table of 1.45 or 3.54 m deep (Muller & Lambs, 2009). Furthermore,  $\lambda$  may be related to the initial perception and signalization of the changing environmental condition, whereas  $\tau$  and  $SL_{max}$  relate to the actual speed at which guard cells modify their aperture by solutes and water movements as suggested by Gerardin *et al.* (2018). Following this assumption would lead to conclude that under drought poplar genotypes exhibit a delayed activation of mechanisms during stomatal closure when irradiance is decreasing.

As for the VPD-induced stomatal dynamics, I214 had a  $SL_{max,d}$  halved following a rise in VPD under drought compared to the control, whereas  $\tau_d$  doubled even though the magnitude of the  $g_s$  response was smaller than in the control treatment. Unlike N38 which had a lower  $\tau_d$  under drought due to a lower magnitude of  $g_s$  change. Under fluctuating irradiance a slowly adjusting  $g_s$  can be beneficial by limiting the cost of stomatal opening and maintaining high conductance when conditions return to high light (Vico *et al.*, 2011). However, changes of VPD are usually slow and steady over several hours. A slow stomatal response could mean that the plant is rarely synchronized with its environment, always lagging behind. In angiosperms, stomatal response to VPD has been linked to both a passive hydraulic and actively regulated abscissic acid response (Assmann *et al.*, 2000; Peak &

DMT	WU	E	TE	$\tau_d$	$\tau_i$	$\lambda_d$	$\lambda_i$	$SL_{max,d}$	$SL_{max,i}$
0.03	0.04	0.11	0.01						
0.14	0	0.02	0.1						
0	0.04	0.06	0.09						
0.02	0	0.05	0						
0.16	0.04	0.18	0.02						
0.19	0.01	0.01	0.08						
0.16	0.34	0.54	0.03	$\tau_d$					
0.02	0.06	0.47	0.01	$\tau_i$					
0.07	0.36	0.43	0.15	$\lambda_d$					
0.06	0.17	0.34	0.04	$\lambda_i$					
0.05	0.02	0.28	0	$SL_{max,d}$					
0.14	0.23	0.4	0.01	$SL_{max,i}$					

**Fig. 6** Correlation matrix between dynamic parameters of stomatal conductance to water vapour ( $g_s$ ) response to a decrease or an increase of irradiance or vapour-pressure deficit (VPD) and whole-plant growth traits during the drought period for two *Populus deltoides*  $\times$  *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38). Subscripts  $d$  and  $i$  are (respectively) for stomatal closing and opening to irradiance or VPD. Partial  $R^2$  of the residual variability once the treatment effect is accounted for are shown ( $n = 24$ ). Correlations were considered significant at  $P < 0.05$  and are highlighted in orange or blue for positive and negative relationships, respectively. White cells are nonsignificant correlations. DMT, total dry mass increments; WU, cumulated water use; E, average daily whole-plant transpiration per square metre, TE, transpiration efficiency.  $\tau$ ,  $\lambda$  and  $SL_{max}$  are parameters of the sigmoidal model.

Mott, 2011; Mc Adam & Brodribb, 2015). The trend of increasing  $\lambda_i$  in both *P. nigra* genotypes, whereas  $\tau_i$  is reduced in 6J29 but increased in N38, suggests genotype-dependent mechanisms of stomatal control.

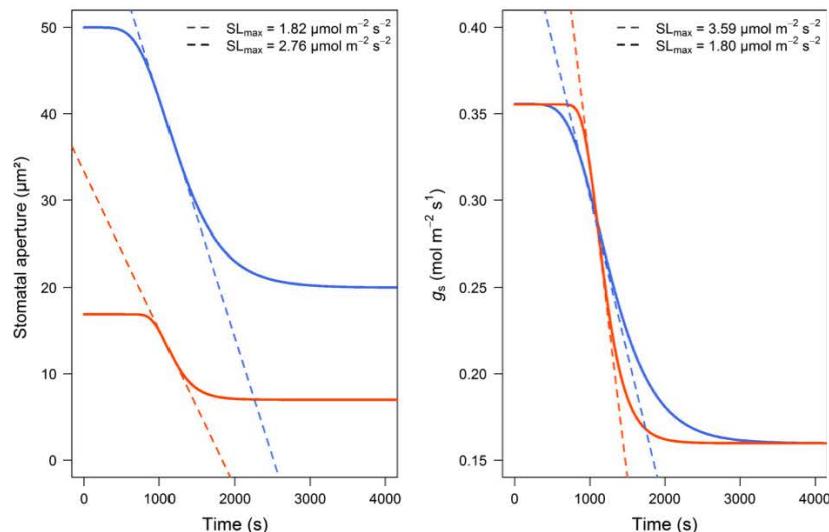
### Stomatal dynamics are linked with their morphologies

Drake *et al.* (2013) proposed the idea that smaller stomata could have faster response times than larger stomata because of their greater membrane surface area : volume ratio. In our study, faster stomata were associated with higher  $SD$ ,  $SI$  and lower  $AGC$ , thus corroborating Drake's observations. There is, however, no consensus over a definite negative correlation between stomatal size and speed in the current literature (Drake *et al.*, 2013; Elliott-Kingston *et al.*, 2016; Haworth *et al.*, 2016; Mc Ausland *et al.*, 2016; Gerardin *et al.*, 2018; Kardiman & Ræbild, 2018; Xiong *et al.*, 2018). There is a number of reasons why such correlations might not be detected. Other factors can influence stomatal movements such as guard cell geometry, osmotic shuttling, mechanical advantage and stomatal spacing (Franks & Farquhar, 2007; Dow *et al.*, 2014). In the present study,  $SI$  was strongly negatively correlated with stomatal closing time on both sides of the leaf and for both irradiance and VPD responses. Similar results were found in *Nicotiana tabacum* grown under shade or drought conditions (Gerardin *et al.*, 2018) suggesting that stomatal response speed could also be influenced by the number of epidermal cells around the guard cells. Stomata surrounded by only a few epidermal cells could experience a reduced epidermal cell turgor pressure than stomata surrounded by a higher number of epidermal cells. Lastly, the underlying assumption behind Drake's hypothesis that solute fluxes through the guard cell walls are

uniform when normalized to surface area across species is arguable (Lawson & Blatt, 2014; Raven, 2014). Because stomatal response to irradiance and VPD have different dynamics (Dumont *et al.*, 2013; Haworth *et al.*, 2018) and were mostly uncorrelated in our study, the suspected link between stomatal size and speed may depend on the environmental change. It could, for example, play a major role in the passive hydraulic response to VPD. If, as Drake suggested, size is a driver of variation in stomatal speed, the speed of guard cell movement (i.e. aperture change) but not necessarily the speed of  $g_s$  (depending on  $SD$ ) change should be negatively correlated with stomatal size. Focusing on the speed of  $g_s$  change only could lead to inaccurate conclusions. We found a range of  $SD$  and  $\tau$  for which one species shows a faster stomatal aperture change but a slower  $g_s$  change compared to the other, considering a fixed step change of  $g_s$ , pore depth and  $\lambda$  (Fig. 7), suggesting that the speed of guard cell movement can be decoupled from the speed of  $g_s$  change. Indeed, smaller, more numerous stomata could show a higher  $SL_{max}$  for the same change in aperture compared with larger, fewer stomata because of their higher  $SD$ . Similarly, given an equal speed of aperture change, fewer and larger stomata will have a bigger aperture. For a similar change in  $g_s$ , they will be required to reduce their aperture further than more numerous smaller stomata which will increase  $\tau$  and reduce  $SL_{max}$ . Thus, where possible, future research should account for  $SD$  when assessing differences in stomatal dynamics.

### Stomatal dynamics are linked with productivity and water use

It has been suggested that faster stomatal dynamics, by allowing a better tracking of the surrounding environmental conditions,



**Fig. 7** Simulation of the dynamics of stomatal aperture and stomatal conductance ( $g_s$ ) reduction for two hypothetical species (blue and orange straight lines) during stomatal closing. Maximum slopes of aperture or  $g_s$  change are shown in dashed lines. Both species have identical starting and ending  $g_s$  (from 0.355 to 0.160  $\text{mol m}^{-2} \text{s}^{-1}$ ),  $\lambda$  (100 s) and pore depth (5  $\mu\text{m}$ ). Stomatal density is 150 and 65  $\text{mm}^{-2}$  and  $\tau$  (time constant of the sigmoidal model) is 200 and 400 s, respectively, for the orange and blue species. Note the faster rate of stomatal aperture reduction and the slower rate of  $g_s$  reduction in the blue species compared to the orange one.

may limit water loss and maximize carbon gain (Knapp & Smith, 1990; Vico *et al.*, 2011). In agreement with this idea, we found  $E$  tended to be positively correlated with stomatal response times and negatively with speed of  $g_s$  change, which was more pronounced during stomatal closing than opening. This is to our knowledge the first instance of a reported correlation between whole-plant transpiration and stomatal dynamics, highlighting the need to account for  $g_s$  dynamics in order to accurately model water use from leaf gas exchange data. Moreover, we found weak positive correlations between  $SL_{max}$  and  $DMT$ . However, genotypes with a higher  $DMT$  also had a higher  $TLA$  and  $WU$ . Both also were negatively correlated with VPD-related stomatal response times. This points to others factors being primarily responsible for differences in whole-plant biomass production and water use between genotypes. In particular, the meteorological conditions notably drove the variations of transpiration. More work is needed to confirm if similar results would have been reached under different environments (e.g. in natural conditions).

In conclusion, our findings showed significant genotypic differences in stomatal dynamics related to changes of both irradiance and VPD which add to previous investigations highlighting the wide inter- and intraspecific diversity of stomatal dynamics across many vascular plants. We found the VPD response to be slower than irradiance, which could result from the slower variation of VPD in natural conditions compared to irradiance. However, this result should be taken cautiously because accurate comparisons between stresses should account for different stress levels. Soil water deficit altered stomatal dynamics differently among poplar genotypes, but overall had a slow-down effect on stomatal response to changes of irradiance and VPD. By contrast to previous findings showing a faster stomatal response to an increased soil water deficit in drought-tolerant species, our findings draw attention to a potentially detrimental effect in drought-sensitive species. It also adds to recent findings showing a diversity of stomatal dynamics with different light acclimation during growth (Matthews *et al.*, 2018). This calls for further investigations on the variability over time, environmental change, growth conditions and canopy layers of such dynamics measurement among individual plants. Although our results showed significant correlations between stomatal response speed and morphology, further research investigating a potential link between stomatal size and speed should focus on the speed of stomatal aperture change as  $SD$  drives the magnitude of aperture change and thus also the speed of the  $g_s$  response. Finally, although higher whole-plant transpiration was associated with slower stomatal response to VPD, the contribution of leaf-level stomatal dynamics is one of many factors governing whole-plant water use and carbon gain.

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## Author contributions

MD, CB and DLT contributed to the data collection; MD, OB and DLT contributed to the experimental design, data analysis and interpretation as well as the writing of the manuscript.

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## Supporting Information

Additional Supporting Information may be found online in the Supporting Information section at the end of the article.

**Fig. S1** Relative extractable water inside the pots during the drought experiment.

**Fig. S2** Summary of the parameters derived from a sigmoidal adjustment of a change in stomatal conductance.

**Fig. S3** Time course of stomatal conductance and fitted curves.

**Fig. S4** Meteorological data in the glasshouse during the drought experiment.

**Fig. S5** Drivers of whole-plant transpiration during the drought experiment as explained by a statistical model including the genotype, water treatment, potential evapotranspiration and day of the year effect.

**Fig. S6** Sigmoidal adjustments used to derive the parameters  $\tau$ ,  $\lambda$ ,  $SL_{max}$  and the magnitude of irradiance-induced stomatal conductance change for the four poplar genotypes, Carpaccio, I214, 6J29 and N38.

**Fig. S7** Sigmoidal adjustments used to derive the parameters  $\tau$ ,  $\lambda$ ,  $SL_{max}$  and the magnitude of VPD-induced stomatal conductance change for the four poplar genotypes, Carpaccio, I214, 6J29 and N38.

**Fig. S8** Correlations between dynamic parameters of  $g_s$  response to a decrease or an increase of VPD and either stomatal morphological parameters or average daily whole-plant transpiration.

**Table S1** Summary of parameters referred to within the text and their units.

**Table S2** Information on the four genotypes used in the study.

**Table S3** Growth, biomass production and water use of the four poplar genotypes, Carpaccio, I214, 6J29 and N38 before the drought.

**Table S4** Dataset used for statistical analysis.

**Table S5** Steady-state values of the dynamic gas-exchange response to a decrease and increase of irradiance and VPD of the four poplar genotypes, Carpaccio, I214, 6J29 and N38.

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**Table S1** Summary of parameters referred to within the text and their units.

	<b>Definition</b>	<b>Unit</b>
<b><u>Parameters</u></b>		
DMT	Total plant dry mass increment	g
TLA	Total leaf area increment	cm <sup>2</sup>
WU	Cumulated water use during the drought	kgH <sub>2</sub> O
E	Average daily whole plant transpiration per leaf area	kgH <sub>2</sub> O m <sup>-2</sup> d <sup>-1</sup>
TE	Transpiration efficiency	g l <sup>-1</sup>
A	Net CO <sub>2</sub> assimilation	μmol m <sup>-2</sup> s <sup>-1</sup>
g <sub>s</sub>	Stomatal conductance to water vapor	mol m <sup>-2</sup> s <sup>-1</sup>
Wi	Intrinsic water use efficiency	μmol mol <sup>-1</sup>
τ	Time constant of the stomatal response	s or min
λ	Lag time of the stomatal response	s or min
SL <sub>max</sub>	Maximum slope of the stomatal response	mol m <sup>-2</sup> s <sup>-2</sup>
GCL	Guard cell length	μm
AGC	Guard cell area	μm <sup>2</sup>
SD	Stomatal density	cm <sup>-2</sup>
SI	Stomatal index	%
<b><u>Subscripts</u></b>		
d	Denote stomatal closing response to irradiance or VPD.	
i	Denote stomatal opening response to irradiance or VPD.	
ab	Specify that the parameter was measured on the abaxial side of the leaf.	
ad	Specify that the parameter was measured on the adaxial side of the leaf.	

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**Haworth M, Marino G, Cosentino SL, Brunetti C, De Carlo A, Avola G, Riggi E, Loreto F, Centritto M. 2018.** Increased free abscisic acid during drought enhances stomatal sensitivity and modifies stomatal behaviour in fast growing giant reed (*Arundo donax* L.). *Environmental and Experimental Botany* **147**: 116-124.

**Table S2** Information on the four genotypes used in the study.

Genotype	Species	Sex	Source of the base material		Origin	Mother	Father	Reference
Carpaccio	<i>Populus deltoides x nigra</i>	Female	Seed obtained by controlled crossing		Centro di Sperimentazione Agricola e Forestale, Via Casalotti 300, I-00166 Roma, Italy	P. <i>deltoides</i> '2900/958' (Stoneville 1, Mississippi, USA)	P. <i>nigra</i> 'Italica M' (United Kingdom)	a
I214	<i>Populus deltoides x nigra</i>	Female	Seed obtained by controlled crossing		Jacometti Giovanni, Istituzione per il Miglioramento del Pioppo, Villafranca Piemonte (TO), Italy	'canadese bianco'	'Caroliniano prodigioso' (Parco di Miradolo, Pinerolo TO, Italy)	b, c
6J29	<i>Populus nigra</i>	-	Sampling in the field		Ramière, Drôme (Rhône), France	-	-	d, e
N38	<i>Populus nigra</i>	-	Sampling in the field		Ticino (Po), Italy	-	-	e

a: **Gras M.A., Mughini G. 1988.** Osservazioni sul comportamento produttivo di alcuni cloni di *Populus* x euramericana di recente selezione in Italia centrale. Informatore Agrario. **49**(64) : 45-47

b: **Jacometti G. 1937.** I nuovi pioppi italiani. Atti del convegno di pioppicoltori in Casale Monferrato (11 luglio1937). Comitato Nazionale Forestale, Roma. 39-50

c: **Ministère de l'Agriculture et de l'Alimentation. 2008.** Conseils d'utilisation des ressources génétiques forestières: *Populus* ssp. – Peupliers cultivés. [WWW document] URL <http://agriculture.gouv.fr/sites/minagri/files/documents//Peupliers2008.pdf/> [accessed 24 October 2011].

d: **Chamaillard S. 2011.** Efficience d'utilisation de l'eau chez le peuplier noir (*Populus nigra* L.) : variabilité et plasticité en réponse aux variations de l'environnement. PhD thesis, Université d'Orléans, Orléans, France.

e: **Viger M, Smith HK, Cohen D, Dewoody J, Trewin H, Steenackers M, Bastien C, Taylor G. 2016.** Adaptive mechanisms and genomic plasticity for drought tolerance identified in European black poplar (*Populus nigra* L.). *Tree Physiology* **36**(7): 909-928.

**Table S3** Growth, biomass production and water use of two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) before the drought. Values reported are means ± standard deviation (n = 6). ANOVA factors were considered significant when p < 0.05. Letters show significant differences by post-hoc contrasts among the eight groups (4 genotypes + 2 water treatments). DMT, DML, DMS, DMR and TE were measured on separate individuals harvested before the drought.

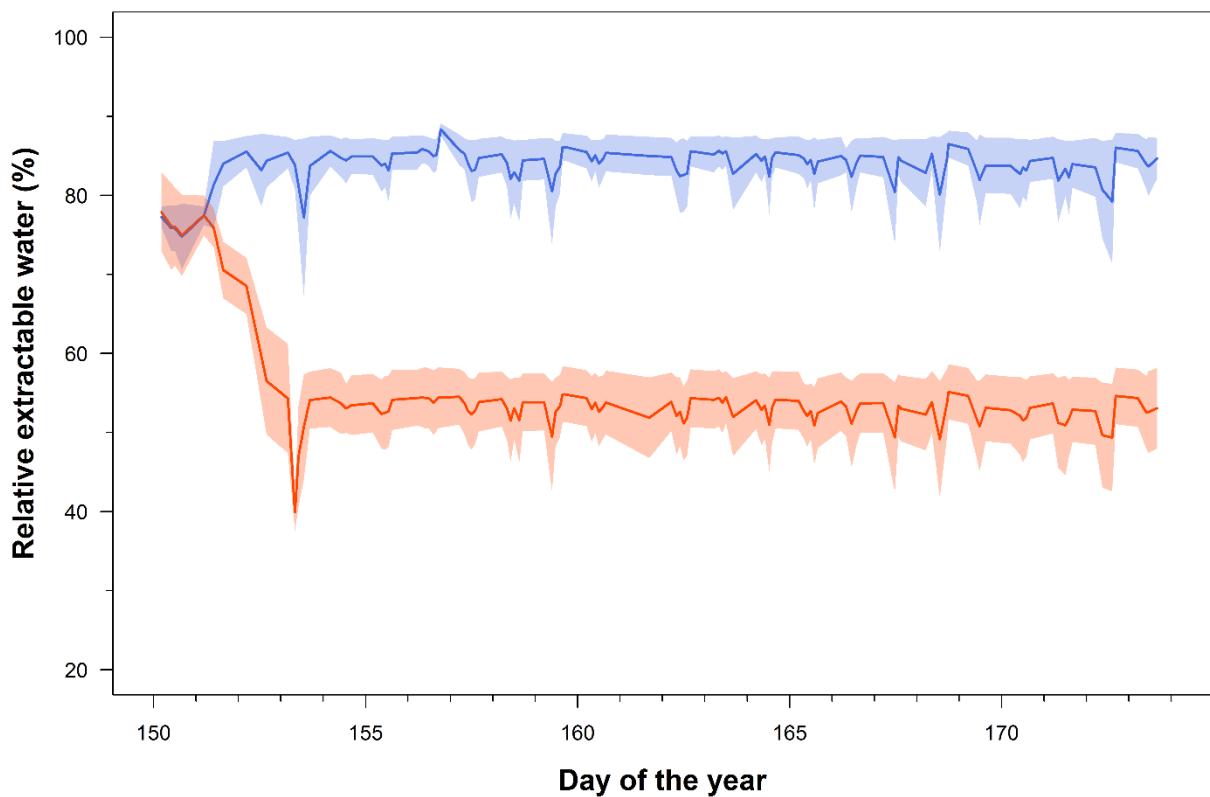
Genotype	Treatment	H (cm)	D (mm)	DMT (g)	DML (g)	DMS (g)	DMR (g)	WU (kgH <sub>2</sub> O)	E (kgH <sub>2</sub> O m <sup>-2</sup> d <sup>-1</sup> )	TLA (cm <sup>2</sup> )	TE (g.l <sup>-1</sup> )
Carpaccio	control	127.1 ± 8.1 a	10.1 ± 0.9 a	80.97 ± 5.24 a	34.34 ± 5.28 a	32.18 ± 1.64 a	14.46 ± 1.68 a	12.6 ± 1.6 a	2.2 ± 0.1 bcd	3183 ± 323 a	5.37 ± 0.37 a
	drought	128.2 ± 6.1 a	10.2 ± 0.9 a					13.7 ± 0.7 a	2.2 ± 0.1 bc	3282 ± 270 a	
I214	control	110.9 ± 4.2 b	10.2 ± 0.8 a	63.85 ± 3.19 b	22.59 ± 1.36 b	24.23 ± 1.41 b	17.02 ± 0.64 a	9.9 ± 1.1 b	2 ± 0.2 d	2495 ± 477 b	5.48 ± 0.23 a
	drought	108.2 ± 4.9 b	9.6 ± 1.2 ab					10.0 ± 0.6 b	2.1 ± 0.1 cd	2485 ± 193 b	
6J29	control	93.3 ± 4 c	8.2 ± 0.4 c	25.16 ± 4.59 c	11.14 ± 2.27 c	9.09 ± 2.01 c	4.92 ± 1.11 b	5.8 ± 1.0 c	3.2 ± 0.4 a	1121 ± 209 cd	3.71 ± 0.19 c
	drought	91.8 ± 4.6 c	7.7 ± 0.6 c					5.5 ± 0.5 c	3 ± 0.2 a	988 ± 89 d	
N38	control	80.8 ± 9.1 d	8.1 ± 0.7 c	29.09 ± 6.13 c	13.55 ± 2.52 c	9.98 ± 2.19 c	5.57 ± 1.66 b	5.3 ± 1.0 c	2.1 ± 0.1 cd	1290 ± 189 cd	4.25 ± 0.29 b
	drought	80.7 ± 5.8 d	8.6 ± 0.6 bc					6.0 ± 0.5 c	2.4 ± 0.1 b	1352 ± 178 c	
P value		<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001
Treatment		ns	ns					ns	ns	ns	
Interaction		ns	ns					ns	ns	ns	

H, plant height; D, main stem diameter; DMT, total dry mass; DML, leaf dry mass; DMS, stem dry mass; DMR, root dry mass; WU, cumulated water use; TLA, total leaf area; E, average daily transpiration per unit leaf area; TE, transpiration efficiency; ns, not significant.

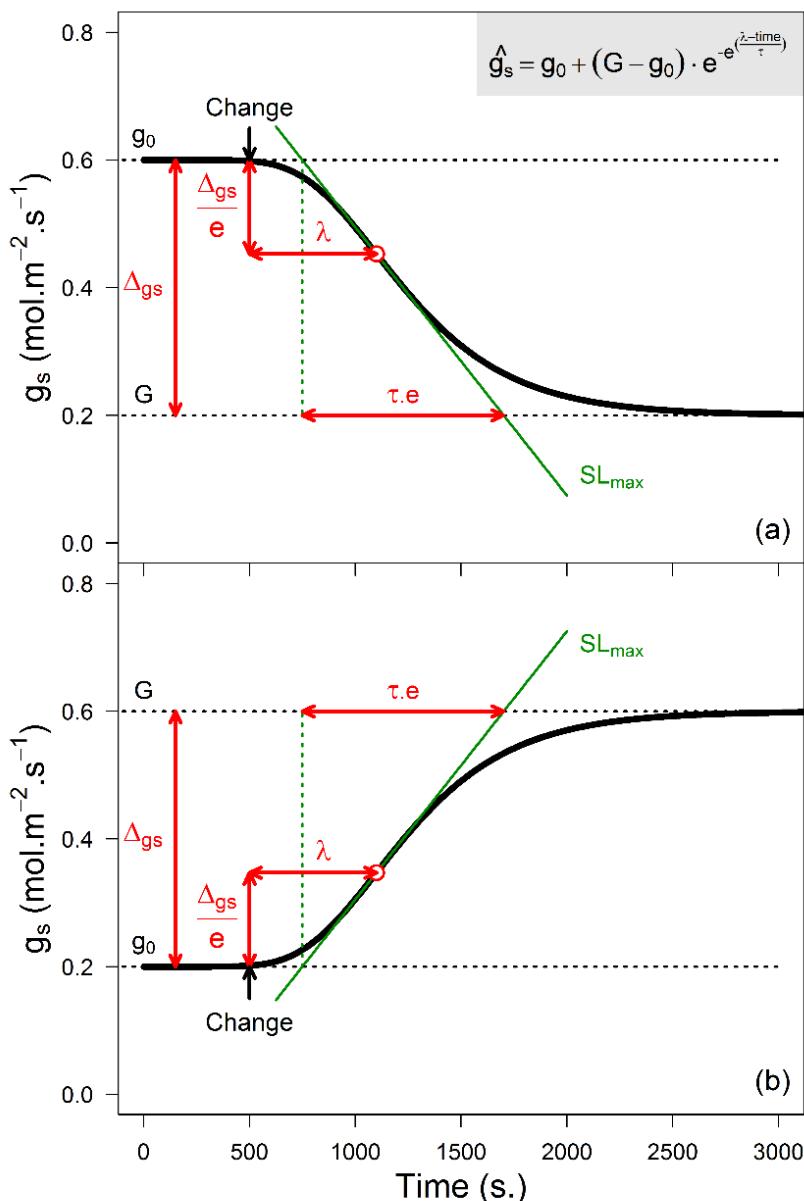
**Table S5** Steady-state values of the dynamic gas-exchange response to a decrease and increase of irradiance and VPD of the four poplar genotypes, Carpaccio, I214, 6J29 and N38 (means  $\pm$  standard deviation,  $n = 6$ ). Steady-states values were recorded before any change in irradiance or VPD ( $SS_0$ ), after the first step change in irradiance or VPD ( $SS_1$ ) and after last the step change in irradiance or VPD ( $SS_2$ ).  $\Delta SS$  shows statistical differences between  $SS_0$  and  $SS_2$  from post-hoc comparisons of a linear model including the genotype, water treatment and steady-state effect. ANOVA factors were considered significant when  $p < 0.05$ . Letters show significant differences by post-hoc contrast among the eight groups (4 genotypes + 2 treatments).

Genotype	Treatment	A ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )			$g_s$ ( $\text{mol m}^{-2} \text{s}^{-1}$ )			Wi ( $\mu\text{mol mol}^{-1}$ )			$\Delta SS$			
		$SS_0$	$SS_1$	$SS_2$	$\Delta SS$	$SS_0$	$SS_1$	$SS_2$	$\Delta SS$	$SS_0$	$SS_1$	$SS_2$		
Irradiance	Carpaccio	control	17.6 $\pm$ 3.2 de	7.5 $\pm$ 3 ab	16.9 $\pm$ 3.4 de	ns	0.6 $\pm$ 0.08 bc	0.33 $\pm$ 0.11 b	0.55 $\pm$ 0.09 bcd	ns	29.5 $\pm$ 4 b	25.8 $\pm$ 14.4 cd	31.2 $\pm$ 7.3 b	ns
		drought	15.1 $\pm$ 3.9 e	7.4 $\pm$ 2.3 ab	15.6 $\pm$ 3.6 e	ns	0.4 $\pm$ 0.22 bc	0.15 $\pm$ 0.12 c	0.31 $\pm$ 0.19 e	ns	44.2 $\pm$ 17.3 ab	67.7 $\pm$ 36.7 ab	63.9 $\pm$ 31.4 a	ns
	I214	control	22.4 $\pm$ 0.5 abc	9.7 $\pm$ 0.8 a	21.9 $\pm$ 2 abc	ns	0.59 $\pm$ 0.17 bc	0.31 $\pm$ 0.16 b	0.73 $\pm$ 0.21 ab	ns	40.8 $\pm$ 12.7 ab	40.5 $\pm$ 24.8 bcd	33.1 $\pm$ 13.9 b	ns
		drought	18.5 $\pm$ 2.5 cde	8.4 $\pm$ 0.4 ab	18.4 $\pm$ 3.5 cde	ns	0.36 $\pm$ 0.12 c	0.11 $\pm$ 0.04 c	0.38 $\pm$ 0.1 cde	ns	54.2 $\pm$ 13.2 ab	92.2 $\pm$ 47.4 a	50.2 $\pm$ 8.4 ab	ns
	6J29	control	23.1 $\pm$ 1.1 ab	9.1 $\pm$ 1 a	22.9 $\pm$ 0.8 ab	ns	0.9 $\pm$ 0.27 a	0.61 $\pm$ 0.12 a	0.82 $\pm$ 0.17 a	ns	27.7 $\pm$ 8.3 b	15.5 $\pm$ 3.5 d	28.9 $\pm$ 5.6 b	ns
		drought	19.8 $\pm$ 3.8 bcd	8.6 $\pm$ 0.9 ab	19.2 $\pm$ 3.2 bede	ns	0.46 $\pm$ 0.19 bc	0.17 $\pm$ 0.05 c	0.33 $\pm$ 0.1 e	ns	49.9 $\pm$ 21.2 ab	53.9 $\pm$ 16.7 bc	60.2 $\pm$ 10.6 a	ns
VPD	N38	control	23.8 $\pm$ 3.4 a	9.3 $\pm$ 0.9 a	23.7 $\pm$ 3.7 a	ns	0.64 $\pm$ 0.18 ab	0.38 $\pm$ 0.11 b	0.57 $\pm$ 0.15 bc	ns	39.7 $\pm$ 12.9 ab	26.2 $\pm$ 6.5 cd	43.5 $\pm$ 10.3 ab	ns
		drought	20.6 $\pm$ 1.7 abcd	6.3 $\pm$ 0.4 b	20.5 $\pm$ 1.8 abcd	ns	0.37 $\pm$ 0.13 bc	0.06 $\pm$ 0.01 c	0.36 $\pm$ 0.13 de	ns	63 $\pm$ 26.6 a	100.5 $\pm$ 11.5 a	64 $\pm$ 24.1 a	ns
	p value	Genotype	<0.001	0.033	0.001		ns	0.001	ns		ns	0.017	ns	
		Treatment	0.001	0.005	0.002		<0.001	<0.001	<0.001		0.001	<0.001	<0.001	
		Interaction	ns	ns	ns		ns	0.019	ns		ns	ns	ns	
	Carpaccio	control	13.6 $\pm$ 1.6 d	8.6 $\pm$ 2.5 bc	12.7 $\pm$ 1.3 cd	ns	0.24 $\pm$ 0.04 b	0.1 $\pm$ 0.03 ab	0.22 $\pm$ 0.03 b	ns	57.8 $\pm$ 11.4 ab	89.9 $\pm$ 9 bc	60.3 $\pm$ 10.4 ab	ns
6J29		drought	12.4 $\pm$ 2.4 d	8.2 $\pm$ 1.5 bc	12 $\pm$ 1.2 d	ns	0.24 $\pm$ 0.03 b	0.09 $\pm$ 0.03 ab	0.2 $\pm$ 0.05 b	ns	52.4 $\pm$ 13.5 b	98.1 $\pm$ 20.4 abc	63.7 $\pm$ 13.1 ab	ns
	I214	control	14.9 $\pm$ 2.6 cd	5.9 $\pm$ 2.3 c	15.4 $\pm$ 1.8 bc	ns	0.29 $\pm$ 0.04 b	0.06 $\pm$ 0.03 b	0.36 $\pm$ 0.07 a	ns	52.7 $\pm$ 13.6 b	102.8 $\pm$ 9 abc	43.1 $\pm$ 4.4 b	ns
		drought	14.2 $\pm$ 2.9 d	6 $\pm$ 2.8 c	13.8 $\pm$ 4.4 cd	ns	0.18 $\pm$ 0.04 b	0.06 $\pm$ 0.04 b	0.24 $\pm$ 0.16 b	ns	79.5 $\pm$ 15 a	112.4 $\pm$ 21.6 ab	71.3 $\pm$ 27.1 ab	ns
	6J29	control	18.2 $\pm$ 2.1 bc	10.3 $\pm$ 0.9 b	15 $\pm$ 2.9 bcd	0.04	0.52 $\pm$ 0.22 a	0.13 $\pm$ 0.03 a	0.25 $\pm$ 0.03 b	<0.001	38.7 $\pm$ 11.5 b	84.1 $\pm$ 17 c	62 $\pm$ 16.1 ab	0.01
		drought	24 $\pm$ 2 a	14 $\pm$ 1.8 a	21.2 $\pm$ 2.6 a	ns	0.5 $\pm$ 0.11 a	0.13 $\pm$ 0.03 a	0.26 $\pm$ 0.04 ab	<0.001	49.6 $\pm$ 8.7 b	112.7 $\pm$ 15.5 ab	82.6 $\pm$ 8.4 a	0.001
	N38	control	21.3 $\pm$ 3 ab	10.7 $\pm$ 3.3 b	19.1 $\pm$ 2.1 a	ns	0.62 $\pm$ 0.31 a	0.1 $\pm$ 0.03 ab	0.23 $\pm$ 0.05 b	<0.001	44.1 $\pm$ 25.9 b	111.8 $\pm$ 12.3 abc	87.6 $\pm$ 28 a	<0.001
p value		drought	20.4 $\pm$ 5.9 ab	8.3 $\pm$ 1.9 bc	18 $\pm$ 1.8 ab	ns	0.29 $\pm$ 0.16 b	0.07 $\pm$ 0.02 b	0.25 $\pm$ 0.04 b	ns	77.8 $\pm$ 20.7 a	122.1 $\pm$ 24.2 a	72.9 $\pm$ 14.8 ab	ns
	Genotype	<0.001	<0.001	<0.001		<0.001	<0.001	0.014		0.009	0.017	0.014		
	Treatment	ns	ns	ns		0.022	ns	ns		0.002	0.007	ns		
	Interaction	0.02	0.02	0.001		ns	ns	ns		0.023	ns	0.032		

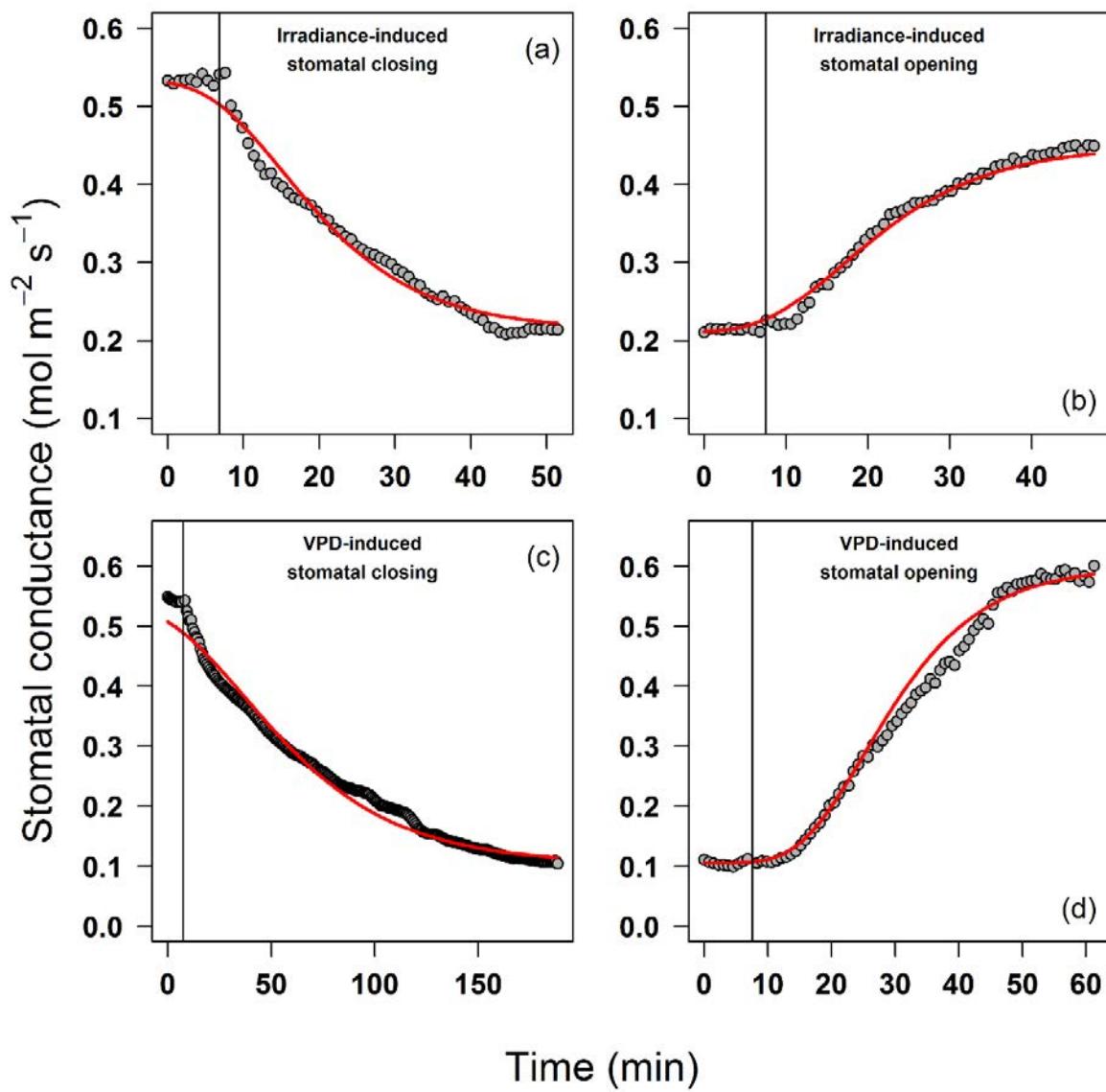
A, net  $\text{CO}_2$  assimilation;  $g_s$ , stomatal conductance to water vapor; Wi, intrinsic water use efficiency; ns, not significant.



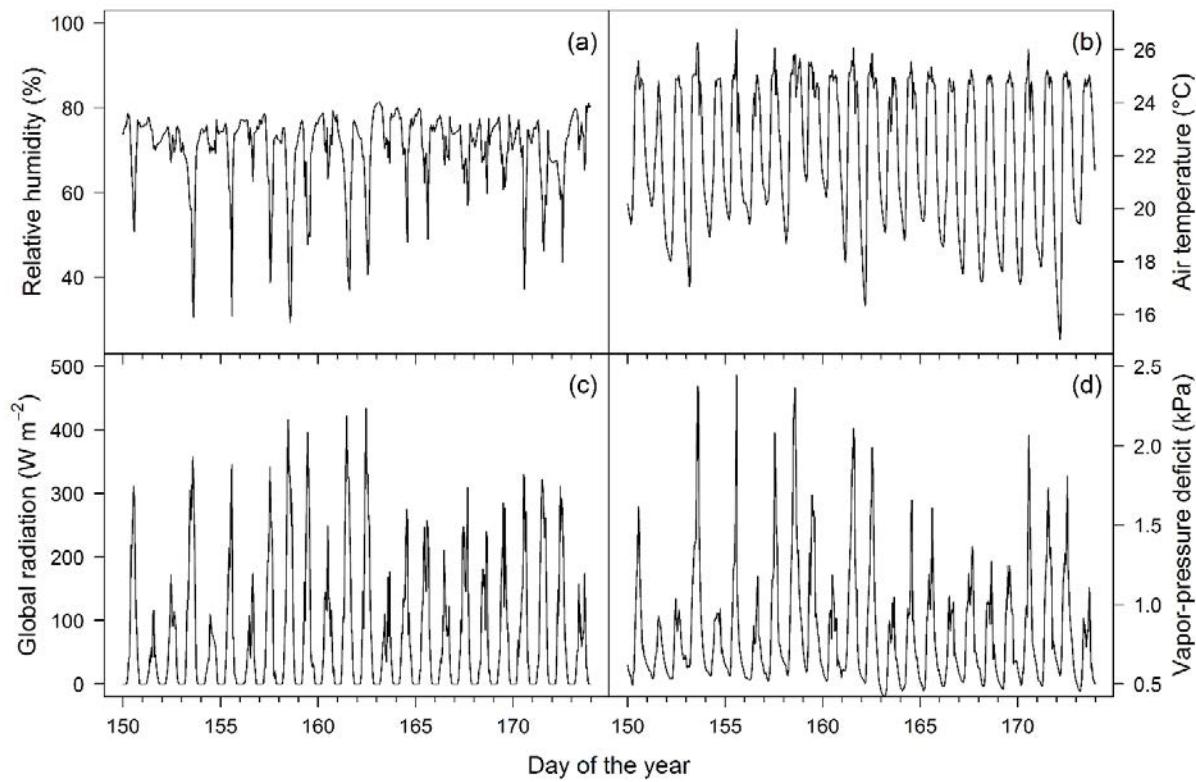
**Figure S1.** Relative extractable water (REW) inside the pots during the drought experiment. REW was calculated as the difference between the volumetric soil water content (SWC) in the soil and the volumetric SWC at the permanent wilting point (SWC = 3%) divided by the difference between SWC at field capacity (32.8%) and SWC at the permanent wilting point. The drought experiment started on the 151st day. The blue and orange lines are the average of control and drought-stressed trees respectively. The blue and orange areas show the standard deviation between trees.



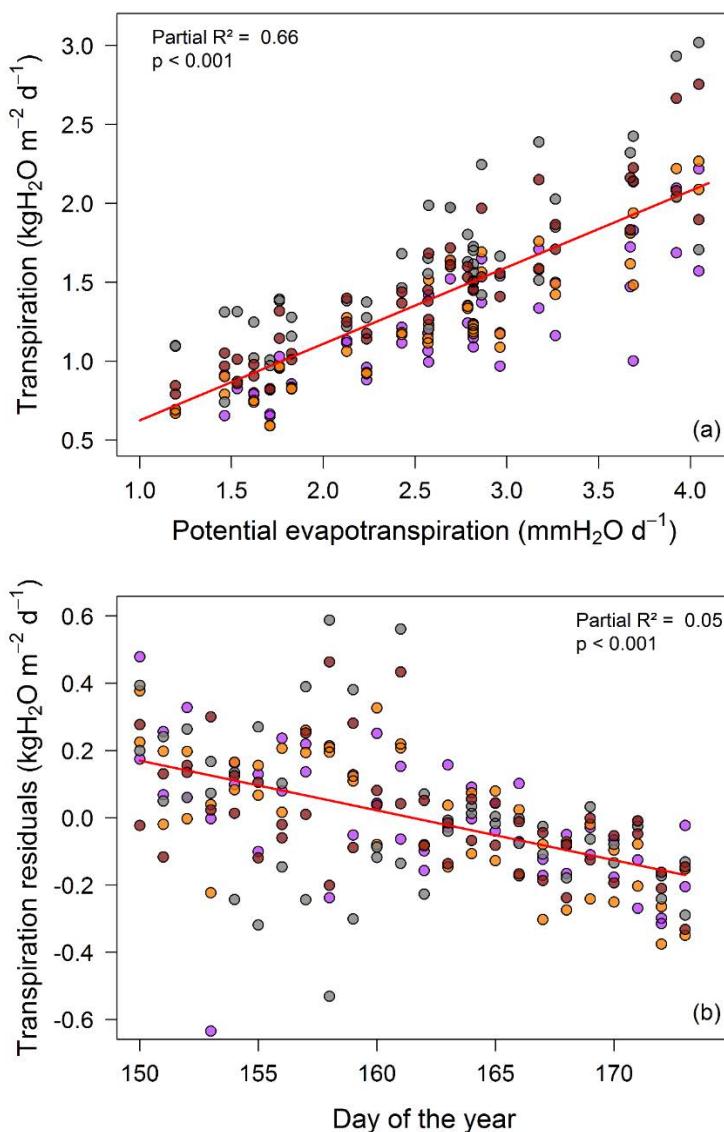
**Figure S2.** Summary of the parameters derived from a sigmoidal adjustment of a change in stomatal conductance. (a) Stomatal closing and (b) stomatal opening. The black arrow show the time at which either irradiance or VPD was modified.  $g_0$  and  $G$  are the stomatal conductance values at the start and the end of the curve, respectively.  $\Delta_{gs}$  is the magnitude of stomatal conductance change.  $\lambda$  is the time between the environmental change and the moment where the change of stomatal conductance is at a maximum (white dot).  $SL_{max}$  is the tangent to this point and is calculated as  $\Delta_{gs}/(\tau \cdot e)$  where  $e \approx 2.718$ . By multiplying  $\tau$  and  $e$ , we find the time to get from  $g_0$  to  $G$  if the speed of change was equal to  $SL_{max}$ .



**Figure S3.** Time course of stomatal conductance and fitted curves. Irradiance-induced stomatal closing (a) and opening (b). VPD-induced stomatal closing (c) and opening (d). Measured stomatal conductance data is shown in gray and the fitted curve in red. The vertical line show the moment of the environmental change.

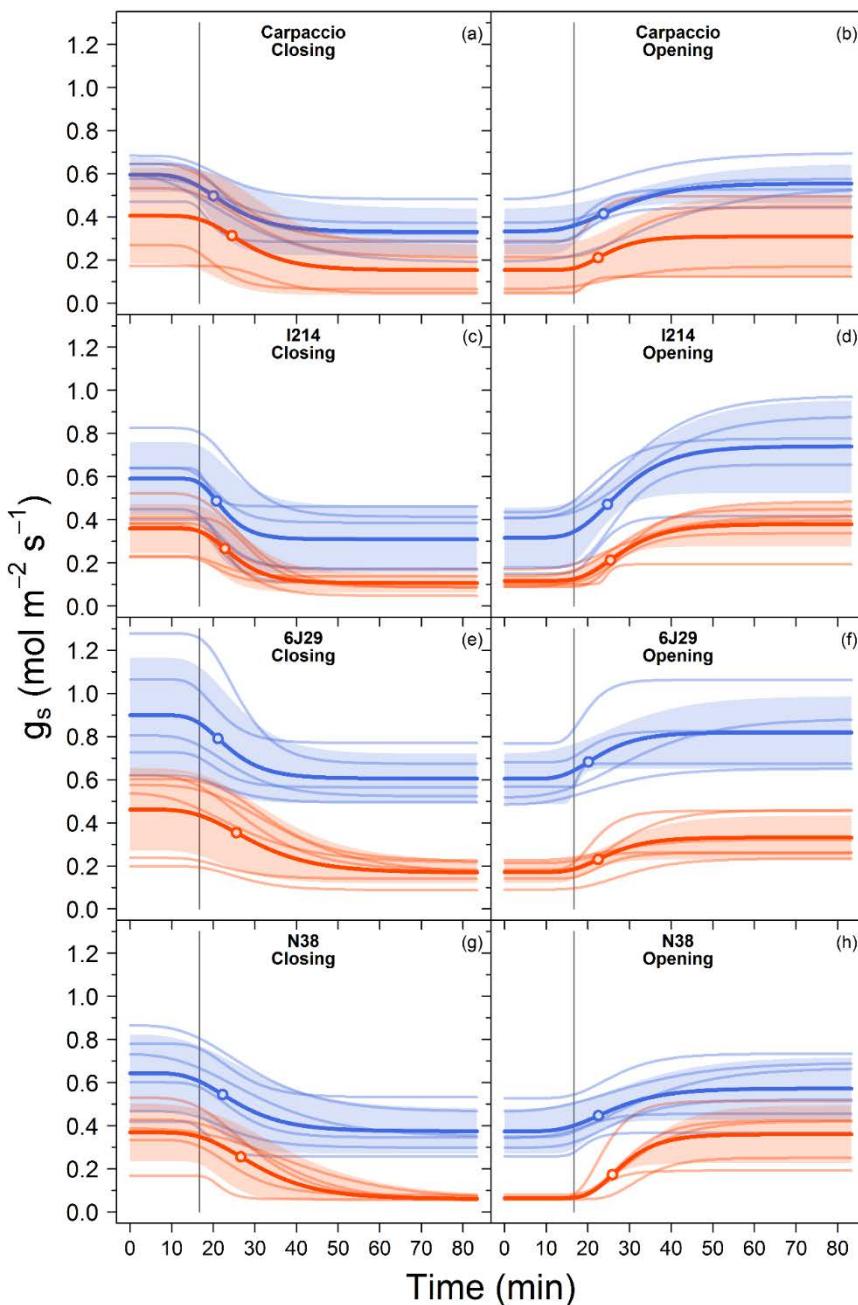


**Figure S4.** Meteorological data in the glasshouse during the drought experiment. **(a)** Relative humidity (%), **(b)** air temperature (°C), **(c)** global radiation ( $\text{W m}^{-2}$ ), **(d)** atmospheric vapor-pressure deficit (kPa). The drought experiment started on the 151<sup>st</sup> day.

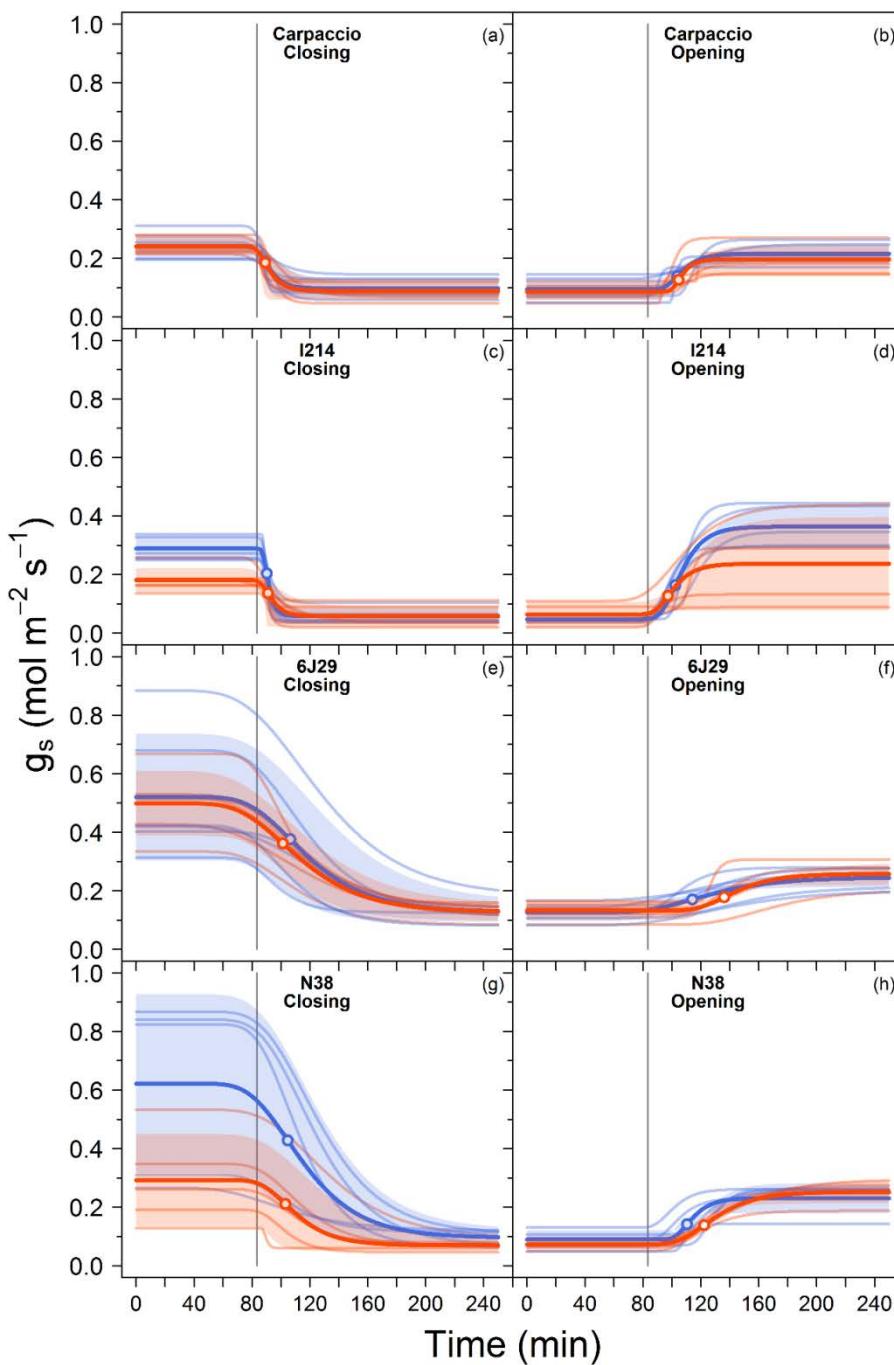


**Figure S5.** Drivers of whole-plant transpiration during the drought experiment as explained by a statistical model including the genotype, water treatment, potential evapotranspiration and day of the year effect. **(a)** Correlation between the daily whole-plant transpiration and potential evapotranspiration. **(b)** Correlation between the daily whole-plant transpiration residual variability once the genotype, water treatment and potential evapotranspiration effect are accounted for and the day of the year. Partial  $R^2$  and  $p$  value for each effect is shown ( $n = 24$ ). Correlations were considered significant at  $p < 0.05$  and a regression line was drawn. Potential evapotranspiration was computed using the Penman equation following and adapted by Shuttleworth (2007) with the wind speed recorded at  $0.19 \text{ m s}^{-1}$  in the glasshouse. Purple, orange, gray and red dots are for Carpaccio, I214, 6J29 and N38 respectively.

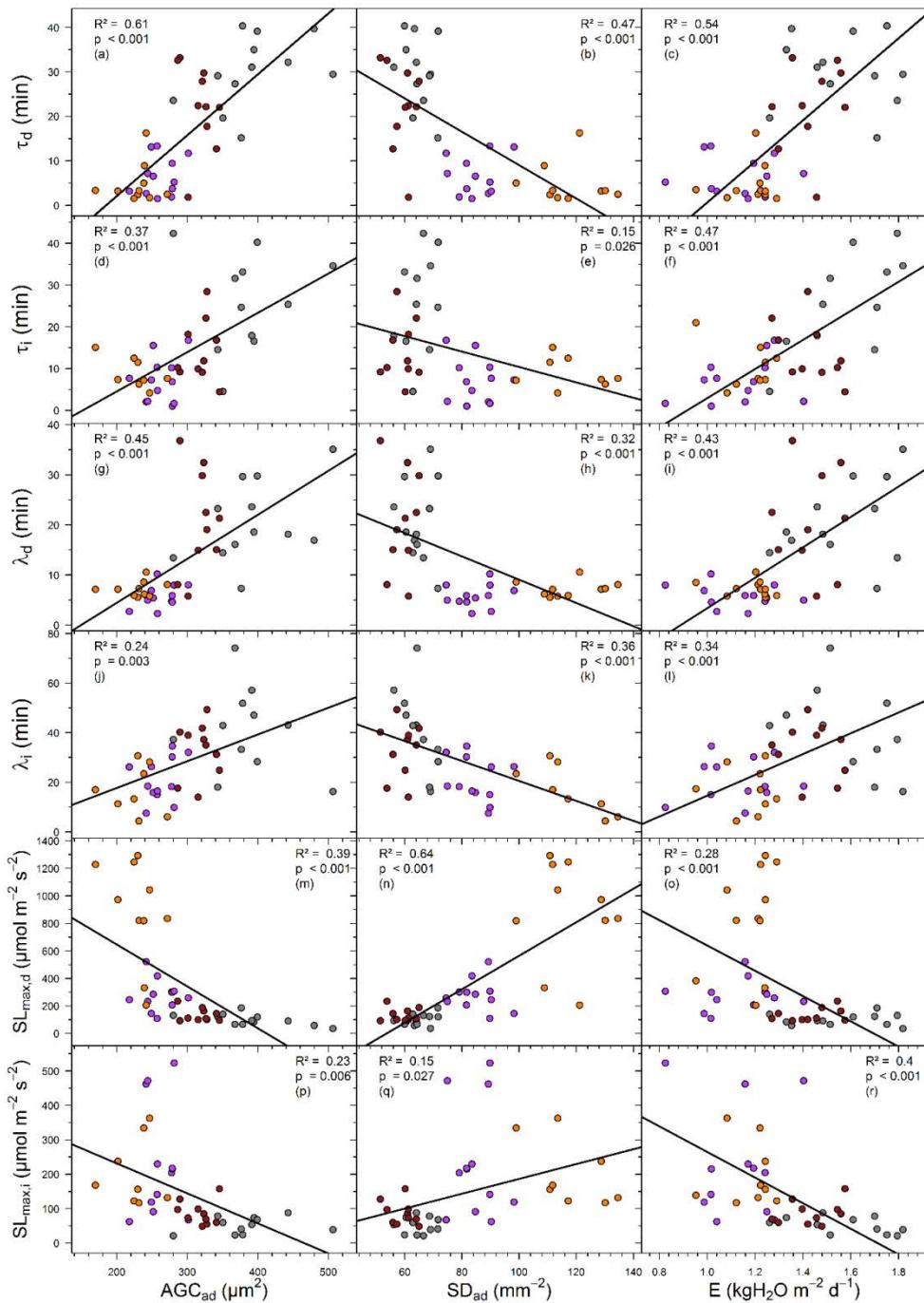
**Shuttleworth WJ. 2007.** Putting the "vap" into evaporation. *Hydrol. Earth Syst. Sci.* **11**(1): 210-244.



**Figure S6.** Sigmoidal adjustments used to derive the parameters  $\tau$ ,  $\lambda$ ,  $SL_{\max}$  and the magnitude of stomatal conductance change for the four poplar genotypes, Carpaccio (**a**, **b**), I214 (**c**, **d**), 6J29 (**e**, **f**) and N38 (**g**, **h**). Stomatal closing (**a**, **c**, **e**, **g**) and opening (**b**, **d**, **f**, **h**) to a decrease or an increase of irradiance, respectively. Blue and orange are for control and drought-stressed trees, respectively. The average sigmoidal response for both water treatments is drawn in bold with the colored areas showing the standard deviation. The white dot show the moment where the change in stomatal conductance is at a maximum. The vertical gray line shows when the environmental change happened.



**Figure S7.** Sigmoidal adjustments used to derive the parameters  $\tau$ ,  $\lambda$ ,  $SL_{\max}$  and the magnitude of stomatal conductance change for the four poplar genotypes, Carpaccio (a, b), I214 (c, d), 6J29 (e, f) and N38 (g, h). Stomatal closing (a, c, e, g) and opening (b, d, f, h) to an increase or a decrease of VPD, respectively. Blue and orange are for control and drought-stressed trees, respectively. The average sigmoidal response for both water treatments is drawn in bold with the colored areas showing the standard deviation. The white dot show the moment where the change in stomatal conductance is at a maximum. The vertical gray line shows when the environmental change happened.



**Figure S8.** Correlations between dynamic parameters of  $g_s$  response to a decrease ( $\tau_i$ ,  $\lambda_i$  and  $SL_{max,i}$ ) or an increase ( $\tau_d$ ,  $\lambda_d$  and  $SL_{max,d}$ ) of VPD and stomatal size on the adaxial side of the leaf ( $AGC_{ad}$ ; **a**, **d**, **g**, **j**, **m**, **p**), stomatal density on the adaxial side of the leaf ( $SD_{ad}$ ; **b**, **e**, **h**, **k**, **n**, **q**) or the average daily whole-plant transpiration per square meter ( $E$ ; **c**, **f**, **i**, **l**, **o**, **r**). Partial  $R^2$  and  $p$  value of the residual variability once the treatment effect is accounted for are shown ( $n = 24$ ). Correlations were considered significant at  $p < 0.05$  and a regression line was drawn.  $\tau$ ,  $\lambda$  and  $SL_{max}$  are parameters of the sigmoidal model. Purple, orange, gray and red dots are for Carpaccio, I214, 6J29 and N38 respectively.





## Transition

Dans ce chapitre, nous avons pu voir que les dynamiques stomatiques présentaient une variabilité génotypique, qui était modifiée sous sécheresse. Les variations de la taille et de la densité des stomates semblent être impliquées plutôt dans la diversité des réponses au VPD, potentiellement liées à une réponse hydraulique passive des stomates aux variations de VPD. Enfin, nous avons montré que les dynamiques stomatiques influencent la transpiration de la plante entière, cependant nous n'avons pas pu mettre en évidence un effet sur l'efficience de transpiration. En effet, les génotypes avec la plus faible transpiration avaient également la plus forte surface foliaire, et ainsi la plus grande consommation en eau. Ainsi les différences de surface foliaire entre génotype et traitement peuvent contrebalancer la régulation stomatique à l'échelle de la feuille. L'efficience de transpiration peut être déterminée par des variations de la biomasse produite, de l'eau consommée ou des deux à la fois, agissant soit de manière opposée ou avec des ampleurs différentes. Il nous apparaît ainsi essentiel de comprendre les traits qui vont piloter l'efficience d'utilisation de l'eau à différentes échelles, et d'analyser si ces déterminants sont similaires lorsque les conditions environnementales sont modifiées. En conditions naturelles, l'environnement est très différent de celui observé en conditions contrôlées. La présence du vent peut limiter l'impact de la transpiration foliaire sur sa propre régulation stomatique (c'est-à-dire favoriser le couplage du houppier avec l'atmosphère). Les conditions de lumière, de température et d'humidité de l'air peuvent aussi varier bien plus fortement qu'en conditions contrôlées. De plus, l'âge des plantes est généralement plus élevé. Ces conditions peuvent favoriser une régulation et un développement stomatique différent (taille et densité des stomates).

C'est pourquoi nous avons quantifié l'efficience d'utilisation de l'eau en conditions naturelles au niveau de la feuille et de la plante entière sur les quatre génotypes utilisés dans le chapitre précédent. Ceci afin de comparer WUE et ses déterminants à différents échelles entre des conditions contrôlées (serre) et naturelles (pépinière). L'efficience de transpiration a été estimée à partir de capteurs de flux de sève, de récolte de biomasse et d'allométries de croissance pour estimer la surface foliaire de la plante entière. L'efficience d'utilisation de l'eau intrinsèque a été mesurée par discrimination isotopique du carbone 13 ( $\Delta$ ), et par mesures d'échanges gazeux foliaires ( $A/g_s$ ).



## CHAPITRE II

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**Efficience d'utilisation de l'eau au niveau de la feuille et de la plante entière : est-ce que les résultats obtenus en conditions contrôlées sont conservés en conditions naturelles ?**



## CHAPITRE II :

**Efficience d'utilisation de l'eau au niveau de la feuille et de la plante entière : est-ce que les résultats obtenus en conditions contrôlées sont conservés en conditions naturelles ?**

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### Présentation de l'article

#### *Contexte*

Compte tenu de l'augmentation probable de l'intensité et de la fréquence des sécheresses induites par les changements climatiques, et de la demande en bois entraînée par la croissance économique et les politiques publiques environnementales, il apparaît nécessaire de maintenir ou d'améliorer la production de biomasse tout en réduisant l'utilisation d'eau des arbres plantés. Ce ratio est appelé l'efficience d'utilisation de l'eau (WUE). Défini à plusieurs échelles spatiales (foliaire, plante entière) et à partir de multiples estimateurs, ce trait n'est aujourd'hui pas utilisé lors de sélection variétale. Avant cela, il est essentiel d'évaluer la stabilité de WUE dans différentes conditions de croissance.

#### *Objectifs*

A partir d'expérimentations en conditions contrôlées (serre) et naturelles (pépinière), sous des régimes hydriques distincts, et sur plusieurs génotypes de peupliers, nous avons mesuré plusieurs estimateurs de WUE et de ses composants. Nos objectifs étaient : (1) d'analyser les différences ou concordances de WUE au niveau foliaire et de la plante entière en conditions hydriques non-limitantes entre la serre et la pépinière, (2) d'identifier si la sécheresse modifie cette relation entre conditions de croissance et (3) de déterminer les processus sous-jacents pilotant WUE à l'échelle de la feuille et de la plante entière en conditions contrôlées et naturelles.

#### *Stratégie*

Deux génotypes de peupliers euraméricains (Carpaccio et I214) et deux génotypes de peupliers noirs (6J29 et N38) ont été utilisés à la fois en serre et en pépinière. L'expérimentation en serre a été détaillée dans un article précédemment publié (Chapitre I). En pépinière, un dispositif d'exclusion des pluies couvrant 85% de la surface au sol a été mis en place à l'aide de bâches imperméables et de gouttières permettant l'évacuation des eaux de pluie. Des récoltes et des capteurs de flux de sève ont permis d'estimer la production de biomasse, la transpiration et le WUE des plantes entières (TE). De plus, des mesures d'échanges gazeux et la détermination de

la discrimination isotopique du carbone 13 ( $\Delta$ ) ont servi à l'estimation de WUE à l'échelle foliaire.

### *Résultats*

Notre dispositif d'exclusion des pluies a permis de diminuer significativement le contenu en eau du sol. Dans ce dispositif, la conductance stomatique et l'assimilation nette de CO<sub>2</sub> au niveau foliaire étaient également réduites, tout comme la transpiration à l'échelle de la plante entière. L'efficience d'utilisation de l'eau au niveau de la feuille et de la plante entière a été augmentée dans la parcelle sous exclusion des pluies. Malgré cela, les peupliers appartenant à ce dispositif présentaient une production de biomasse plus forte que chez les peupliers témoins. Le classement génotypique était relativement maintenu entre l'expérimentation en serre et en pépinière en ce qui concerne la transpiration,  $\Delta$  et TE, même si l'impossibilité d'établir des paires a limité notre analyse corrélative. En général seul un des génotypes, différent selon la variable considérée, montrait un changement modifiant son classement par rapport aux autres génotypes. Ce classement était plus fortement modifié par un changement de disponibilité en eau que par des différences de conditions de croissance.  $\Delta$  au niveau foliaire était corrélée négativement avec TE, plus fortement en conditions naturelles qu'en conditions contrôlées, suggérant qu'une plus forte efficience au niveau foliaire était associée à une plus forte efficience au niveau de la plante entière. De plus, TE n'était jamais corrélée négativement avec la production de biomasse quelle que soient les conditions de croissance, même si sa détermination était plutôt pilotée par la consommation en eau en serre et par la production de biomasse en pépinière.

### *Conclusions*

Bien que notre dispositif d'exclusion des pluies ait fonctionné, la production de biomasse plus importante sous ces conditions suggère que d'autres facteurs ont interagi avec notre traitement. Nous posons l'hypothèse que les différences de contenu en azote dans le sol et dans les feuilles depuis 2015 pourraient expliquer en partie cette croissance. Puisque ces différences étaient observables dès 2015, avant la mise en place de notre dispositif d'exclusion des pluies, nous avançons l'idée que le contenu en azote du sol aurait pu être déjà différent au moment de la plantation. Cet effet, associé à des sécheresses répétées modifiant potentiellement l'assimilation d'azote par la plante et l'effet de la pose des bâches, limitant le lessivage de l'azote et augmentant les températures du sol, pourrait ainsi favoriser la production de biomasse en dépit de la réduction de l'eau dans le sol. Nos résultats montrent que WUE semble relativement stable entre conditions de croissance. Par ailleurs, l'absence de corrélation négative entre WUE et la

production de biomasse, ainsi que la bonne relation entre WUE au niveau foliaire et de la plante entière à la fois en conditions contrôlées et naturelles suggère que WUE pourrait être utilisée comme trait pour la sélection de génotypes plus efficents dans leur utilisation de l'eau, mais sans impacter leur production de biomasse. Néanmoins les différences observées entre traitements hydriques supposent une diversité de la réponse à la sécheresse qui devrait être prise en compte dans les stratégies de sélection.

## Article: Leaf-level and whole-plant water-use efficiency: do results reached in controlled conditions still hold in natural environments?

*Soumis à Agricultural and forest meteorology.*

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## ABSTRACT

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- Water use efficiency (WUE), the amount of biomass produced with regards to the water used, has not yet been used as a breeding trait to select poplar genotypes with simultaneously high productivity and conservation of water. Before its application as a selection target, WUE or its estimators need to be stable across growing conditions.
- We compared the WUE and its components of two *Populus euramericana* (Moench.) and two *Populus nigra* (L.) genotypes grown either in a glasshouse or in the field, both under contrasting water availability.
- Genotype ranking was fairly stable for transpiration, carbon isotopic discrimination ( $\Delta$ ) and whole plant WUE (TE) between the glasshouse and the field. Moreover, increased WUE measured as  $\Delta$  or TE was not associated with lower biomass production, further suggesting that WUE may be used as breeding target in glasshouses for genotype selection without impacting the whole plant biomass production.
- However, reduced water availability modified the genotype ranking more significantly than growing conditions, suggesting a plasticity of poplar response to drought that should be considered in breeding strategies.

## KEYWORDS

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drought, *Populus*, stomatal conductance, transpiration, carbon isotopic discrimination

## INTRODUCTION

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Increased intensity and frequency of short and long-term droughts is an expected consequence of global changes (Dai, 2012; IPCC, 2014), as is predicted by current global climate change models (Sheffield & Wood, 2008). The amount of land exposed to droughts has risen significantly around the globe since 1950 (Dai, 2011). This will likely cause enduring environmental, economic and humanitarian consequences on societies and ecosystems (Touma *et al.*, 2015). Since climate and forests are interconnected (Bonan, 2008), each influencing the other, the effect of a reduction of soil moisture on forests, while complex, is a key element in global change research.

A decrease in plant productivity is commonly found as a response to a decline in soil water availability (Ciais *et al.*, 2005; Monclús *et al.*, 2006; Coopman *et al.*, 2008). A trend that is in contrast with the foreseen rise in wood demand, driven by economic growth in conjunction with energy and environmental policies (FAO, 2018). This divergence motivates plantation managers to extend their cultivation of trees species such as poplars away from their natural distribution in riparian ecosystems (Stobrawa, 2014), due to their high water requirements (Tschaplinski & Blake, 1989), to mesophyte habitats where soil water deficits are more frequent. Maintaining or increasing wood production while reducing water use appears therefore as a major challenge for the coming decades (Hamdy *et al.*, 2003; Condon *et al.*, 2004).

The concept of water-use efficiency (WUE) can be defined at the whole-plant scale as the transpiration efficiency (TE, the amount of biomass produced for a given amount of water used over a defined period of time). Since biomass production originates from CO<sub>2</sub> assimilation (A) into the leaves and the majority of water is lost by leaf transpiration, driven by stomatal conductance ( $g_s$ ) and the leaf-to-air vapour-pressure deficit (VPD), we can define WUE at the leaf level intrinsically as the ratio of A over  $g_s$  ( $W_i$ ).

Currently, commercial genotypes of hybrid poplars were selected mainly on a basis of high productivity, resistance to pathogens and suitable wood properties but not for high WUE (Monclús *et al.*, 2006). If WUE is to be used as a breeding trait, reducing water use must not come at the expense of wood production. Given the asymptotic relationship between A and  $g_s$  at the leaf level, there is a range of high  $g_s$  for which A does not improve further (Wong *et al.*, 1979). Therefore, a reduction of  $g_s$  does not always cause a similar reduction of A, making improvements of  $W_i$  possible.

At the whole-plant scale, methods for measuring TE demand a labor-intensive account of the water used, often limiting its widespread use in large-scale experiments. This makes it not realistically applicable to large screenings for cultivar improvement. Indirect estimations of WUE by measuring the composition of stable carbon isotopes ( $\delta$ , the ratio of  $^{13}\text{C}$  to  $^{12}\text{C}$  in a biological sample relative to an international standard, Pee Dee Belemnite) enables the comparisons of  $\delta$  in the sample of interest relative to the one of the atmosphere ( $\Delta$ ). Differences of  $\delta$  between the two arise from processes of discriminations which provide insights into leaf gas exchange processes and may be useful as a proxy to  $W_i$  (Farquhar *et al.*, 1982).  $\Delta$  has been negatively related to  $W_i$  in  $\text{C}_3$  crops (Farquhar *et al.*, 1989; Anyia *et al.*, 2007) and trees (Ponton *et al.*, 2002; Roussel *et al.*, 2009b). In poplars  $\Delta$  has been negatively linked with  $W_i$  and TE in black and hybrid poplars (Rasheed *et al.*, 2013; Rasheed *et al.*, 2015). However, some studies reported a lack of correlation with TE (Turner *et al.*, 2007; Devi *et al.*, 2011).  $\Delta$  is a leaf-level assimilation rate-weighted temporal integration of the  $A/g_s$  ratio. Unlike TE,  $\Delta$  does therefore not take into account possible carbon gains through photosynthetic stems, carbon losses by respiration (apart from leaves during the day) and water losses at night or during the day in organs other than leaves (Farquhar *et al.*, 1989; Hubick & Farquhar, 1989). Because of the different spatiotemporal integrations of WUE estimators ( $\Delta$ ,  $W_i$ , TE), understanding how and under which circumstances they are related can be complex.

An important consideration regarding the usefulness of WUE in selecting genotypes for improved WUE but similar or enhanced biomass production, is the stability of genotypic differences across growing conditions. Because TE is laborious to measure, experiments are often conducted in pot experiments in glasshouses. However, in controlled conditions the environment is widely different than in the field, with plants rarely experiencing high winds or pathogens as well as often being limited in the range of global radiation, temperature and humidity they are subjected to. Comparisons of WUE in controlled and field conditions are scarce in the literature. Some studies were able to establish a correlation or similar genotype ranking between growing conditions of  $\Delta$  or WUE in grass species (Johnson & Bassett, 1991) and crops (Ehdaie *et al.*, 1991; Anyia *et al.*, 2007) while others reported a weak correlation (Lambrides *et al.*, 2004) in sunflowers or not at all in three wheat species (Frank *et al.*, 1987). In oaks, field grown genotypes with high or low  $\Delta$  had a similar ranking when planted in a glasshouse (Roussel *et al.*, 2009b), which manifested as differences of TE as well (Roussel *et al.*, 2009a). To our knowledge, field estimations of TE are rare but extensive progress could be

gained from understanding to what degree WUE estimators from genotypes planted in glasshouses influence TE in the field.

In this context, we investigated the biomass production, water use, TE and  $\Delta$  of poplar genotypes grown in the field. Poplars were either irrigated or not, with the latter growing under a rainfall exclusion system. Our aim was to compare this data with a similar drought experiment using the same poplar genotypes, previously published in Durand *et al.* (2019) in order to answer the questions: (1) Is leaf-level and whole-plant WUE similar among well-watered poplar genotypes when grown in a glasshouse or in the field? (2) Does soil water deficit modify our assessment under well-watered conditions? (3) What are the underlying processes driving WUE at the leaf and whole-plant scale in controlled and field conditions?

## MATERIAL & METHOD

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### *Plant material and experimental design*

We used four poplar genotypes throughout the experiment. Carpaccio and I214 are commercially available *Populus deltoides* × *nigra* (Moench.) clones, while 6J29 and N38 are *Populus nigra* (L.) genotypes originating from natural populations in France (Drôme 6; FR-6) and Italy (La Zelata; IT1), respectively. Clones were selected to compare them with a previous glasshouse experiment (Durand *et al.*, 2019) and for their diversity of drought tolerance, I214 and 6J29 being usually found to be drought-sensitive (Chen *et al.*, 1997; Giovannelli *et al.*, 2007; Muller & Lambs, 2009; Viger *et al.*, 2016). The glasshouse drought experiment is explained in details in Durand *et al.* (2019). In the field, 144 shoot cuttings were planted in June 2<sup>nd</sup> 2014 by groups of three along six parallel rows spaced 5 m apart. A single randomly selected genotype was used for each group of three trees. Within and between groups on a row, poplars were separated by 1 m and 4 m, respectively (Fig. S1). The plantation was setup in a nursery at INRA-Nancy (48°45'09.3"N, 6°20'27.6"E; Champenoux, France). The plot had a 6° slope southeastward.

The studied site is characterized by gray marls of the Jurassic inferior (Lotharingian) era, a deep homogenous swelling heavy clay soil (52% silt, 40% clay, 8% sand). On average, the soil had a pH of 7.1, an organic matter content of 33.3 g kg<sup>-1</sup>, a total nitrogen content of 1.66 g kg<sup>-1</sup> and a C/N ratio of 11.6.

### *Rainfall exclusion setup*

Each tree was equipped with a water-dripping system, each dispensing 50 l per day. Waterings on the three more elevated rows relative to the slope stopped in May 26<sup>th</sup> 2015, to limit surface runoff of water to the rows below. In April 2016, covers 1.5 meters-wide were placed on the ground on each side of the three rows where irrigation was stopped (Fig. S1), covering 85% of the total ground area. Covers were two-fold, one was green to mirror soil optical properties and limit the rise of temperature under the covers (green canvas mulch, Triangle-outillage, Ennevelin, France), the other was impermeable to liquids but not to gases preventing rainfall to reach the ground but not soil evaporation to escape into the atmosphere (Berner France, Saint-Julien-du-Sault, France). Gutters were placed on the downward side of each cover to evacuate water to the bottom of the site (PVC, First Corp, Altare, Italy).

1.6 and 0.9 meters-long neutron probe access tubes (ten of each, aluminum, closed at their base) were installed, evenly spaced on the rows of the plot (Fig. S1), in order to quantify volumetric

soil water content (SWC) every 10 cm in depth (TROXLER TX 4301, Research Triangle Park, NC, USA). Calibration of each tube was performed following Normand (1974) by simultaneously measuring at each depth the wet soil bulk density (Gamma probe, Campbell, CPN 501 DR), the neutron counting speed of the soil (neutron probe) and the gravimetric soil moisture by taking soil samples during the installation and subsequently measuring the ponderal water content.

Measurements were performed once each month, in May 17<sup>th</sup>, June 23<sup>rd</sup>, July 18<sup>th</sup> and August 22<sup>nd</sup> 2017. For the last three dates, predawn leaf water potentials ( $\Psi_p$ ) were measured on the same day (4:00 to 5:00 h, local time) on six randomly-chosen non-senescent leaves of each genotype and water regime (*i.e.* 48 leaves) with a Scholander pressure bomb (SKPM-1400, Skye Instruments LTD, Llandrindod Wells, UK).

### *Sapflow*

Whole-tree water use (WU) was inferred from sapflow measurements using the thermal dissipation technique. Probes 20 millimeters-long were installed on July 11<sup>th</sup> 2017 20 cm above ground in the main stems in the North direction to estimate the sapflow per unit of sapwood area (*i.e.* sap flux density), following Granier (1985; 1987). Six probes per genotype and water regime were used (*i.e.* 48 probes). Probes were enclosed in aluminum sheets to protect them from direct radiation and rainfall. They were removed on September 3<sup>rd</sup> 2017, after 55 days. WU per tree was then computed by scaling sap flux density from transversal cross-section at sensor height since harvests revealed the absence of heartwood, which is common in young trees.

### *Monitoring and harvests*

Meteorological variables were measured in a meteorological station 190 m away from the planted poplars. The station was equipped with a data logger (Campbell 21X, Campbell Scientific Ltd), temperature and atmospheric relative humidity (Vaisala HMP45), global radiation (pyranometer Kipp and Zonen CMP6), wind speed (contact anemometer, Campbell Scientific A100) and rainfall sensors (tipping bucket rain gauge, Precis Mecanique 3030).

Diameter at 1.3 m (DBH) and height (H) of each tree were measured once each week during the growing season from 2015 to 2017. In April 18<sup>th</sup> 2016 and September 4<sup>th</sup> 2017 harvests were conducted to measure the above-ground biomass ( $DM_T$ ), separated in leaves ( $DM_L$ ) and stems ( $DM_S$ ). The first harvest was done before bud-break so only the woody biomass was measured. Every tree used for the sapflow experiment was used in the second harvest. One of

each group of three trees was used for each harvest (*i.e.* 48 trees). Leaves and stems were oven-dried at 60°C until they reached a constant dry mass to estimate the biomass in each compartment before the covers were installed and after the sapflow experiment. Using an exponential relationship between H and woody biomass for each genotype (RMSE < 26 g), we were able to compute the biomass in April 2016 for each tree harvested in September 2017 (Fig. S2a). From this data, we fitted a second order polynomial relationship between tree height and woody biomass for each combination of genotype and treatment (RMSE < 229 g). This enabled us to estimate the woody biomass of each of the 48 trees used for sapflow measurements at the start of the sapflow experiment using their measured height at the time (Fig. S2b). TE was estimated from estimation of above-ground biomass between the start and the end of the sapflow experiment, divided by WU. As such it did not integrate root biomass. TE from the glasshouse experiment was also re-calculated without the root biomass to be able to consistently compare the two experiments.

Total leaf area (TLA) was estimated twice during the experiment. The first one was performed in the first week of July 2017, right before the sapflow sensors installation. We fitted a third order polynomial between the number of leaves and the total leaf area (estimated from leaf width, Fig. S3) on each branch for two trees of each combination of genotype and treatment (*i.e.* eight trees). Then we estimated the total leaf area on 19 trees by counting the number of leaves on each branch and summing the computed leaf area of the tree. A second estimation of leaf area was performed by fitting a linear relationship between leaf mass and area from 50 leaves per tree harvested in September 2017 ( $R^2 > 0.94$ , Li-3000A, LI-COR, Lincoln, NE, USA). Using this relationship we were able to estimate leaf mass per area (LMA), TLA from  $DM_L$  on the 48 harvested trees in September 4<sup>th</sup> and  $DM_L$  from the TLA estimated at the start of the sapflow experiment (July 11<sup>th</sup>).

Since the sapwood area (SA), calculated from DBH measurements, was highly correlated with TLA (using both dates for each genotype,  $R^2 > 0.91$ ), we estimated TLA for each tree and each day of the sapflow experiment from splined weekly measurements of SA. Furthermore, by dividing for each day and each individual, their daily WU (from sapflow measurements) by their daily estimated TLA, we were able to calculate daily whole-plant transpiration per unit area (E, Fig. 1).

### *Leaf gas exchange*

We assessed the photosynthetic capacity by measuring CO<sub>2</sub> assimilation and internal CO<sub>2</sub> concentration (C<sub>i</sub>) along discrete steps of [CO<sub>2</sub>] using the LI-6800 portable photosynthesis

system (LI-COR, Lincoln, NE, USA). Conditions inside the leaf cuvette was as follows: leaf temperature of 25°C, saturating irradiance of 1500  $\mu\text{mol m}^{-2} \text{s}^{-1}$ , leaf-to-air VPD of 1.6 kPa, fan speed of 10000 rpm, flowrate of 1000  $\mu\text{mol s}^{-1}$  with an overpressure of 0.1 kPa. Before starting, we waited for  $g_s$  to reach a steady-state. Infrared gas analysers were matched after every step changes of [CO<sub>2</sub>] which were in order: 400, 1800, 1500, 1200, 1000, 800, 600, 400, 250, 200, 150, 100, 50, 0 and 400 ppm. Maximum carboxylation rate ( $Vc_{\max}$ ), maximum electron-transport rate ( $J_{\max}$ ) and maximum CO<sub>2</sub> assimilation at saturating C<sub>i</sub> ( $A_{\max}$ ) were estimated using the “plantecophys” R package (Duursma, 2015; R Core Team, 2019), using the Michaelis-Menten constants for CO<sub>2</sub> (K<sub>c</sub>), and O<sub>2</sub> (K<sub>o</sub>) and the CO<sub>2</sub> photo-compensation point ( $\Gamma^*$ ) of Bernacchi *et al.* (2001).

On July 18<sup>th</sup> and August 22<sup>nd</sup> 2017 we monitored diurnal dynamics of gas exchange (A and g<sub>s</sub>) from 5:30 and 6:30 h to 15:30 and 16:30 h (universal time), respectively. This was done on six replicates per genotype and treatment (*i.e.* 48 individuals) on sun leaves facing the south-east direction using two intercalibrated portable photosynthesis systems (Li-6200, LI-COR Inc., Lincoln, NE, USA).

### *Carbon isotopic discrimination*

Mature leaves were sampled in the field at the end of August 2015 and 2017 and in the glasshouse during the leaf sampling described in Durand *et al.* (2019). In the field, leaves grew under the rainfall exclusion setup, but they were fully mature before the start of the drought in the glasshouse. All sampled leaves were oven-dried for 48 h at 70°C and grounded into a fine powder. 1.0 ± 0.1 mg subsamples were weighed into tin capsules and  $\delta$  was measured with a coupled isotope ratio mass spectrometer (Thermo-Finnigan; Delta S, Bremen, Germany). <sup>13</sup>C/<sup>12</sup>C ratios were computed relative to the Vienna Pee Dee Belemnite international standard. Isotopic composition of the air in the glasshouse was -9.61 ‰, derived from isotopic compositions of two *Zea mays* (L.) planted in the glasshouse at the time, following Marino & McElroy (1991). In the field the isotopic composition of the air was -8.73 ‰, estimated from its evolution over the past three centuries following Bonal *et al.* (2011). Isotopic discrimination was estimated as  $\Delta = (\delta_{\text{air}} - \delta_{\text{leaf}}) / (1 + \delta_{\text{leaf}} / 1000)$ .

### *Statistics*

Statistics were done using R 3.5.2 (R Core Team, 2019). Significant differences among the four genotypes and the two treatments was tested with type two Two-Way ANOVA. A similar but repeated ANOVA design with genotypes and treatments as between-subjects factors and the time as within-subjects factor was used for diurnals gas exchange and daily whole-plant

transpiration ( $n = 5-6$ ). Differences of soil water content was tested with Student tests at every depth ( $n = 10$ ). Correlations were computed by performing linear regressions ( $n = 48$ ), using genotype-treatment means when comparing field and glasshouse data because pairing could not be established between trees ( $n = 8$ ). Normality, homoscedasticity and sphericity were checked by Shapiro-Wilk normality tests, Levene tests and Mauchly tests, respectively. When sphericity was violated we used the Greenhouse-Geisser correction. Significant differences between modalities of each factors were tested by post-hoc contrast analysis and  $P$  values were adjusted to control for the false discovery rate. Significant differences were considered at  $P < 0.05$  for all tests.

## RESULTS

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### *Water relations in the field*

Our experimental rainfall exclusion design proved successful in reducing the SWC. On May 17<sup>th</sup> 2017, the mean SWC ranged from 47 to 35.9% from 20 to 150 cm in depth with no significant difference between irrigated and the rainfall exclusion plot at any depth (data not shown). Both plots showed a decrease of SWC on June 23<sup>rd</sup>, which was larger in the rainfall exclusion plot. This led to significant differences of SWC between plots in most of the first 120 cm ( $P < 0.04$ , except at 70 and 80 cm, Fig. 2). At this date,  $\Psi_p$  was marginally lower in the rainfall exclusion trees than in the irrigated ( $P = 0.05$ ). During the following month SWC continued to decrease in the rainfall exclusion plot causing larger differences between the two plots in the first 130 cm ( $P < 0.007$ ) on July 18<sup>th</sup>.  $\Psi_p$  was also significantly lower in the rainfall exclusion trees than in the irrigated for all genotypes ( $P < 0.02$ ). More rainfall during the month of August (Fig. S4c) led to a small increase of SWC on both plots in the first 100 cm on August 22<sup>nd</sup> (but a continued decrease below this depth). Nonetheless, we found significant differences of SWC between plots in the first 140 cm.  $\Psi_p$  was however similar between irrigated and rainfall exclusion trees, except for Carpaccio which showed a more negative  $\Psi_p$  in the rainfall exclusion trees than in the irrigated ones (Fig. 2).

Sapflow sensors recorded the flow of ascending sap in the main stem, from which we can infer plant water use (WU), between July 14<sup>th</sup> and August 31<sup>st</sup> (Table 1). Whole-plant water use was higher in the rainfall exclusion hybrid poplars than in the irrigated by 34 and 58% for Carpaccio and I214 ( $P < 0.03$ ). A similar but not significant trend was found in the black poplars ( $P > 0.23$ ). However, whole-plant daily transpiration per leaf area (E) was overall significantly lower in rainfall exclusion trees. Post-hoc comparisons were able to detect significant differences of E in Carpaccio and 6J29 but not in I214 and N38 (Table 1). When investigating differences of E on specific days, transpiration in the irrigated trees was almost always higher than in the rainfall exclusion trees for every genotype (Fig. 1). Despite this, we were able to detect significant differences of transpiration between water regimes only in 15, 2, 8 and 0 days for Carpaccio, I214, 6J29 and N38 respectively, because of the high individual variability of whole-plant transpiration.

At the leaf level, diurnal gas exchange measurements on July 18<sup>th</sup> and August 22<sup>nd</sup> showed a clear reduction of  $g_s$  for every genotype throughout the day except early in the morning ( $P < 0.001$ , Fig. 3e-h, Fig. 4e-h). On July 18<sup>th</sup>, stomata of the rainfall exclusion trees, regardless of

genotype, were completely closed from 10:00 until the end of the day, while those of the irrigated trees continued to decrease during the afternoon. Overall,  $g_s$  was similar between the four genotypes at this date ( $P = 0.45$ ). On August 22<sup>nd</sup>, Carpaccio rainfall exclusion trees were almost completely closed throughout the day similarly to July 18<sup>th</sup> (Fig. 4e), while the other three genotypes showed different extents at which  $g_s$  was reduced under rainfall exclusion with N38 exhibiting the second strongest and 6J29 the smallest reduction of  $g_s$ . Overall,  $g_s$  was also reduced in the rainfall exclusion trees but to a smaller degree than on July 18<sup>th</sup> (Fig. 4e-h).

### *Biomass and photosynthesis in the field*

At the whole-plant scale at the end of the growth period in 2017, we found H, DM<sub>S</sub> and DM<sub>L</sub> to be overall higher in the rainfall exclusion than in the irrigated trees ( $P < 0.001$ , Table S1). While post-hoc comparisons were not always able to show significant genotypic differences, the lack of interaction between the genotype and treatment effect ( $P > 0.05$ ) highlights the similar trend in every genotype. In April 2016, there were no such differences between treatments ( $P > 0.19$ ). Growth and biomass accumulation in 2017 show these differences were in part, established during the summer 2017 (Table 1). Growth was 23 to 60% higher for height and 43 to 154% for DBH in the rainfall exclusion than in the irrigated trees, depending on genotype. DM<sub>T</sub> and DM<sub>S</sub>, although similar among genotypes, were also more than doubled in the rainfall exclusion than in the irrigated trees with a mean total biomass accumulation of 2.43 kg in the former and 1.04 kg in the latter. Moreover, even though LMA was 8.4 to 12.6% higher in the rainfall exclusion than in the irrigated trees depending on genotype ( $P < 0.001$  but not significant in Carpaccio with post hoc comparisons), both DM<sub>L</sub> and TLA were overall higher in the rainfall exclusion trees as well (DM<sub>L</sub>: 203 and 446 g, TLA: 2.05 and 2.94 m<sup>2</sup>, for irrigated and rainfall exclusion trees respectively). However the high individual variability prevented us from detecting significant genotypic-specific differences between treatments by post-hoc comparisons.

At the leaf level, photosynthetic capacity measurements showed a significant increase in Vc<sub>max</sub> by 32, 28 and 27% in Carpaccio, I214 and N38 rainfall exclusion trees compared the irrigated ones. There was a similar but not significant trend in 6J29 (Fig. 5a,  $P = 0.009, 0.006, 0.385, 0.007$  for Carpaccio, I214, 6J29 and N38 respectively). Yet, 6J29 exhibited in irrigated conditions a Vc<sub>max</sub> as high as the other three genotypes under rainfall exclusion. J<sub>max</sub> had a comparable trend with an overall higher J<sub>max</sub> in the rainfall exclusion trees but post-hoc comparisons were not able to show genotypic-specific differences (Fig. 5b). A<sub>max</sub> did not show any significant difference between treatments (Fig. 5c,  $P = 0.67$ ). Genotypic differences were

significant and similar among the three parameters tested ( $P = 0.002, 0.011$  and  $0.009$  for  $Vc_{max}$ ,  $J_{max}$  and  $A_{max}$  respectively). Carpaccio had the lowest  $Vc_{max}$ ,  $J_{max}$  and  $A_{max}$  while 6J29 had the highest both under irrigated and rainfall exclusion conditions. Leaf nitrogen content were also increased in the trees that were not irrigated in 2015 and under rainfall exclusion in 2017, when compared to the irrigated ones ( $P < 0.001$  in both cases, Fig. S5). In the glasshouse, leaf nitrogen also tended to be increased under drought ( $P = 0.057$ , data not shown).

Despite this trend of higher photosynthetic capacity in the rainfall exclusion than in the irrigated trees,  $CO_2$  assimilation during our gas exchange diurnals was lower under rainfall exclusion. On July 18<sup>th</sup>,  $A$  decreased more rapidly in the rainfall exclusion than in the irrigated trees from 7:00 h onwards in the hybrid poplars and from 8:30 h onwards in the black poplars (Fig. 3a-d). On August 22<sup>nd</sup>, the reduction of  $A$  in the rainfall exclusion plants was still visible but to a smaller degree depending on the concurrent decrease of  $g_s$  (Fig. 4a-h). I214 and 6J29 exhibited a decrease of  $A$  under rainfall exclusion, mostly in the early morning, which was much smaller than in Carpaccio and N38. Akin to photosynthetic capacity data, Carpaccio showed overall the lowest and 6J29 the highest  $CO_2$  assimilation.

#### *Water use efficiency and comparisons between the glasshouse and field experiment*

Regarding the intrinsic WUE ( $W_i$ ) during the gas exchange diurnals on July 18<sup>th</sup>, most of the significant differences between treatments were found for times were  $g_s$  approached zero and thus  $W_i$  increased very strongly (Fig. 3i-l, Fig. 4i-l). Similarly to  $A$  and  $g_s$ , no genotypic difference of  $W_i$  was found at this date. On August 22<sup>nd</sup>,  $W_i$  remained relatively constant in the irrigated trees while it increased in the afternoon on rainfall exclusion trees. As a result,  $W_i$  tended to be higher in the early morning in irrigated trees because of a similar  $g_s$  but higher  $A$ . The reverse was found in the afternoon in I214 and N38, resulting from a larger decrease of  $g_s$  than  $A$  when comparing them to the irrigated trees.

As a consequence of the respectively large increase in biomass accumulation and the moderate increase in water use in the rainfall exclusion poplars when compared to the irrigated ones, TE was overall 78% higher under rainfall exclusion than in irrigated conditions (2.78 and 4.96 g l<sup>-1</sup>, for irrigated and rainfall exclusion respectively). On the contrary,  $\Delta$  was significantly reduced by 13, 12, 6.5 and 10% in the rainfall exclusion trees when comparing to the irrigated trees, for Carpaccio, I214, 6J29 and N38 respectively. Overall, hybrid poplars tended to have a lower TE than black poplars under irrigated conditions (not significant). Under rainfall exclusion, this trend was illustrated by the significant difference between Carpaccio and N38, having the lowest and highest TE, respectively ( $P < 0.05$ ). Correspondingly, this tendency was reversed

when comparing genotypic differences in  $\Delta$ , with Carpaccio and I214 exhibiting the highest  $\Delta$  and N38 the lowest, under both irrigated and rainfall exclusion conditions. 6J29 showed the smallest increase of TE (47%) and the smallest decrease of  $\Delta$  (6.5%) under rainfall exclusion. Furthermore, we found a negative correlation between of TE and  $\Delta$  among treatments and genotypes in the field ( $P < 0.001$ ,  $R^2 = 0.39$ , Fig. 6b), driven mainly by the water regime. By contrast, TE and  $\Delta$  was found to be nearly significantly negatively correlated in the glasshouse experiment ( $P = 0.087$ ,  $R^2 = 0.04$ , Fig. 6a) because of the high combined  $\Delta$  and TE of 6J29, also found in the field experiment (without 6J29 in the glasshouse,  $P = 0.001$ ,  $R^2 = 0.25$ ). Components of TE were also different under controlled and field conditions. In the field, TE was not correlated with water use ( $P = 0.21$ , Fig. 7d), but positively and strongly with biomass accumulation ( $P < 0.001$ ,  $R^2 = 0.62$ , Fig. 7b) while the reverse was true in the glasshouse experiment. TE was negatively correlated with water use ( $P < 0.001$ ,  $R^2 = 0.40$ , Fig. 7c) but not with biomass accumulation ( $P = 0.93$ , Fig. 7a). Similar results were found when taking the water regime into account using an ANCOVA statistical design.

Unexpectedly, despite this divergence we found TE values in the field to be close to the one we found in the glasshouse experiment. Well-watered trees tended to have a higher transpiration efficiency in the glasshouse while we found the inverse for water-limited trees (Fig. 8a). Only N38 well-watered trees were significantly lower in the field than in the glasshouse ( $P = 0.02$ ) whereas water-limited I214 and N38 were significantly higher in the field than in the glasshouse ( $P < 0.004$ ). By contrast,  $\Delta$  in the field was always lower than in the glasshouse for every combination of genotype and treatment ( $P < 0.001$ ), by 11 and 19% on average for irrigated and rainfall exclusion trees, respectively (Fig. 8b). This was similar to the lower E in the field than in the glasshouse (Fig. 8c), also exhibited by every combination of genotype and treatment, by 24, 28, 34 and 49% for Carpaccio, I214, 6J29 and N38 respectively ( $P < 0.001$ ).

Correlations of traits between the glasshouse and the field are difficult considering no pairing can be established between trees. As such our only alternative is to correlate genotypes and treatments means. This has the effect of reducing the number of observations by a factor of 6 (equal to the number of replicates in each conditions), thus reducing the statistical power to detect significant correlations, even though each calculated mean is informed by six replicates. As a result, correlations of TE,  $\Delta$  and E between the field and glasshouse experiment were not statistically significant ( $P = 0.18$ ,  $0.05$  and  $0.14$ , respectively) despite the discernable positive trends and the relatively strong correlation coefficients ( $R^2 = 0.15$ ,  $0.40$  and  $0.21$ , respectively, Fig. 8). By randomly pairing greenhouse and field data by genotype and water regime a million

times (similar to a bootstrap with replacement), we found 92, 97 and 92% of  $P$  values under the 0.05 threshold and  $R^2$  of 0.22, 0.23 and 0.19 for TE,  $\Delta$  and E, respectively (Fig. S6).

Genotype ranking between the field and glasshouse experiment was fairly maintained, with typically only one genotype switching order between the field and glasshouse conditions. For example from lowest to highest TE in irrigated trees, the order Carpaccio, I214 and 6J29 was similar in the field and in the glasshouse (Fig. 8a). Likewise in rainfall exclusion trees, the order Carpaccio, 6J29 and N38 was found in both conditions. Overall, N38 frequently had the highest TE and always had the lowest  $\Delta$  in both conditions and for both treatments (Fig. 8a-b). On the contrary, Carpaccio irrigated trees had the lowest TE and the highest  $\Delta$  in both conditions. 6J29 also had the highest E in both conditions for both treatments (Fig. 8c.).

## DISCUSSION

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Water use efficiency has a major potential to help select and breed new individuals with similar or increased biomass production and lower water consumption. However, estimating WUE is not an easy task. Further research is needed to better understand if and under which conditions different spatiotemporally-integrated estimators of WUE are related. In this study we investigated the water use efficiency and its components at the leaf and whole plant scale of four poplar genotypes in the field under irrigation or a rainfall exclusion setup. This data was also compared to a preceding study in a glasshouse in order to explore the links between leaf-level and whole-plant WUE in each condition and across them.

### *Rainfall exclusion effect in the field*

A major and unexpected result of our study was the increased biomass and leaf area production in the rainfall exclusion trees as compared to the irrigated ones. The moderate but significant reduction of soil water content and lower predawn leaf water potential we found in the rainfall exclusion plot (Fig. 2) is usually associated with a reduced biomass production in poplar genotypes, driven by stomatal closure (Monclús *et al.*, 2006). In our experiment at the leaf level, stomatal opening was substantially lower in the rainfall exclusion trees (Fig. 3-4), as theory predicts, decreasing both transpiration and CO<sub>2</sub> assimilation but increasing W<sub>i</sub>. Despite this, the higher biomass production was most likely amplified by the exponential growth with increased tree size, as larger trees have larger leaf area and thus a higher number of photosynthetic organs. The increased photosynthetic capacity (V<sub>cmax</sub>), mitigating the reduced CO<sub>2</sub> assimilation prompted by stomatal closure may have also contributed, to a lower degree, to the higher biomass production in the rainfall exclusion plot compared to the irrigated one. The observed leaf-level trend of lower transpiration per unit area (E) when water is limited was also seen at the whole plant scale, with different magnitude of decrease depending on genotype (Fig. 1). A number of factors could explain this genotypic difference, such as leaf aging (Reich, 1984), differences of stomatal density in newly developing leaves or with leaf position in the canopy (Ceulemans *et al.*, 1995) and differences in the physiology of shaded leaves compared to sun leaves (Campany *et al.*, 2016).

Another consideration is the increased nitrogen content in leaves in 2015 which was maintained in 2017 (Fig. S5). A slight trend of higher nitrogen in the soil was also found in 2017, however not significant. Nitrogen availability is known to stimulate growth (Gruber & Galloway, 2008), and has a complex relationship with soil water availability. As the soil dries, the rate of both

diffusion and mass flow to the roots is reduced leading to a decrease of permeability of roots to nutrients (Oren & Sheriff, 1995), counteracted somewhat by the increased concentration of the soil solution (Nye *et al.*, 1979). In maize roots, response to drought resulted in an overexpression of nearly all genes involved in nitrogen uptake and assimilation (Wang *et al.*, 2017). When plant growth is mainly limited by water, theory suggests that allocating more nitrogen per leaf area would raise CO<sub>2</sub> assimilation when stomatal conductance and leaf area production declines (Farquhar *et al.*, 2002), which is in accordance with our results of higher nitrogen content and photosynthetic capacity in the rainfall exclusion trees in the field and the similar trend observed in the glasshouse. Mooney *et al.* (1978) found an increased nitrogen content in eucalyptus species living in dryer habitats and other reports show a similar trend under drought in beech (Sánchez-Gómez *et al.*, 2013) and willows (Weih *et al.*, 2011), driven by a higher leaf mass per area under drought, which is similar to our findings (Table 1, Fig. S5). This increased nitrogen content was hypothesized to be a functional adaptation rather than a passive effect of reduced water availability (Weih *et al.*, 2011), potentially relevant as a breeding target for poplars.

This response may have interacted with the covers installed in the rainfall exclusion plot. Placing covers permeable to water on the soil, like traditional mulch, is known to increase soil water content (Walsh *et al.*, 1996b), a rise in temperature of 1 to 2°C in the firsts 10 cm of soil but not in the air 5 cm above the surface (Ham *et al.*, 1993; Walsh *et al.*, 1996b), and an increased nitrate content in the soil because of the lower weed competition and lower leaching (Walsh *et al.*, 1996a). This results in an increased growth and biomass production when compared to grass or mixed flora covers. In our setup the soil water content was reduced under the covers (Fig. 2), which may have been promoted by increased soil evaporation induced by the increase in soil surface temperature. However the amount of reflected light and rise in temperature caused by the covers is largely dependent on the cover color (Hostetler *et al.*, 2007) which was green in our case, similar to the grass cover in the irrigated plot.

Poplar roots can have associations with ectomycorrhizal and with vesicular-arbuscular mycorrhizal fungi but can be limited by high soil nitrogen and temperature (Block *et al.*, 2006), and by low water availability (Nickel *et al.*, 2017). However, root systems were found to be related to the main stem diameter in poplars, depending on soil fertility (Fortier *et al.*, 2015). Following this assumption would lead to a probable higher root biomass in the rainfall exclusion trees than in the irrigated ones, even if mycorrhization may be limited under the canvas. Carbon allocation to roots was found to be increased both under drought (Tschanplinski *et al.*, 1998) and

under low soil nitrogen (Fortier *et al.*, 2015). Furthermore, under high nitrogen but low water availability, root proliferation was enhanced in different poplar hybrids (Ibrahim *et al.*, 1998), increasing levels of carotenoids, proline, ABA and consequently, drought tolerance (Song *et al.*, 2019). This has the additional result of decreasing leaf gas exchange (Liu & Dickmann, 1996; Song *et al.*, 2019). These mechanisms may have strengthened the drought tolerance of the rainfall exclusion trees subjected to repeated summer droughts.

### *Carbon isotope discrimination as a leaf-level estimator of transpiration efficiency*

Numerous studies have found a negative relationship between  $\Delta$  and WUE in C<sub>3</sub> crops and trees species, in accordance with theory (see: Brugnoli & Farquhar, 2000; Roussel *et al.*, 2009b). More specifically,  $\Delta$  measured in soluble sugars was negatively correlated with TE in six hybrid poplar genotypes (Rasheed *et al.*, 2013) and several black poplar genotypes (Rasheed *et al.*, 2015; Bogeat-Triboullet *et al.*, 2019; Durand *et al.*, 2019) grown in a glasshouse. This is in agreement with our study showing the negative relationship between  $\Delta$  and TE across hybrid and black poplar genotypes in the field (Fig. 6b). In the glasshouse, our weaker and nearly significant trend may be explained by a number of factors. First, the sampled leaves were already mature at the start of the drought so that other measurements could be performed on the same leaves (Durand *et al.*, 2019). This likely led to the observed small range (2 ‰) of  $\Delta$  values in the glasshouse. The trend may have been driven by leaf starch and soluble sugars as they are known to more closely reflect the daily assimilation-weighted average of C<sub>i</sub> over atmospheric CO<sub>2</sub> concentrations (C<sub>i</sub>/C<sub>a</sub>; Brugnoli *et al.*, 1988). Second,  $\Delta$  and TE involve different spatial and temporal integration. At the leaf level the link between  $\Delta$  and C<sub>i</sub>/C<sub>a</sub> could be modified by cuticular and mesophyll conductance (Brugnoli & Farquhar, 2000), fractionation during respiration and photorespiration (Gillon & Griffiths, 1997), leaf physiology (*e.g.* sun/shade) and leaf age causing different metabolisms and chemical compositions (Gutierrez & Meinzer, 1994). All of which are susceptible to have genotypic-specific variations and/or be altered under stress. At the whole-plant level,  $\Delta$  does not include neither carbon lost through respiration by non-photosynthetic organs or at night by the whole plant, nor water losses during the night by stomata or by other organs during the day (Farquhar *et al.*, 1989; Hubick & Farquhar, 1989). 6J29 appears to deviate to the upper right in Fig. 6 both in controlled and field conditions by having the highest combined TE and  $\Delta$ , the latter being usually associated with lower WUE. A possible explanation may be that the higher photosynthetic capacity found in this genotype may result from differences of mesophyll conductance compared with the other genotypes, shifting the relation between  $\Delta$  and TE as well.

For WUE to be considered as a breeding trait, the optimization of plant water use should not be at the cost of biomass production. In our glasshouse experiment TE was negatively correlated with water use but not with biomass production (Fig. 7a-c), suggesting genotypes with higher TE associated with reduced stomatal conductance without a concurrent and proportional reduction in assimilation rate. The mild drought carried out likely shifted from the asymptotic part of the A-g<sub>s</sub> relationship (Wong *et al.*, 1979) thereby decreasing water use at a trivial cost of CO<sub>2</sub> assimilation. Many studies reported a positive (Voltas *et al.*, 2006; Rasheed *et al.*, 2013; Bogeat-Triboulot *et al.*, 2019) or an absence of correlation (Marron *et al.*, 2005; Monclús *et al.*, 2005; Monclús *et al.*, 2006; Rasheed *et al.*, 2015) between WUE estimators and biomass production in black and hybrid poplars as well as in other species (Hubick *et al.*, 1986; Virgona & Farquhar, 1996). Both enabling the possibility of selecting genotypes with improved TE and productivity. Negative correlations between WUE and productivity are somewhat counter intuitive since for a given WU, the higher the productivity, the higher WUE. For the correlation to be negative, a higher productivity has to be met with an even higher WU so that WUE gets lower despite the higher productivity. Thus it predicates upon a negative correlation between TE and water use so that increments of biomass production would require a larger increment in water use. Such cases exists, often under drought (Read *et al.*, 1991; Ray *et al.*, 1999), or when comparing genotypes with contrasting growth adaptation to dryer climates (Zhang *et al.*, 2004), but not necessarily (Ehdaie & Waines, 1993). However in the field, the more productive trees were also under reduced water availability, hence under stomatal control (Fig. 3-4) which led to higher TE and lower Δ when compared with the not water-limited but less productive trees.

### *Stability of transpiration efficiency across growing conditions*

Genotypic means of TE were mostly similar between in the field and the glasshouse experiment. This is in agreement with investigations on the stability of poplar genotype ranking with age (Rasheed *et al.*, 2011) and different VPD treatments (Rasheed *et al.*, 2015), which make part of the distinctions between our glasshouse and field experiment. For black poplars specifically, N38 when grown in a glasshouse and in the field tend to have a lower TE and a higher Δ than 6J29, in agreement with previous drought experiments (Bogeat-Triboulot *et al.*, 2019; Durand *et al.*, 2019). These findings of relatively stable transpiration efficiency across wildly different environmental conditions and age suggests that selecting poplar genotypes with higher WUE and higher productivity in glasshouses may be viable for poplar plantation in the field. By contrast Δ, despite a near significant correlation was systematically and largely higher in the glasshouse than in the field, similar to previous studies on wheat (Ehdaie *et al.*, 1991) and barley

(Anyia *et al.*, 2007). This difference is not likely to be related to differences in the isotopic composition of the air as it was 1‰ higher in the field ( $\delta = -9.61$  and  $-8.73\text{‰}$  for glasshouse and field air respectively). Accurate estimations of air  $\delta$  are difficult, and soil respiration may have interacted with the air to further modify  $\delta$  depending on species and environmental conditions (Ghashghaie *et al.*, 2001; Xu *et al.*, 2004). Apart from differences of leaf sampling between experiments, these differences of  $\Delta$  may be rooted in differences of  $g_s$  between the two environments. Genotype ranking of whole-plant daily transpiration was also fairly maintained, except for N38 between the glasshouse and the field experiment. While comparisons of VPD experienced between the two growing conditions are difficult, diurnals gas exchange data performed in similar instrumental conditions, and during solar noon in summer on a cloudless sky, suggests a lower  $g_s$  in the field (Fig. 3-4) as compared to the glasshouse (Durand *et al.*, 2019), further reduced by the decreased water availability. This lower  $g_s$  would contribute to a higher  $W_i$  and thus a lower  $\Delta$ , in agreement with our findings. Little is known about the stability of WUE between field and glasshouse experiment, with only a handful of studies showing either a strong (Ehdaie *et al.*, 1991), a weak (Lambrides *et al.*, 2004), a trend (Johnson & Bassett, 1991) or no correlation at all (Frank *et al.*, 1987).

This highlights the need for future studies to investigate the drivers behind these contrasting patterns. For example differences of stomatal dynamics along the day has been found to impact whole-plant transpiration in poplar genotypes, partly linked to variation in stomatal density and sizes (Durand *et al.*, 2019). Under field and glasshouse conditions, stomatal density, size and speed and their relation to transpiration and WUE may be different. The higher wind speed in the field than in the glasshouse may lead to widely different boundary layer conductance of the leaf, changing the relation between variations of environmental conditions and leaf transpiration. This highlights the need for future studies to investigate the drivers behind these contrasting patterns so that the opportunity to breed plants for improved water use does not remain beyond our reach forever.

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## CONFLICT OF INTEREST

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTION

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MD, CB, JBL, PC and DLT contributed to the data collection. MD, OB, AG and DLT contributed to the experimental design. MD, OB, DLT, JBL and AG contributed to data analysis and interpretation. All contributors were involved in the writing of the manuscript.

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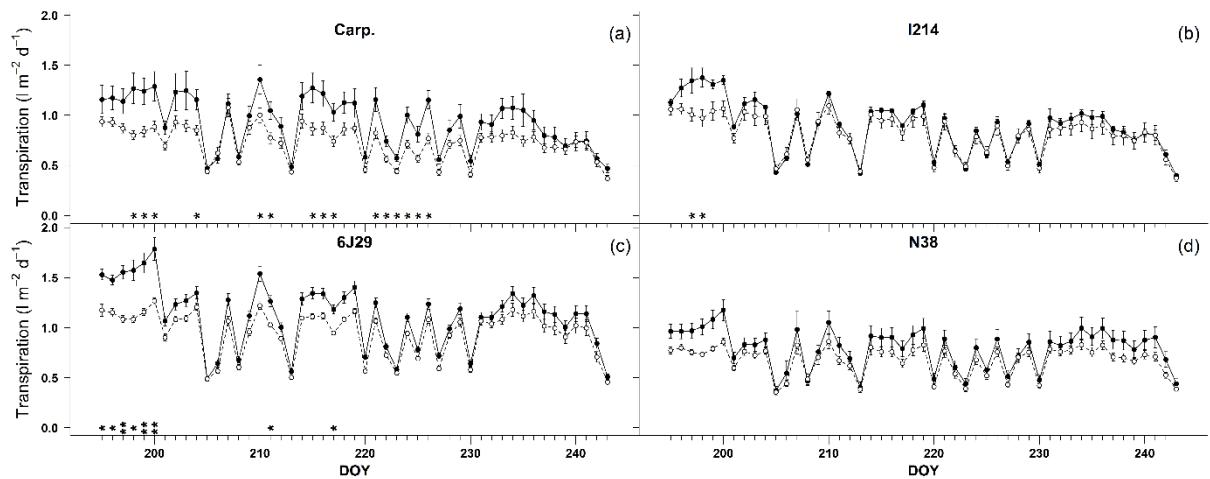
**TABLES**

**Table 1** Growth, biomass production and water use of two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under irrigated or a rainfall exclusion setup in the field. Values reported are means ± standard deviation of the difference between the end and the start of the experiment (n = 6). ANOVA factors were considered significant when  $P < 0.05$ . Letters show significant differences by post-hoc contrast among the eight groups (4 genotypes + 2 water treatments).

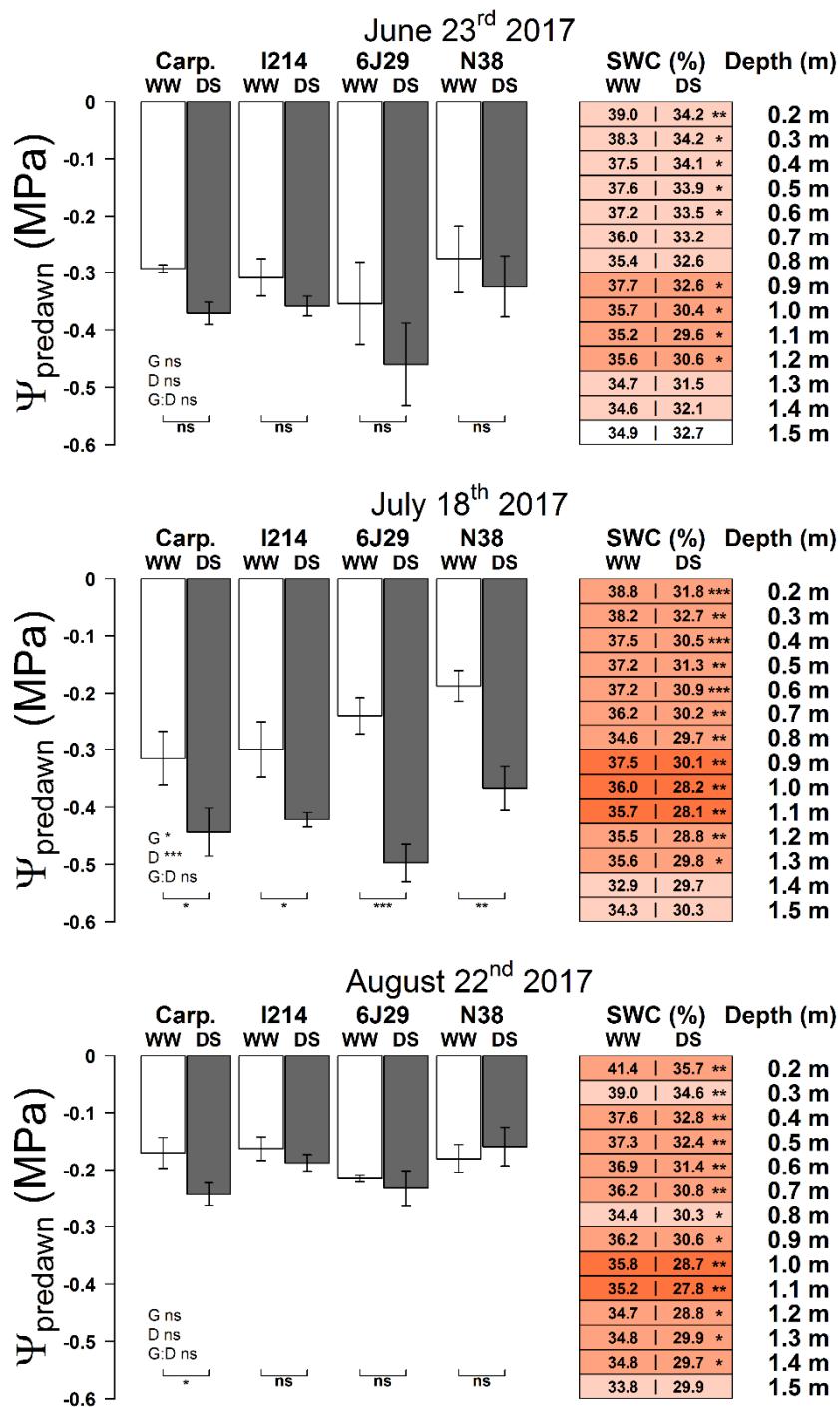
Genotype	Treatment	H (cm)	DBH (mm)	DM <sub>T</sub> (g)	DM <sub>S</sub> (g)	DM <sub>L</sub> (g)	TLA (m <sup>2</sup> )	LMA (g mm <sup>-2</sup> )	WU (kg H <sub>2</sub> O)	E (kg H <sub>2</sub> O m <sup>-2</sup> d <sup>-1</sup> )	TE (g.L <sup>-1</sup> )	Δ <sup>13</sup> C (‰)
Carpaccio	irrigated	53 ± 11 a	3.3 ± 0.1 a	911 ± 531 a	684 ± 425 a	239 ± 58 a	2.59 ± 0.71 ab	0.95 ± 0.05 a	429 ± 163 ab	1 ± 0.09 de	2.26 ± 0.79 a	21.57 ± 0.55 e
	rainfall excl.	85 ± 17 cde	8.4 ± 1.9 d	2571 ± 1125 b	1960 ± 742 b	622 ± 324 b	5.79 ± 3.59 b	1.03 ± 0.07 ab	574 ± 63 c	0.73 ± 0.12 ab	4.02 ± 1.61 bc	18.72 ± 0.7 b
I214	irrigated	56 ± 9 ab	5 ± 1.6 ab	954 ± 407 a	638 ± 343 a	190 ± 50 a	2.06 ± 0.66 ab	0.95 ± 0.09 a	346 ± 74 a	0.89 ± 0.04 bed	2.55 ± 0.57 ab	21.09 ± 0.34 de
	rainfall excl.	88 ± 21 de	8.5 ± 1.9 d	2563 ± 798 b	2133 ± 706 b	446 ± 266 ab	3.99 ± 2.55 ab	1.06 ± 0.07 bc	545 ± 88 bc	0.82 ± 0.16 abc	5.07 ± 0.65 cd	18.66 ± 0.43 b
6J29	irrigated	80 ± 10 cd	5.5 ± 0.4 bc	1216 ± 506 a	928 ± 399 a	235 ± 139 a	2.18 ± 1.37 ab	0.95 ± 0.11 a	391 ± 99 a	1.13 ± 0.12 e	3.39 ± 1.55 ab	20.74 ± 0.38 d
	rainfall excl.	98 ± 11 e	8.5 ± 1.3 d	2437 ± 497 b	2021 ± 341 b	415 ± 223 ab	3.48 ± 1.97 ab	1.07 ± 0.03 bc	468 ± 52 abc	0.95 ± 0.05 cd	4.98 ± 1.17 cd	19.39 ± 0.41 c
N38	irrigated	71 ± 8 bc	4.9 ± 0.6 ab	1084 ± 360 a	802 ± 405 a	148 ± 117 a	1.36 ± 1.07 a	1.05 ± 0.03 b	345 ± 84 a	0.8 ± 0.19 abc	2.93 ± 0.69 ab	19.99 ± 0.42 c
	rainfall excl.	99 ± 10 e	7 ± 1.7 cd	2139 ± 558 b	1840 ± 591 b	300 ± 199 ab	2.5 ± 1.74 ab	1.14 ± 0.02 c	351 ± 71 a	0.67 ± 0.09 a	5.75 ± 0.41 d	17.95 ± 0.93 a
P value	Genotype	<b>0.001</b>	ns	ns	ns	ns	<b>0.001</b>	<b>0.002</b>	< 0.001	<b>0.049</b>	< 0.001	
	Treatment	< 0.001	< 0.001	< 0.001	< 0.001	<b>0.001</b>	<b>0.005</b>	< 0.001	< 0.001	< 0.001	< 0.001	< 0.001
	Interaction	ns	ns	ns	ns	ns	ns	ns	ns	ns	ns	<b>0.014</b>

H, plant height increment; D, main stem diameter increment at 1.3 m; DM<sub>T</sub>, total dry mass increment; DM<sub>S</sub>, stem dry mass increment; DM<sub>L</sub>, leaf dry mass increment; WU, cumulated water use; TLA, total leaf area increment; E, average daily whole-plant transpiration per unit leaf area; TE, transpiration efficiency; Δ<sup>13</sup>C, carbon isotopic discrimination from bulk leaves; ns, not significant.

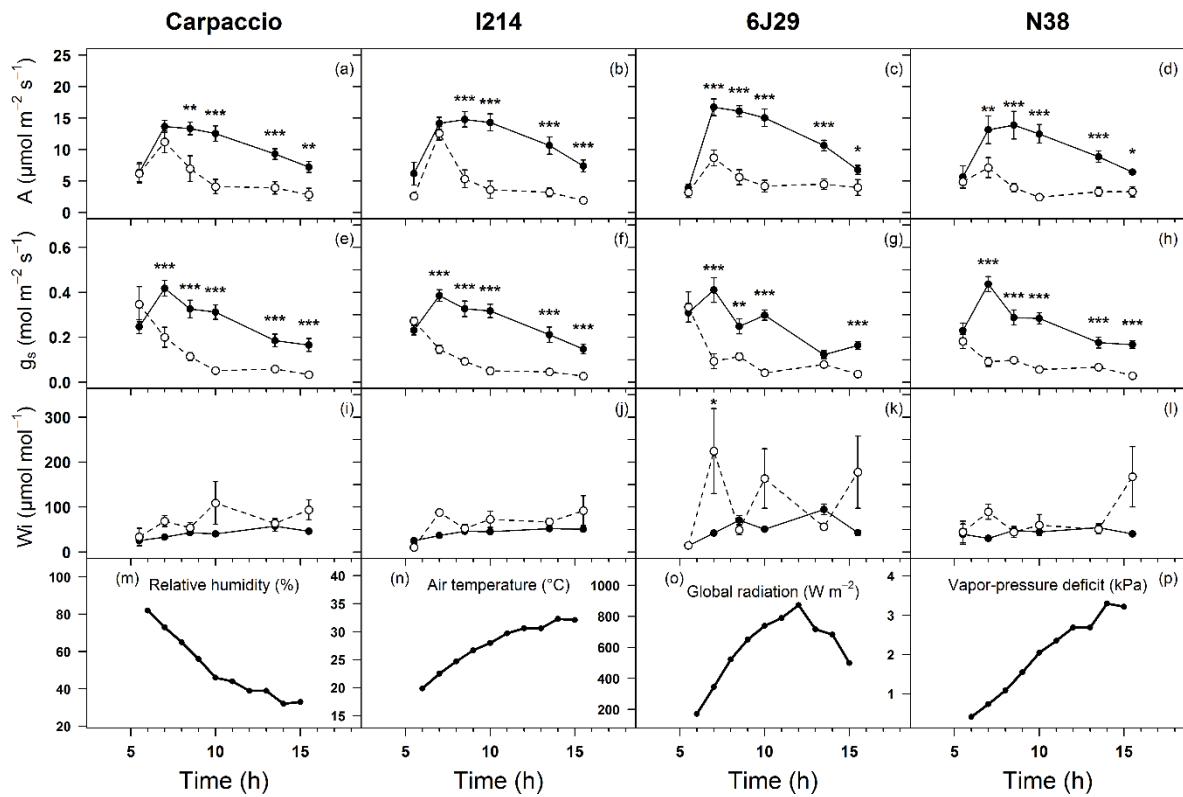
## FIGURES



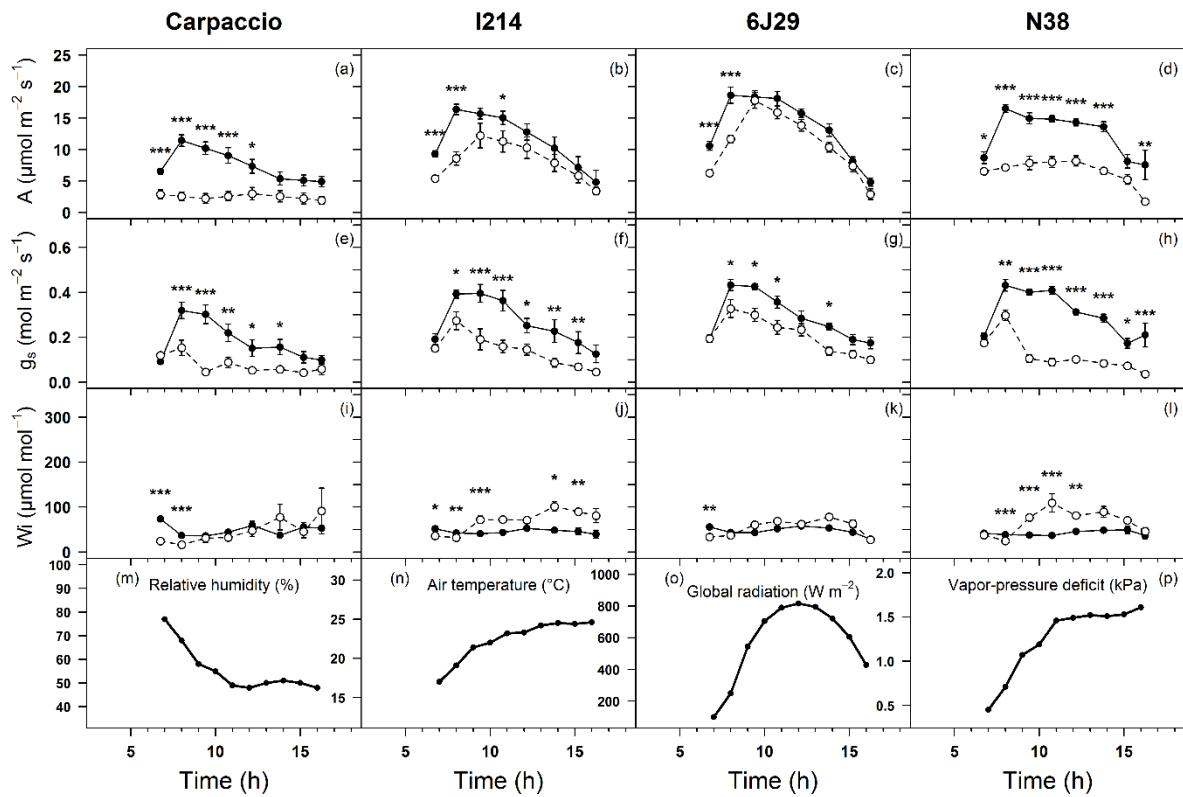
**Figure 1.** Daily transpiration per unit leaf area of two *Populus deltoides* × *nigra* Carpaccio (a), I214 (b) and two *Populus nigra* genotypes 6J29 (c), N38 (d) under irrigation or a rainfall exclusion setup in the field in 2017. Black and white circles are respectively for irrigated and rainfall exclusion trees (means ± standard error). Significant differences between water treatments for each date is shown ( $n = 6$ ). \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; ns, not significant.



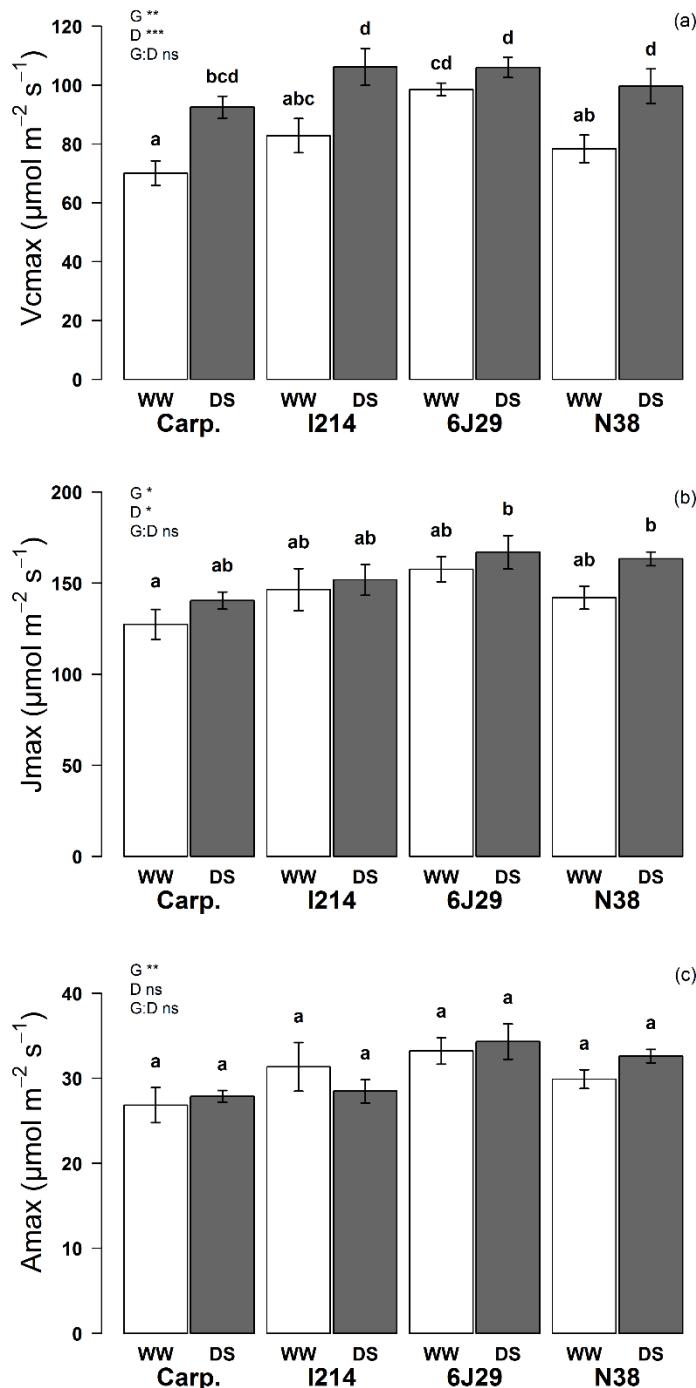
**Figure 2.** Predawn leaf water potential (left side) of two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) and soil water content (right side) under irrigation or a rainfall exclusion setup in the field at three dates. Values reported are means ± standard error ( $n = 6$ ). WW and DS is for irrigated and rainfall exclusion trees respectively (white and gray bars respectively). Mean soil water content (SWC) is shown for each 10cm in depth with stronger colors indicating stronger differences between treatments. Results of two-way ANOVA are given for main effects (G: genotype; D: water treatment) and interaction (G:D). Significant differences between water treatments are reported. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$ ; ns, not significant.



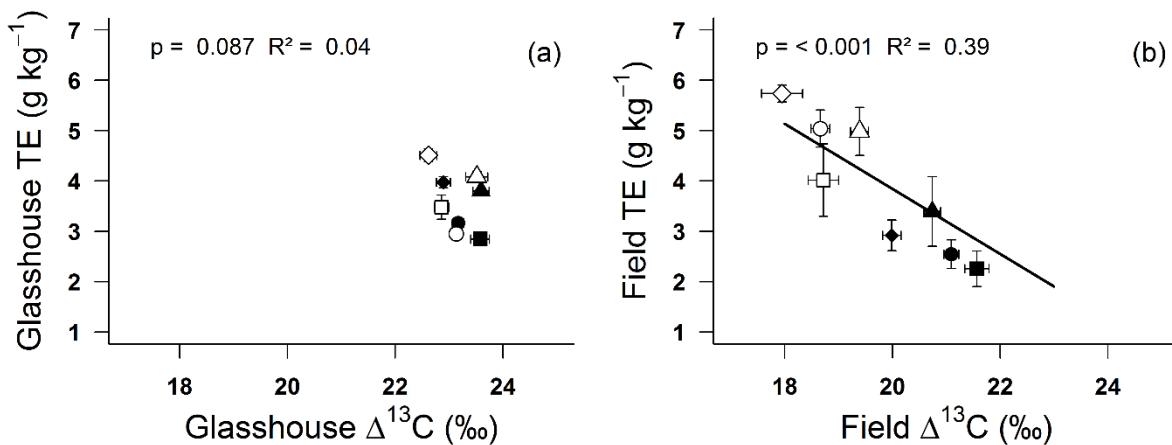
**Figure 3.** Gas-exchange dynamic of two *Populus deltoides* × *nigra* Carpaccio (a, e and i), I214 (b, f and j), and two *Populus nigra* genotypes 6J29 (c, g and k), N38 (d, h and l) under irrigation or a rainfall exclusion setup in the field. (a) to (d): net CO<sub>2</sub> assimilation, (e) to (h): stomatal conductance to water vapour and (i) to (l): intrinsic water use efficiency. Relative humidity (m), air temperature (n), global radiation (o) and atmospheric vapour-pressure deficit (p) in the field are also reported. Black and white circles are respectively for irrigated and rainfall exclusion trees (means ± standard error). All measurements were done on the 199<sup>th</sup> day of the year (July 18<sup>th</sup>), including the meteorological data. Significant differences between water treatments for each time is shown (n = 4-6). \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; ns, not significant.



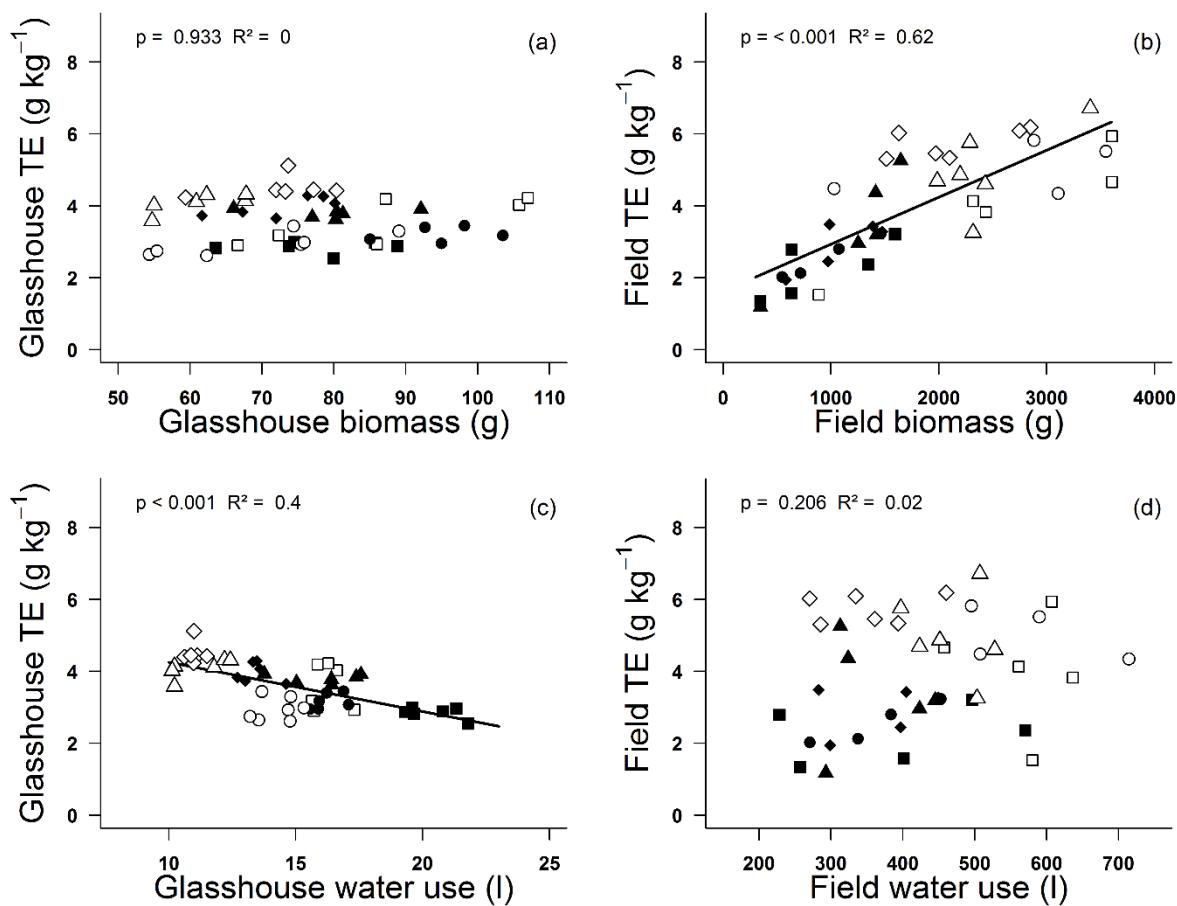
**Figure 4.** Gas-exchange dynamic of two *Populus deltoides* × *nigra* Carpaccio (**a**, **e** and **i**), I214 (**b**, **f** and **j**), and two *Populus nigra* genotypes 6J29 (**c**, **g** and **k**), N38 (**d**, **h** and **l**) under irrigation or a rainfall exclusion setup in the field. (**a**) to (**d**): net CO<sub>2</sub> assimilation, (**e**) to (**h**): stomatal conductance to water vapour and (**i**) to (**l**): intrinsic water use efficiency. Relative humidity (**m**), air temperature (**n**), global radiation (**o**) and atmospheric vapour-pressure deficit (**p**) in the field are also reported. Black and white circles are respectively for irrigated and rainfall exclusion trees (means ± standard error). All measurements were done on the 234<sup>th</sup> day of the year (August 22<sup>nd</sup>), including the meteorological data. Significant differences between water treatments for each time is shown (n = 4-6). \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; ns, not significant.



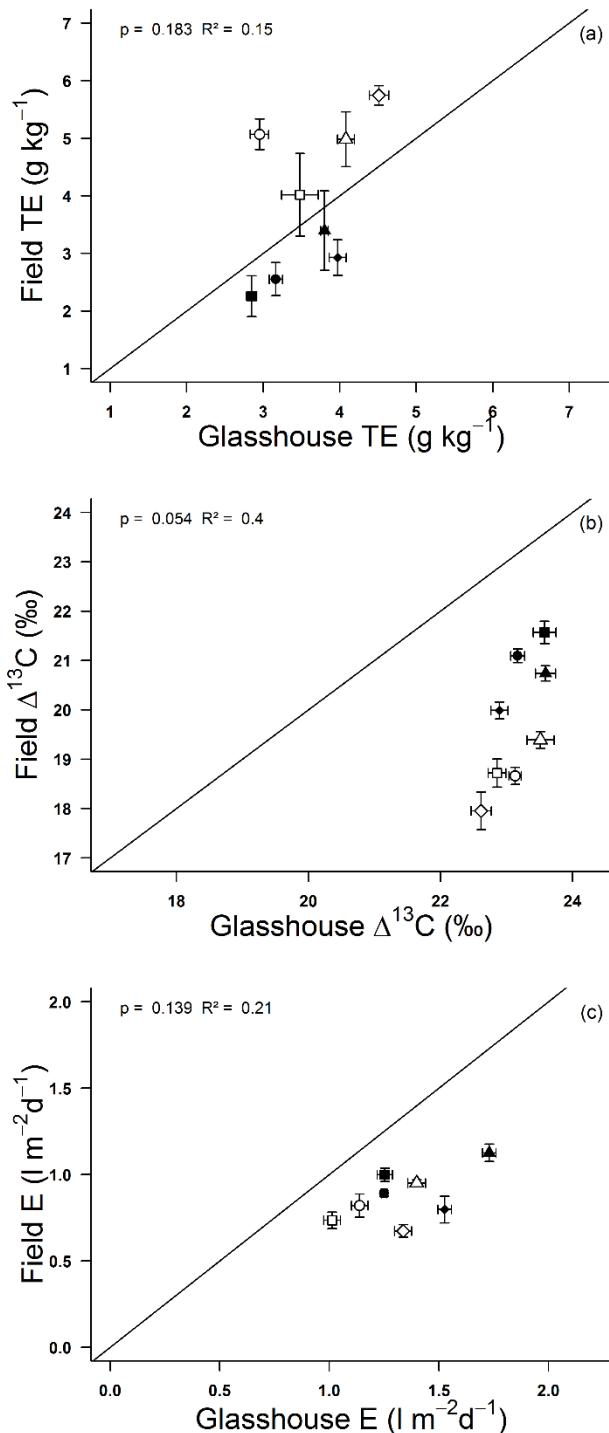
**Figure 5.** Photosynthetic capacity data of two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under irrigation or a water exclusion setup in the field. a) Maximum rate of rubisco carboxylation ( $V_{c\max}$ ) b) maximum rate of electron transport ( $J_{\max}$ ) c) maximum CO<sub>2</sub> assimilation under saturating CO<sub>2</sub> concentration ( $A_{\max}$ ). Values reported are means ± standard error (n = 6). WW and DS is for irrigated and rainfall exclusion trees respectively (white and gray bars respectively). Results of two-way ANOVA are given for main effects (G: genotype; D: water treatment) and interaction (G:D). Significant differences between water treatments are reported. \*, P < 0.05; \*\*, P < 0.01; \*\*\*, P < 0.001; ns, not significant.



**Figure 6.** Correlations between a glasshouse and a field experiment on two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under well-watered or reduced water availability. a) Transpiration efficiency and carbon isotopic discrimination in the glasshouse experiment b) Transpiration efficiency and carbon isotopic discrimination in the field experiment. Closed and open symbols are for well-watered and reduced water availability, respectively. Squares, circles, triangles and diamonds are for Carpaccio, I214, 6J29 and N38, respectively. Values reported are means ± standard error ( $n = 6$ ).  $P$  and  $R^2$  values are shown.



**Figure 7.** Correlations on two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under well-watered or reduced water availability either in a glasshouse or a field experiment. a) Transpiration efficiency and biomass production in the glasshouse b) Transpiration efficiency and whole-plant water use in the glasshouse c) Transpiration efficiency and biomass production in the field d) Transpiration efficiency and whole-plant water use in the field. Closed and open symbols are for well-watered and reduced water availability, respectively. Squares, circles, triangles and diamonds are for Carpaccio, I214, 6J29 and N38, respectively.  $P$  and  $R^2$  values are shown.



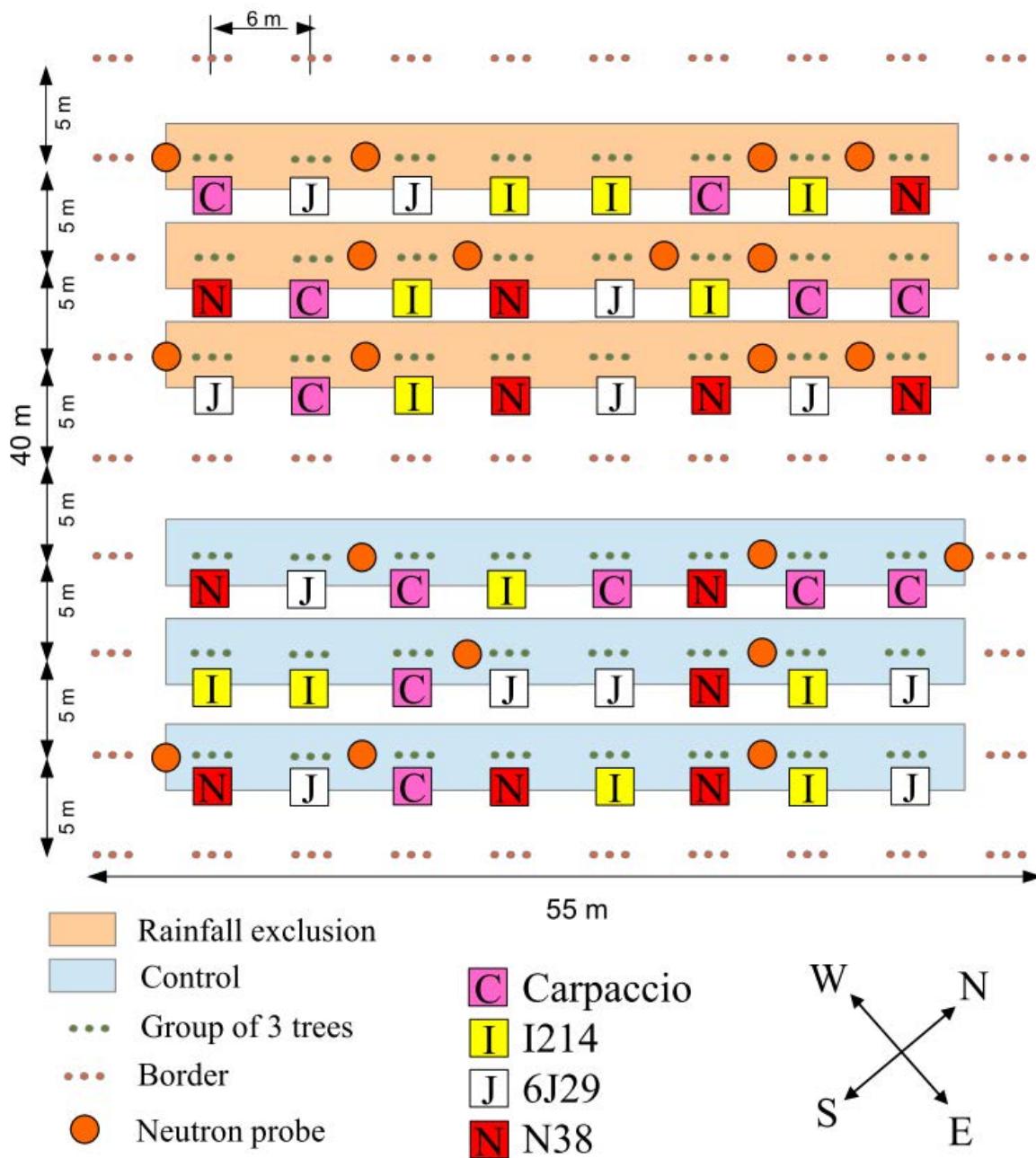
**Figure 8.** Correlations between a glasshouse and a field experiment on two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under well-watered or reduced water availability. a) Transpiration efficiency b) Carbon isotopic discrimination c) Mean daily whole-plant transpiration per unit leaf area. Closed and open symbols are for well-watered and reduced water availability, respectively. Squares, circles, triangles and diamonds are for Carpaccio, I214, 6J29 and N38, respectively. Values reported are means ± standard error ( $n = 6$ ).  $P$  and  $R^2$  values are shown. The straight continuous line shows the 1:1 regression line.

## DONNÉES SUPPLÉMENTAIRES

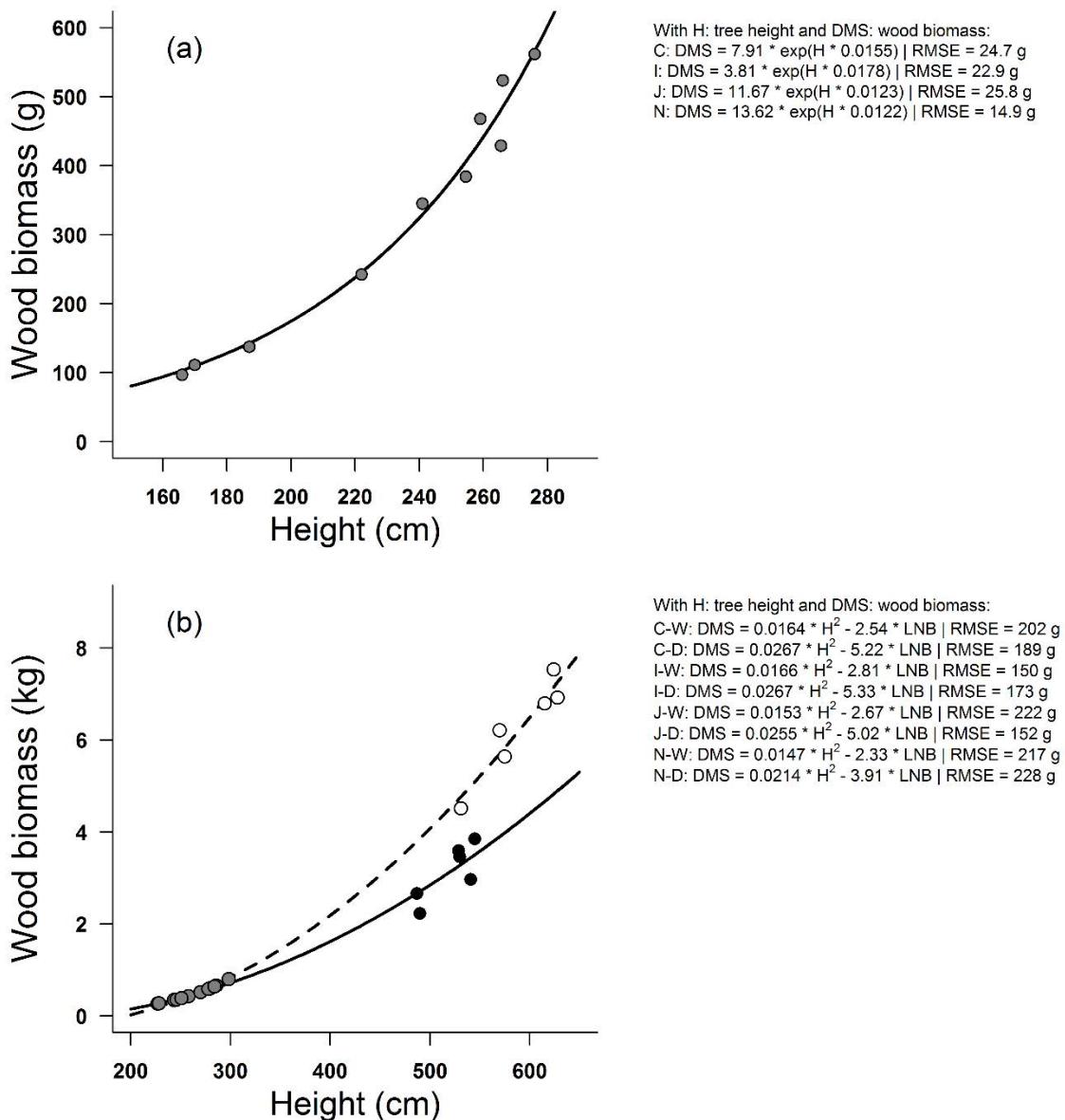
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**Table S1.** Growth and biomass production of two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* genotypes (6J29 and N38) under irrigation or a water exclusion setup in the field. Values reported are means ± standard deviation (n = 5-6). ANOVA factors were considered significant when p < 0.05. Letters show significant differences by *post-hoc* contrast analysis among the eight groups (4 genotypes + 2 water treatments) for each harvest (2016 and 2017).

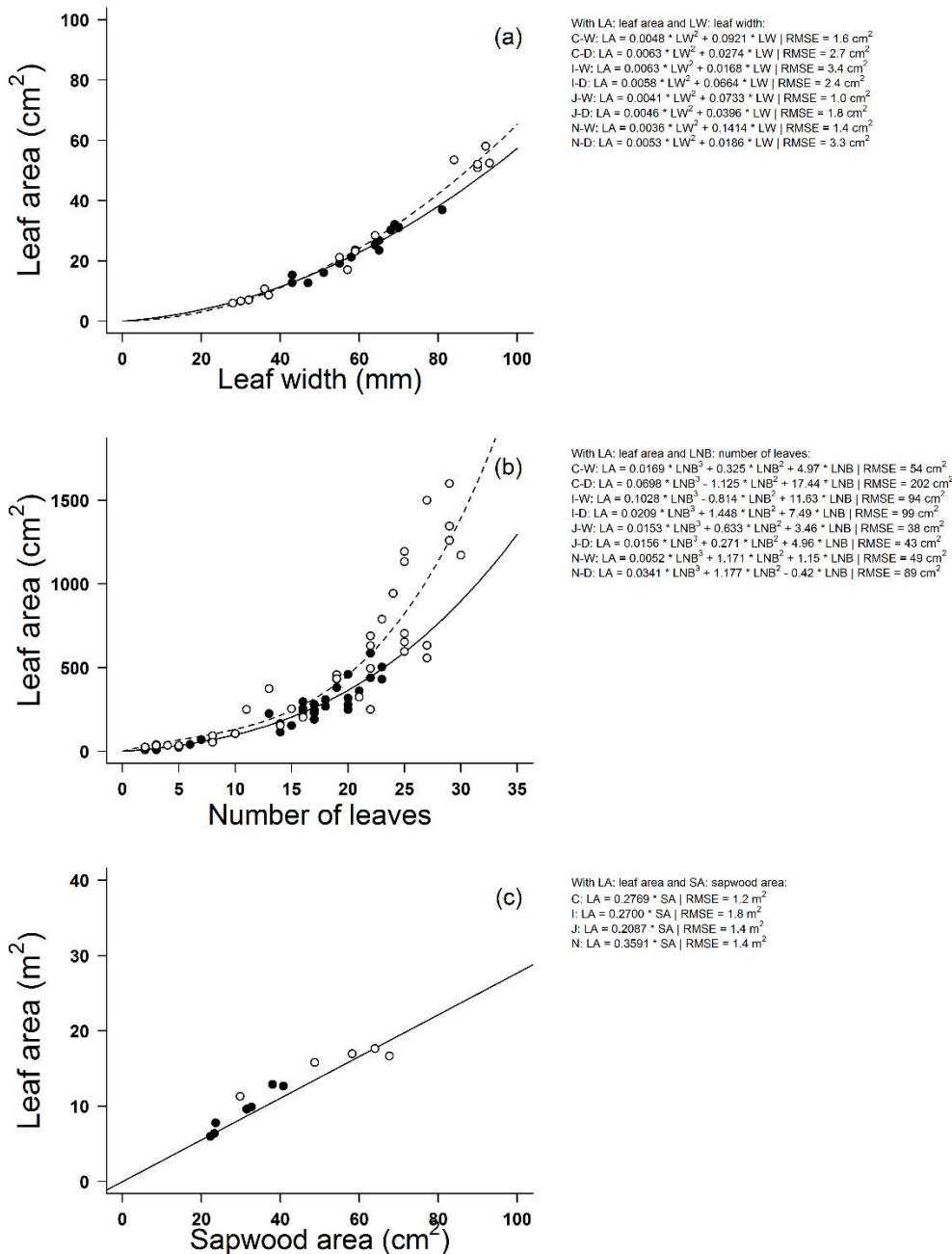
Harvest	Genotype	Treatment	H (cm)	DMs (g)	DM <sub>L</sub> (g)	
September 2017	Carpaccio	control	520 ± 25 ab	3124 ± 616 a	988 ± 153 a	
		drought	590 ± 38 c	6266 ± 1075 c	2102 ± 257 c	
	I214	control	480 ± 27 a	2449 ± 555 a	811 ± 185 a	
		drought	521 ± 48 ab	5675 ± 2465 c	1826 ± 547 bc	
	6J29	control	518 ± 29 ab	2715 ± 642 a	835 ± 187 a	
		drought	546 ± 18 b	4869 ± 608 bc	1537 ± 186 b	
	N38	control	505 ± 24 ab	2601 ± 482 a	960 ± 230 a	
		drought	513 ± 15 ab	3909 ± 932 ab	1501 ± 269 b	
<i>P</i> value		Genotype	< 0.001	<b>0.024</b>	<b>0.015</b>	
		Treatment	< 0.001	< 0.001	< 0.001	
		Interaction	ns	ns	ns	
April 2016	Carpaccio	control	229 ± 44 a	336 ± 193 a		
		drought	232 ± 45 a	326 ± 178 a		
	I214	control	211 ± 25 a	173 ± 55 a		
		drought	216 ± 30 a	205 ± 109 a		
	6J29	control	246 ± 23 a	245 ± 87 a		
		drought	219 ± 23 a	180 ± 49 a		
	N38	control	230 ± 14 a	218 ± 53 a		
		drought	202 ± 28 a	174 ± 61 a		
<i>P</i> value		Genotype	ns	<b>0.018</b>		
		Treatment	ns	ns		
		Interaction	ns	ns		



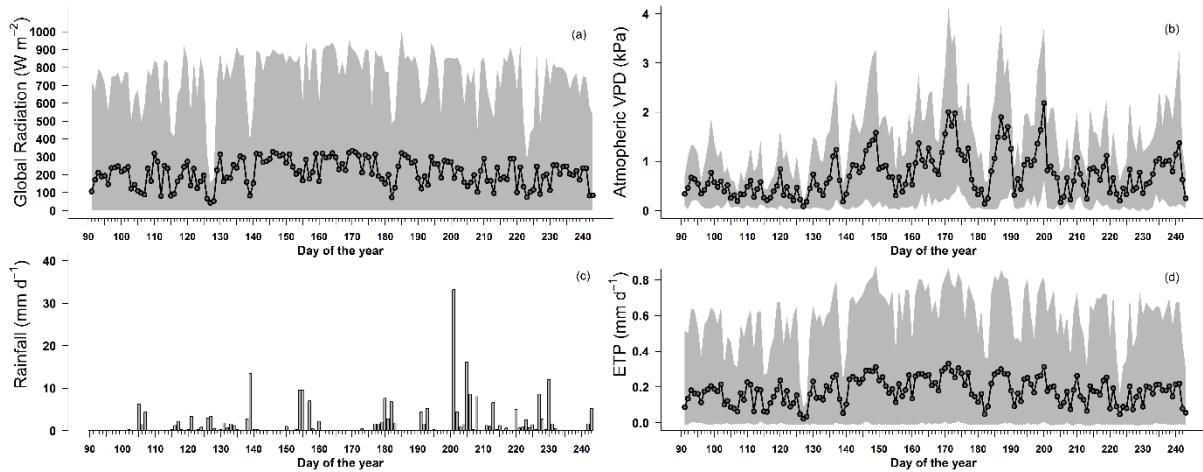
**Figure S1.** Experimental design for the poplar plantation in the field.



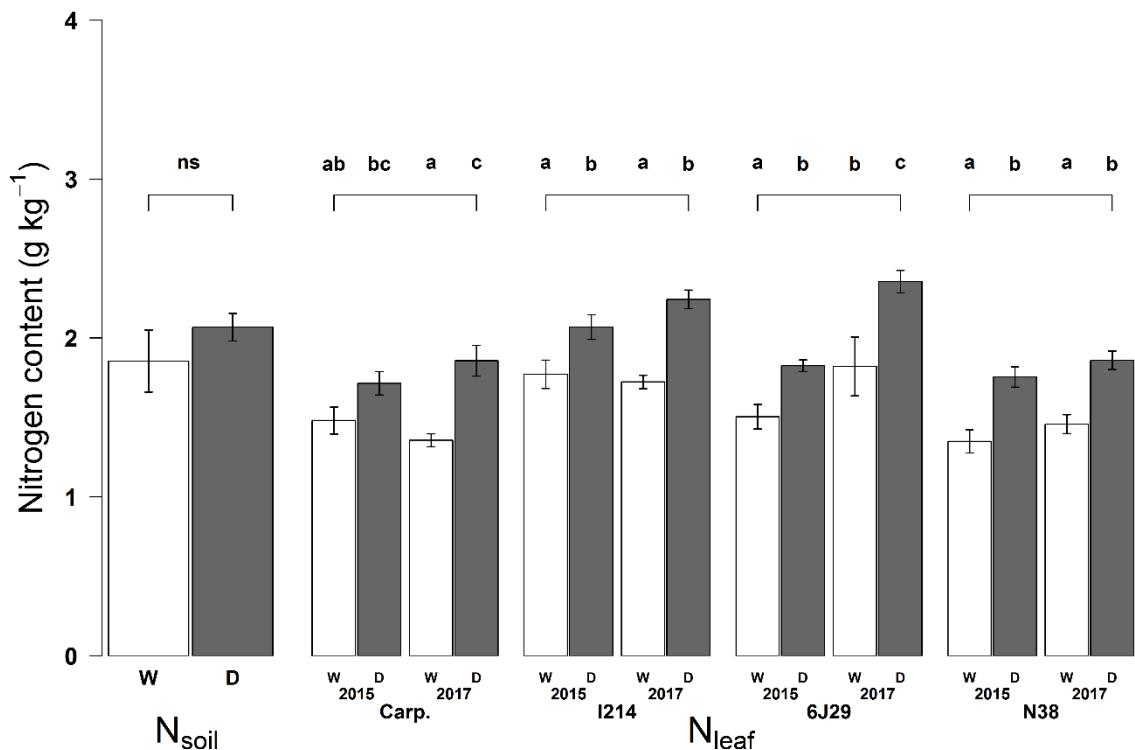
**Figure S2.** Allometries for wood biomass estimation in irrigated or rainfall exclusion Carpaccio trees (*Populus deltoides* × *nigra*) in the field. a) Allometry between plant height and wood biomass on 48 harvested trees in April 2016. b) Allometry between plant height and wood biomass on 48 harvested trees in September 2017. Black and white circles are of irrigated and rainfall exclusion trees respectively. Gray circles are for the April 2016 biomass and height of the harvested trees, either measured in April 2016 (a) or calculated in September 2017 (b). Continuous and dashed lines are the predicted values of the relationship for irrigated and rainfall exclusion trees respectively. Equations for each combination of genotype (C, I, J and N is for Carpaccio, I214, 6J29 and N38 respectively) and water treatment (W and D is for irrigated and rainfall exclusion trees respectively) is given along with their residual mean squared error (RMSE).



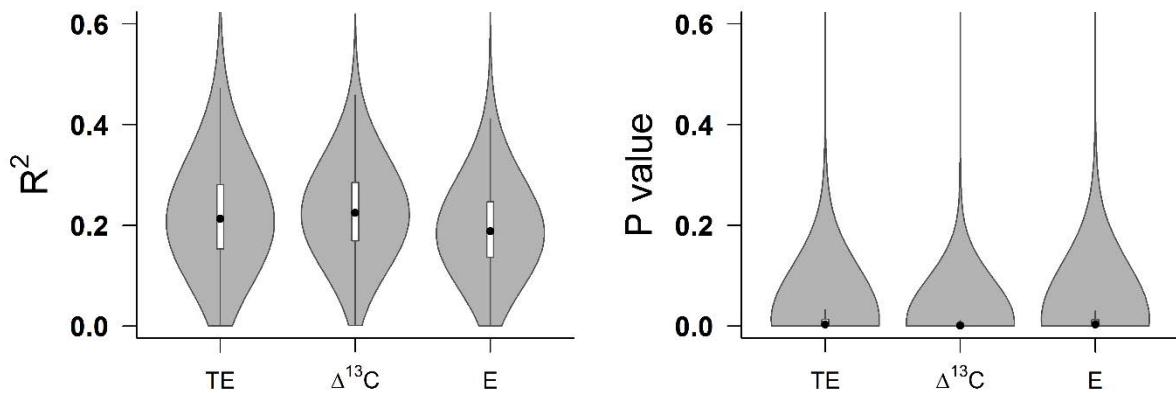
**Figure S3.** Allometries for total leaf area estimation in irrigated or rainfall exclusion Carpaccio trees (*Populus deltoides × nigra*) in the field. a) Allometries between leaf width and leaf area. b) Allometries between the number of leaves on a branch and the total leaf area of that branch. c) Allometries between the sapwood area of the main stem and the total leaf area of a tree. Black and white circles are of irrigated and rainfall exclusion trees respectively. Continuous and dashed lines are the predicted values of the relationship for irrigated and rainfall exclusion trees respectively. Equations for each combination of genotype (C, I, J and N is for Carpaccio, I214, 6J29 and N38 respectively) and water treatment (W and D is for irrigated and rainfall exclusion trees respectively) is given along with their residual mean squared error (RMSE).



**Figure S4.** Weather data during the 2017 growth season, from April 1<sup>st</sup> (91<sup>st</sup> day) to August 31<sup>st</sup> (243<sup>th</sup> day) 2017. a) global radiation b) atmospheric vapour pressure deficit (VPD) c) rainfall and d) potential evapotranspiration (ETP) calculated from the Penman equation. Gray circles show the daily means and the gray area show the range as the difference between maximum and minimum daily values.



**Figure S5.** Soil and leaf nitrogen content, N<sub>soil</sub> and N<sub>leaf</sub> respectively in the field on two *Populus deltoides* × *nigra* (Carpaccio and I214) and two *Populus nigra* (6J29 and N38) genotypes. Soil nitrogen content was measured in October 2017. Leaf nitrogen content was measured in September 2015 and 2017. W and D (white and gray bars respectively) are for the irrigated and rainfall exclusion plots or trees. Values reported are means ± standard error (n = 6). Letters show significant differences among year and water treatment for each genotype by *post-hoc* contrast analysis. ns: not significant.



**Figure S6.** Distribution of  $R^2$  (a) and  $P$  values (b) from linear regression between glasshouse and field values of TE,  $\Delta$  and E randomly paired for each genotype and water regime. Boxes show the 25<sup>th</sup> and 75<sup>th</sup> percentiles, lines show the 10<sup>th</sup>, 90<sup>th</sup> percentiles and the point the median. The outer curved lines show the density distribution of either  $R^2$  or  $P$  values from 1,000,000 random samplings.

## Transition

Au cours de ce chapitre nous avons pu mettre en évidence des similitudes assez fortes de l'efficience d'utilisation de l'eau entre le niveau foliaire et le niveau de la plante entière pour chacune des deux conditions environnementales. Egalement, le classement des génotypes était généralement maintenu au niveau foliaire et au niveau de la plante entière entre conditions environnementales, mais pas entre régimes hydriques. Nous avons pu voir qu'en dépit de ces résultats, à l'échelle de la plante entière les variations de l'efficience de transpiration entre génotypes étaient dues à différents trait sous-jacents en serre et en pépinière.

Il est connu que la densité stomatique peut être modifiée chez les peupliers en fonction des conditions du milieu (Bobich et al., 2010; Di Baccio et al., 2010). Ces différences pourraient également entraîner des variations de la taille des stomates, puisque ces deux variables semblent corrélées entre elles à travers une multitude d'espèces (Hetherington & Woodward, 2003). Si c'est le cas, ces différences pourraient induire des variations de la dynamique stomatique, agissant également au niveau de la transpiration de la plante entière (voir Chapitre I).





# **CHAPITRE III**

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**Impact des conditions de croissance sur la dynamique stomatique induite par des changements de l'irradiance ou du VPD.**



## CHAPITRE III :

# Impact des conditions de croissance sur la dynamique stomatique induite par des changements de l'irradiance ou du VPD.

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## Présentation synthétique de l'article

### *Contexte*

Les stomates sont responsables de la majorité des flux d'eau et de CO<sub>2</sub> sortant et entrant de la feuille, respectivement. Composés de deux cellules de garde, ils sont capables de modifier leur ouverture et se faisant, peuvent favoriser ou limiter les échanges gazeux avec l'atmosphère. Ces mouvements sont relativement lents ( $> 20$  min) et peuvent entraîner un découplage entre les conditions environnementales et la vitesse des flux d'H<sub>2</sub>O et de CO<sub>2</sub>. Ainsi la dynamique temporelle des mouvements stomatiques impose des limitations sur le suivi des conditions extérieures par les stomates, ce qui pourrait induire sur le long terme une plus forte consommation en eau et/ou un « manque à gagner » en termes d'assimilation du carbone. De plus, il a été montré expérimentalement que non seulement l'intensité mais également les fluctuations d'irradiance pendant la croissance impactent la dynamique temporelle des mouvements stomatiques (Matthews *et al.*, 2018). Ces mesures sont bien souvent réalisées sur des plants élevés en conditions contrôlées. Pourtant il existe de nombreuses conditions environnementales, d'intensité et ayant des fluctuations différentes en conditions contrôlées et naturelles (vitesse du vent, irradiance, VPD et températures). Chacune de ces variables, qui participent à l'acclimatation de la plante, pourraient intervenir dans la plasticité génotypique des dynamiques stomatiques.

### *Objectifs*

Nous avons réalisé des mesures de la dynamique temporelle des stomates en réponse à des changements d'irradiance et de VPD sur des peupliers plantés en conditions naturelles sous différents régimes hydriques. Ces données ont été comparées à un jeu de données similaire, publié précédemment (Chapitre I), sur les mêmes génotypes de peupliers élevés en conditions contrôlées (serre) également sous différents régimes hydriques. Ce travail permettra (1) de déterminer si les différences génotypiques et entre régimes hydriques observés en serre sont maintenues en conditions naturelles, et (2) d'établir si les corrélations entre la dynamique

stomatique et la morphologie des stomates, ainsi qu'avec les pertes en eau à l'échelle de la plante entière sont retrouvées, indépendamment des conditions de croissance.

### *Stratégie*

Deux génotypes de peupliers euraméricains (Carpaccio et I214) et deux génotypes de peupliers noirs (6J29 et N38) ont été utilisés au cours des deux expériences. La mise en place de l'expérimentation en serre et en pépinière a été détaillée au cours du chapitre I et II, respectivement. La dynamique stomatique peut être quantifiée à l'aide de systèmes d'échanges gazeux, qui permettent de réguler et de stabiliser les conditions environnementales. La variation de l'irradiance et du VPD (dans le cadre d'expériences séparées) induisent des mouvements stomatiques, enregistrés et ajustés avec un modèle de la dynamique temporelle stomatique. Ce modèle est composé de paramètres décrivant la réponse stomatique et sont comparables entre expériences. Les paramètres dynamiques des plantes en pépinière ont été mesurés et comparés à ceux de la serre (voir Chapitre I).

### *Résultats et conclusions*

Nous avons observé un faible effet génotypique et du régime hydrique sur la dynamique stomatique en conditions naturelles. Ceci contraste avec la variabilité génotypique et entre traitements hydriques observés en serre. Cependant I214 et 6J29, respectivement le génotype le plus rapide et le plus lent en réponse à l'irradiance et au VPD pendant l'expérimentation en serre, le sont également en conditions naturelles. Ce qui suggère que les dynamiques temporelles stomatiques seraient liées à des facteurs endogènes et environnementaux. Le cas échéant, il est possible qu'une réponse stomatique plus lente puisse avoir des avantages dans certaines conditions, notamment en limitant le coût énergétique des mouvements stomatiques qu'un suivi parfait des conditions extérieures aurait.

En conditions naturelles les stomates étaient plus lents, plus nombreux et plus petits qu'en conditions contrôlées. L'irradiance étant bien plus forte en conditions naturelles, ces résultats sont en accord avec l'augmentation généralement observée de la densité stomatique avec l'intensité de l'irradiance lumineuse. Cependant ce résultat est également contradictoire avec l'hypothèse proposant que des stomates plus petits soient plus rapides. Quelques faibles corrélations ont néanmoins été détectées avec la morphologie stomatique. Ces résultats suggèrent que la contribution de la morphologie stomatique à la dynamique stomatique dépend des conditions environnementales de culture.



**Article: Impacts of growing conditions on the stability of stomatal dynamics induced by changes in irradiance and vapour-pressure deficit.**

*En préparation*

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## ABSTRACT

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- Recent investigations showed how acclimation of plants to diverse patterns of light intensity modified the dynamic response of stomata. Therefore if plants are grown in controlled conditions or in the field, it may have an impact on the stomatal dynamics.
- We analyzed stomatal dynamics on two *Populus euramericana* (Moench.) and two *Populus nigra* (L.) genotypes grown in the field under contrasting water availability. By comparing this dataset with stomatal dynamics of the same genotypes grown in a glasshouse, we were able to examine if the differences between growing conditions would have an impact on genotypic differences in stomatal dynamics and their response to a soil water deficit.
- We found stomatal dynamics were much slower in the field than in the glasshouse, despite higher stomatal density and smaller stomatal size. Overall, differences among genotypes and their response to a soil water deficit were much less pronounced in the field compared to the glasshouse.
- These results suggest that stomatal dynamics are regulated by both endogenous and environmental factors. Moreover, having slower stomata may be advantageous under certain conditions. While stomatal dynamics were linked with whole-plant transpiration in both experiments, the contribution of stomatal morphology seems to depend on environmental conditions.

## KEYWORDS

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drought, kinetics of stomatal responses, light, *Populus*, stomatal conductance, VPD, growing conditions

## INTRODUCTION

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The majority of gas exchange between the leaf sub-stomatal cavities and the atmosphere are controlled by stomata. Thus they play a pivotal role in regulating the inward flow of CO<sub>2</sub> for photosynthesis and the outward flow of water by transpiration. They are composed of two guard cells able to change in volume so that the central aperture can be increased or reduced. The size of the stomatal aperture together with the number of stomata on an area basis (*i.e.* stomatal density) shape the resistance to diffusion between the leaf and the surrounding air. This stomatal resistance is usually expressed as its inverse, a stomatal conductance (g<sub>s</sub>). A number of endogenous and external factors can cause an adjustment of g<sub>s</sub>, such as circadian rhythms (de Dios, 2017), irradiance (Shimazaki *et al.*, 2007), vapor pressure deficit (Mc Adam & Brodribb, 2015) and soil water availability (Monclús *et al.*, 2006), among others. Cowan & Farquhar (1977) proposed that g<sub>s</sub> is regulated so that the CO<sub>2</sub> intake is at a maximum with regards to the water transpired. This key process at the interface between the plant and environment can become of critical importance when soil water conditions are limiting.

An increasing proportion of Earth's surface is subject to soil water deficits since 1950 (Dai, 2011), and current global climate models predict for the coming years a reduction of soil moisture and more frequent drought events of increased intensity and duration (Sheffield & Wood, 2008; Dai, 2012; IPCC, 2014). Not only will they cause severe and durable consequences on society and economies (Touma *et al.*, 2015), droughts are a central concern of global change research for their effect on forests, given the tight relation between forests and climate (Bonan, 2008). Reductions of productivity are an expected outcome of a decline in soil moisture, especially in species with a large water use such as poplars and eucalypts (Monclús *et al.*, 2006; Coopman *et al.*, 2008). Since the growth of poplars is closely linked to water availability, they are naturally distributed along riparian habitats (Tscharpinski & Blake, 1989). However, their cultivation extends to mesophyte habitats where soil water deficits are more frequent. This foreseen decline of productivity is to be contrasted with the rising demand for wood products driven by governmental policies, demography and economic growth (FAO, 2018). In order to mitigate the impact of these diverging trends, a possible solution is to improve the whole plant water-use efficiency (Condon *et al.*, 2004), the amount of biomass produced with regards to the quantity of water used over a defined period of time (transpiration efficiency, TE).

At the leaf-level, water-use efficiency (WUE) can be defined intrinsically as the net CO<sub>2</sub> assimilation (A) over g<sub>s</sub> (W<sub>i</sub>). Yet, the relation between A and g<sub>s</sub> is asymptotic (Wong *et al.*, 1979), which means there is a range of high g<sub>s</sub> for which A does not improve further. Moreover, diurnal changes of A are several orders of magnitude faster than those of g<sub>s</sub>, resulting in a decoupling between the two, immediately following an environmental change (Kaiser & Kappen, 2000) and inducing large changes of W<sub>i</sub>. In natural conditions the environment is changing frequently, thus g<sub>s</sub> may rarely reach a steady-state (Rayment *et al.*, 2000; Viallet-Chabrand *et al.*, 2013), which is nonetheless the way g<sub>s</sub> is modeled in the wide majority of models (Damour *et al.*, 2010).

Several studies have shown a wide diversity of stomatal dynamics across and among species (Vico *et al.*, 2011; Mc Ausland *et al.*, 2016; Males & Griffiths, 2017; Durand *et al.*, 2019) as well as between environmental drivers (Dumont *et al.*, 2013; Gérardin *et al.*, 2018; Haworth *et al.*, 2018). Further, recent studies showed the impact of different light environments during growth on stomatal dynamics (Gérardin *et al.*, 2018; Matthews *et al.*, 2018). The latter study on *Arabidopsis thaliana* (L.), showed that not only the intensity but also fluctuations of irradiance modified significantly the speed of g<sub>s</sub> response to irradiance. These stomatal dynamics measurements, for the most part conducted in controlled conditions, might not be similar to stomatal dynamics of plants grown in the field. Indeed, a number of environmental parameters are particularly different between controlled and natural conditions (*e.g.* wind speed, air humidity, temperature and irradiance).

The drivers of these observed differences in stomatal dynamics are still unclear. Large and less stomata may be slower than smaller and more numerous stomata because of their lower surface-to-volume ratio (Drake *et al.*, 2013; Raven, 2014). This hypothesis was discussed and tested but no consensus has been found yet (Elliott-Kingston *et al.*, 2016; Mc Ausland *et al.*, 2016; Kardiman & Ræbild, 2018; Durand *et al.*, 2019; Lawson & Viallet-Chabrand, 2019). Other suspected factors which could impact stomatal dynamics involve the number and activity of transporters and pumps on the guard cells plasma membrane, but to our knowledge this hypothesis has not been tested yet.

We performed measurements of stomatal dynamics, stomatal morphology and transpiration efficiency based on above-ground biomass and stem water flow on poplar trees planted in a comparative field plantation in order to answer the following questions: (1) are the differences among genotypes and water regimes that had been previously observed in controlled conditions maintained when poplars are planted in the field? (2) are differences in stomatal dynamics

observed among genotypes related to differences in stomatal morphology? (3) likewise, are differences in stomatal dynamics observed among genotypes related to whole-plant transpiration?

## MATERIAL & METHOD

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### *Plant material and growth conditions*

Every measurement performed and reported here were part of the rainfall exclusion experimental poplar plantation described elsewhere. The controlled conditions data which we compare here with the field data is from a glasshouse drought experiment described in Durand *et al.* (2019). In both experiment, two hybrid poplar genotypes (Carpaccio and I214, *Populus deltoides* × *nigra* Moench.) and two black poplar genotypes (6J29 and N38, *Populus nigra* L.) were used, chosen for their diversity of drought tolerance with I214 and 6J29 being usually found to be more drought-sensitive (Chen *et al.*, 1997; Giovannelli *et al.*, 2007; Muller & Lambs, 2009; Viger *et al.*, 2016). Concerning the field experiment, briefly 144 shoot cuttings were planted in June 2<sup>nd</sup> 2014 in a nursery located in the INRA-Grand-Est site (48°45'09.3"N, 6°20'27.6"E; Champenoux, France). The soil was characterized by a deep homogenous swelling heavy clay soil (52% silt, 40% clay, 8% sand), with a pH of 7.1, an organic matter content of 33.3 g kg<sup>-1</sup> and a total nitrogen content of 1.66 g kg<sup>-1</sup>. The planting was conducted by groups of three poplars, planted in lines along six parallel rows spaced 5 meters apart. Plants were separated by 1 and 4 meters within and between groups in each row, respectively. The plot was separated in four blocs containing 12 groups each, from which genotypes were arranged randomly, however a single genotype was selected for each plant of the group. One tree of each group of three ( $n = 48$ ) was harvested for their biomass on the 18<sup>th</sup> of April 2016 and another one on the 4<sup>th</sup> of September 2017. Whole-plant transpiration was calculated from sapflow measurements, using the thermal dissipation technique. 48 probes 20 millimeters-long were installed 20 cm above ground from July 11<sup>th</sup> to September 3<sup>rd</sup> 2017 (55 days) following Granier (1985; 1987). Whole-plant water use was calculated by scaling sap flux density from transversal cross-section at sensor height since harvests revealed the absence of heartwood, which is common in young trees. Whole-plant transpiration was computed by dividing whole-plant water use by total leaf area, measured at the start and the end of the sapflow experiment and interpolated for each day.

Half the experimental design was used to set up a treatment with lower water availability. Covers 1.5 meters-wide were placed on the ground on each side of the three rows, covering 85% of the ground area on this side of the plot. Since the land exhibited a 6° slope southeastward, this design enabled us to guide water runoffs with gutters toward the lower side of the plot. Covers were two-fold, one was impermeable to liquids but not to gases preventing

rainfall to reach the ground but not soil evaporation to escape into the atmosphere (Berner France, Saint-Julien-du-Sault, France). The other, on top, was green to mirror soil optical properties and to limit the rise of temperatures under the covers (green canvas mulch, Triangle-outillage, Ennevelin, France). Waterings by a water-dripping system equipped on each tree ( $50 \text{ l day}^{-1} \text{ tree}^{-1}$ ) was stopped at the end of May 2015 on the rainfall exclusion plot. It should be noted that the covers not only reduced water availability, but also probably increased nitrogen assimilation, thus increasing biomass production in the rainfall excusion plot compared to the irrigated trees.

### *Leaf sampling and stomatal morphology*

Two leaves per tree were collected on the 23<sup>rd</sup> of August 2017 (*i.e.* 96 leaves) and immediately submerged in liquid nitrogen. The rest of the protocol for stomatal density and stomatal size measurements was detailed in Durand *et al.* (2019). Leaves were freeze-dried and two discs of  $78.54 \text{ mm}^2$  were sampled to study each side separately. Discs were tungsten-coated and then placed under a scanning electron microscope coupled with a backscattered secondary electron detector (ΣIGMA-VP, Carl Zeiss, Oberkochen, Germany). A set of 16 pictures covering an area of  $3.44 \text{ mm}^2$  were taken (*i.e.* 3072 pictures). 20 stomata were randomly chosen for each sample (*i.e.* 3840 stomata). Stomatal density and size measurements were performed manually with the ImageJ software following (de Boer *et al.*, 2016). AGC (area of the guard cells) was computed by subtracting the area of the stomatal aperture ( $A_p, \mu\text{m}^2$ ) from the area of the stomata, both calculated as an ellipse by measuring its length and width. An index of the area of the guard cells per leaf area was calculated as the product of AGC and SD summed over both sides (SAI,  $\mu\text{m}^2 \text{ mm}^{-2}$ ), similar to the stomatal pore index of Sack *et al.* (2003) excepted for the use of AGC instead of the guard cell length squared.

### *Gas exchange measurements*

Stomatal dynamic measurements were conducted with four intercalibrated Li-6400XT portable photosynthesis systems (LiCor, Lincoln, NE, USA), following Durand *et al.* (2019) unless specified otherwise. Briefly, sun leaves facing toward the South-Est and 1.5 meters high in the canopy were placed in the gas exchange cuvette and left to acclimate until CO<sub>2</sub> assimilation and stomatal conductance were stable (steady-state, less than 5% variation in 5 min). Unless mentioned otherwise, vapour-pressure deficit (VPD) is the leaf-to-air VPD. Environment inside the leaf cuvette was maintained stable throughout the measurement (photosynthetically active radiation (PAR):  $1200 \mu\text{mol m}^{-2} \text{ s}^{-1}$ , CO<sub>2</sub> concentration: 400 ppm, leaf temperature: 25°C, VPD: 1.5 kPa and flow:  $500 \mu\text{mol s}^{-1}$ ). Irradiance-induced stomatal dynamics were performed as such:

when  $g_s$  reached a steady-state after the acclimation ( $SS_0$ ), irradiance was reduced to 100  $\mu\text{mol m}^{-2} \text{s}^{-1}$  until a new steady-state was reached ( $SS_1$ ). At that moment, irradiance was switched back to 1200  $\mu\text{mol m}^{-2} \text{s}^{-1}$  until the final steady-state was reached ( $SS_2$ ). The same protocol was used for VPD-induced stomatal dynamics by changing the VPD from 1.5 to 3 and back to 1.5 kPa, for  $SS_0$ ,  $SS_1$  and  $SS_2$ , respectively. Irradiance and VPD levels were somewhat different than those used in Durand *et al.* (2019) where irradiance was changed from 1000 to 100  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and VPD from 1 to 3 kPa. VPD control on the gas exchange system was limited in natural summer conditions compared to the controlled glasshouse environment. Moreover, irradiance is usually higher outside than in the glasshouse, so they were adjusted to assure good repeatability and  $g_s$  response.

### *Stomatal dynamics modeling*

Stomatal dynamics to irradiance and VPD were fitted with an empirical descriptive sigmoidal model (Viallet-Chabrand *et al.*, 2013), such that:

$$g_s = g_0 + (G - g_0)e^{-e^{\left(\frac{\lambda-t}{\tau}\right)}} \quad (1)$$

with  $g_0$  et  $G$  the steady-state values of  $g_s$  at the start and end of the curve, respectively ( $\text{mol m}^{-2} \text{s}^{-1}$ ).  $\tau$  is a response time constant (s),  $\lambda$  is the stomatal response delay (s), «  $t$  » is the time (s) and «  $e$  » is Euler's number ( $\approx 2.718$ ). From these parameters we can compute an estimator of the speed of the stomatal response, the maximum speed at the inflection point ( $SL_{\max}$ ):

$$SL_{\max} = \frac{G - g_0}{\tau \times e} \quad (2)$$

More information on the model and its parameters are available in the literature (Viallet-Chabrand *et al.*, 2013; Gérardin *et al.*, 2018; Durand *et al.*, 2019).

### *Synchronous modelling of stomatal aperture and conductance change*

We used the equation linking the area of the stomatal aperture ( $A_p$ ) and SD with  $g_s$  (Franks & Farquhar, 2001), of the form:

$$\widehat{g_s} = \frac{SD \times A_p \times D/V}{d_p + \pi/2 \sqrt{A_p/\pi}} \quad (3)$$

with  $D$  the diffusivity of water in air ( $2.82 \times 10^{-5} \text{ m}^2 \text{s}^{-1}$ ),  $V$  the molar volume of air ( $0.0244 \text{ m}^3 \text{ mol}^{-1}$ ) and  $d_p$  the pore depth, assumed to be equal to half of one guard cell width (de Boer *et al.*, 2016). By applying equation (1) to simulate changes of  $A_p$  instead of  $g_s$  and estimating  $g_s$  with equation (3) we were able to compare synchronously the dynamics of  $A_p$  and  $g_s$  and the

interplay with SD. Furthermore, for a given sigmoidal change of  $g_s$ , plants with different SD will require different starting and ending  $A_p$ . For example, a plant with lower SD will require a larger reduction in their  $A_p$  than a plant with higher SD would for the same change of  $g_s$ . Thus, we can compute values of  $\tau$  so that, different plants with different SD will show a similar speed of  $A_p$  change by applying equation (2) to aperture changes. For a given sigmoidal change of  $g_s$ , the speed of aperture change between two plants will be equal if:

$$SL_{\max, A_p} = \frac{A_{ref} - a_{ref}}{\tau_{ref,e}} = \frac{A - a}{\tau \cdot e} \quad (5)$$

With “A” and “a” the steady-state values of  $A_p$  at the start and end of the curve, respectively ( $\mu\text{m}^2$ ). The subscript “ref” describes the values of “A”, “a” and  $\tau$  of a reference plant. We can then compute the  $\tau$  necessary so that the two plants will show a similar speed of  $A_p$  change ( $\tau_{crit}$ ), by re-arranging equation (5):

$$\tau_{crit} = \frac{\tau_{ref}(A - a)}{A_{ref} - a_{ref}} \quad (6)$$

Thus, for a given reference SD,  $\tau$  and  $\Delta g_s$ , we can find sets of  $\tau$  and SD needed so that another plant will show the same speed of  $A_p$  change. Every set of parameters  $\tau$  and SD differing from those values will result in one of four possibilities. Either both the speed of  $A_p$  and  $g_s$  change will be slower or quicker than the reference plant, or the speed of  $A_p$  change will be quicker but the speed of  $g_s$  change will be slower, or the speed of  $A_p$  change will be slower but the speed of  $g_s$  change will be quicker than the reference plant. A graphical representation and their impact on the speed of  $A_p$  and  $g_s$  is shown in Fig. S1. If we consider the same change of  $g_s$  (*i.e.* same  $\Delta g_s$ ), two plants will have the same  $g_s$  speed if and only if they have equal  $\tau$ , regardless of SD. This is represented by the horizontal line in Fig. 7 and S1, where  $\tau - \tau_{ref} = 0$ .

### Statistics

Statistics were done using R 3.5.2 (R Core Team, 2019). A type two factorial ANOVA was used to detect significant differences among the four genotypes, the two water regime and the two growing conditions using both the data from the field and the glasshouse experiment. Normality and homoscedasticity were checked respectively by a Shapiro-Wilk normality test and a Levene test. Post-hoc contrast analyses were performed to test differences between modalities for each factor. Correlations were computed by performing ANCOVAs with stomatal dynamics parameters as dependent variables, water regime as categorical independent variable and either stomatal morphology or whole plant water use efficiency and its components

as continuous independent variables ( $n = 24$ ). Partial  $R^2$  for the continuous independent variables were computed and  $P$  values were adjusted to control for the false discovery rate. Significant differences were considered significant at  $P < 0.05$  for all tests.

## RESULTS

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### *Stomatal morphology in the field*

#### *Differences of stomatal morphology between leaf sides*

Guard cell length (GCL) range across genotypes, water regime and leaf sides was quite narrow, from 20.5 µm on the abaxial side of Carpaccio rainfall exclusion trees to 25.3 µm on the adaxial side of 6J29 control trees (Table 1). On the other hand, the guard cell area (AGC) ranged from 175.8 µm<sup>2</sup> to 292.5 µm<sup>2</sup> on the abaxial side of rainfall exclusion Carpaccio and the abaxial side of 6J29 control trees, respectively. Only 6J29 showed GCL and AGC significantly higher on the adaxial side than on the abaxial side of the leaf ( $P < 0.02$  and  $P < 0.001$  for GCL and AGC respectively). For AGC, similar trends were found in Carpaccio and N38 while I214 trees showed the reverse (not significant). By contrast, stomatal density (SD) was always significantly lower on the adaxial side by 46, 43, 57 and 64% for Carpaccio, I214, 6J29 and N38 respectively ( $P < 0.001$ ).

#### *Genotype and rainfall exclusion on stomatal morphology*

We found an overall significant water regime effect for both GCL and AGC. They tended to decrease when trees were water limited. For GCL specifically, we were only able to find a significant reduction of GCL under rainfall exclusion on the adaxial side of Carpaccio trees by *post-hoc* comparisons ( $P < 0.02$ ). However in Carpaccio and N38, both sides of the leaf showed a reduced AGC (by 13% on average) in the rainfall exclusion trees as compared to the control trees ( $P < 0.03$ ). The AGC on the adaxial side of 6J29 trees was also reduced by 11% under rainfall exclusion whereas I214 did not show any significant change of GCL or AGC under rainfall exclusion in either side of the leaf ( $P > 0.74$ ). The water regime impacted SD on both sides of the leaf differently depending on genotype (interaction:  $P = 0.001$ ). In general, SD was similar under rainfall exclusion and control conditions in hybrid poplars on both leaf sides but decreased under rainfall exclusion in black poplars (Table 1). SAI followed the same pattern of decrease under rainfall exclusion in the black poplars ( $P < 0.005$ ) and was the highest in I214. We found a significant negative correlation between SD and AGC (not shown), no matter if we controlled for the genotype, for the water regime, for the leaf side effect or for all of them (in every case,  $P < 0.001$  and  $R^2 = 0.17$ ). This showed that overall, a higher stomatal density was associated with smaller stomata independently of the effect driving the variation.

## *Genotype and rainfall exclusion effect on irradiance-induced stomatal dynamics in the field*

### *Steady-state A, $g_s$ and $W_i$ during irradiance-induced stomatal dynamics*

We found only a small genotype effect on the steady-state CO<sub>2</sub> assimilation (A) during irradiance-induced stomatal dynamics ( $P < 0.04$ , Table 2). 6J29 tended to have the highest A and N38 the lowest. CO<sub>2</sub> assimilation did not show any significant difference between water regimes during SS<sub>0</sub> and SS<sub>1</sub> but tended to be lower in the rainfall exclusion trees during SS<sub>2</sub>, by 10% on average (not significant by *post-hoc* comparisons but overall  $P < 0.05$ ).  $g_s$  was significantly lower in the rainfall exclusion trees during all three steady-states (by 48, 17, 35 and 49% on average for Carpaccio, I214, 6J29 and N38, respectively,  $P < 0.001$ ) which led to a significant increase of  $W_i$  in the rainfall exclusion trees as compared to the control ones in SS<sub>0</sub>, SS<sub>1</sub> and SS<sub>2</sub>. Differences of A,  $g_s$  and  $W_i$  between SS<sub>0</sub> and SS<sub>2</sub> were almost never significant, except for A in N38 rainfall exclusion trees and  $g_s$  in Carpaccio and I214 control trees where they were reduced in SS<sub>2</sub>.

### *Magnitude and speed of irradiance-induced stomatal dynamics*

The amplitude of variation in A ( $\Delta A$ ) was significantly lower in N38 than in 6J29 for stomatal closing and opening (Fig. 1a-b,  $P < 0.03$  and  $P = 0.001$ , respectively) while no genotypic differences of the amplitude of  $g_s$  ( $\Delta g_s$ ) was found neither during stomatal closing nor opening (Fig. 1c-d).

In general, we found only a weak genotype effect on parameters of stomatal dynamics as a response to irradiance in the field. Only the response time ( $\tau$ ) during stomatal opening was significantly higher in Carpaccio than in I214 and N38 (Fig. 3,  $P < 0.003$ ) by 80 and 82%, respectively. Moreover, Carpaccio was the only genotype showing a  $\tau$  twice as high during stomatal opening in the control as compared to the rainfall exclusion trees. The lag time ( $\lambda$ ) tended to be higher in the hybrid poplars than in the black poplars during stomatal closing, depending on the water regime, with  $\lambda$  being 2.7 times higher in the Carpaccio rainfall exclusion trees as well (Fig. 3c,  $P < 0.001$ ).  $\lambda$  during stomatal opening was higher in 6J29 than in I214 and N38 and no significant effect of the water regime was found. For SL<sub>max</sub>, we were able to show it was twice as high in I214 during stomatal opening as it was in the other three genotypes (Fig. 3f,  $P < 0.02$ ). There was no effect of the genotype during stomatal opening and neither genotype nor water regime effect during stomatal closing on SL<sub>max</sub>.

*Genotype and rainfall exclusion effect on VPD-induced stomatal dynamics in the field*

*Steady-state A,  $g_s$  and  $W_i$  during VPD-induced stomatal dynamics*

As for the VPD response, CO<sub>2</sub> assimilation was again the highest in 6J29 but the lowest in Carpaccio in all three steady-states (Table 2). During SS<sub>1</sub> and SS<sub>2</sub>, A tended to be higher in the control trees than in the rainfall exclusion.  $g_s$  showed a similar increase in the control trees as compared to the rainfall exclusion trees in all three steady-states (by 38, 61 and 48% during SS<sub>0</sub>, SS<sub>1</sub> and SS<sub>2</sub> when averaged by genotype). This led again to significant increases of  $W_i$  in the rainfall exclusion plot. Unlike the response to irradiance, the decrease of A in SS<sub>1</sub> was solely driven by the concurrent decrease in  $g_s$ . Thus,  $W_i$  was increased in SS<sub>1</sub> as compared to SS<sub>0</sub> and SS<sub>2</sub>. No difference of A,  $g_s$  or  $W_i$  were found between SS<sub>0</sub> and SS<sub>2</sub> for the VPD response.

*Magnitude and speed of VPD-induced stomatal dynamics*

As for the magnitude of the VPD-induced stomatal dynamics,  $\Delta A$  was lower in Carpaccio than in I214, 6J29 and N38 (by 40, 45 and 52%, respectively, Fig. 2a-c) during stomatal closing. A similar but not significant trend was found for  $\Delta g_s$ . There was no significant genotype effect on either  $\Delta A$  or  $\Delta g_s$  during stomatal opening. Trees under rainfall exclusion showed a higher  $\Delta A$  and a lower  $\Delta g_s$  than control trees, both during stomatal closing and opening, with no interaction with the genotype.  $\Delta A$  decreased by 3.8 and 10.5  $\mu\text{mol m}^{-2} \text{s}^{-1}$  and increased by 2 and 5.3  $\mu\text{mol m}^{-2} \text{s}^{-1}$  in the control and rainfall exclusion trees respectively during stomatal closing and opening. On the contrary,  $\Delta g_s$  decreased by 0.26 and 0.2  $\text{mol m}^{-2} \text{s}^{-1}$  and increased by 0.13 and 0.09  $\text{mol m}^{-2} \text{s}^{-1}$  in the control and rainfall exclusion trees respectively during stomatal closing and opening.

Regarding the stomatal dynamics parameters for the VPD response,  $\tau$  showed a weak genotype effect in the field, with 6J29 being the highest during stomatal closing. We found a significant decrease of  $\tau$  in the N38 and 6J29 rainfall exclusion trees as compared to the control trees during stomatal closing and opening, respectively ( $P < 0.02$  and  $P < 0.005$ , respectively, Fig. 4a-b). For  $\lambda$ , the water regime effect depended on the genotype during stomatal closing, with I214 and 6J29 showing no effect while Carpaccio and N38 showed a lag time 52 and 62% shorter in the rainfall exclusion trees than in the control ( $P < 0.001$ ). During stomatal opening there was no genotype effect on  $\lambda$ , but it tended to decrease and increase in the hybrid and black poplars, respectively (only significant in N38,  $P < 0.02$ ). As for  $SL_{\max}$ , even though there is a trend of lower  $SL_{\max}$  in the trees under rainfall exclusion (Fig. 4e-f), we could not find any significant difference of genotype or water regime, either during stomatal closing or opening.

### *Comparisons of stomatal dynamics between natural and controlled conditions*

#### *Irradiance-induced stomatal dynamics between natural and controlled conditions*

Stomatal dynamics between controlled and natural conditions were quite different (Table 3). When considering the irradiance response,  $\Delta A$  was higher in the field than in the glasshouse by 64 and 47% in Carpaccio, by 34 and 24% in I214 and 27 and 25% in 6J29 during the closing and opening, respectively (Fig. 1a-b). On the contrary,  $\Delta A$  in N38 tended to be lower in the field than in the glasshouse (only significant during stomatal opening,  $P < 0.03$ ).  $\Delta g_s$  was lower in the field by 43% on average during stomatal closing (Fig. 1c-d). The same trend was found during stomatal opening in I214, 6J29 and N38, but not in Carpaccio ( $P > 0.65$ ). Furthermore,  $\tau$  for closing was increased from 7.5 min in the glasshouse to 16.2 min on average in the field ( $P < 0.001$ , Fig. 3a). We found a similar trend of increased  $\tau$  for opening in the field, significant only in Carpaccio (from 7.6 to 16.3 min,  $P < 0.001$ ) and 6J29 (from 6.6 to 12.3 min,  $P < 0.02$ ). Overall,  $\lambda$  also tended to increase in the field as compared to the glasshouse, to various magnitudes depending on genotype and water regime. This trend was more explicit during stomatal opening where it was significant in every genotype ( $P < 0.05$ ), with  $\lambda$  in the field being 1.7 times higher than in the glasshouse in I214 and N38, 2.9 times higher in Carpaccio and 4.4 times higher in 6J29 trees. Finally,  $SL_{max}$  was overall reduced by 72 and 71% in the field as compared to the glasshouse during stomatal closing and opening, respectively (Fig. 3e-f). During stomatal closing, this decrease was stronger in hybrid poplars than in black poplars. Overall, irradiance-induced stomatal dynamics in the field was more than twice as slow as they were in controlled conditions, regardless of the water regime.

#### *VPD-induced stomatal dynamics between natural and controlled conditions*

Concerning the VPD response,  $\Delta A$  was lower in the field than in the glasshouse specifically in control trees but not in the rainfall exclusion trees (Fig. 2a-b). This was true in every genotype during stomatal closing (53% reduction, overall) but only in I214 and N38 during stomatal opening (by 60 and 61%, respectively). Similarly,  $\Delta g_s$  was also lower in I214 and N38 during stomatal opening (by 43 and 33%, respectively) while it tended to be lower during stomatal closing in black poplars only ( $P = 0.07$  and  $P = 0.03$  for 6J29 and N38, respectively). As for the response time  $\tau$  during stomatal closing, it increased in the field as compared to the glasshouse in both hybrid poplars from 6.6 to 19.0 min in Carpaccio ( $P < 0.001$ ) and from 4.6 to 17.3 min in I214 ( $P < 0.001$ ) whereas it decreased in black poplars from 30.1 to 23.2 min in 6J29 ( $P < 0.008$ ) and from 22.2 to 15.0 min in N38 ( $P < 0.008$ , Fig. 4). A similar but less pronounced trend was found during stomatal opening.  $\lambda$  was significantly modified in the field,

but differently depending on genotype and treatment. Overall,  $\lambda$  was increased in the field, as compared to the glasshouse, more strongly in the hybrid poplars than in the black poplars during stomatal closing. During stomatal opening,  $\lambda$  was similar between growing conditions in the hybrid poplars but decreased in the black poplars. Finally,  $SL_{max}$  tended to be smaller in the field than in the glasshouse for all genotypes and water regimes both during stomatal closing and opening, but was significant only in the hybrid poplars, which showed the highest  $SL_{max}$  in the glasshouse (Fig. 4e-f).  $SL_{max}$  was on average 4.9 and 5.2 times smaller in the field than in the glasshouse in Carpaccio trees, and on average 11 and 4.6 times smaller in I214, for stomatal closing and opening respectively.

### *Correlation analyses in the field*

#### *Correlations between stomatal dynamics and stomatal morphology*

Correlation analyses between parameters of the stomatal dynamics performed in the field ( $\tau$ ,  $\lambda$  and  $SL_{max}$ ) either during stomatal closing or opening and stomatal morphology (GCL, AGC and SD) either on the abaxial or the adaxial side of the leaf were generally not significant. More precisely, no correlation was found to be significant between stomatal dynamics parameters as a response to irradiance or VPD and stomatal morphology on the abaxial side ( $P > 0.06$  in every case).  $\lambda$  during stomatal closing as a response to VPD was positively correlated with GCL on the adaxial side.  $\tau$  during stomatal closing as a response to VPD was also positively correlated with GCL and with AGC as well on the adaxial side (Fig. 5,  $P < 0.03$ , partial  $R^2 = 0.37$  and 0.29, respectively). Neither  $SL_{max}$  nor SD showed any significant correlation with stomatal morphology and dynamic parameters, respectively.

#### *Correlation between stomatal dynamics and whole-plant productivity and water use*

Correlation analyses between parameters of the stomatal dynamics performed in the field and whole-plant productivity and water use were also generally not significant. We did not find any significant correlation between stomatal dynamics and neither dry matter, height nor leaf area increments. The cumulated water use and the transpiration efficiency was not correlated to stomatal dynamics either. This was true for stomatal dynamics as a response to irradiance and VPD. The only significant correlations we found were positives and involved the average daily whole plant transpiration per unit leaf area and either the response time  $\tau$  during stomatal closing as a response to VPD or the lag time  $\lambda$  during stomatal opening as a response to irradiance (Fig. 6,  $P < 0.006$ , partial  $R^2 = 0.42$  and 0.30, respectively)

## DISCUSSION

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In this study we assessed stomatal dynamics in response to changes of irradiance and VPD on poplar genotypes under contrasting water regimes, and planted in the field. Our objective was also to investigate stomatal dynamics when plants were planted in controlled and natural conditions to determine if stomatal dynamics analyses would hold across growing conditions. Modeling plant gas exchange with the environment has been done using dynamics models (Vialet-Chabrand *et al.*, 2016), and when compared, they showed a better match between computed and observed data than steady-states models (Vialet-Chabrand *et al.*, 2013). However there is some evidence that estimating dynamic parameters on a relatively small sample of plants grown in the same conditions might not inform us about genotype or species-specific dynamics (Matthews *et al.*, 2018). Whether stomatal dynamics show a phenotypic plasticity or not is up for debate, but if dynamic models are to be improved, understanding how dynamics parameters may vary with the environment is a central concern that needs to be addressed.

*Differences of stomatal dynamics between genotypes and water regimes are dependent on growing conditions*

Overall our data suggests genotypic and water regime differences were often not significant in the field, whereas Durand *et al.* (2019) found strong genotypic differences in the same poplar genotypes grown in a glasshouse experiment. They found the genotype I214 to be always the faster to close its stomata in response to a decrease of irradiance or an increase of VPD. 6J29 tended to be the slowest and in response to VPD, both black poplars were several times slower than hybrid poplars. In our field experiment, I214 also tended to exhibit the lowest  $\tau$ ,  $\lambda$  and the highest  $SL_{max}$  while 6J29 was among the slowest (Fig. 3-4), in agreement with the glasshouse study. These similarities suggest both endogenous and environmental determinisms of stomatal dynamics in poplars, since there were large differences of stomatal dynamics between the glasshouse and the field experiment.

If stomatal dynamics show a plasticity between conditions, there may be advantages of both slow and fast stomatal responses depending on external conditions, assuming they are actively regulated by the plant. It has been proposed that having faster stomata may enable better tracking of external conditions, taking advantage of sunflecks but limiting water losses quickly when the environment becomes unfavorable, and improving instant water use efficiency, so that soil water content may be depleted more slowly (Vialet-Chabrand *et al.*, 2013; Mc Ausland *et al.*, 2016; Lawson & Vialet-Chabrand, 2019). However far fewer studies suggested benefits

to have slower stomata. A good stomatal tracking is possible only when external fluctuations are slower than the stomatal response, and under certain conditions even the fastest stomata are not able to perfectly track the environment. Gregory & Pearse (1937), studying stomatal resistance to fluctuations of irradiance found that under alternating periods of light, stomata tend to reach an equilibrium dependent on the light duration. Moreover, stomata of *Vicia faba* (L.) adapted to a continuous light only tracked the irradiance for a few days, when put in dynamic light conditions, before losing sensitivity to it and reverting to their behavior under constant light (Kaiser & Kappen, 1997). With a modeling approach Vico *et al.* (2011) concluded that under low light, faster stomatal dynamics would help harvest the limited supply of light. However, under high light availability or rapid fluctuations, a slower stomatal response would reduce the metabolic cost of stomatal movement and generally allow for a higher carbon gain. We could therefore hypothesize that rapid environmental changes, too fast for stomata to track, would lead to  $g_s$  being far from optimal at all time, while averaging  $g_s$  may yield less carbon gains and more water losses but more steadily and in a more predictable manner. Thus, averaging environmental changes instead of tracking might be more advantageous in some conditions. Not always the fastest stomatal dynamics are necessarily the most optimal, depending on the environment. Further investigations are needed to determine if inherent physical and biochemical limitations are the only causes explaining why some plants have slower stomata.

Overall, correlation analyses between stomatal dynamics and either stomatal morphology or components of the transpiration efficiency showed very few significant results in the field whereas we found several during the glasshouse experiment (Durand *et al.*, 2019). Still, it is interesting that each of the four correlations with either whole-plant transpiration or stomatal size found (Fig. 5 and 6) in the field were among the strongest we found in the glasshouse and involved the lag and response time. This suggests stomatal density and size do play a role in determining stomatal movement speed in poplar genotypes. However, the extent to which it contributes to stomatal dynamics seem to vary strongly with environmental conditions. Moreover, such correlations may not have been found without the use of the dynamic model. Furthermore, we found again a correlation between stomatal dynamics and whole plant transpiration per unit leaf area not whole-plant water of TE, adding further evidence that even if stomatal dynamics impact the whole-plant transpiration, the whole-plant water use is substantially driven by the total leaf area.

### *Slower stomatal dynamics in the field*

In the field experiment, stomatal dynamics were much slower than in the glasshouse experiment, regardless of genotype or water availability (Fig. 3 and 4, Durand *et al.*, 2019). Magnitude of irradiance and VPD change were  $300 \mu\text{mol m}^{-2} \text{s}^{-1}$  higher and 0.5 kPa lower in the field than in the glasshouse experiment, respectively. Despite this,  $\Delta g_s$  during the irradiance response was almost always twice smaller as in the glasshouse, while  $\Delta g_s$  during the VPD response was sometimes, but not always, reduced correspondingly to the smaller magnitude of VPD change. Thus, we can conclude that the different irradiance and VPD level did not systematically impact the steady-states levels in controlled and natural conditions.

When considering the same decrease of  $g_s$ , we can compare the stomatal dynamics in the field in reference to the ones in the glasshouse by representing the average difference of  $\tau$  and SD for each genotype and water regime (Fig. 7). Whether plants have lower or higher SD and lower or higher response time will influence their relative speed of  $g_s$  and  $A_p$  to one another. This enables us to clearly determine whether the speed of  $g_s$  does reflect the speed of aperture change or not. In almost all cases, there was both a slower  $g_s$  and  $A_p$  response in the field compared to the glasshouse. Only the stomatal opening to a decreased VPD in 6J29 control trees showed a faster  $g_s$  and  $A_p$  response. More interestingly, the speed of stomatal closing and opening in control and drought-stressed 6J29, respectively, show a slower  $g_s$  but a faster  $A_p$  response in the field than in the glasshouse. This suggests an uncoupled response of  $A_p$  and  $g_s$  when comparing plants with different stomatal density (Durand *et al.*, 2019). Here we have shown experimentally for the first time how they interact. Caution should be exercised when attempting to link guard cell specific traits, such as stomatal morphology, with  $g_s$  dynamics. However this method, by enabling the modeling of guard cell opening speeds, allows us to test more thoroughly the underlying traits driving the diversity of stomatal dynamics.

Stomatal morphology was also quite distinct between the field and the glasshouse experiment, with a decrease of GCL and AGC of 20 and 25% respectively in the field whereas SD increased by 20 and 10% on the abaxial and adaxial side, respectively (Durand *et al.*, 2019). A trade-off of SD and AGC is in line with the inverse relationship the two variables share across species (Hetherington & Woodward, 2003). Usually, stomatal density tends to increase under more intense irradiation (Brownlee, 2001), which can also be observed in the upper canopy where leaves are subjected to higher irradiance (Al Afas *et al.*, 2006). This is consistent with the irradiance being three times as high in the field as it was in the glasshouse (Table S1). However, these findings are not in agreement with the hypothesis that smaller, more numerous stomata

may have faster dynamics than larger, more sparsely displayed stomata (Hetherington & Woodward, 2003; Drake *et al.*, 2013; Raven, 2014). Taken together with the wide difference in significativity in the glasshouse and field experiment correlation analyses, this evidence suggests that stomatal sizes are far from being the main driver of variations in stomatal dynamics in poplars. Interestingly, black poplars but not hybrid poplars showed a reduction of the stomatal area index under rainfall exclusion conditions. This is independent of the observed trade-off between SD and AGC and highlights that under reduced water availability black poplars are reducing their overall stomatal area per leaf area while the hybrid poplars are not.

Very few studies have investigated the impact of different growing conditions on stomatal dynamics. In *Nicotiana tabacum* (L.), plants growing under shade exhibited lower SD and slower stomatal dynamics, especially during closing (Gérardin *et al.*, 2018). Testing different rainforest species, Kardiman & Ræbild (2018) found both faster and slower stomatal opening under shade, unrelated with SD. In *Arabidopsis thaliana* (L.), plants grown under fluctuating irradiance showed faster opening but slower closing of the stomata (Matthews *et al.*, 2018), consistent with the idea that light-use efficiency may be improved under those conditions by maintaining stomata open (Ooba & Takahashi, 2003). Moreover, different dynamics were reported for different light intensities, depending on the light fluctuations during their growth (Matthews *et al.*, 2018). There does not appear to be a consensus on the effect of irradiance during growth, consequently we can only speculate that part of the reason why stomatal dynamics were slower in the field was because of the higher overall irradiance. Other factors may also have played a role, as distinct stomatal dynamics were found for plant subjected to different VPD levels (Tinoco-Ojanguren & Pearcy, 1993) and leaf water potentials (Davies & Kozlowski, 1975; Barradas *et al.*, 1994). VPD in the field was on average half as high as in the glasshouse due to differences of both humidity and temperature (Table S1). Hydraulic functioning may also have been different considering differences in soil water content, soil characteristics and age of the trees between the two growing conditions.

In conclusion, our study points to both endogenous and environmental drivers of stomatal speed, depending on the growing conditions. Stomatal dynamics in the field were much slower than in the glasshouse, despite smaller and more numerous stomata, indicating that stomatal morphology is far from the main factor controlling stomatal speed, even though we were able to detect significant correlations. Other authors proposed that the amount and activity of channels and transporters on the guard cell membrane may induce faster water movements and lead to faster stomatal dynamics (Lawson & Blatt, 2014; Raven, 2014). Going forward, there is

a number of suggestions, we think may help future studies to improve our understanding of stomatal dynamics. First, the multiple procedures and methodologies used to characterize stomatal dynamics are, in some cases, preventing us to compare results between studies. We suggest at the very least future investigations into stomatal dynamics consider reporting all parameters defining the time, magnitude and rate of  $g_s$  change because they are three closely related components of the full  $g_s$  response. Focusing on a single parameter may lead to inaccurate conclusions. Secondly, wherever possible the rate of  $g_s$  and aperture change should be examined, especially when hypotheses on stomatal dynamics relate more to one than the other. Thirdly, this study has shown we need to further explore the diversity of stomatal dynamics inside a single genotype or species and the determinisms driving that diversity (*e.g.* light, VPD, temperatures) before we can fully understand more complex responses, such as drought stresses.

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## CONFLICT OF INTEREST

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The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTION

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M.D., C.B. and D.L.T. contributed to the data collection. M.D., O.B. and D.L.T. contributed to the experimental design, data analysis and interpretation as well as the writing of the manuscript.

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## TABLES

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**Table 1.** Stomatal morphology of four poplar genotypes (Carpaccio, I214, 6J29 and N38) for each water regime and leaf side. Values shown are means  $\pm$  standard deviation. ANOVA factors are considered significant at  $P < 0.05$ . Letters show the significant differences by *post-hoc* comparisons between the 16 groups (4 genotypes and 2 water regimes and 2 leaf sides).

Genotype	Water regime	Face	GCL ( $\mu\text{m}$ )	AGC ( $\mu\text{m}^2$ )	SD ( $\text{mm}^{-2}$ )	SAI ( $\mu\text{m}^2 \text{ mm}^{-2}$ )
Carpaccio	control	Abaxial	21.8 $\pm$ 0.9 abcde	203.7 $\pm$ 11.8 bcd	169.5 $\pm$ 9.5 e	53.7 $\times$ 10 <sup>3</sup> $\pm$ 3.4 $\times$ 10 <sup>3</sup> a
		Adaxial	23.2 $\pm$ 1.4 cdef	210.1 $\pm$ 10.8 cd	91.6 $\pm$ 7.4 bc	
	Rainfall exclusion	Abaxial	20.5 $\pm$ 1.5 a	175.8 $\pm$ 16.3 a	188.8 $\pm$ 9.3 f	52.2 $\times$ 10 <sup>3</sup> $\pm$ 4.8 $\times$ 10 <sup>3</sup> a
		Adaxial	20.8 $\pm$ 1.7 ab	183.7 $\pm$ 8.7 ab	103.5 $\pm$ 10.4 c	
I214	control	Abaxial	21.3 $\pm$ 0.8 abc	199.4 $\pm$ 12.9 bcd	217.5 $\pm$ 16.7 g	67.0 $\times$ 10 <sup>3</sup> $\pm$ 4.3 $\times$ 10 <sup>3</sup> cd
		Adaxial	20.9 $\pm$ 1.1 ab	195.9 $\pm$ 15.9 abcd	122 $\pm$ 15.9 d	
	Rainfall exclusion	Abaxial	21.3 $\pm$ 1.3 abc	202.8 $\pm$ 22.8 bcd	221.5 $\pm$ 25.5 g	67.7 $\times$ 10 <sup>3</sup> $\pm$ 3.9 $\times$ 10 <sup>3</sup> d
		Adaxial	21 $\pm$ 2.5 ab	187.1 $\pm$ 12 abc	125 $\pm$ 14.2 d	
6J29	control	Abaxial	22.5 $\pm$ 0.8 bcdef	200.8 $\pm$ 18 bcd	208.1 $\pm$ 16.1 g	66.9 $\times$ 10 <sup>3</sup> $\pm$ 5.1 $\times$ 10 <sup>3</sup> cd
		Adaxial	25.3 $\pm$ 1.4 g	292.5 $\pm$ 22.6 f	86.5 $\pm$ 10.1 b	
	Rainfall exclusion	Abaxial	21.6 $\pm$ 0.6 abcd	194.8 $\pm$ 15.2 abcd	184.9 $\pm$ 10.9 ef	56.7 $\times$ 10 <sup>3</sup> $\pm$ 4.1 $\times$ 10 <sup>3</sup> ab
		Adaxial	24 $\pm$ 0.8 fg	260.3 $\pm$ 17.8 e	79.5 $\pm$ 8.9 ab	
N38	control	Abaxial	23.4 $\pm$ 2.1 defg	238.7 $\pm$ 18.8 e	190 $\pm$ 14.3 f	61.4 $\times$ 10 <sup>3</sup> $\pm$ 3.7 $\times$ 10 <sup>3</sup> bc
		Adaxial	23.7 $\pm$ 1 efg	237.6 $\pm$ 16.5 e	68.1 $\pm$ 7.7 a	
	Rainfall exclusion	Abaxial	22.3 $\pm$ 0.9 abcdef	202.8 $\pm$ 23.9 bcd	187.5 $\pm$ 12.3 f	52.7 $\times$ 10 <sup>3</sup> $\pm$ 6.9 $\times$ 10 <sup>3</sup> a
		Adaxial	22.2 $\pm$ 1.4 abcdef	211.5 $\pm$ 29.2 d	69.2 $\pm$ 5.5 a	
<i>P</i>	Genotype (G)		<0.001	<0.001	<0.001	<0.001
	Water regime (T)		<0.001	<0.001	ns	0.001
	Face (S)		0.006	<0.001	<0.001	
	Interaction G:T		ns	0.038	0.001	0.014
	Interaction G:S		0.002	<0.001	<0.001	
	Interaction T:S		ns	ns	ns	
	Interaction G:T:S		ns	ns	ns	

GCL: Guard cell length, AGC: Area of the guard cells, SD: Stomatal density, SAI: Stomatal area index,

ns: not significant.

**Table 2.** Steady-state values of the temporal dynamics of gas exchange as a response to a change in irradiance or VPD on four poplar genotypes (Carpaccio, I214, 6J29 and N38). Values shown are means  $\pm$  standard deviation before the irradiance change ( $SS_0$ ), after a decrease of irradiance ( $SS_1$ ) and after an increase of irradiance ( $SS_2$ ).  $\Delta SS$  show the significant differences between  $SS_0$  and  $SS_2$  by *post-hoc* comparisons of a linear model that include the genotype, water regime and the steady-state effect. ANOVA factors are considered significant at  $P < 0.05$ . Letters show the significant differences by *post-hoc* comparisons between the eight groups (4 genotypes and 2 water regimes).

	Genotype	Water regime	A ( $\mu\text{mol m}^{-2} \text{s}^{-1}$ )				$g_s$ ( $\text{mol m}^{-2} \text{s}^{-1}$ )				W <sub>i</sub> ( $\mu\text{mol mol}^{-1}$ )			
			SS0	SS1	SS2	$\Delta SS$	SS0	SS1	SS2	$\Delta SS$	SS0	SS1	SS2	$\Delta SS$
Irradiance	Carp.	control	18.3 $\pm$ 1.2 a	4.1 $\pm$ 1.4 a	18.1 $\pm$ 2.5 abc	ns	0.45 $\pm$ 0.11 b	0.23 $\pm$ 0.11 d	0.35 $\pm$ 0.04 c	<b>0.046</b>	43.6 $\pm$ 13.2 a	22.1 $\pm$ 12.4 a	51.3 $\pm$ 8.2 a	ns
		Rainfall exclusion	19.3 $\pm$ 3.9 a	4.4 $\pm$ 0.5 a	16.5 $\pm$ 3.2 ab	ns	0.23 $\pm$ 0.08 a	0.1 $\pm$ 0.05 abc	0.21 $\pm$ 0.06 b	ns	91.3 $\pm$ 27.9 bc	53.2 $\pm$ 26.5 bcd	83.1 $\pm$ 16.9 bc	ns
	I214	control	20.2 $\pm$ 4.7 a	4.2 $\pm$ 0.9 a	17.3 $\pm$ 4.8 abc	ns	0.29 $\pm$ 0.08 a	0.08 $\pm$ 0.04 ab	0.2 $\pm$ 0.05 ab	<b>0.037</b>	71.4 $\pm$ 16.4 ab	57.5 $\pm$ 19.4 bcd	87.2 $\pm$ 12.7 bcd	ns
		Rainfall exclusion	19.2 $\pm$ 2.5 a	4.6 $\pm$ 0.8 a	18.5 $\pm$ 1.2 abc	ns	0.21 $\pm$ 0.03 a	0.07 $\pm$ 0.02 a	0.18 $\pm$ 0.02 ab	ns	90.7 $\pm$ 15.3 bc	69.7 $\pm$ 16.9 d	102.2 $\pm$ 11.5 cde	ns
	6J29	control	21.5 $\pm$ 4.4 a	5.4 $\pm$ 0.2 a	21.9 $\pm$ 3.8 c	ns	0.27 $\pm$ 0.06 a	0.16 $\pm$ 0.01 bcd	0.3 $\pm$ 0.06 c	ns	79 $\pm$ 5.3 abc	32.7 $\pm$ 1.1 abc	74.3 $\pm$ 11.3 ab	ns
		Rainfall exclusion	20.7 $\pm$ 3.6 a	4.8 $\pm$ 0.4 a	19 $\pm$ 3.5 bc	ns	0.22 $\pm$ 0.12 a	0.09 $\pm$ 0.06 abc	0.17 $\pm$ 0.08 ab	ns	112.3 $\pm$ 39.3 c	61.4 $\pm$ 34.9 cd	123.7 $\pm$ 31.9 e	ns
	N38	control	17.8 $\pm$ 3 a	4.4 $\pm$ 0.4 a	17.9 $\pm$ 1.5 abc	ns	0.29 $\pm$ 0.09 a	0.15 $\pm$ 0.05 c	0.32 $\pm$ 0.05 c	ns	67.5 $\pm$ 22.2 ab	32.4 $\pm$ 13 ab	50.4 $\pm$ 17.1 a	ns
		Rainfall exclusion	17 $\pm$ 2.2 a	4.5 $\pm$ 0.4 a	13.5 $\pm$ 2.6 a	<b>0.049</b>	0.19 $\pm$ 0.07 a	0.07 $\pm$ 0.03 a	0.13 $\pm$ 0.05 a	ns	93.6 $\pm$ 23.7 bc	67.3 $\pm$ 27.2 d	109.4 $\pm$ 22.7 de	ns
VPD	P	Genotype	<b>0.039</b>	ns	<b>0.006</b>		<b>0.016</b>	<b>0.002</b>	<b>0.008</b>		<b>0.041</b>	<b>0.035</b>	<b>0.001</b>	
		Water regime	ns	ns	<b>0.041</b>		<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>		<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	
		Interaction	ns	ns	ns		ns	ns	<b>0.004</b>		ns	ns	<b>0.034</b>	
	Carp.	control	14.4 $\pm$ 1.6 a	12.5 $\pm$ 2 bcd	13.4 $\pm$ 2 ab	ns	0.37 $\pm$ 0.08 bc	0.17 $\pm$ 0.05 cd	0.23 $\pm$ 0.07 bc	ns	39.5 $\pm$ 6.5 a	78 $\pm$ 9.7 a	62.9 $\pm$ 14.7 a	ns
		Rainfall exclusion	17.6 $\pm$ 2 ab	9.7 $\pm$ 2.5 abc	14.3 $\pm$ 4.4 ab	ns	0.21 $\pm$ 0.04 a	0.08 $\pm$ 0.02 a	0.13 $\pm$ 0.06 a	ns	83.6 $\pm$ 11.7 b	129.3 $\pm$ 9.7 b	117 $\pm$ 18.1 b	ns
	I214	control	19.5 $\pm$ 3 bc	16.6 $\pm$ 4 de	18.3 $\pm$ 3.3 b	ns	0.44 $\pm$ 0.06 cd	0.21 $\pm$ 0.05 cd	0.26 $\pm$ 0.03 c	ns	45.4 $\pm$ 9.3 a	79.4 $\pm$ 4.1 a	69.4 $\pm$ 8 a	ns
		Rainfall exclusion	19.3 $\pm$ 1.9 bc	8.1 $\pm$ 2.7 ab	14.5 $\pm$ 3.8 ab	ns	0.24 $\pm$ 0.04 a	0.06 $\pm$ 0.03 a	0.14 $\pm$ 0.05 a	ns	80.3 $\pm$ 13.6 b	145.3 $\pm$ 23.5 b	112 $\pm$ 21.7 b	ns
	6J29	control	22.8 $\pm$ 4 cd	18.1 $\pm$ 3.8 e	19.1 $\pm$ 2.8 b	ns	0.52 $\pm$ 0.1 d	0.22 $\pm$ 0.06 d	0.27 $\pm$ 0.07 c	ns	44.7 $\pm$ 9.8 a	83 $\pm$ 13.2 a	74.1 $\pm$ 21.4 a	ns
		Rainfall exclusion	24.1 $\pm$ 4.4 d	12.1 $\pm$ 5.5 bcd	17.2 $\pm$ 4.7 b	ns	0.37 $\pm$ 0.1 bc	0.09 $\pm$ 0.07 ab	0.16 $\pm$ 0.07 ab	ns	67.9 $\pm$ 16.6 b	153.6 $\pm$ 43.8 b	119.1 $\pm$ 30.4 b	ns
	N38	control	19.6 $\pm$ 1.5 bc	13.9 $\pm$ 1.8 cde	17 $\pm$ 1.8 b	ns	0.43 $\pm$ 0.09 cd	0.15 $\pm$ 0.04 bc	0.23 $\pm$ 0.08 bc	ns	47.1 $\pm$ 8 a	97.6 $\pm$ 17.4 a	77.4 $\pm$ 17.6 a	ns
		Rainfall exclusion	18.1 $\pm$ 3.3 ab	7.3 $\pm$ 3.9 a	10.1 $\pm$ 5 a	ns	0.28 $\pm$ 0.11 ab	0.06 $\pm$ 0.04 a	0.09 $\pm$ 0.06 a	ns	71.7 $\pm$ 20.8 b	129 $\pm$ 26.4 b	118 $\pm$ 22.4 b	ns
P	P	Genotype	<b>&lt;0.001</b>	<b>0.012</b>	<b>0.017</b>		<b>0.001</b>	<b>0.049</b>	ns		ns	ns	ns	ns
		Water regime	ns	<b>&lt;0.001</b>	<b>0.012</b>		<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>		<b>&lt;0.001</b>	<b>&lt;0.001</b>	<b>&lt;0.001</b>	
		Interaction	ns	ns	ns		ns	ns	ns		ns	ns	ns	ns

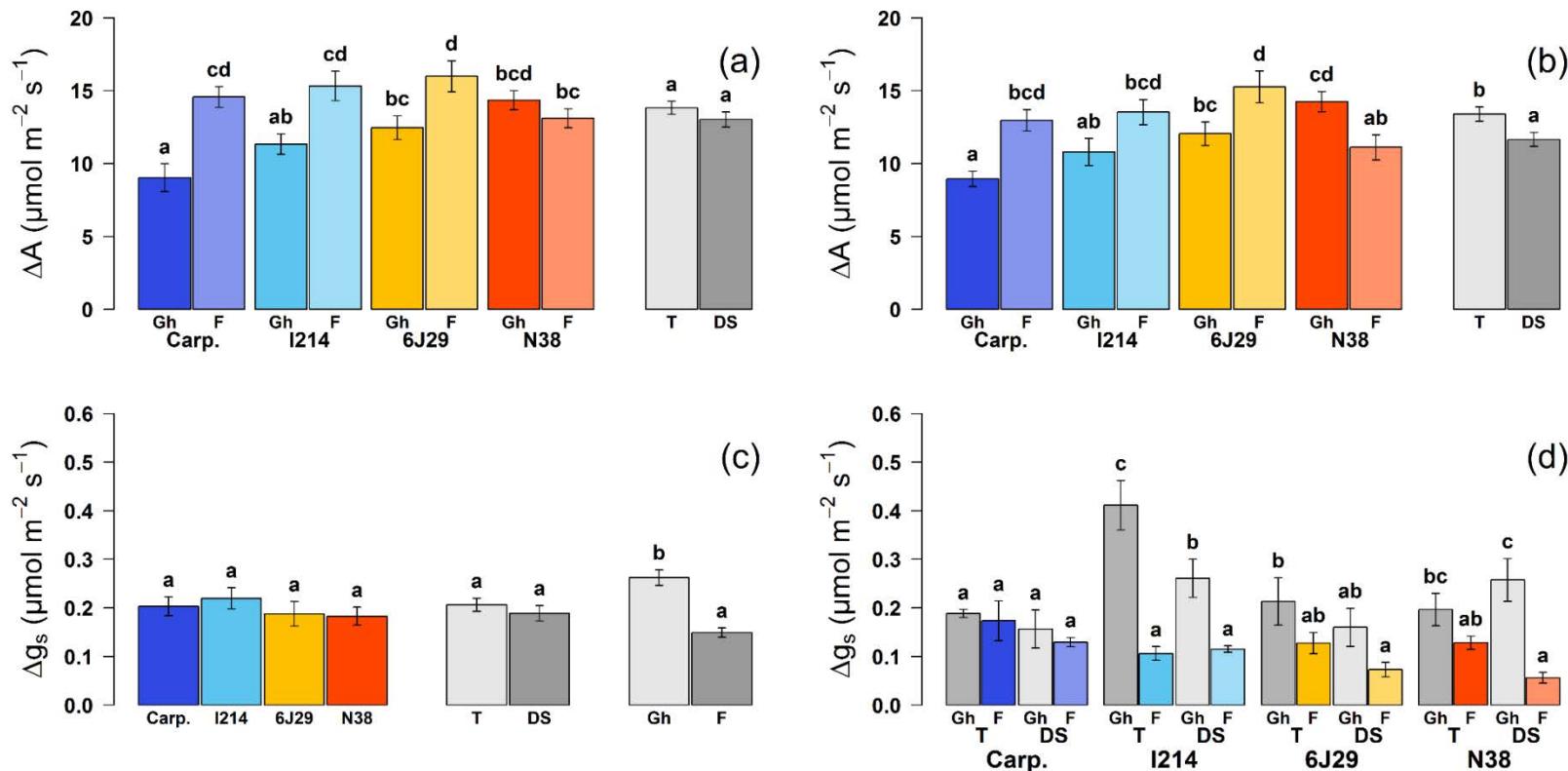
Carp.: Carpaccio; A, Net CO<sub>2</sub> assimilation; g<sub>s</sub>, stomatal conductance to water vapour; W<sub>i</sub>, intrinsic water use efficiency; ns, not significant.

**Table 3.** Summary of factorial analyses of variance results of a linear model which includes the genotype (G), the water regime (T) and the growing conditions (Z) effect using both the data from the field and the glasshouse experiment. *P*-values are shown.  $\Delta A$  and  $\Delta g_s$  are the magnitude of net CO<sub>2</sub> assimilation and stomatal conductance change following either a change of irradiance or VPD.  $\tau$ ,  $\lambda$  and SLmax are parameters of the dynamic model. Subscripts “cl” and “op” are for stomatal closing and opening respectively. ANOVA factors are considered significant at  $P < 0.05$ . ns: not significant.

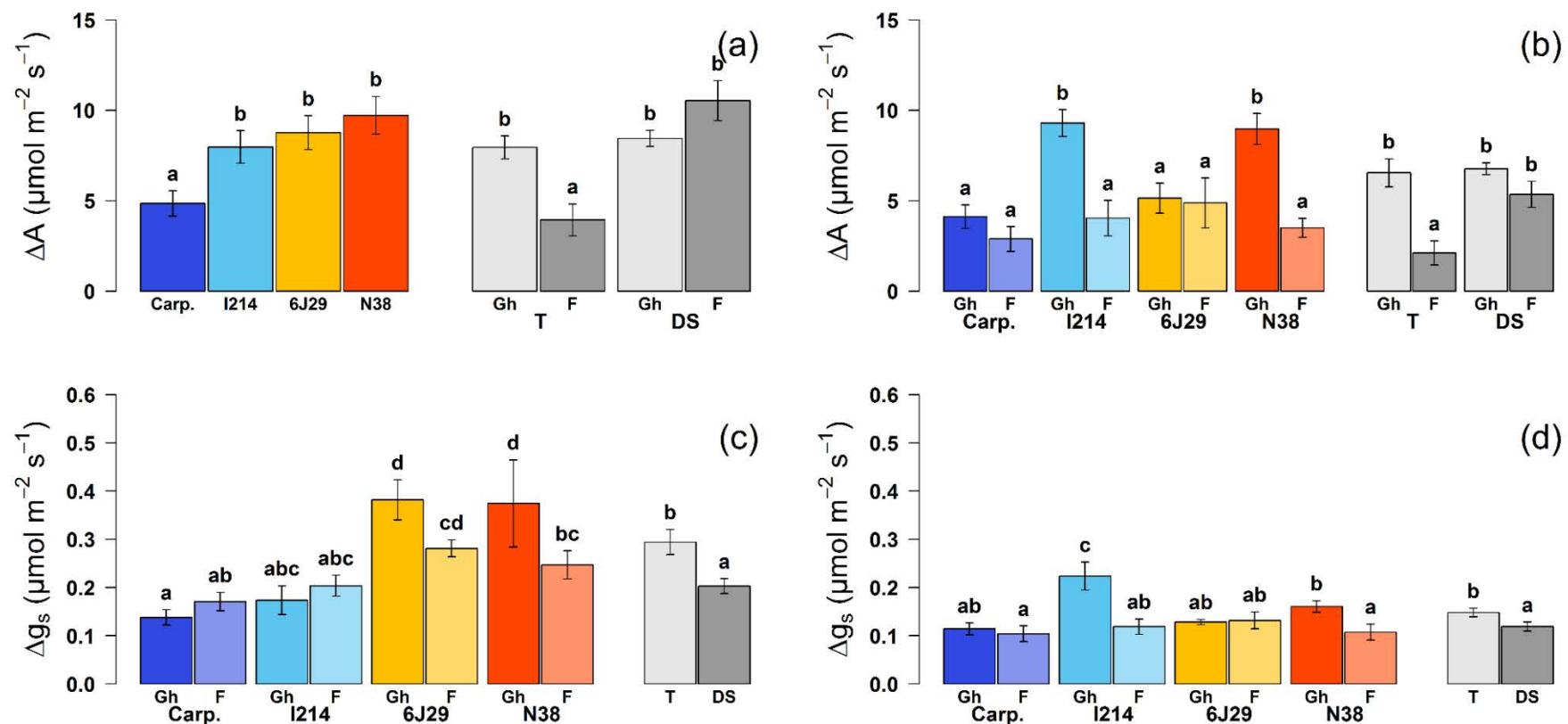
	Factor	$\Delta A_{cl}$	$\Delta A_{op}$	$\Delta g_s_{cl}$	$\Delta g_s_{op}$	$\tau_{cl}$	$\tau_{op}$	$\lambda_{cl}$	$\lambda_{op}$	SL <sub>max cl</sub>	SL <sub>max op</sub>
irradiance	<b>Genotype (G)</b>	ns	<b>0.015</b>	ns	<b>0.002</b>	ns	ns	<b>0.028</b>	ns	<0.001	<b>0.012</b>
	<b>Water regime (T)</b>	ns	<b>0.002</b>	ns	<b>0.005</b>	ns	<0.001	ns	ns	<b>0.003</b>	ns
	<b>Growing conditions (Z)</b>	<0.001	<b>0.005</b>	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001
	<b>G:T</b>	ns	ns	ns	ns	ns	<b>0.025</b>	<b>0.003</b>	ns	ns	ns
	<b>G:Z</b>	<0.001	<0.001	ns	<0.001	ns	<b>0.043</b>	<b>0.029</b>	<b>0.005</b>	<0.001	ns
	<b>T:Z</b>	ns	ns	ns	ns	ns	ns	ns	ns	<b>0.008</b>	ns
VPD	<b>G:T:Z</b>	ns	ns	ns	<b>0.007</b>	ns	ns	<b>0.042</b>	ns	ns	ns
	<b>Genotype (G)</b>	<0.001	<0.001	<0.001	<b>0.002</b>	<0.001	<0.001	<0.001	<0.001	<0.001	<0.001
	<b>Water regime (T)</b>	<0.001	<b>0.001</b>	<0.001	<b>0.017</b>	ns	<b>0.044</b>	<0.001	ns	<0.001	ns
	<b>Growing conditions (Z)</b>	ns	<0.001	ns	<b>0.002</b>	<b>0.045</b>	ns	<0.001	0.022	<0.001	<0.001
	<b>G:T</b>	ns	ns	ns	ns	<b>0.002</b>	<b>0.002</b>	<0.001	<0.001	<0.001	ns
	<b>G:Z</b>	ns	<b>0.001</b>	<b>0.041</b>	<b>0.012</b>	<0.001	<b>0.001</b>	<b>0.002</b>	<b>0.001</b>	<0.001	<0.001
	<b>T:Z</b>	<0.001	<b>0.008</b>	ns	ns	ns	ns	<b>0.022</b>	<b>0.003</b>	<b>0.006</b>	ns
	<b>G:T:Z</b>	ns	ns	ns	ns	ns	ns	<0.001	ns	<b>0.002</b>	ns

## FIGURES

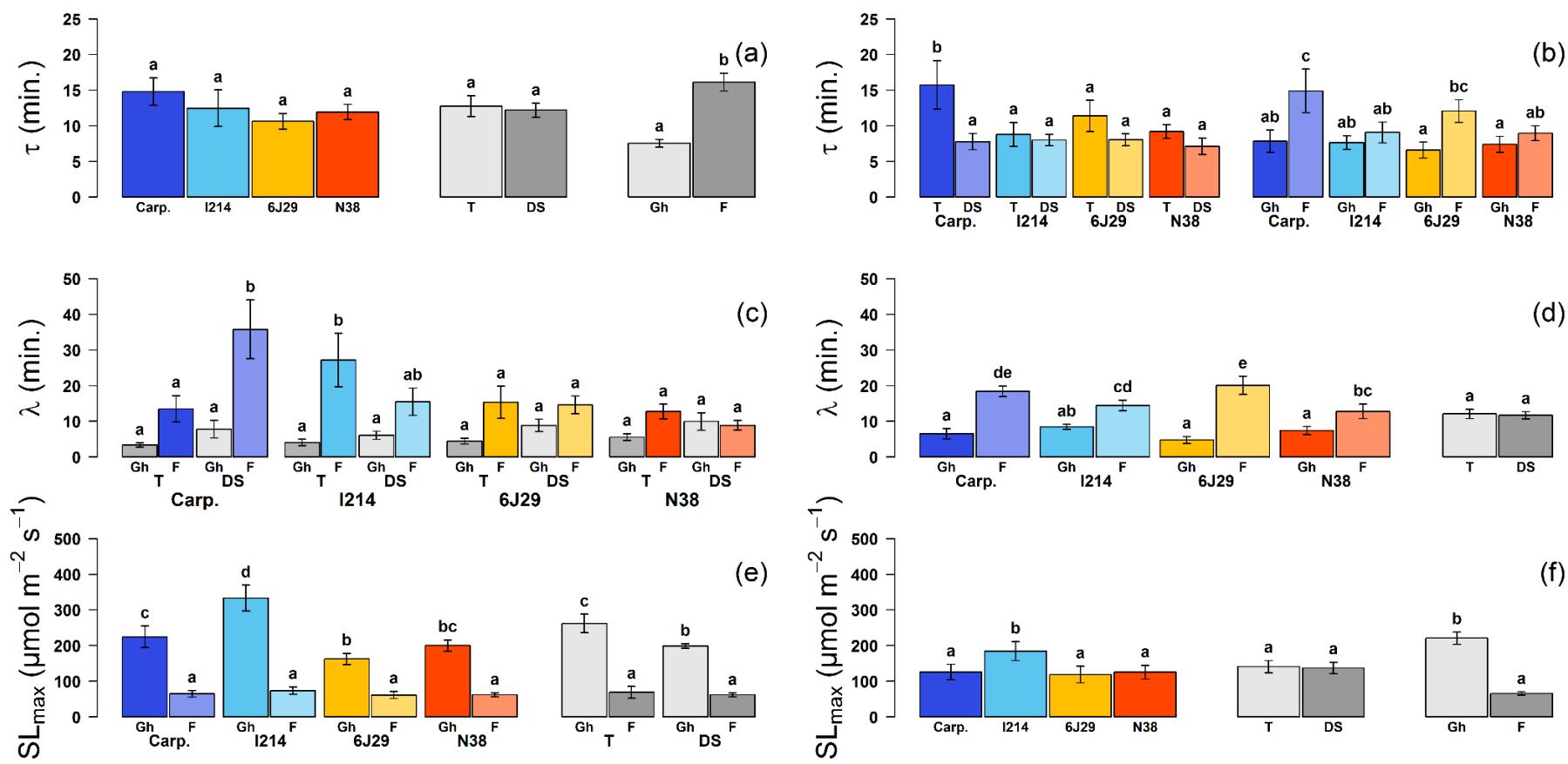
**Figure 1.** Magnitude of variation in CO<sub>2</sub> assimilation ( $\Delta A$ , **a-b**) and stomatal conductance ( $\Delta g_s$ , **c-d**) during a decrease (**a-c**) or an increase (**b-d**) of irradiance in four poplar genotypes (Carpaccio, I214, 6J29 and N38). Data were collected on poplars grown in a glasshouse (Gh) or in the field (F), well-watered (T) or under reduced water availability (DS). Values shown are means  $\pm$  standard errors. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively. Letters show significant differences by *post-hoc* comparisons. ANOVA results are given for main effects (G: genotype, T: water treatment, Z: growth conditions) and interactions (n = 4-6). When the second order interaction was significant (G-T-Z), letters are shown independently for each genotype.



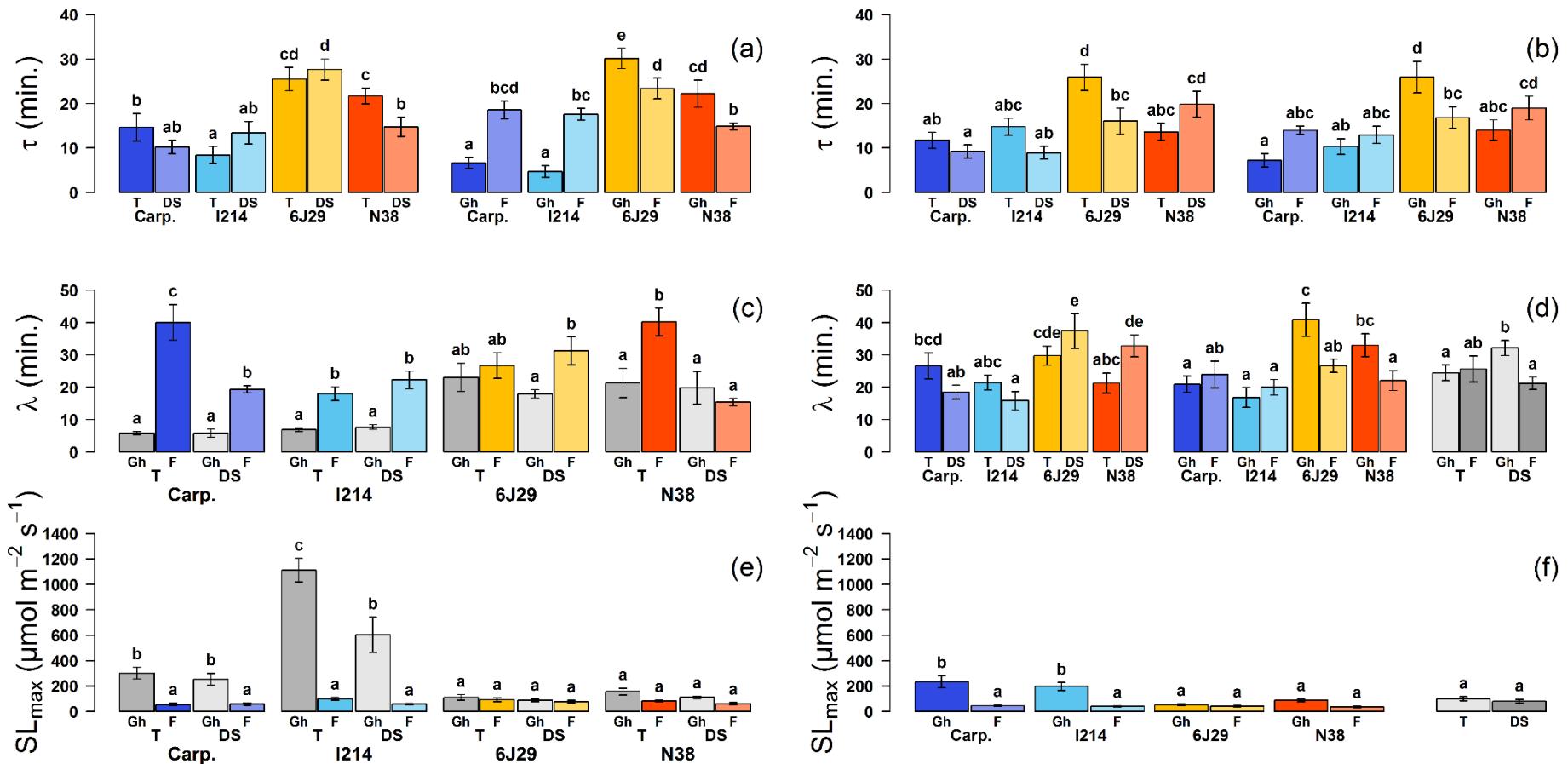
**Figure 2.** Magnitude of variation in CO<sub>2</sub> assimilation ( $\Delta A$ , **a-b**) and stomatal conductance ( $\Delta g_s$ , **c-d**) during an increase (**a-c**) or a decrease (**b-d**) of VPD in four poplar genotypes (Carpaccio, I214, 6J29 and N38). Data were collected on poplars grown in a glasshouse (Gh) or in the field (F), well-watered (T) or under reduced water availability (DS). Values shown are means  $\pm$  standard errors. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively. Letters show significant differences by *post-hoc* comparisons. ANOVA results are given for main effects (G: genotype, T: water treatment, Z: growth conditions) and interactions (n = 4-6). When the second order interaction was significant (G-T-Z), letters are shown independently for each genotype.



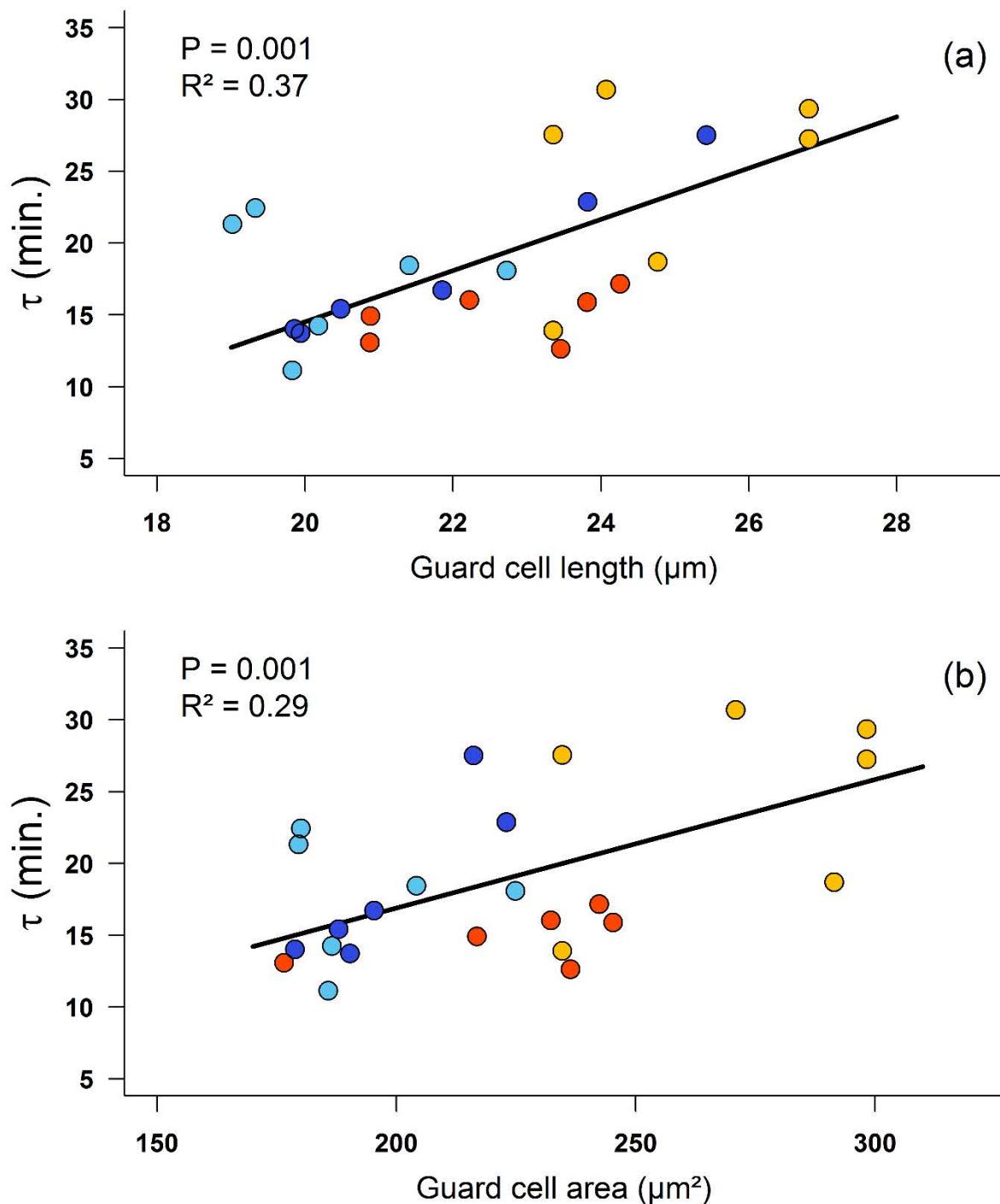
**Figure 3.** Stomatal dynamics parameters of the  $g_s$  response to a decrease (**a-c-e**) or an increase (**b-d-f**) of irradiance in four poplar genotypes (Carpaccio, I214, 6J29 and N38). Data were collected on poplars grown in a glasshouse (Gh) or in the field (F), well-watered (T) or under reduced water availability (DS). Values shown are means  $\pm$  standard errors. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively. Letters show significant differences by *post-hoc* comparisons. ANOVA results are given for main effects (G: genotype, T: water treatment, Z: growth conditions) and interactions (n = 4-6). When the second order interaction was significant (G-T-Z), letters are shown independently for each genotype.



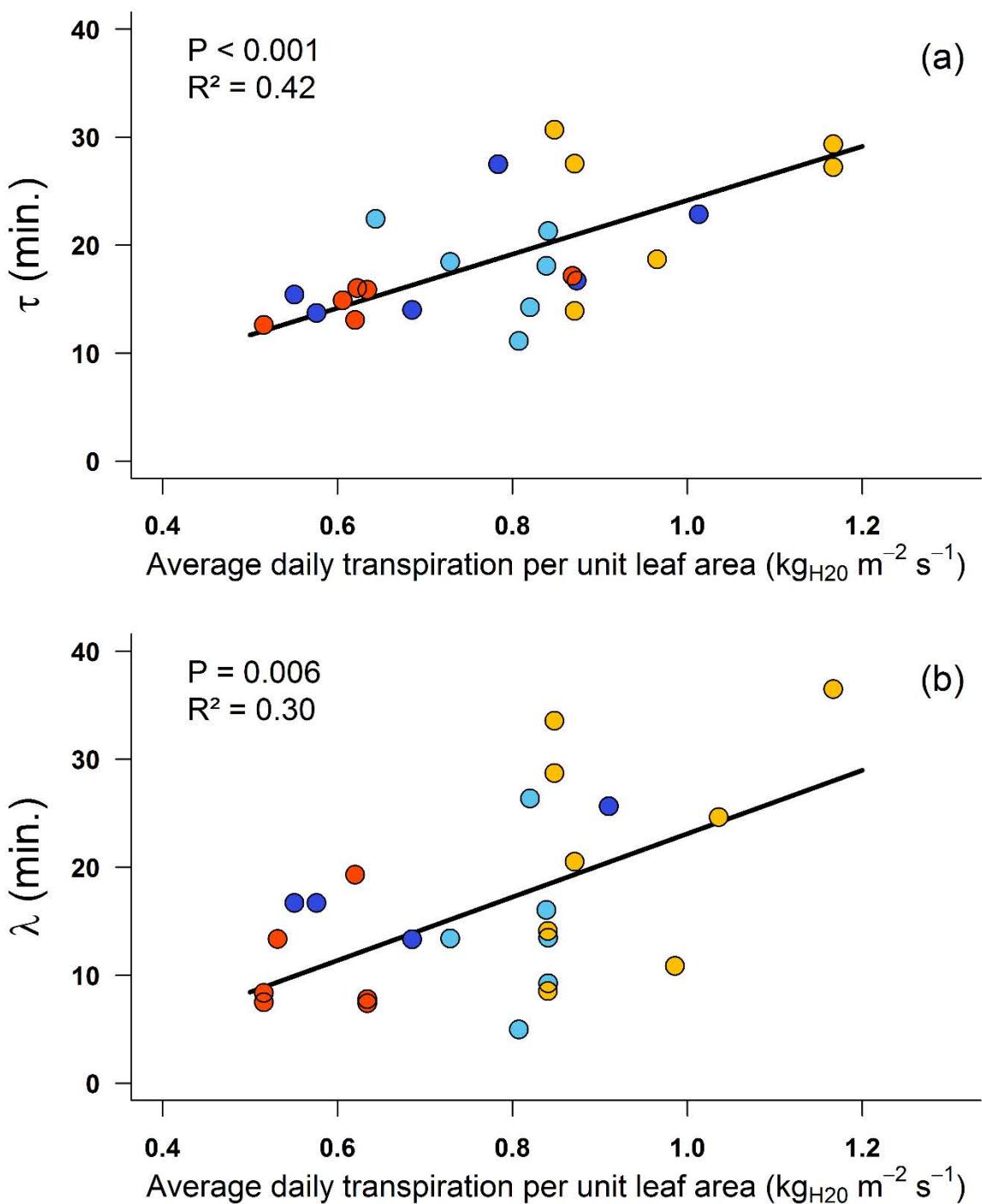
**Figure 4.** Stomatal dynamics parameters of the  $g_s$  response to an increase (a-c-e) or a decrease (b-d-f) of VPD in four poplar genotypes (Carpaccio, I214, 6J29 and N38). Data were collected on poplars grown in a glasshouse (Gh) or in the field (F), well-watered (T) or under reduced water availability (DS). Values shown are means  $\pm$  standard errors. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively. Letters show significant differences by *post-hoc* comparisons. ANOVA results are given for main effects (G: genotype, T: water treatment, Z: growth conditions) and interactions ( $n = 4-6$ ). When the second order interaction was significant (G-T-Z), letters are shown independently for each genotype.



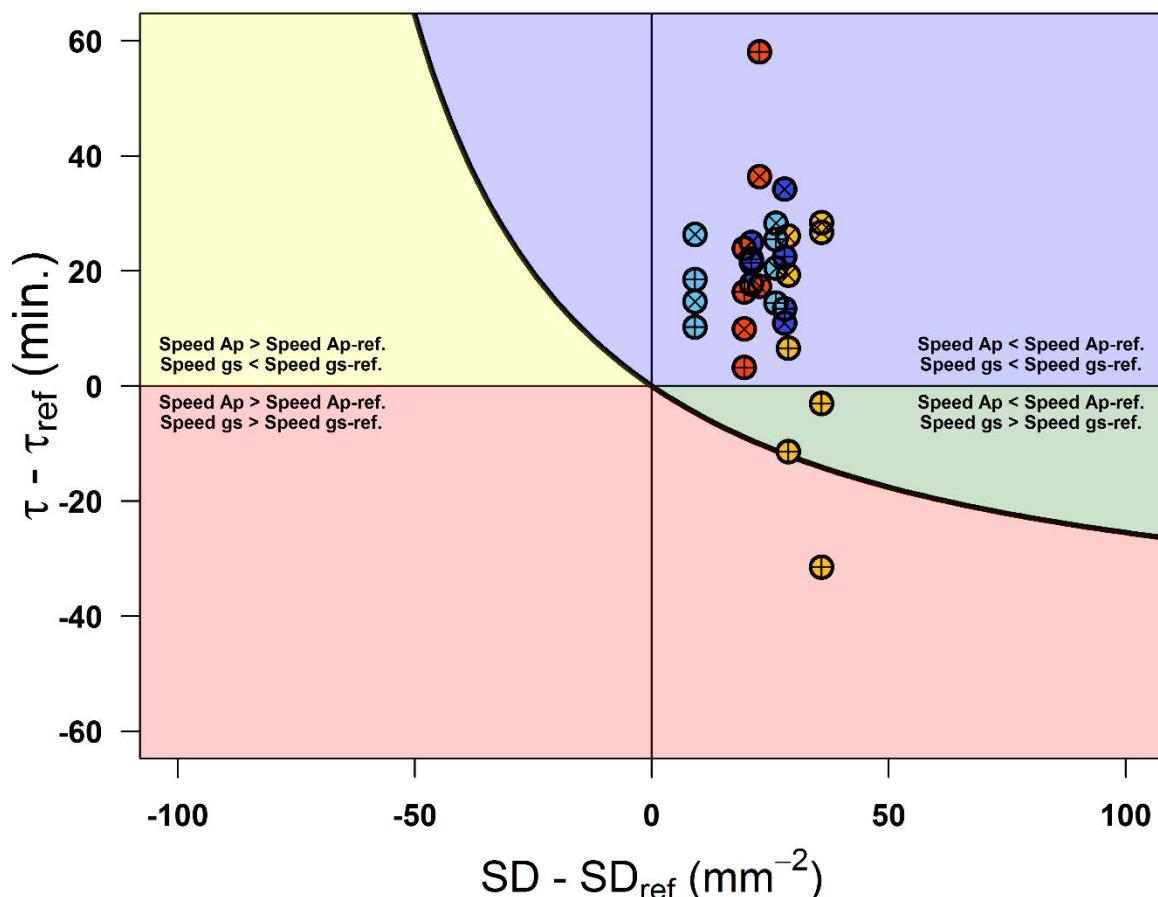
**Figure 5.** Correlations between the response time  $\tau$  during stomatal closing response to a change of VPD and guard cell length (a) or guard cell area (b) on the adaxial side of the leaf. Partial  $R^2$  and  $P$  value after controlling for the water regime effect are reported ( $n = 24$ ). The black line in the regression line. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively.



**Figure 6.** Correlations between the average daily transpiration per unit leaf area and either the response time  $\tau$  for stomata closing as a response to VPD (**a**) or the lag time  $\lambda$  for stomatal opening as a response to irradiance (**b**). Partial  $R^2$  and  $P$  value after controlling for the water regime effect are reported ( $n = 24$ ). The black line in the regression line. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively.



**Figure 7.** Combined comparison of stomatal morphology and stomatal dynamics of poplars planted in the field in reference to poplars planted in a glasshouse. Values shown are mean response time ( $\tau$ ) and mean stomatal density (SD) of four poplar genotypes (Carpaccio, I214, 6J29 and N38) under two water regimes and grown in a glasshouse ( $\tau_{\text{ref}}$  and  $SD_{\text{ref}}$ ) subtracted from mean  $\tau$  and SD of the same genotypes under contrasting water regimes grown in the field. The black curve shows all the sets of  $\tau$  and SD required so that the speed of  $A_p$  is the same as the reference plant for a change of  $g_s$  from 0.8 to 0.5 mol m<sup>-2</sup> s<sup>-1</sup>. For each point, cross and plus signs are for the irradiance and VPD response, respectively. Dark and light blue, yellow and orange are for Carpaccio, I214, 6J29 and N38, respectively. Colored areas show four spaces, highlighting the relationship between the speed of guard cell aperture change ( $A_p$ ) and the speed of stomatal conductance change ( $g_s$ ). The blue area represents parameters values where both the speed of  $A_p$  and  $g_s$  are slower than the reference and the red area is when both the speed of  $A_p$  and  $g_s$  are quicker than the reference. The yellow area represents parameters values where the speed of  $A_p$  is quicker than the reference but the speed of  $g_s$  is slower than the reference while the green zone shows the reverse.



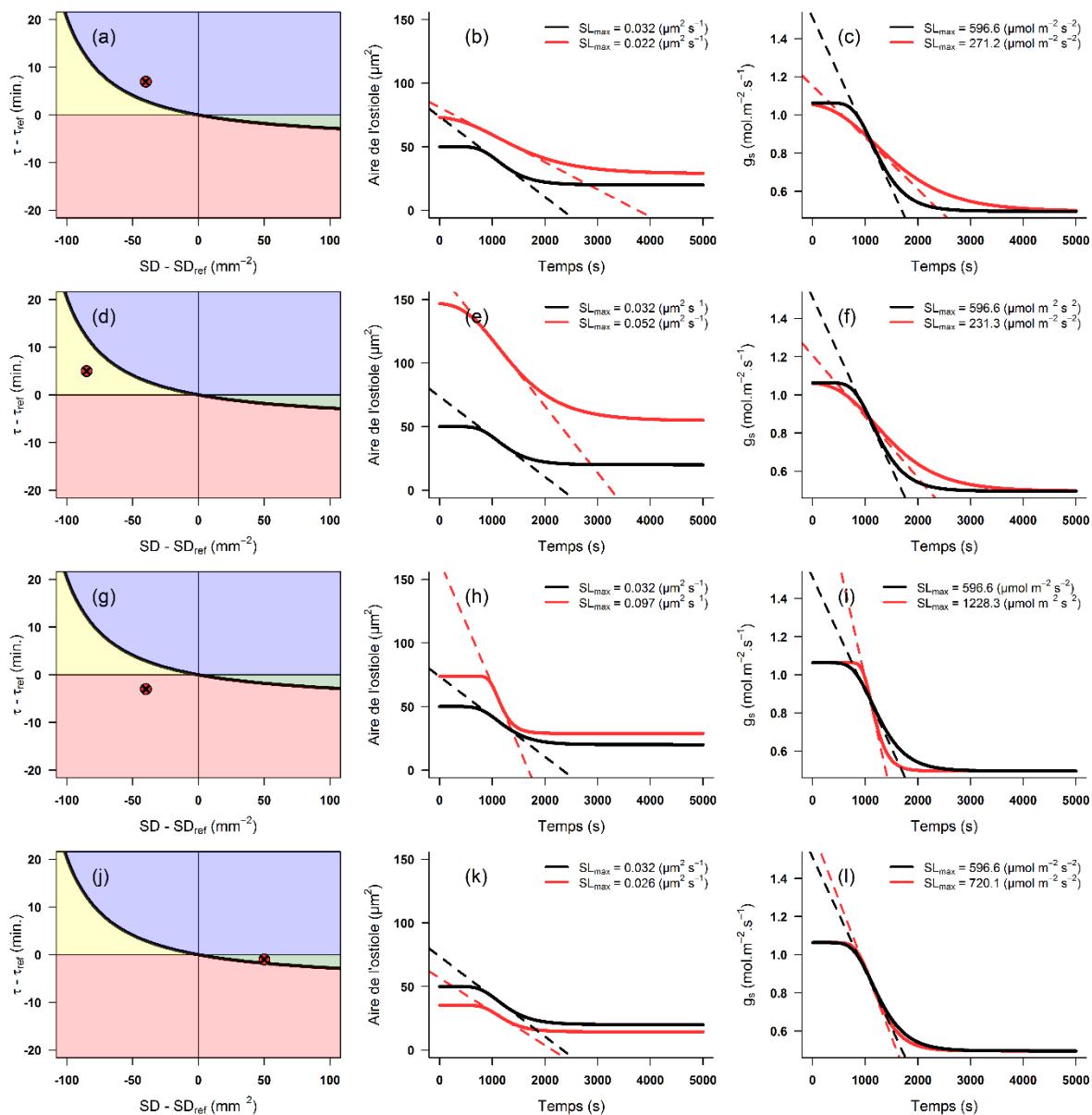
## DONNEES SUPPLEMENTAIRES

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**Table S1.** Summary of meteorological data during the growth period (April 1<sup>st</sup> to October 1<sup>st</sup>) of four poplar genotypes (Carpaccio, I214, 6J29 and N38) grown in a glasshouse between March 31<sup>st</sup> and June 22<sup>nd</sup> 2016 and in the field between June 2<sup>nd</sup> 2014 and September 4<sup>th</sup> 2017. Field data is either shown as the mean from 2014 to 2017 or for 2017 only. Values are means ± standard deviation of daily data.

	Relative humidity (%)			Temperature (°C)			VPD (kPa)	Global radiation (W m <sup>-2</sup> )	Wind speed (m s <sup>-1</sup> )
	mean	min	max	mean	min	max			
Glasshouse (2016)	61.8 ± 9.8	42.5 ± 11.3	70.4 ± 8.5	21.0 ± 1.5	16.6 ± 1.8	25.7 ± 1.3	1.0 ± 0.2	73.6 ± 28.5	< 0.2
Field (2014 to 2017)	74.9 ± 11.8	46.8 ± 14.9	97.0 ± 5.2	15.9 ± 4.8	10.4 ± 4.7	22 ± 5.7	0.5 ± 0.3	204.9 ± 77.1	1.2 ± 0.5
Field (2017)	74.2 ± 10.8	45.9 ± 13.7	97.2 ± 3.5	16.3 ± 5.4	10.4 ± 5.3	22.5 ± 6.1	0.5 ± 0.4	221.9 ± 78	1.2 ± 0.4

**Figure S1.** Example of the combined effect of stomatal morphology and stomatal dynamics on the speed of aperture ( $A_p$ ) change and the speed on stomatal conductance ( $g_s$ ) change when comparing two plants. The black and red curves show the  $A_p$  (**b-e-h-k**) and  $g_s$  (**c-f-i-l**) dynamics for the reference plant and the plant of interest, respectively. Dashed lines show the maximum speed at the inflection point ( $SL_{max}$ ). The black curve (**a-d-g-j**) show all the sets of  $\tau$  and SD required so that the speed of  $A_p$  is the same as the reference plant for a change of  $g_s$  from 1.063 to 0.495 mol m<sup>-2</sup> s<sup>-1</sup>. Colored areas (**a-d-g-j**) show four spaces, highlighting the relationship between the speed of guard cell aperture change ( $A_p$ ) and the speed of stomatal conductance change ( $g_s$ ). The blue area represents parameters values where both the speed of  $A_p$  and  $g_s$  are slower than the reference and the red area is when both the speed of  $A_p$  and  $g_s$  are quicker than the reference. The yellow area represents parameters values where the speed of  $A_p$  is quicker than the reference but the speed of  $g_s$  is slower than the reference while the green zone shows the reverse.



**Figure S2.** Correlation matrixes between stomatal dynamics as a response to irradiance and VPD and either stomatal morphology (**a**) or whole-plant productivity and water use (**b**). A linear model which included the water regime effect as well as the dependent variable was used. Colors show significant positive correlations and non-significant correlations in orange and white, respectively. All  $P$ -values were corrected to control for the “false discovery rate”. Values shown are partial  $R^2$ .  $\tau$ ,  $\lambda$  and  $SL_{max}$  are parameters of the dynamic model. GCL, AGC, SD and SAI are the guard cell length and area, the stomatal density and the stomatal area index, respectively. Subscripts “cl” and “op” are for stomatal closing and opening and subscripts “ab” and “ad” are for the abaxial and the adaxial side of the leaf, respectively.

**(a)**

	GCL <sub>ab</sub>	AGC <sub>ab</sub>	SD <sub>ab</sub>	GCL <sub>ad</sub>	AGC <sub>ad</sub>	SD <sub>ad</sub>	SAI	
0.07	0.03	0.01		0.2	0.17	0.15	0.07	$\tau_{cl}$
0.02	0.02	0.04		0.01	0.02	0	0.02	$\tau_{op}$
0.14	0.07	0		0.27	0.15	0.19	0.02	$\lambda_{cl}$
0.05	0.12	0.04		0.08	0.11	0	0.07	$\lambda_{op}$
0	0.01	0.02		0.02	0.01	0.01	0.02	$SL_{max\ cl}$
0.05	0.01	0.13		0.21	0.17	0.14	0	$SL_{max\ op}$
0.01	0.05	0		0.37	0.29	0.01	0.21	$\tau_{cl}$
0	0	0		0.01	0.06	0.03	0.04	$\tau_{op}$
0.02	0	0.17		0.23	0.1	0.11	0	$\lambda_{cl}$
0.04	0.09	0.02		0.03	0.01	0	0	$\lambda_{op}$
0.01	0	0.02		0.07	0.02	0.06	0.03	$SL_{max\ cl}$
0	0	0.02		0	0	0.03	0	$SL_{max\ op}$

Irradiance

VPD

**(b)**

	DMT	H	TLA	WU	E	TE	
0.06	0	0.02		0.08	0	0.01	$\tau_{cl}$
0.02	0.11	0.03		0.06	0.14	0	$\tau_{op}$
0.1	0.08	0.17		0.04	0.01	0.04	$\lambda_{cl}$
0	0	0.01		0.01	0.3	0.04	$\lambda_{op}$
0	0.01	0		0	0.02	0.01	$SL_{max\ cl}$
0.18	0.09	0.2		0.08	0.05	0.06	$SL_{max\ op}$
0.02	0.01	0		0.04	0.42	0.02	$\tau_{cl}$
0.05	0.01	0.07		0.03	0.01	0	$\tau_{op}$
0	0.07	0		0	0.04	0.01	$\lambda_{cl}$
0.02	0.02	0.01		0	0.13	0.01	$\lambda_{op}$
0	0.01	0.03		0	0.01	0.08	$SL_{max\ cl}$
0.03	0.04	0.1		0.01	0	0.02	$SL_{max\ op}$

Irradiance

VPD



## Transition

A travers ce chapitre, nous avons vu que les vitesses des mouvements stomatiques étaient liées à la fois à des facteurs endogènes et environnementaux. De plus, la morphologie stomatique semble impacter différemment la dynamique stomatique selon les conditions extérieures. Ainsi, il ne semble pas être le facteur déterminant responsable des variations de la dynamique stomatique. Ces résultats suggèrent que d'autres facteurs pourraient être impliqués dans la régulation de la conductance stomatique et de sa dynamique temporelle. Les mouvements stomatiques sont déterminés par des flux d'eau à travers les parois des cellules de garde, eux-mêmes induits par des changements de concentration en ions entre le cytoplasme et l'apoplasm (Schroeder *et al.*, 1984). Par conséquent, des variations de l'expression de gènes impliqués dans les mouvements stomatiques, tel que SLAC1 (Geiger *et al.*, 2009) ou KAT1 (Sato *et al.*, 2009) pourraient conduire à une modification de l'activité des canaux ioniques, déterminant la conductance stomatique et peut-être également la vitesse de la dynamique stomatique. Le chapitre suivant est le résultat de prélèvements foliaires associés à des mesures de  $g_s$  sur chaque face de la feuille séparément, et à deux moments de la journée (matin et après-midi). Ces prélèvements ont été opérés pendant l'expérimentation de sécheresse en serre. Ils sont destinés à l'étude du contenu en éléments minéraux au sein des cellules de garde et de l'expression de gènes impliqués dans les mouvements stomatiques.



# CHAPITRE IV

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**Le contenu en élément et l'expression de gènes d'intérêt dans les cellules de garde sont connectés aux variations spatiotemporelles de la conductance stomatique.**



## CHAPITRE IV :

**Le contenu en élément et l'expression de gènes d'intérêt dans les cellules de garde sont connectés aux variations spatiotemporelles de la conductance stomatique.**

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### Présentation synthétique de l'article

#### *Contexte*

Les stomates sont composés de deux cellules de garde capable de modifier leur ouverture, contrôlant la majorité des échanges gazeux de la plante. Ces mouvements stomatiques font intervenir des mouvements d'eau à travers les parois des cellules de garde, induit par des transferts d'ions entre le cytoplasme et l'apoplasmé à l'aide de canaux ioniques. Bien que ces processus soit relativement bien connus chez des plantes modèles, les mécanismes de perception des variations environnementales sont encore largement inconnus. De plus la conductance stomatique pourrait être régulée indépendamment sur chacune des faces de la feuille, et être sujette à des rythmes circadiens spécifiques par rapport au reste du mésophylle. L'étude de ces mécanismes physiologiques et moléculaires au niveau des cellules de garde, indépendamment du reste de la feuille, n'est pas triviale. Cependant, le rôle central des stomates dans les échanges gazeux chez les végétaux, et leurs impacts jusqu'au niveau de l'écosystème, nous incite à améliorer notre compréhension de ces phénomènes.

#### *Objectifs*

A partir d'une expérience de sécheresse en serre sur quatre génotypes de peupliers, nous avons mesuré la conductance stomatique de chaque face de la feuille, à deux moments de la journée, selon deux régimes hydriques et pour quatre génotypes de peupliers. Ces mesures ont été associées à la détermination, spécifiquement dans les cellules de garde, du contenu en éléments minéraux et de l'expression de gènes candidats impliqués dans les mouvements stomatiques. Nous avons voulu mettre en évidence : (1) des différences génotypiques constitutives du contenu en éléments et de l'expression de gènes candidats dans les cellules de garde, (2) l'effet du régime hydrique, du moment de la journée et de la face de la feuille sur la réponse des stomates en lien avec a) la conductance stomatique, b) le contenu en éléments et, c) l'expression de gènes candidats dans les cellules de garde.

#### *Stratégie*

La croissance et le dispositif de sécheresse appliquée à deux génotypes de peupliers euraméricains (Carpaccio et I214) et deux génotypes de peupliers noirs (6J29 et N38) ont été

décris dans un article précédemment publié (Chapitre I). Des mesures de la conductance stomatique ont été réalisées immédiatement avant le prélèvement des feuilles. Ces échantillons ont servi d'une part à la mesure du contenu en éléments minéraux des cellules de garde par microanalyse X, et d'autre part à l'étude de l'expression de gènes candidats après microdissection des cellules de garde, extraction d'ARN et RT-qPCR.

### *Résultats*

La conductance stomatique était variable entre génotypes. Les peupliers noirs présentaient une conductance en général plus forte que les peupliers euraméricains, mais le génotype n'était pas le facteur de variabilité principal. La majeure partie des variations pouvait être attribuée aux variations entre les faces des feuilles, aux moments de la journée, et aux régimes hydriques, par ordre d'importance. Au contraire de la conductance stomatique, les six éléments analysés, ainsi que 26 des 27 gènes dont l'expression a été déterminée, montraient une variabilité d'abord due au génotype avant n'importe quel autre facteur. Par exemple le contenu en chlore était 4.6 fois plus élevé chez les peupliers noirs tandis que les aquaporines étaient sous-exprimées chez I214 comparé aux trois autres génotypes. Le régime hydrique était le facteur montrant le moins de variabilité, à la fois sur le contenu en éléments minéraux et sur l'expression génique. En normalisant les données par l'effet génotype, nous avons pu mettre en évidence des motifs de contenu en éléments minéraux et d'expression liés à la face de la feuille et au moment de la journée, similaires entre génotypes. De manière générale, le contenu en éléments était plus élevé le matin, sur la face abaxiale lorsque la conductance était maximale alors que l'expression des gènes impliqués dans les mouvements stomatiques était plus forte l'après-midi, sur la face adaxiale.

### *Conclusions*

Les fortes différences génotypiques observées d'expression génique et de contenu en éléments minéraux mettent en évidence la diversité physiologique des cellules de garde au service des mouvements stomatiques. Ceci suggère également que de telles différences pourraient contribuer à différents mécanismes de régulation et peut-être de dynamique stomatique entre génotypes. Le faible impact de la sécheresse peut s'expliquer par le prélèvement foliaire, réalisé 21 jours après le début d'une sécheresse délibérément modérée, sur des feuilles déjà matures avant le début de la sécheresse. L'effet de déficit hydrique était également faible sur la conductance stomatique, impliquant que la régulation au sein des cellules de garde était faible. Enfin, les motifs d'expression génique et de contenu en éléments minéraux, semblables entre

génotypes, mettent en lumière la régulation spécifique et différentielle de chaque face de la feuille mais de façon similaire au cours de la journée.

**Article: Element content and expression of genes of interest in guard cells are connected to spatiotemporal variations in stomatal conductance.**

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# Element content and expression of genes of interest in guard cells are connected to spatiotemporal variations in stomatal conductance

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## Abstract

Element content and expression of genes of interest on single cell types, such as stomata, provide valuable insights into their specific physiology, improving our understanding of leaf gas exchange regulation. We investigated how far differences in stomatal conductance ( $g_s$ ) can be ascribed to changes in guard cells functioning in amphistomatous leaves.  $g_s$  was measured during the day on both leaf sides, on well-watered and drought-stressed trees (two *Populus euramericana* Moench and two *Populus nigra* L. genotypes). In parallel, guard cells were dissected for element content and gene expressions analyses. Both were strongly arranged according to genotype, and drought had the lowest impact overall. Normalizing the data by genotype highlighted a structure on the basis of leaf sides and time of day both for element content and gene expression. Guard cells magnesium, phosphorus, and chlorine were the most abundant on the abaxial side in the morning, where  $g_s$  was at the highest. In contrast, genes encoding H<sup>+</sup>-ATPase and aquaporins were usually more abundant in the afternoon, whereas genes encoding Ca<sup>2+</sup>-vacuolar antiporters, K<sup>+</sup> channels, and ABA-related genes were in general more abundant on the adaxial side. Our work highlights the unique physiology of each leaf side and their analogous rhythmicity through the day.

## KEYWORDS

abaxial and adaxial surfaces, droughts, elements, gene expression, plant stomata, *Populus*, stomatal conductance

## 1 | INTRODUCTION

Stomata are small pores on the surface of the leaf responsible for almost all gas exchange between the leaf internal environment and the surrounding air. They are composed of two guard cells that modify their volume, adjusting the central aperture and controlling the flow of gases in and out of the leaf. These adjustments are regulated by a variety of internal and external factors, including but not limited to water availability (Monclús et al., 2006), irradiance (Shimazaki, Doi, Assmann,

& Kinoshita, 2007), and circadian rhythms (de Dios, 2017). Regulation of stomatal aperture is considered a key phenomenon, maximizing CO<sub>2</sub> uptake for photosynthesis with regard to water loss by transpiration (Cowan & Farquhar, 1977), whose importance increases as internal and environmental conditions become more limiting.

Poplars are dicots, model trees for molecular studies (Jansson & Douglas, 2007; Tuskan et al., 2006), with amphistomatous leaves. As such, despite being in the same environment, each leaf side experiences a different magnitude of irradiance and temperature (Clum,

1926; Sheriff, 1979). Literature evidence suggests that guard cell sensitivity to irradiance (Kassam, 1973), vapour-pressure deficit (Pallardy & Kozlowski, 1979), leaf water potential (Kanemasu & Tanner, 1969), and ozone (Dumont et al., 2014) differs between leaf sides. Moreover, some species showed independent stomatal regulation between surfaces (Mott, 2007; Richardson, Brodribb, & Jordan, 2017), consistent with an optimal stomatal behaviour of each leaf side. This suggests that, in poplars, stomatal movements under drought and along the day may depend on the side of the leaf.

As a consequence of global climate change, droughts are expected to be more intense and frequent in the future (Dai, 2012; Intergovernmental Panel on Climate Change, 2014). A prolonged reduction in water availability will induce a wide variety of severe and long-lasting humanitarian, economic, and environmental impacts on ecosystems and societies (Touma, Ashfaq, Nayak, Kao, & Diffenbaugh, 2015). Among them, the impact of drought on forests is a central concern in global climate change research given the interrelation between forests and climate (Bonan, 2008). Poplars are commonly distributed along riparian ecosystems due to their high water requirements (Tschaplinski & Blake, 1989). Their cultivation however usually extend to mesophyte habitats where they are more frequently subjected to soil water deficit. Plant response to drought is complex, but stomatal closure and a reduction in plant productivity is generally observed (Ciais et al., 2005; Coopman et al., 2008; Monclús et al., 2006).

For soil water deficit and high vapor pressure deficit (VPD) conditions, it remains unresolved whether hydraulic or hormonal mechanisms are responsible for stomatal closure (Merilo et al., 2018; Rodriguez-Dominguez et al., 2016). One hypothesis states that a decrease in stomatal conductance ( $g_s$ ) during drought is mostly driven by hormonal signals such as abscisic acid (ABA), which are generated independently of leaf water status (Davies & Zhang, 1991; Dodd, 2005; Tardieu & Simonneau, 1998). However, the complex regulation of stomatal functioning can involve a number of actors, from phytohormones to strigolactones (Acharya & Assmann, 2009; Lv et al., 2018). It has alternatively been proposed that stomatal closure during edaphic droughts is caused by an actively mediated negative feedback response of stomata to reduced leaf turgor (Brodribb & Cochard, 2009; Buckley, 2005; Sperry, Hacke, Oren, & Comstock, 2002). ABA is a central plant hormone responsible for promoting stomatal closure and inhibiting opening under drought (Chaves, Maroco, & Pereira, 2003; Huang, Wu, Abrams, & Cutler, 2008). In recent years, evidence has accumulated showing that guard cells possess the full ABA biosynthesis pathway (Bauer et al., 2013) and the early ABA signal transduction (Zhang et al., 2015). ABA binds and inhibits PP2C-type phosphatases by binding to the PYR/PYL family of proteins (Merilo et al., 2013), including ABI1 (Park et al., 2009; Yoshida et al., 2006). This in turns relieves the repression on OST1, which inactivates the KAT1 inward-rectifying potassium channel (Sato et al., 2009) and activates the S-type anion channel SLAC1 (Geiger et al., 2009). These channels, among others, induce a reduction of osmotic potential inside the guard cells leading to a flow of water outward, which results in stomatal closure (Schroeder & Hedrich, 1989; Schroeder, Raschke, & Neher, 1987).

In addition, circadian rhythms in transcript accumulation (Wilkins, Waldron, Nahal, Provart, & Campbell, 2009) and gas exchange (de Dios, 2017) are limiting our ability to fully understand the molecular signals involved in stomatal movements. A number of genes involved in the regulation of stomatal movements were found to be gated by the circadian clock, including ABA biosynthesis and signalling pathways (for a complete review, see Seung, Risopatron, Jones, & Marc, 2012) and TIPs and PIPs (aquaporins) mRNA levels (Chaumont & Tyerman, 2014). Circadian rhythms appear to be cell-specific as stomata were shown to have a distinct rhythmicity compared with surrounding mesophyll cells in *Arabidopsis thaliana* (Hassidim et al., 2017; Yakir et al., 2011). Given the importance of stomata in regulating gas exchange and thus the productivity of plantations, there is a need to better understand the regulation of  $g_s$  during the day and under different water availabilities.

Specifically studying stomata independently from the surrounding mesophyll cells is not trivial (Leonhardt et al., 2004). Hence, not much is known about the physiological and molecular processes in guard cells driving stomatal conductance in trees species such as poplars. To increase our understanding of the differences in such cellular processes among genotypes, between leaf sides, during the day and plastic responses related to soil water deficit, there is a need for studies targeting a specific cell type.

To test well-established processes exerted by the guard cells, quantification of their element content and their expression of 27 genes of interest were monitored separately on both leaf sides from leaves harvested in the morning and the afternoon. We used two *Populus nigra* × *Populus deltoides* (*Populus euramericana*, Moench) and two *P. nigra* (L.) genotypes grown in parallel under control and soil water deficit conditions. Given this experimental set-up, we aimed to answer the following questions: (a) Is there a constitutive difference among genotypes of element content and gene expression in poplar guard cells? (b) Do factors such as water regime, time of the day, and leaf side induce a plastic response in terms of guard cells (i) element content and (ii) gene expression?

## 2 | MATERIAL AND METHOD

### 2.1 | Plant material and growth conditions

Woody cuttings of four poplar genotypes belonging to different species or commercial hybrids (Carpaccio and I214: *P. deltoides* × *P. nigra*, Moench, 6J29 and N38: *P. nigra*, L.) were grown in a fully automated glasshouse under natural light with a free range for air temperature between 15°C and 25°C at INRA-Grand-Est (48°45'09.3"N, 6°20'27.6"E; Champenoux, France), as described previously (Durand, Brendel, Buré, & Le Thiec, 2019). Genotypes were chosen based on their contrasting drought response: I214 and 6J29 are generally found to be sensitive to drought (Chen, Wang, Altman, & Huttermann, 1997; Giovannelli et al., 2007; Muller & Lambs, 2009; Viger et al., 2016). Sixteen cuttings per genotype were planted in 9.5 kg ( $\pm 1.5\%$ ) of a sand/peat mixture (1/1, v/v), complemented with fertilizer (1 g L<sup>-1</sup> of

CaMg ( $\text{CO}_3$ )<sub>2</sub> and 20 g of Nutricote T100, 13:13:13 NPK and micronutrients, FERTIL S.A.S., Boulogne-Billancourt, France). Each 10-L pot was covered with 1.4 kg of white marble gravel (8–12 mm). Pots were weighed and watered to 27.9% volumetric soil water content (SWC; 85% of field capacity) at least three times a day with a robot (Durand et al., 2019). After 60 days of precultivation, watering was stopped for half the individuals (randomly chosen) until an SWC of 18.3% was reached. This controlled soil water deficit was maintained constant for 25 days using a pot-specific linear regression between SWC measured by time domain reflectometry (HD2, IMKO, Ettlingen, Germany) and pot mass ( $R^2 > .8$ ). Reference masses were adjusted each week to take the plant growth into account. Growth, transpiration, and meteorological data are available in Durand et al. (2019).

## 2.2 | Leaf gas exchange and leaf sampling

Leaf gas exchange and leaf sampling were performed sequentially in order to accurately capture stomatal conductance at the time of sampling. The first fully expanded leaf (selected before the drought) and the one immediately below were sampled 21 days after the start of the drought experiment at 10:30 a.m. and 3:00 p.m. local time, respectively. At the time, the natural daytime lasted 16 hr (starting at 4:30), global radiation was  $297.2 \pm 47.8$  and  $145.6 \pm 45.1 \text{ W m}^{-2}$ , air temperature was  $24.9 \pm 0.2$  and  $24.8 \pm 0.2^\circ\text{C}$ , and vapour-pressure deficit was  $1.31 \pm 0.02$  and  $1.20 \pm 0.07 \text{ kPa}$  for the two sampling events, respectively. From previous  $g_s$  time-course measurements, stomata were likely fully open in the morning and in a closing phase in the afternoon (Durand et al., 2019). The last watering event was completed 2 hr before each sampling. A leaf porometer was used in order to measure  $g_s$  separately on the abaxial and adaxial surfaces of the leaves (SC-1 porometer; Decagon Devices, Inc., Pullman, WA, USA). Stomata being on the leaf surfaces, sampled leaves were flash frozen in liquid nitrogen immediately after measurements, to guarantee both RNA integrity and that diffusive elements remained in guard cells (Amsellem et al., 1983; Jaiprakash et al., 2003).

## 2.3 | X-ray microanalysis

Sample preparation and microanalyses were performed following Dumont et al. (2014), except for the use of a tungsten coating on the samples and a probe intensity of 1 nA, in order to allow analyses in stomata specifically. Although the analysed X-rays originate from a lower layer in the sample than electrons (Amsellem et al., 1983), the electron beam diameter and depth of penetration is lower than 2  $\mu\text{m}$  and has been simulated in a Monte Carlo procedure (Pouchou & Pichoir, 1991); 20 guard cells, each belonging to different stomata of freeze-dried leaves, were specifically targeted and analysed for each of the two leaf sides, the two times of day, the two water treatments, and the four genotypes with six replicates in each condition. Microanalyses were corrected by measuring the content of beech leaves powder of known mass fraction (CRM 100, BCR® certified reference material, Commission of the European Communities, Brussels,

Belgium) for sodium (Na), magnesium (Mg), phosphorus (P), chlorine (Cl), potassium (K), and calcium (Ca). All forms were measured, both organic and inorganic. We also computed a ratio of anions over cations for each guard cell (i.e., P and Cl over Na, Mg, K, and Ca later referred to as  $An/Cat$ ). Values were converted from percent to "per mille" (or  $\text{mg g}^{-1}$ ) of dry mass.

## 2.4 | Guard cell microdissection, RNA extraction and cDNA amplification

Guard cells microdissection, RNA isolation, RNA reverse-transcription, and cDNA amplification were performed following Dumont et al. (2014). A brief description of the methods is given hereinafter. If not otherwise specified, protocols were performed following the manufacturer's instructions; 1,000 stomata per sample were microdissected using the PALM MicroBeam system (Carl Zeiss MicroImaging GmbH, Jena, Germany). Total RNA was extracted using Qiagen RNeasy Plus Micro Kit (Qiagen, Courtaboeuf, France). Previous tests in our lab and in the literature show that the process of freeze-drying and preparing microscopic slide does not impact the RNA integrity (Damsteegt, McHugh, & Lokman, 2016; Dumont et al., 2014; García-Baldenegro et al., 2015; Heinen et al., 2014; Jaiprakash et al., 2003). Transplex® Whole Transcriptome Amplification Kit (MERCK, Saint-Quentin Fallavier, France) was used for RNA reverse transcription and cDNA amplification (100  $\mu\text{l}$  twice by sample). The latter was purified by Gene Elute PCR (SIGMA, Saint-Quentin Fallavier, France). cDNA purity and concentration were measured (Nanodrop 1000; Thermo Scientific, Illkirch, France). All cDNA samples exhibited a good purity level ( $1.6 < A_{260}/A_{280} < 2.0$  and  $1.3 < A_{260}/A_{230} < 1.9$ ), and each sample was diluted to get  $2.5 \text{ ng } \mu\text{l}^{-1}$ . Absence of genomic DNA was confirmed by PCR with intron-flanking primers.

## 2.5 | Expression of genes of interest and real-time PCR

The genes of interest were retrieved on the *Populus* genome v3.0 (Phytozome, RRID:SCR\_006507, <https://phytozome.jgi.doe.gov/pz/portal.html>; Tuskan et al., 2006). The genes of interest were selected for their putative role in encoding ionic pumps, aquaporins, transporters involved in stomatal movements, and phototropins,  $\beta$ -carbonic anhydrases, and genes linked to ABA biosynthesis and signalling. Genes were selected in regard to previous studies (Cohen et al., 2010; Dumont et al., 2014; Heinen et al., 2014) on the basis of a high expression level in poplar guard cells (when available, and in leaves otherwise), their differential expression among genotypes, between leaf sides, water availability, and time of day. The design of primers, with adequate specificity for all four genotypes, was also a limiting step for gene selection. Only primers with a reduced risk of primer dimer formation or nonspecific amplification were selected. Because the expression of gene of interest approach is not exhaustive by definition, we chose to investigate genes with different roles, instead of a whole family of genes. Thus, our interpretation is not limited to a

single family of transporters but benefits from a more exhaustive assessment of guard cell processes linked with stomatal regulation. The targeted genes and their description are provided in Table 1 (additional information is given in Table S1).

Real-time PCR was performed in a 96-well Mx3005P thermocycler (Agilent, Waghaeusel-Wiesental, Germany) using the recommended cycling programme: 5 min at 95°C, 40 cycles of 5 s at 95°C, and 20 s at 58°C or 60°C depending on primers, followed by melting cycle to ensure a single amplicon (Dumont et al., 2014). The mix contained 10 ng of cDNA, the reference dye (ROX, Agilent technologies), gene-specific primers, and the Brilliant III UltraFast SYBR GREEN qPCR Master Mix (Agilent, Santa Clara, CA). No-template control reactions were prepared for each gene. The plate set-up included all samples

from two genotypes and the negative controls. The Ct values were determined with the same threshold. For each targeted gene-genotype group, efficiency was calculated from standard curves over seven dilutions. Efficiencies varied from 65% to 102% ( $R^2 \geq .985$ ) and were taken into account in all subsequent calculations (Bizet et al., 2015). Among the studied genes, *UBQ11* (Brunner, Yakovlev, & Strauss, 2004), *QUAC1* (Dumont et al., 2014), and *BAM1* were the most stable and used as references, together with an external control (GENORM v3.5, RRID:SCR\_006763, <https://genorm.cmgg.be/>; Mestdagh et al., 2009; Vandesompele et al., 2002). The fluorescent threshold being set constant, the nonnormalized expression level was computed as  $RQ = 1/(Ta \cdot E^{Ct})$ ,  $Ta$  being the amplicon length in bp and  $E$  the efficiency (Bizet et al., 2015; Gutierrez et al., 2008).

**TABLE 1** Description of the genes studied and their localization

Genes	Description
NCED3.1	Key enzyme in ABA biosynthesis (9-cis-epoxycarotenoid dioxygenase).
NCED3.2	Key enzyme in ABA biosynthesis (9-cis-epoxycarotenoid dioxygenase).
ABI1	Protein phosphatases type 2C (PP2Cs), negative regulator of ABA promotion of stomatal closure.
OST1	SNF1-related protein kinase, involved in ABA-induced stomatal closure by activating of S-type anion channels and inhibiting inward rectifying potassium channel.
PYL2	PYR/PYL/RCAR family of protein, inhibits the activity of group-A protein phosphatases type 2C (PP2Cs) when activated by ABA.
PYL4	PYR/PYL/RCAR family of protein, inhibits the activity of group-A protein phosphatases type 2C (PP2Cs) when activated by ABA.
PYL8	PYR/PYL/RCAR family of protein, inhibits the activity of group-A protein phosphatases type 2C (PP2Cs) when activated by ABA.
CA1	Beta-carbonic anhydrase, involved in CO <sub>2</sub> -mediated stomatal closure (chloroplast).
CA4	Beta-carbonic anhydrase, involved in CO <sub>2</sub> -mediated stomatal closure (PM).
PHOT1	Blue light photoreceptors, mediate blue light-dependent activation of the plasma membrane H <sup>+</sup> -ATPases
PHOT2	Blue light photoreceptors, mediate blue light-dependent activation of the plasma membrane H <sup>+</sup> -ATPases
AKT2	Inward rectifying potassium channel (PM), responsible for the Ca <sup>2+</sup> sensitivity of the K <sup>+</sup> uptake channel.
KAT1.2	Inward rectifying potassium channel (PM), responsible for the Ca <sup>2+</sup> sensitivity of the K <sup>+</sup> uptake channel.
KAT3	Inward rectifying potassium channel (PM), responsible for the Ca <sup>2+</sup> sensitivity of the K <sup>+</sup> uptake channel. Involved in down-regulating AKT1 and KAT1 channel activity by forming heteromers.
OST2	Proton ATPases (PM)
AHA11	Proton ATPases (PM)
SLAC1	Slow anion channel (PM), involved in stomatal closure in response to a variety of signals including elevated CO <sub>2</sub> , ozone, ABA, darkness, and humidity.
CAX1	Calcium antiporter (V)
CAX1.6	Calcium antiporter (V)
NHX1.13	Potassium, sodium/proton antiporter (V) involved in salt tolerance and ion homeostasis
PIP1.2	Aquaporin: plasma membrane intrinsic protein (PM)
PIP2.1	Aquaporin: plasma membrane intrinsic protein (PM)
PIP2.5	Aquaporin: plasma membrane intrinsic protein (PM)
TIP1.3	Aquaporin: tonoplast intrinsic protein (V)
TIP1.4	Aquaporin: tonoplast intrinsic protein (V)
TIP2.1	Aquaporin: tonoplast intrinsic protein (V)
TIP2.2	Aquaporin: tonoplast intrinsic protein (V)

<sup>a</sup>Abbreviations: PM, plasma membrane; V: vacuole.

The normalized expression of targeted genes were computed by dividing their RQ by the geometric average of the reference gene's RQ (Bizet et al., 2015).

## 2.6 | Statistics

Statistics were performed using R 3.5.1 (R Project for Statistical Computing, RRID:SCR\_001905, <https://cran.r-project.org/>, all data used are available in Table S2). We used a type 2 factorial analysis of variance design to study the variation of  $g_s$  ( $n = 6$ ), element content ( $n = 6$ ), and normalized mRNA levels ( $n = 4$ ) by genotype, water regime, time of day, and leaf side and their first-order interaction. Given the complexity of the design, we decided to focus our interpretation of the dataset on the significant main factors and their first-order interactions, instead of computing all pairwise comparisons between our 32 groups. This enabled us to explore the major trends with a larger sample size and a higher statistical power than if we specifically addressed each pairwise comparison. Normalized transcript levels were transformed with a logarithmic function of base 2 in order to achieve a normal distribution of the data. Post hoc contrast analyses were performed to test differences between specific modalities of the studied factors depending their significance, and  $P$  values were adjusted to control for the false discovery rate. The partial  $R^2$  was calculated to compare the contribution with the explained variable of each of the four dependent variables. We performed a principal component analysis (PCA) on the six elements studied and the ratio  $An/Cat$  on one hand and on the expression data of 27 genes of interest on the other hand. We fitted to every variable a linear model that included the highly significant genotype effect as a dependent factor. The residuals of these models were used as the data for the two PCA in order to emphasize the other main effects (water regime, time of day, and leaf side). Stomatal conductance data were fitted with a similar model, and the residuals were added as a supplementary variable.

## 3 | RESULTS

### 3.1 | Stomatal conductance

Testing for genotypic, water availability, time of day, and leaf side effects on  $g_s$  measured immediately before sampling the leaves, we found all four to be significant (Table 2,  $P < .002$  in all cases). Drought-stressed poplars had an overall 20% lower  $g_s$  than well-watered trees. Because there was no interaction with the water treatment, we showed the average  $g_s$  of both treatments (Figure 1). The significant interaction between genotype and time of day ( $P = .023$ ) showed that the afternoon decrease of  $g_s$  tended to be stronger in both hybrid poplars than in the black poplars (Figure 1). Black poplars exhibited in general a higher  $g_s$  than the hybrid poplars, and  $g_s$  was overall higher on the abaxial side. Essentially, the highest  $g_s$  tended to be observed on the abaxial side, in the morning and on well-watered trees for all genotypes, whereas the adaxial side, in the afternoon on drought-stressed trees, showed the lowest  $g_s$ .

### 3.2 | Genotypic diversity of element content and gene expression within guard cells

In this study, element content was measured as the whole guard cell average. We found significant genotypic diversity in all measured elements (Figure S1, Table 2, in all cases  $P < .001$ ). The genotype effect was always the factor explaining the total variance of element content the most (Table S3). To highlight these genotypic differences, we decided to show the genotype means for each element in Figure 2. Partial  $R^2$  for genotype ranged from .16 for the P content to .7 for the Cl content (Na: .57, Mg: .49, P: .16, Cl: .70, K: 0.33, Ca: 0.21). The main elements in the guard cells were K and Ca. Na content was the highest in the guard cells of the hybrid poplars, and especially in Carpaccio were it was 3.1, 5.3, and 3.8 times higher than I214, 6J29, and N38 respectively. Additionally, Mg content was 1.7 times higher in Carpaccio than in the other three genotypes, but K content was 1.6 times higher in I214 than in the other three genotypes. On the other hand, Cl content was 4.6 times lower in both hybrid poplars than in the two black poplar genotypes. This effect was passed on in our calculation of  $An/Cat$ , which was 2.2 times lower in the two hybrid poplars than in the *P. nigra* genotypes. Moreover the guard cells of 6J29 showed a P and Ca content, respectively, 17% and 24% lower than in the other three genotypes on average.

The expression of genes of interest in the guard cells was also structured according to genotype. Figures 3 and S2 show the back-transformed mean of normalized mRNA levels by genotype, that is, by applying a base 2 exponential to the means of the log<sub>2</sub>-transformed data, to be consistent with statistical analyses. For all measured transcripts (in all cases  $P < .001$  except for CAX1 ( $P = .34$ )), the genotype effect was always the factor explaining the total variance of normalized mRNA levels the most (Table S3). Partial  $R^2$  for the genotype effect ranged from 0.13 to 0.98 for AKT2 encoding a K<sup>+</sup> channel and PYL2, encoding an ABA receptor, respectively, with an average of 0.57. Overall, the normalized expression of NCEDs, encoding 9-cis-epoxycarotenoid dioxygenases involved in ABA biosynthesis, were the highest in black poplars. Specifically, N38 and 6J29 exhibited the highest accumulation of transcripts for NCED3.1 and NCED3.2, respectively (Figure S2a,b). Conversely, ABA signalling pathway-related transcripts appeared more expressed in I214 guard cells, and especially the genes encoding the ABA receptor PYL2 and PYL8 (Figure S2e,g). Carpaccio was consistently among the genotypes with the lowest accumulation of transcripts related to both ABA biosynthesis and signalling pathways. Regarding β-carbonic anhydrases, N38 exhibited equivalent levels of CA1 and CA4 mRNA, whereas for the other genotypes, CA1 transcripts were accumulated up to 100 times more than CA4. Hence, CA1 normalized transcript levels were the highest in the guard cells of Carpaccio and 6J29, but CA4 levels were the highest in the guard cells of N38 (Figure S2h,i). The transcript accumulation of genes encoding phototropins PHOT1 and PHOT2 were significantly different across all genotypes. Concerning ion channels, I214 was often the genotype with the lowest level of transcripts for inward-rectifying K<sup>+</sup> channels (AKT2 and KAT3) and proton H<sup>+</sup>-ATPase (OST2 and AHA11). Among black poplars guard cells, these

**TABLE 2** Summary of the genotype, water treatment, time of day, and leaf side effect on stomatal conductance ( $n = 6$ ), guard cell element content ( $n = 6$ ), and expression of genes of interest ( $n = 4$ )

	Genotype (G)	Water treatment (T)	Time of day (D)	Leaf side (S)	Interaction G:T	Interaction G:D	Interaction G:S	Interaction T:D	Interaction T:S	Interaction D:S
$g_s$	<.001	.002	<.001	<.001	ns	.023	ns	ns	ns	ns
Na content	<.001	ns	ns	<.001	ns	ns	<.001	ns	ns	ns
Mg content	<.001	ns	.043	ns	ns	ns	ns	ns	ns	ns
P content	<.001	ns	.003	<.001	.032	ns	ns	ns	ns	ns
Cl content	<.001	.003	.009	<.001	ns	ns	<.001	ns	ns	ns
K content	<.001	ns	ns	.001	ns	ns	.015	ns	ns	ns
Ca content	<.001	ns	ns	<.001	.008	ns	ns	ns	ns	ns
An/Cat	<.001	ns	.001	.003	ns	ns	ns	ns	ns	ns
ABI1	<.001	ns	ns	.002	.004	ns	ns	ns	ns	.03
AHA11	<.001	ns	<.001	ns	.027	ns	ns	ns	ns	ns
AKT2	<.001	ns	ns	<.001	.004	.018	.001	ns	ns	ns
CA1	<.001	ns	ns	.002	.025	ns	ns	ns	ns	ns
CA4	<.001	ns	ns	<.001	ns	ns	ns	.024	ns	ns
CAX1	ns	ns	ns	<.001	ns	ns	ns	.029	ns	ns
CAX1.6	<.001	ns	ns	<.001	.042	ns	ns	ns	ns	ns
KAT1.2	<.001	ns	.031	<.001	<.001	ns	.007	ns	ns	ns
KAT3	<.001	.005	<.001	ns	ns	ns	ns	ns	ns	ns
NCED3.1	<.001	ns	.023	<.001	ns	ns	ns	ns	ns	ns
NCED3.2	<.001	ns	.049	.013	.022	ns	ns	ns	ns	ns
NHX1.13	<.001	ns	ns	ns	ns	.015	.004	ns	ns	ns
OST1	<.001	.034	.001	<.001	.01	ns	<.001	ns	ns	ns
OST2	<.001	ns	.014	ns	ns	ns	ns	ns	ns	ns
PHOT1	<.001	ns	ns	.016	ns	ns	ns	ns	ns	ns
PHOT2	<.001	ns	.011	ns	ns	ns	ns	ns	ns	ns
PIP1.2	<.001	ns	<.001	<.001	.005	ns	<.001	ns	ns	ns
PIP2.1	<.001	<.0001	.022	ns	ns	ns	ns	ns	ns	ns
PIP2.5	<.001	ns	.009	ns	ns	ns	.003	ns	ns	ns
PYL2	<.001	ns	ns	ns	ns	.048	ns	ns	ns	ns
PYL4	<.001	0.008	ns	ns	.037	ns	ns	ns	ns	ns
PYL8	<.001	ns	.032	<.001	.015	ns	.003	ns	ns	ns
SLAC1	<.001	0.018	ns	.016	.039	ns	.008	ns	ns	ns
TIP1.3	<.001	ns	.001	ns	.02	ns	ns	ns	ns	ns
TIP1.4	<.001	ns	ns	ns	.004	ns	.009	ns	ns	ns
TIP2.1	<.001	ns	.005	.049	ns	.001	ns	ns	ns	ns
TIP2.2	<.001	ns	ns	ns	ns	ns	ns	ns	ns	ns

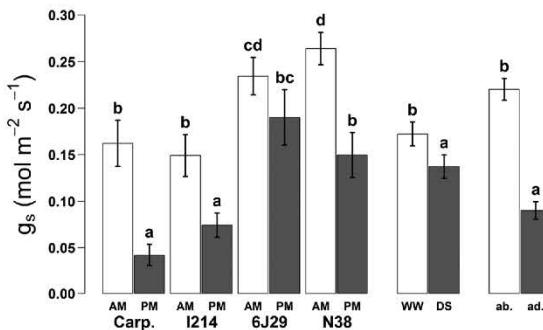
<sup>a</sup>Note. A type two factorial analysis of variance design with first-order interactions was used. Normalized transcript levels were transformed with a logarithm function of base 2 in order to achieve a normal distribution of the data.

<sup>b</sup>Abbreviations:  $g_s$ , stomatal conductance to water vapour; An/Cat, ratio of anions over cations (i.e., P and Cl over Na, Mg, K, and Ca); ns, not significant.

\* $P < .05$ . \*\* $P < .01$ . \*\*\* $P < .001$ .

genes were either similarly or more expressed in 6J29 than in N38 (AKT2, OST2, and SLAC1). As to vacuolar  $\text{Ca}^{2+}$  antiporters, although transcripts accumulation for CAX1 did not show any significant genotype effect, CAX1.6 was on average 161 times more accumulated in the guard cells of hybrid poplars than in the black poplars. The same

pattern was found for the vacuolar  $\text{Na}^+/\text{H}^+$  antiporter NHX1.13. Its mRNA levels were also high in Carpaccio, compared with I214. Interestingly, NHX1.13 expression correlated to the Na content in guard cells (Figure 4,  $P < .001$ ,  $R^2 = .35$ ). Targeted aquaporins (PIPs and TIPs) mRNA were consistently expressed at the lowest levels in I214, with



**FIGURE 1** Stomatal conductance of four poplar genotypes under contrasting water availability. Each leaf side has been measured separately once in the morning and once in the afternoon (10:30 a.m. and 3:00 p.m.). Carpaccio and I214 are *Populus deltoides* × *Populus nigra*, whereas 6J29 and N38 are *P. nigra* genotypes. White and grey coloured bars show the contrasts used for statistical design. Letters show significant differences among groups for the genotype-time of day interaction, the water treatment and the leaf side ( $P < .05$ )

the notable exception of *TIP2.1*, which was expressed at the highest level in I214 guard cells (Figure S2u–aa).

### 3.3 | Impact of water availability on element content and transcript accumulation in guard cells

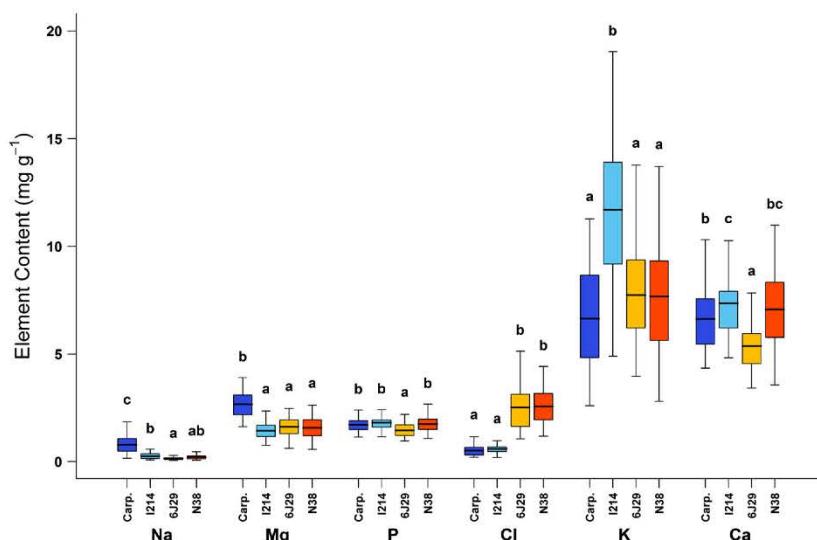
Cl was the only element whose content in the guard cells was significantly 13% higher in the drought-stressed trees across all genotypes (Figure S1d,  $P = .003$ ). Moreover, the guard cells Cl content was positively correlated with  $g_s$  when controlling for genotype and water treatment differences (Figure 5, partial  $R^2 = .15$ ,  $P < .001$ ). Other modifications of element content in response to water regime were

genotype specific. The guard cells of I214 had a P content 11% lower under drought (for well-watered and drought-stressed trees, respectively: 1.92 and 1.71 mg g<sup>-1</sup>,  $P = .011$ , Figure S1c). Among black poplars, 6J29 and N38 exhibited an increased guard cells Ca content, respectively, 15% and 13% higher in the drought-stressed than in the well-watered trees (for control and drought-stressed, respectively, 6J29: 4.92 and 5.78 mg g<sup>-1</sup>,  $P = .028$ ; N38: 6.60 and 7.55 mg g<sup>-1</sup>,  $P = .015$ , Figure S1f). Neither K nor any other element in guard cells were under a significant effect of the water regime, either as a main effect or as part of an interaction ( $P > .075$ , Table 2).

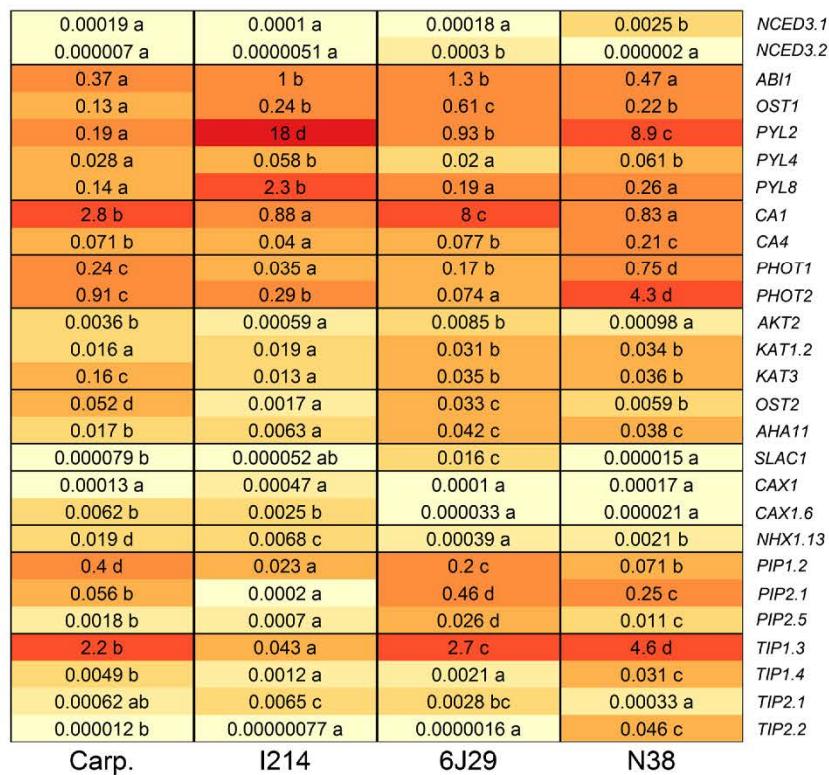
With respect to the normalized transcript accumulation, the water regime was highly genotype specific as 14 of 27 genes showed a significant interaction between the two factors (Table 2, Figure S2). Only *KAT3* and *PIP2.1* mRNA levels were significantly lower and higher, respectively, in the drought-stressed trees than in the control, in all genotypes ( $P < .006$ , Figure S2n–v). Concerning the hybrid poplars, the expression of three genes was down-regulated in response to water stress in the guard cells of Carpaccio (*KAT1.2*, *SLAC1*, and *TIP1.4*) but only two in the guard cells of I214 (*AHA11* and *TIP1.3*). For 6J29, the expression of *PYL8*, *CA1*, and *PIP1.2* was up-regulated in response to water stress. N38 showed the widest responsiveness to water stress. The expression of *PYL4* and *TIP1.3* was significantly down-regulated, whereas the expression of *ABI1*, *OST1*, *AKT2*, *KAT1.2*, and *PIP1.2* was up-regulated under water stress.

### 3.4 | Diurnal and leaf side differences of element content in guard cells

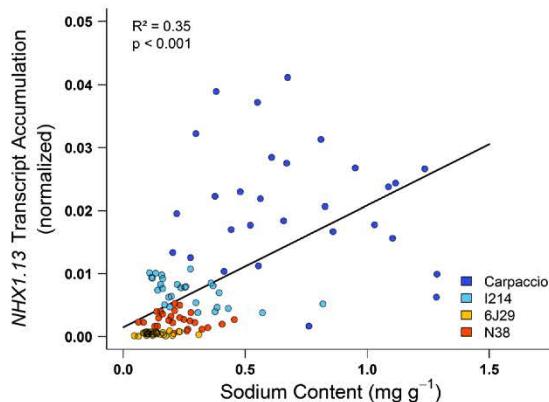
The PCA highlighted four groups consisting of every paired modality of the time of day and leaf side (Figure 6). The first and second axis explained 36.1% and 24.7% of the total variance with the third



**FIGURE 2** Guard cell element content of four poplar genotypes. Carpaccio and I214 are *Populus deltoides* × *Populus nigra*, whereas 6J29 and N38 are *P. nigra* genotypes (in dark and light blue, yellow and orange, respectively). Values inside each box are the genotypic mean expressed as “per mille” of dry mass, or mg g<sup>-1</sup> ( $n = 42$ –48). Boxes and bars show the 10th, 25th, 75th, and 90th percentiles. Letters show significant differences by post hoc contrast among the four genotypes for each element [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

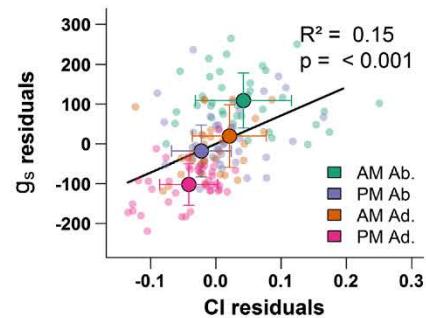


**FIGURE 3** Guard cell transcript accumulation heatmap of four poplar genotypes. Carpaccio and I214 are *Populus deltoides* × *Populus nigra*, whereas 6J29 and N38 are *P. nigra* genotypes. Values inside each box are back-transformed means by genotype of normalized transcript accumulation ( $n = 2-4$ ). Colors range from white to orange to dark red to show the lowest, middle, and highest overall normalized expression values. Letters show significant differences by post hoc contrast among the four genotypes for each gene [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]



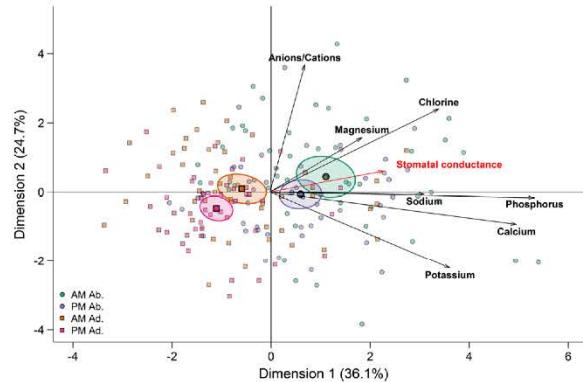
**FIGURE 4** Correlation between NHX1.13 normalized transcript accumulation and sodium content (as “per mille” of dry mass) inside the guard cells of four poplar genotypes. Carpaccio and I214 are *Populus deltoides* × *Populus nigra*, whereas 6J29 and N38 are *P. nigra* genotypes (in dark and light blue, yellow and orange, respectively;  $n = 115$ ) [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

accounting for less than 14% (not shown). P and Ca content in the guard cells contributed the most to the first axis, whereas the An/Cat ratio, Cl, and K content contributed the most to the second axis.



**FIGURE 5** Correlation between the stomatal conductance and the chlorine content inside the guard cells once the genotype and water treatment effect is taken into account. Data used are residuals of a linear model with genotype and water treatment as main effect, chlorine content as independent variable, and stomatal conductance as dependent variable ( $n = 10-22$ ) [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

Focusing on the first two axis, the four groups formed a diagonal line parallel to the Cl and Mg content and to the supplementary variable  $g_s$ . The group associated with the abaxial side in the morning was positively related to these variables, whereas the group formed



**FIGURE 6** Principal component analysis of guard cell element content for each leaf side and time of day. Data used are residuals of linear models with genotype as main effect and each element content as dependent variable ( $n = 48$ ). Stomatal conductance was added as a supplementary variable (in red). Mean points and confidence ellipse are shown. Circles and squares are for the abaxial and adaxial side respectively. Green and orange are for the morning and blue and pink are for the afternoon [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

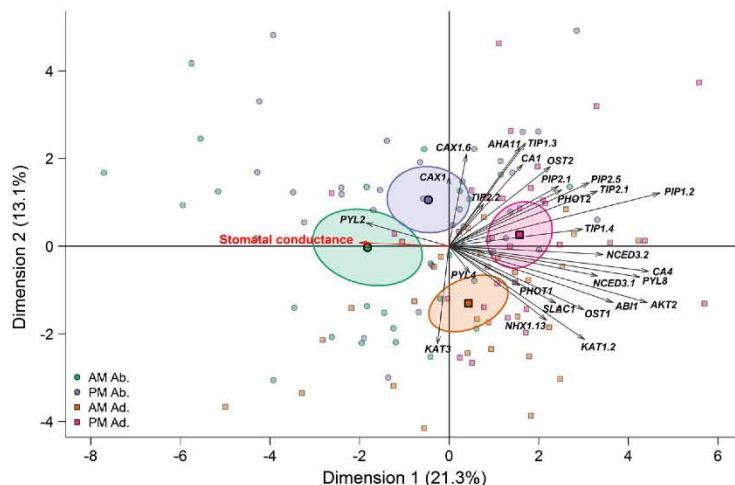
by the data associated to the adaxial side in the afternoon was negatively related to them. The leaf sampled in the morning showed overall a higher Mg, P, and Cl content in the guard cells and a higher An/Cat ratio than in the leaf sampled in the afternoon (Figure S1b,c, d,g;  $P = .043$ ,  $P = .003$ ,  $P = .009$ , and  $P < .001$ , respectively). The afternoon decrease ranged from 7% for P to 13% for Cl. Moreover, a higher amount of element was found in the guard cells on the abaxial side than on the adaxial side, with a noticeable exception of the Mg content ( $P = .07$ ). P, Ca, and the ratio An/Cat were, respectively, 15%, 17%, and 10% more abundant on the abaxial side ( $P < .003$ ). Cl and K were also significantly higher on the abaxial side of black poplars (Figure S1d,e). Similar trends, however not significant, were observed in hybrid poplars, as well as a 98% higher Na

content in the abaxial guard cells of Carpaccio, compared with the adaxial side (Figure S1a,  $P < .001$ ).

### 3.5 | Diurnal and leaf side differences of guard cells transcript accumulation in guard cells

Because the PCA on the guard cells element content revealed a strong structuration of sampling modalities (Figure 6), we performed a similar analysis on the gene expression for the 27 studied genes (Figure 7). The first three axis explained 21.3%, 13.1%, and 7.6% of the total variance. Among the genes contributing the most to the first axis, we found genes involved in the ABA biosynthesis and signalling pathways (NCED3.1, NCED3.2, ABI1, OST1, and PYL8), K<sup>+</sup> channels (AKT2 and KAT1.2), and some of the aquaporins (PIP1.2, PIP2.5, TIP1.4, and TIP2.1). The genes contributing highly to the second axis were those encoding H<sup>+</sup>-ATPase (AHA11 and OST2), Ca<sup>2+</sup> vacuolar antiports (CAX1 and CAX1.6), K<sup>+</sup> channels (KAT1.2 and KAT3), and NHX1.13. Similar to the PCA on guard cells element content (Figure 6), the four modalities composed by the crossed factors leaf sides and time of day were even more clearly separated by the first two axis, compared with the element content. Morning and afternoon measures were separated on the upward diagonal, whereas leaf sides were separated on the downward diagonal. Overall, the differences between time of day were related to the expression levels of gene encoding H<sup>+</sup>-ATPase and aquaporins, whereas the differences of leaf sides could be ascribed to genes associated mostly with the ABA response, Ca<sup>2+</sup> vacuolar antiports, and K<sup>+</sup> channels.

Out of the 27 genes we tested, 20 showed some degree of differential expression in the guard cells between the morning and the afternoon (Table 2, Figure S2). Only three genes were overall less expressed in the afternoon (OST1, KAT1.2, and KAT3) than in the morning by 16%, 17%, and 22%, respectively (Figure S2d,m,n). The remaining 17 genes were expressed at the highest level in the afternoon. This included both NCEDs (NCED3.1 and NCED3.2), both H<sup>+</sup>-



**FIGURE 7** Principal component analysis of guard cell normalized transcript accumulation for each leaf side and time of day. Data used are residuals of linear models with genotype as main effect and each transcript as dependent variable ( $n = 30\text{--}31$ ). Stomatal conductance was added as a supplementary variable (in red). Mean points and confidence ellipse are shown. Circles and squares are for the abaxial and adaxial side, respectively. Green and orange are for the morning and blue and pink are for the afternoon [Colour figure can be viewed at [wileyonlinelibrary.com](http://wileyonlinelibrary.com)]

ATPase (OST2 and AHA11), the three tested PIPs (PIP1.2, PIP2.1, and PIP2.5) as well as PYL8, PHOT2, and TIP1.3. *ABI1* normalized mRNA levels were higher in the afternoon but only in the guard cells on the abaxial side (Figure S2c,  $P = .004$ ). Few significant interactions occurred between genotype and times of day. Both *NHX1.13* and *TIP2.1* transcripts levels were higher in the afternoon, but in the guard cells of hybrid poplars ( $P < .05$ ) or of black poplars ( $P < .002$ ), respectively (Figure S2t,z). Both *AKT2* and *PYL2* genes were more expressed in the afternoon but only in the guard cells of I214 and N38 ( $P < .04$ ), respectively (Figure S2e,l).

With respect to the transcripts levels between leaf sides, 18 of the 27 tested genes exhibited a differential expression. In general, we observed the highest expression in the guard cells on the adaxial side of the leaf. Solely, *CAX1* and *CAX1.6* genes were, respectively, 12.6 and 10.1 times more expressed in the guard cells on the abaxial side than on the adaxial side (Figure S2r,s,  $P < .001$ ). The same pattern was found for *TIP1.3* and for *PIP2.5* but only in I214 (Figure S2w,x). The tested NCEDs transcripts levels were 13.5 and 6.8 times more abundant on the adaxial side ( $P < .01$ ). Similarly, the two  $\beta$ -carbonic anhydrases (*CA1* and *CA4*), *PHOT1* and *ABI1* were also overall more expressed on the adaxial side, the accumulation of *ABI1* transcripts being only significant in the morning (Figure S2c,  $P = .002$ ). A number of genes showed a genotype-specific differential expression between leaf sides. *OST1*, *PYL8*, *AKT2*, and *KAT1.2* genes were more expressed in the adaxial guard cells only in Carpaccio and N38. *TIP1.4* mRNA level was higher in the guard cells on the adaxial side in Carpaccio. *SLAC1*, *NHX1.13*, *PIP1.2*, and *PIP2.5* genes exhibited the same pattern in N38 only. *PYL8* was also 3.3 times more expressed in the guard cells on the adaxial side than on the abaxial side of 6J29 ( $P = .013$ ).

## 4 | DISCUSSION

### 4.1 | Genotypic diversity of element content and gene expression in the guard cells

Our study focused on the relationships between guard cell element content, expression of genes of interest, and stomatal conductance. By modulating the water regime, leaf sides, and times of day on four poplar genotypes, we intended to gain insight into the molecular drivers of gas exchange regulation and the unique physiology of guard cells.

We found a tremendous diversity for both element content and gene expression in the guard cells of four poplar genotypes. This adds to previous investigations highlighting the considerable diversity of transcript accumulation and responses to environmental factors in distinct organs of poplars (Bizet et al., 2015; Cohen et al., 2013; Dumont et al., 2014; Wilkins et al., 2009). Interestingly, Carpaccio exhibited the lowest expression of genes related to ABA biosynthesis and signalling pathways, especially compared with I214. This was not associated with a lower plasticity of stomatal closure under drought, as previous studies found a lack of stomatal response under various water deficits in I214 but not in Carpaccio (Durand et al., 2019; Muller & Lambs,

2009). Instead, exogenous supply of ABA to the I214 clone induced leaf abscission (Chen et al., 1997), which may be part of its drought response (Giovannelli et al., 2007). Additionally, we found a lower expression for the genes encoding K<sup>+</sup> channels, H<sup>+</sup>-ATPase, and aquaporins in I214. These differences could in theory contribute to a reduced stomatal control by limiting the exchange of solutes and water across the plasma membrane. However, of the four genotypes studied, I214 showed the highest stomatal density and the smallest stomata (Durand et al., 2019). As such, one could expect that not only less water is required to modify the guard cell turgescence but a smaller change in aperture added over the whole leaf could result in a massive change in stomatal conductance. Durand et al. (2019) also showed that I214 had the fastest stomatal closing speed; here we found that it may not be due to an increased expression of genes encoding transporters on the guard cell plasma membrane.

Along with differences in transcript accumulation, we found evidence of a genotypic diversity of elements contributing to stomatal movements. Little is known on this topic in poplars as the majority of studies focus only on three species (Assmann, 1993), namely, *A. thaliana* (L.), *Commelinaceae communis* (L.), and *Vicia faba* (L.). *NHX1.13* encodes a vacuolar Na<sup>+</sup> and K<sup>+</sup> transporter that has been shown to regulate cell turgor and stomatal function in *A. thaliana* (Barragan et al., 2012). The higher amount of Na in the guard cells of hybrid poplars, linked with higher *NHX1.13* mRNA levels, highlights the importance of this element for stomatal control in these genotypes. Because K<sup>+</sup> channels and some aquaporins may be permeable to Na<sup>+</sup> as well (Byrt et al., 2017; Jezek & Blatt, 2017), one could speculate that these transporters have an increased permeability for Na<sup>+</sup> compared with black poplars. In contrast, the higher Cl<sup>-</sup> content in black poplars emphasize its role in stomatal function in these genotypes. Both malate and Cl<sup>-</sup> act as counter-ions to balance the uptake of K<sup>+</sup> into the guard cells in various proportions depending on species, Cl availability, and starch metabolism (Raschke & Schnabl, 1978; Santelia & Lawson, 2016).

### 4.2 | Impact of water availability

A relatively weak effect of the water regime occurred on element content and normalized mRNA levels, especially as compared with the other tested factors (Table S3). This might be related to the leaf sampling, which occurred 21 days after the start of a mild drought, when short-term gene expression responses were replaced by acclimation of physiological processes. Similarly in *Populus tremula*, few changes in the expression of genes of interest were found in leaves sampled more than 20 days after the start of the drought (Posse et al., 2011). The drought response at the transcript level was highly genotype specific, in agreement with transcriptomic studies on poplar genotypes, showing divergent expression profiles under drought even when the physiological response was similar (Cohen et al., 2010; Wilkins et al., 2009). *PIP2.1* was the only up-regulated gene in all four genotypes under drought. Despite not always being the case, similar results were found on various hybrid poplars (Almeida-Rodriguez,

Cooke, Yeh, & Zwiazek, 2010; Cohen et al., 2013). AtPIP2.1 and ZmPIP2.1 increased water permeability when expressed in *Xenopus* oocytes and protoplasts of *Lilium longifolium* pollen (Lopez et al., 2003; Sommer et al., 2008). Moreover, AtPIP2.1 was related to ABA-induced stomatal closure, mediated by OST1 by increasing guard cell permeability for water enabling its efflux (Grondin et al., 2015; Maurel, Verdoucq, & Rodrigues, 2016). Increasing PIP2.1 expression under drought may allow for a faster stomatal closure.

Under drought, there was an increased Ca content in black poplars together with a higher Cl content for all genotypes. During stomatal closure, free  $\text{Ca}^{2+}$  entering the cytosolic spaces of guard cells is known to be responsible for the inactivation of inward-rectifying  $\text{K}^+$  channels in *V. faba* (Grabov & Blatt, 1999; Schroeder & Hagiwara, 1989) and the activation of anion channels, such as SLAC1 when expressed in *Xenopus laevis* oocytes (Geiger et al., 2010). However,  $\text{Ca}^{2+}$  elevation in the cytosol has been found to be mostly due to influx from the vacuole and the endoplasmic reticulum as opposed to entry from the apoplast (Chen et al., 2012). Unfortunately, we are not able to localize specific subcellular compartments. Concurrently to the increased Ca content, the Cl content increased as well under drought. This suggests that an increased solute concentration in guard cells may be in part a response to a decline in leaf water content in poplars under drought (Guo, Zhang, & Huang, 2010; Marron et al., 2003).

#### 4.3 | Leaf side and time of day shape guard cell element content and transcript accumulation

Gene expression and element content in the guard cells followed opposite patterns over the leaf and along the day. Although stomatal conductance and element content reached a maximum on the abaxial side in the morning, transcript levels were overall at the lowest. The same pattern occurred, but reversed on the adaxial side in the afternoon.

There was no significant change of K content in guard cells of various apertures despite the overall element content being higher in more opened stomata, particularly with the increased Cl content (Figure 6). These results were checked by X-ray microanalyses under cryogenic conditions ( $-145^\circ\text{C}$ ). Both techniques (cryo and freeze-dried samples) showed no difference of K content inside the guard cells between leaves with markedly different stomatal conductance. The lack of decrease in K concentration in stomatal guard cells with lower stomatal aperture was quite unexpected as K is known to be of primary importance for stomatal movements. A previous study on hybrid poplar genotypes found an increased K content in guard cells under ozone while having lower  $g_s$  (Dumont et al., 2014). Langer et al. (2004) found only a 25% decrease in guard cell K content between *P. tremula*  $\times$  *Populus tremuloides* leaves grown under  $\text{CO}_2$ -free environment and leaves in the dark subjected to 100- $\mu\text{M}$  ABA, resulting in an 80%  $g_s$  decrease.

In our study, stomatal conductance was impacted by the different water regimes, leaf sides, and times of day. Because the difference in  $g_s$  between well-watered and drought-stressed poplars was relatively

small, differences of K content would most likely also be relatively small. Consequently, such small changes may not be identified using this technique, especially when considering the many roles K has in multiple processes, from homeostasis to enzymes activation (Clarkson & Hanson, 1980). Despite a significantly lower K content on the adaxial side of the leaf, differences in  $g_s$  between leaf sides may be predominantly attributed to differences of stomatal density rather than apertures (Durand et al., 2019). Hence, differences of  $g_s$  between times of day were our best candidate to find corresponding differences in guard cell K content. However, unlike stomatal opening, it has been proposed that stomatal closure in the afternoon may be more related to sucrose than K (Amodeo, Talbott, & Zeiger, 1996; Granot & Kelly, 2019; Kottapalli et al., 2018; Talbott & Zeiger, 1996). When the rate of sucrose production is higher than its loading into the phloem, excess sucrose accumulate in the apoplast and may enter guard cells (Ewert, Jr, Zhang, Aghoram, & Riddle, 2000; Kang, Outlaw, Andersen, & Fiore, 2007), inducing stomatal closure mediated by hexokinases (Kelly et al., 2013). Clearly, more research is needed to decipher changes of element content in poplar guard cells related to stomatal movements, which will necessitate the input of multiple techniques.

Diurnal rhythms in transcript accumulation were reported by previous studies for the expression of aquaporin-encoding genes and genes involved in ABA regulation. In contrast to our findings, whole leaves and roots generally have an increased accumulation of aquaporin transcripts in the morning, or in the few hours following an increase of light (Hachez, Heinen, Draye, & Chaumont, 2008; Henzler et al., 1999; Lopez et al., 2003; Lopez et al., 2013; Vandeleur et al., 2009). On the other hand, even if leaf ABA concentrations tend to increase at the end of the day (Novakova et al., 2005), the genes involved in the ABA-related pathways seem to present a diversity of diurnal rhythms in leaves (Seung et al., 2012). Despite their close proximity to mesophyll cells, stomata have specific circadian rhythms (Hassidim et al., 2017; Yakir et al., 2011). Given their relatively low proportion in the leaf compared with other cell types, the different expression profile along the day in guard cells compared with whole leaves could result from the cellular complexity of the organ that hides cell-type specific regulations (Nourbakhsh-Rey & Libault, 2016). In addition to the changes in element content, guard cells are also subjected to pH variations during stomatal movement. In general, stomatal closure induces cytosolic and vacuolar alkalization (Irving, Gehring, & Parish, 1992; Zhang, Dong, Gao, & Song, 2001), whereas stomatal opening induces acidification (Huang, She, Zhang, & Zhao, 2013), linked with  $\text{H}_2\text{O}_2$  and  $\text{Ca}^{2+}$  signalling during both ABA and dark-induced stomatal responses (Agurla & Raghavendra, 2016; Ma & Niu, 2017). Moreover, external pH changes strongly altered transcript levels, which was linked to ABA-responsive element motifs in the promoter region of pH-responsive genes (Lager et al., 2010). Little is known about the effect of intracellular pH on transcript accumulation, and whether or not guard cell movements affect its own expression pattern remains to be established.

Potential differences in the physiology of guard cells between leaf sides is seldom investigated, and even fewer studies compare the

patterns of transcript accumulation and/or element content. We reported here an overall stronger expression of genes of interest on the adaxial side for a number of genes, including *NCEDs* and  $\beta$ -carbonic anhydrases. However, the higher expression of vacuolar  $\text{Ca}^{2+}$  antiporters on the abaxial side was associated with a higher  $\text{Ca}^{2+}$  content on this side, in agreement with Dumont et al. (2014). It has been hypothesized that guard cells on each side may have different pathways for  $\text{Ca}^{2+}$ -mediated signal transduction (Wang, Wu, & Assmann, 1998). There is evidence of an independent control of gas exchange between leaf sides (Mott, Cardon, & Berry, 1993; Richardson et al., 2017). Such control would allow amphistomatal leaves to regulate more efficiently in response to the environmental conditions specific to each side. Previously reported thermal and irradiance gradients between leaf surfaces of a few degrees (Buckley, John, Scoffoni, & Sack, 2015; Clum, 1926; Sheriff, 1979) could result in different perceived environmental conditions between leaf sides (e.g., light, VPD, and temperature), prompting different stomatal control, as was found on poplar clones in response to a change in light and VPD (Ceulemans, Hinckley, & Impens, 1989; Pallardy & Kozlowski, 1979). Differences in the intensity and nature of perceived stresses, such as evaporative demand, light, and heat (Urban, Ingwers, McGuire, & Teskey, 2017) may induce a distinct conjugation of stress between sides, which may affect the synergic or antagonistic response to environmental cues (Bigot et al., 2018). This would result in a different perception and signalization between sides; for example, stomata of *Gossypium barbadense* (L.) have distinct sensitivity to light between sides linked with different pigment contents (Lu, Quiñones, & Zeiger, 1993). A different sensitivity between sides would lead to a diversity of gene expression (see Bigot et al., 2018), and element content in the guard cells, which may be a part of what we observed. A stronger gene expression on the adaxial side might be seen as the result of a more extreme condition on the side facing the light source.

In conclusion, even though we found a strong genotypic diversity, our data show how changes in stomatal conductance between leaf sides and time of day are linked to guard cell element content and transcript accumulation profiles in a similar way across the four studied genotypes (Figures 6 and 7). Future technical developments such as cryogenic electron microscopy will allow for an easier element cellular compartmentation. Because stomata are responsible for optimizing carbon gain with regard to water loss, their functions affect not only the plant but the ecosystem as well (Berry, Beerling, & Franks, 2010). Understanding the specificities of guard cells functioning will further expand our current knowledge of stomatal physiology and their global consequences.

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#### CONFLICT OF INTEREST

The authors declare that the research was conducted in the absence of any commercial or financial relationships that could be construed as a potential conflict of interest.

#### AUTHOR CONTRIBUTION

D. L. T., O. B., C. B., M. D., and D. C. developed the experimental design. C. B. carried out the experimental drought set-up. D. L. T. collected leaf porometer data; D. L. T., D. C., I. H., and N. A. sampled the leaves; M. D. performed X-ray microanalysis; M. D., D. C., and I. T. microdissected the stomata and extracted the RNA. N. A. performed cDNA amplification, RT-PCR, and data standardization. M. D., D. L. T., D. C., and I. H. performed data analysis. All contributors were involved in the writing and reviewing of the manuscript.

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## SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

**Table S1** List of gene studied with their specific primers.

**Table S2** Dataset used for statistical analysis (see separate Excel file).

**Table S3** Summary of the genotype, water treatment, time of day and leaf side partial  $R^2$  of stomatal conductance, guard cell element content and expression of genes of interest.

**Figure S1** Guard cell element content of four poplar genotypes under contrasting water availability with each leaf side measured separately once in the morning and once in the afternoon (10:30 and 15:00).

**Figure S2** Normalized guard cell transcript accumulation of four poplar genotypes under contrasting water availability with each leaf side measured separately once in the morning and once in the afternoon (10:30 and 15:00).

**Data S2:** Supplementary Information

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## DONNEES SUPPLEMENTAIRES

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**Table S1.** List of gene studied with their specific primers.

<b>Gene name</b>	<b>Phytozome v8.0 name</b>	<b>Primers sens</b>	<b>Primers antisens</b>	<b>Symbol used in</b>
ABI1	Potri.006G224600.2	ATTGCCTCACAGACTGCT	TCTCCTCGAACAAAGCTGAA	
AHA11	Potri.012G071600	TAATCATCGTGGCAGCCAAT	GGCAACAGATGACATGAAAC	(a)
AKT2	Potri.003G018800	GGTGTGAAGGGAAACAAGAA	GATCAATCTCCAGCTTCCA	(a)
BAM1	Potri.010G062900.1	ACAGAACAGCAGCCCAAGT	TTACAGCCCCCTCTCAACT	
CA1	Potri.001G348900	AGACTAAGTACGCTGGAGTT	CCATCATAAGGGAAAGGACAT	(a)
CA4	Potri.010G041100	GAAGGAGGCTTAAATGTGT	GTTCCTTGACAAAGTCGTA	(a)
CAX1	Potri.016G115500	TGTTATTGGGGCGTGCTTTT	AAGCTGCAATGACTGATCCA	(a)
CAX1.6	Potri.006G099900	TCCCTCAAAGCTGAAATGC	TGCCATATGCCACCTTCTAT	(a)
KAT1.2	Potri.004G132200	TCACTATCCACATGCAGCTT	GGCAATTCTGAGCAACTCTT	(b, c)
KAT3	Potri.018G035500	CATTCCATCATCAGCCTACA	CATGTATTICCTCCCTTGT	(a)
NCED3.1	Potri.001G393800	AAGACCCGGTCGCTTACTT	CTCGTAAGTGTGCTTGTGT	(d)
NCED3.2	Potri.011G112400	GAAAATCCCGTTGCTTACT	ACAAAGGCTCTCCACCGAAT	(d)
NHX1.13	Potri.013G031700	AAGCCCTGCTACATCTTGA	GCTAACATACATCGCAAAGC	(a)
OST1	Potri.009G106900.2	TGCCACCGTGACTTGAAACT	TTTGGTTGTGAGTGCAGCA	
OST2	Potri.018G090300	CTAGATAGGGAGAAAATGG	CTGTGTAACCAGGTGGCATT	(a)
PHOT1	Potri.001G342000	TCCATTCTCAAGGGTGTCA	TGTCGTAGATCCTGCATT	(a)
PHOT2	Potri.004G209700	GGATCAAAAGCTGGTGTAA	GCCTCGGATCTTTCCAAT	(a)
PIP1.2	Potri.008G065600	CGAGTTGCATAATGGTGGTG	TGGCATCAGTGCAGAGAAG	(c, e)
PIP2.1	Potri.009G136600	CTTCCAATTGGGTTGCTGT	CAATGATCATCCCAGGCTT	(e)
PIP2.5	Potri.006G128000	TAGTCCAAAAGGGCTTGGAA	CAAGTCCCAATACCGTAC	(e)
PYL2	Potri.018G054400.1	TTCTTCCCCTTGCATGCTGT	TGTTGGGTGCTGGCTAAAT	
PYL4	Potri.016G125400	GTTGTTGGTGGGACCATA	ACCGCGTAAGACTCCATGA	(b)
PYL8	Potri.012G000800.1	GCTGGAAAGAGTCGGCATT	TCCTGCCATTCCCCATGTTA	
QUAC1	Potri.001G144300	TTAAACCCGGAGATGAGGT	GTATAGCCGATATCCATAG	(a)
SLAC1	Potri.001G114300	AATGTCCTGGTGTCTAAAG	CCAAACAAAAGCGTCAGAA	(a)
TIP1.3	Potri.010G209900	CATGCATTCGCACTCTTGT	CCAATAAGTGCACCGAAGGT	(e)
TIP1.4	Potri.008G050700	AATCCTGCTGTGACCTTGG	GAAGCAAGCAAGCAACAACA	(e)
TIP2.1	Potri.001G186700	TGATGCAGCTTGTGATCCTG	AAGAGCCAAGCCAAAAGTGA	(e)
TIP2.2	Potri.003G050900	ATTTCTGGTGGGTCCATGA	TAAGTCCAGCTAGCCCTCCA	(e)
UBQ11	Potri.001G418500	GTTGATTTTGTGGGAAGC	GATCTGGCCTCACGTTGT	(a, c, f)

(a): Dumont J, Cohen D, Gerard J, Jolivet Y, Dizengremel P, Le Thiec D. 2014. Distinct responses to ozone of abaxial and adaxial stomata in three Euramerican poplar genotypes. *Plant Cell and Environment* 37(9): 2064-2076.

(b): Cohen D, Bogeat-Triboulot MB, Tisserant E, Balzergue S, Martin-Magniette ML, Lelandais G, Ningre N, Renou JP, Tamby JP, Le Thiec D, et al. 2010. Comparative transcriptomics of drought responses in Populus: a meta-analysis of genome-wide

expression profiling in mature leaves and root apices across two genotypes. *Bmc Genomics* 11: 21.

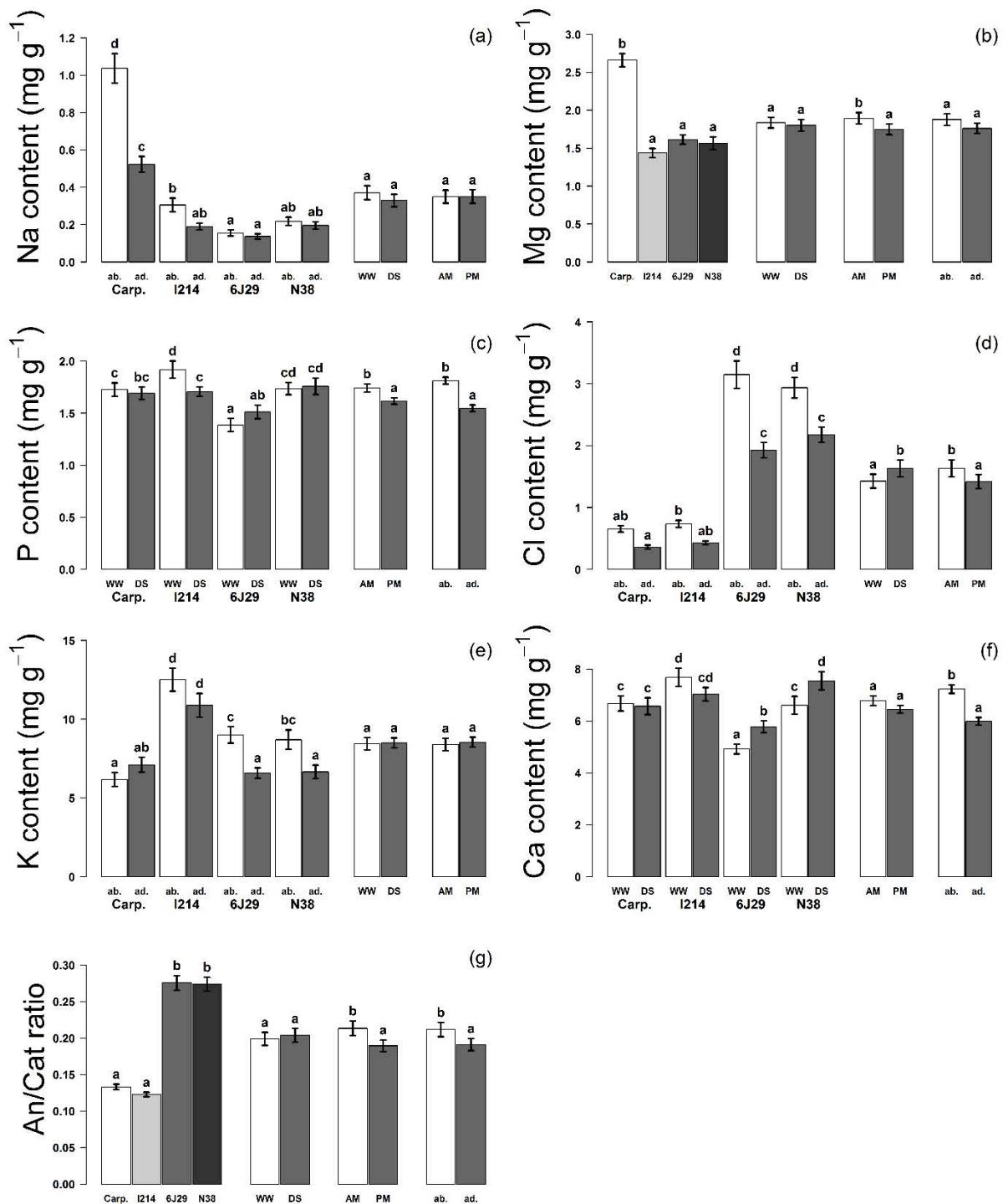
- (c): **Bizet F, Bogeat-Triboulot MB, Montpied P, Christophe A, Ningre N, Cohen D, Hummel I.** 2015. Phenotypic plasticity toward water regime: response of leaf growth and underlying candidate genes in *Populus*. *Physiologia Plantarum* 154(1): 39-53.
- (d): **Royer M, Cohen D, Aubry N, Vendramin V, Scalabrin S, Cattonaro F, Bogeat-Triboulot MB, Hummel I.** 2016. The build-up of osmotic stress responses within the growing root apex using kinematics and RNA-sequencing. *Journal of Experimental Botany* 67(21): 5961-5973.
- (e): **Cohen D, Bogeat-Triboulot MB, Vialet-Chabrand S, Merret R, Courty PE, Moretti S, Bizet F, Guillot A, Hummel I.** 2013. Developmental and environmental regulation of aquaporin gene expression across *populus* species: divergence or redundancy? *Plos One* 8(2): 12.
- (f): **Brunner AM, Yakovlev IA, Strauss SH.** 2004. Validating internal controls for quantitative plant gene expression studies. *BMC Plant Biology* 4(1): 14.

**Table S2.** Summary of the genotype, water treatment, time of day and leaf side partial R<sup>2</sup> of stomatal conductance (n = 6), guard cell element content (n = 6) and candidate gene expression (n = 4). A type two factorial ANOVA design with first order interactions was used. Normalized transcript levels were transformed with a logarithm function of base 2 in order to achieve a normal distribution of the data.

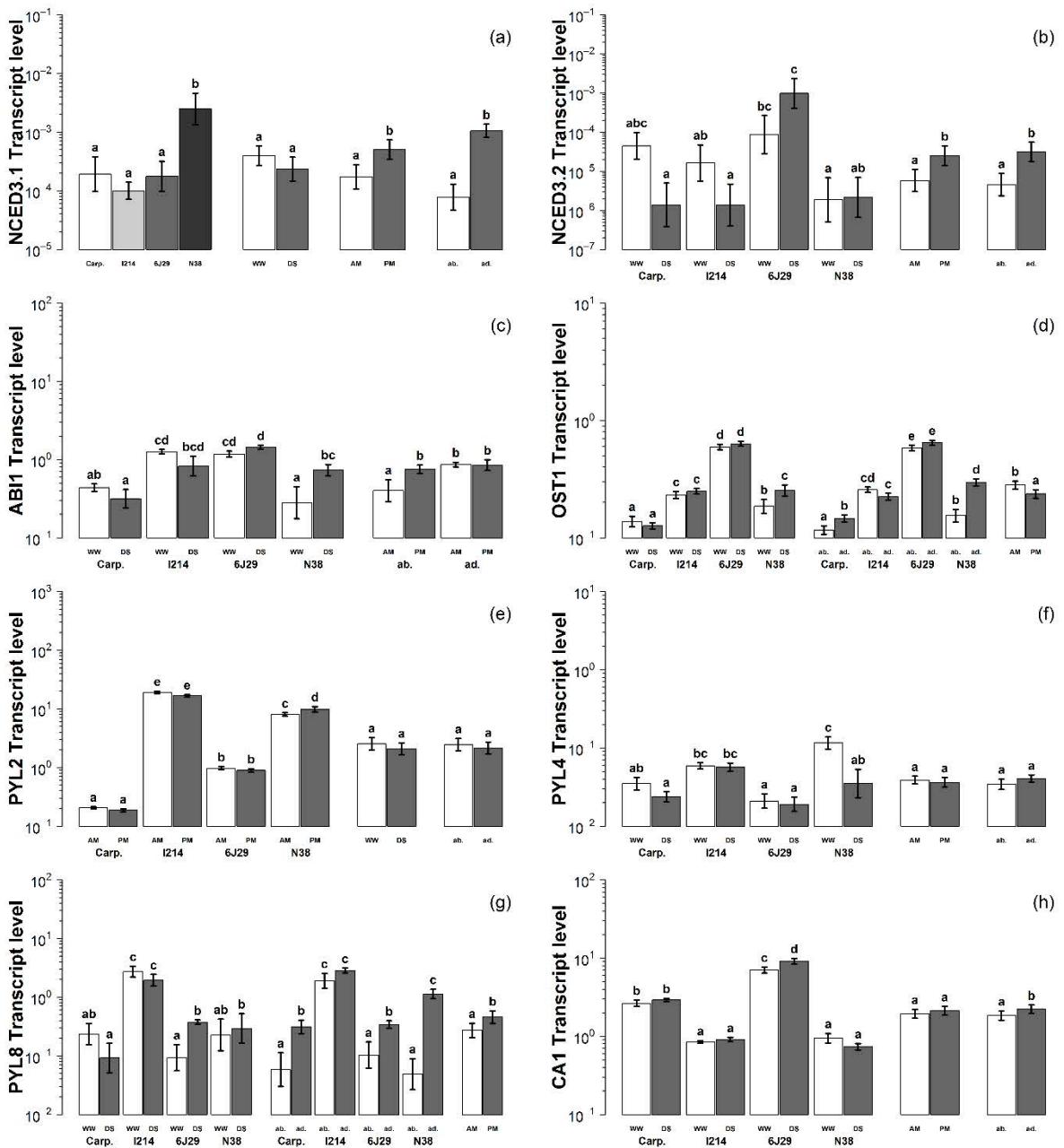
Genotype (G)	Water treatment (T)		Time of day (D)	Leaf side (S)	Interaction		Interaction G:S	Interaction T:D	Interaction T:S	Interaction D:S
	G:T	G:D								
g <sub>s</sub>	0.189	0.020	0.138	0.317	0.006	0.020	0.008	0.002	0.004	< 0.001
Na content	0.568	0.005	0.000	0.063	0.004	0.010	0.092	0.002	0.001	0.002
Mg content	0.487	< 0.001	0.012	0.009	0.008	0.005	0.007	0.002	< 0.001	0.001
P content	0.156	0.001	0.032	0.144	0.031	0.024	0.017	< 0.001	< 0.001	0.001
Cl content	0.697	0.010	0.007	0.070	0.006	0.007	0.024	0.004	0.002	< 0.001
K content	0.326	< 0.001	< 0.001	0.035	0.006	0.020	0.037	0.001	0.007	< 0.001
Ca content	0.214	0.006	0.009	0.144	0.040	0.023	0.007	0.001	0.002	0.003
An/Cat	0.686	0.001	0.018	0.013	0.001	0.011	0.008	< 0.001	0.003	< 0.001
ABI1	0.268	0.005	0.020	0.051	0.073	0.002	0.022	0.001	< 0.001	0.025
AHA11	0.709	0.004	0.028	< 0.001	0.020	0.015	0.009	0.001	0.004	0.001
AKT2	0.127	0.002	0.017	0.117	0.071	0.054	0.094	0.002	0.001	0.001
CA1	0.889	0.001	0.002	0.009	0.008	0.005	0.002	< 0.001	< 0.001	< 0.001
CA4	0.652	< 0.001	0.007	0.080	0.007	0.008	0.016	0.011	< 0.001	0.007
CAX1	0.023	< 0.001	0.007	0.129	0.037	0.036	0.025	0.033	0.005	0.016
CAX1.6	0.388	< 0.001	0.006	0.079	0.037	0.015	0.007	0.003	0.015	0.001
KAT1.2	0.296	< 0.001	0.020	0.097	0.091	0.018	0.052	< 0.001	0.010	0.001
KAT3	0.839	0.010	0.018	< 0.001	0.002	0.008	0.001	< 0.001	0.001	< 0.001
NCED3.1	0.138	0.004	0.032	0.133	0.013	0.002	0.021	0.017	< 0.001	0.010
NCED3.2	0.161	0.006	0.024	0.040	0.063	0.041	0.010	0.001	0.001	0.019
NHX1.13	0.849	< 0.001	0.001	0.003	0.007	0.012	0.015	0.001	< 0.001	0.001
OST1	0.745	0.006	0.015	0.026	0.016	0.002	0.052	< 0.001	0.002	0.001
OST2	0.699	0.009	0.015	0.002	0.003	0.015	0.005	0.004	< 0.001	0.001
PHOT1	0.881	< 0.001	0.001	0.006	0.001	0.003	0.003	0.002	0.003	< 0.001
PHOT2	0.865	< 0.001	0.008	0.003	0.001	0.004	0.003	< 0.001	< 0.001	< 0.001
PIP1.2	0.719	0.005	0.040	0.020	0.020	0.011	0.031	0.001	0.004	< 0.001
PIP2.1	0.940	0.007	0.002	< 0.001	0.001	0.002	0.002	< 0.001	< 0.001	< 0.001
PIP2.5	0.888	< 0.001	0.006	< 0.001	0.003	0.006	0.012	0.001	0.001	0.002
PYL2	0.980	0.001	< 0.001	0.001	< 0.001	0.001	< 0.001	< 0.001	< 0.001	< 0.001
PYL4	0.222	0.044	0.001	0.006	0.053	0.017	0.025	0.015	0.001	0.002
PYL8	0.295	0.003	0.018	0.153	0.043	0.007	0.060	< 0.001	0.010	0.010
SLAC1	0.385	0.025	0.009	0.025	0.037	0.033	0.052	0.003	< 0.001	< 0.001
TIP1.3	0.946	0.001	0.005	< 0.001	0.004	0.001	0.001	< 0.001	< 0.001	< 0.001
TIP1.4	0.443	0.012	0.003	0.003	0.055	0.030	0.048	< 0.001	< 0.001	< 0.001
TIP2.1	0.132	< 0.001	0.049	0.024	0.032	0.102	0.033	0.001	0.007	0.003
TIP2.2	0.785	0.002	0.005	0.002	0.006	0.011	0.008	< 0.001	0.001	< 0.001

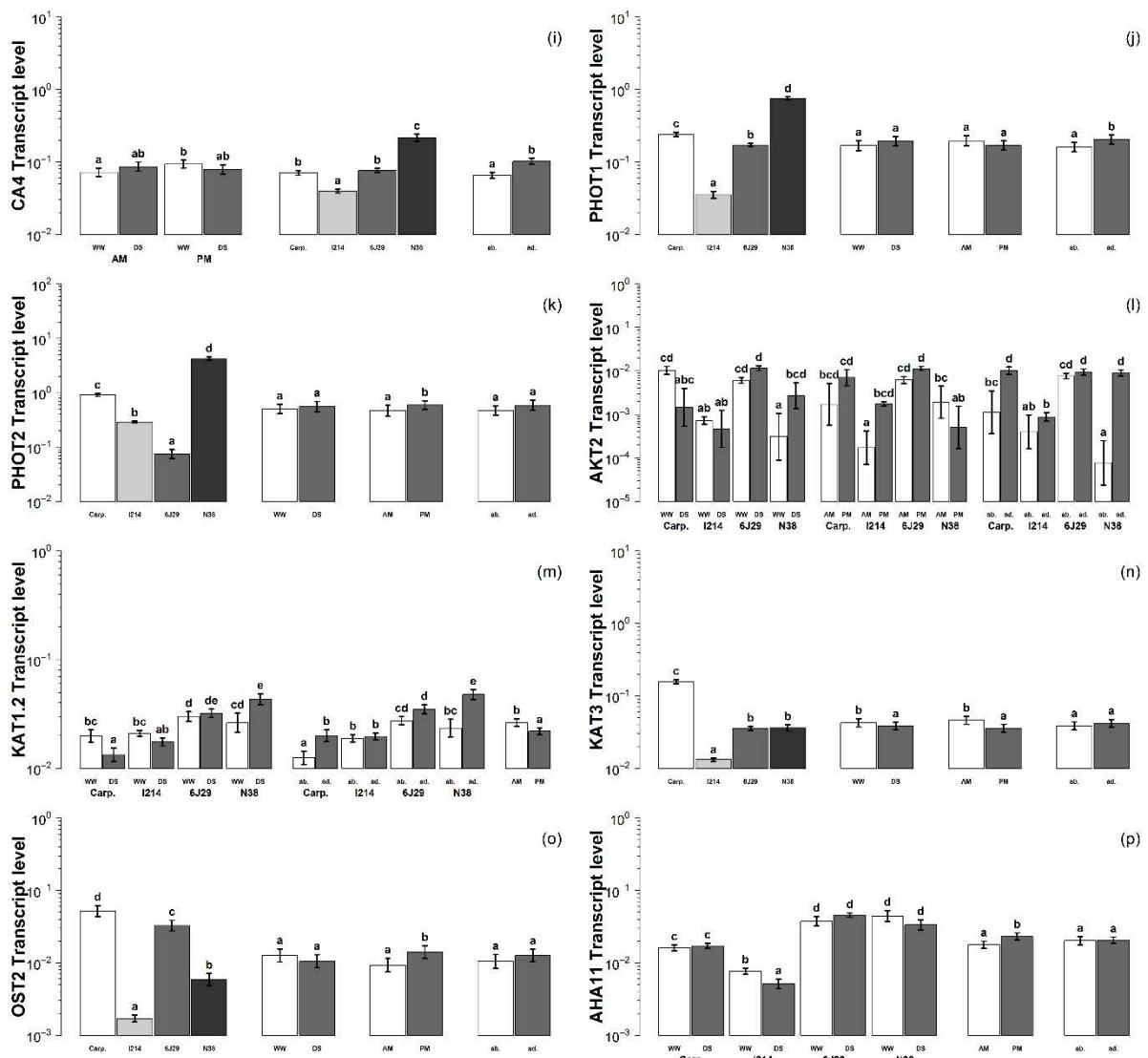
g<sub>s</sub>: stomatal conductance to water vapor; An/Cat: ratio of anions over cations (*i.e.* P and Cl over Na, Mg, K, and Ca)

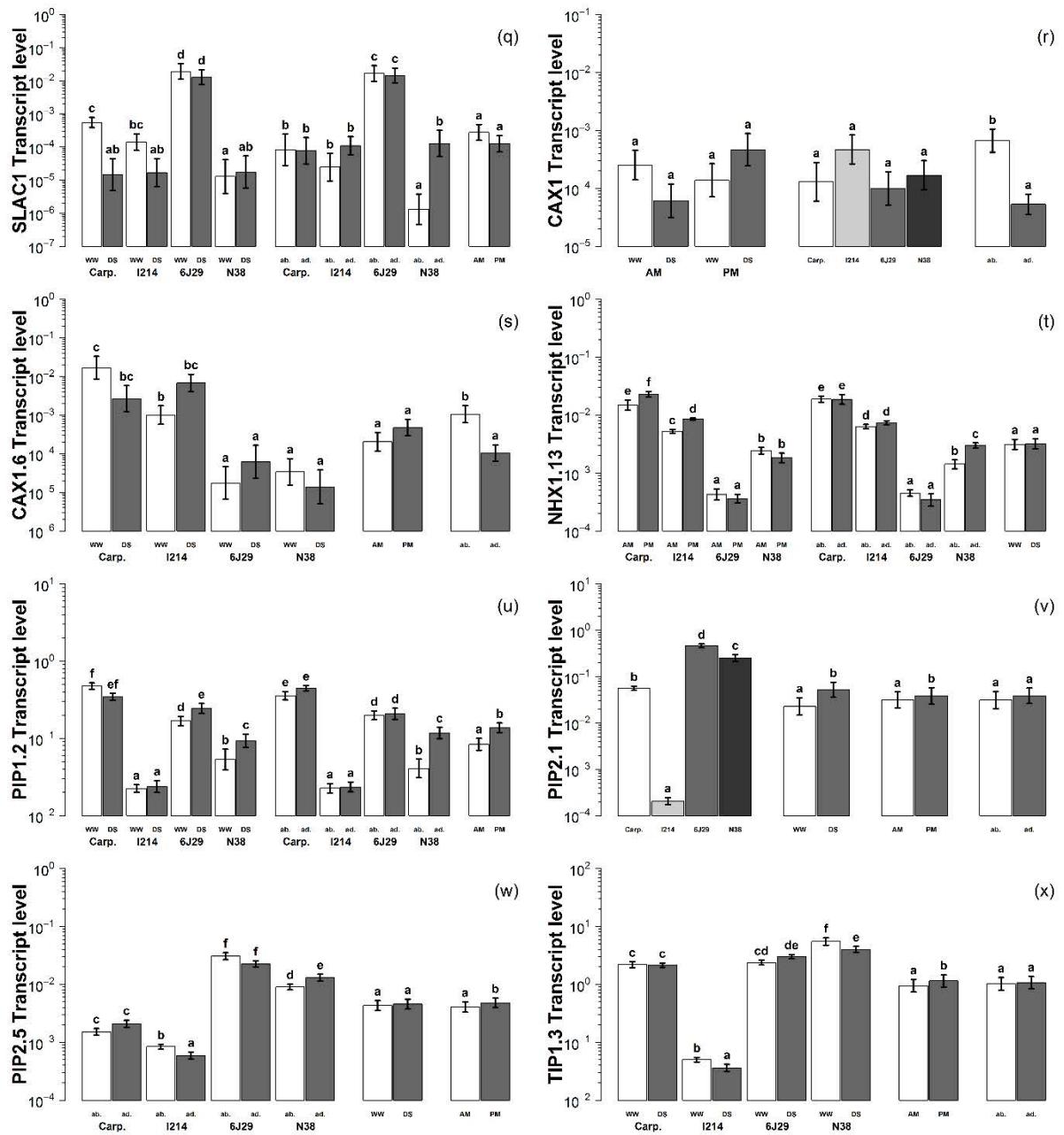
**Figure S1.** Guard cell element content of four poplar genotypes under contrasting water availability with each leaf side measured separately once in the morning and once in the afternoon (10:30 and 15:00). From **(a)** to **(f)**: sodium, magnesium, phosphorus, chlorine, potassium, calcium content. **(g)**: ratio of anions over cations. Carpaccio and I214 are *Populus deltoides* × *nigra* while 6J29 and N38 are *Populus nigra* genotypes. White and gray colored bars show the contrasts used for statistical design. Each factor (*i.e.* genotype, water treatment, time of day and leaf side) is either shown alone or with another factor when the interaction between them was significant. Letters show significant differences among these groups ( $P < 0.05$ ). Values are means ± standard errors (expressed as “per mille” of dry mass, or mg g<sup>-1</sup>).

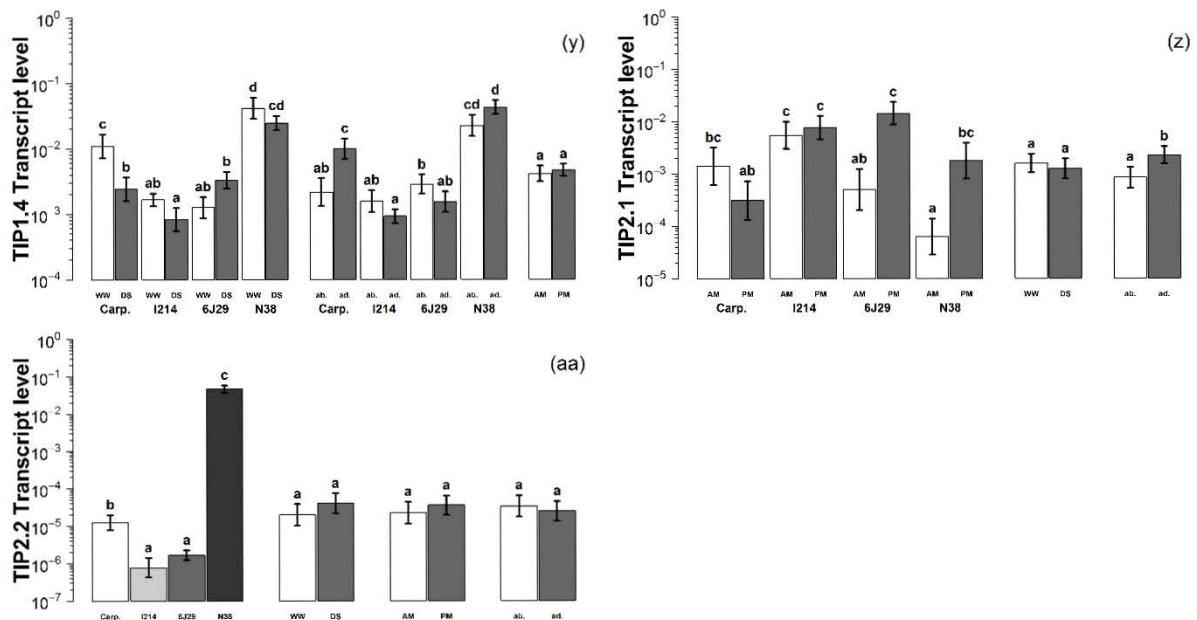


**Figure S2.** Normalized guard cell transcript accumulation of four poplar genotypes under contrasting water availability with each leaf side measured separately once in the morning and once in the afternoon (10:30 and 15:00). From **(a)** to **(aa)**: *NCED3.1*, *NCED3.2*, *ABII*, *OST1*, *PYL2*, *PYL4*, *PYL8*, *CA1*, *CA4*, *PHOT1*, *PHOT2*, *AKT2*, *KAT1.2*, *KAT3*, *OST2*, *AHA11*, *SLAC1*, *CAX1*, *CAX1.6*, *NHX1.13*, *PIP1.2*, *PIP2.1*, *PIP2.5*, *TIP1.3*, *TIP1.4*, *TIP2.1* and *TIP2.2*. Carpaccio and I214 are *Populus deltoides × nigra* while 6J29 and N38 are *Populus nigra* genotypes. White and gray colored bars show the contrasts used for statistical design. Each factor (*i.e.* genotype, water treatment, time of day and leaf side) is either shown alone or with another factor when the interaction between them was significant. Letters show significant differences among these groups ( $P < 0.05$ ). Values are back-transformed means  $\pm$  standard errors normalized mRNA levels on a logarithmic scale.

















## **DISCUSSION GENERALE**

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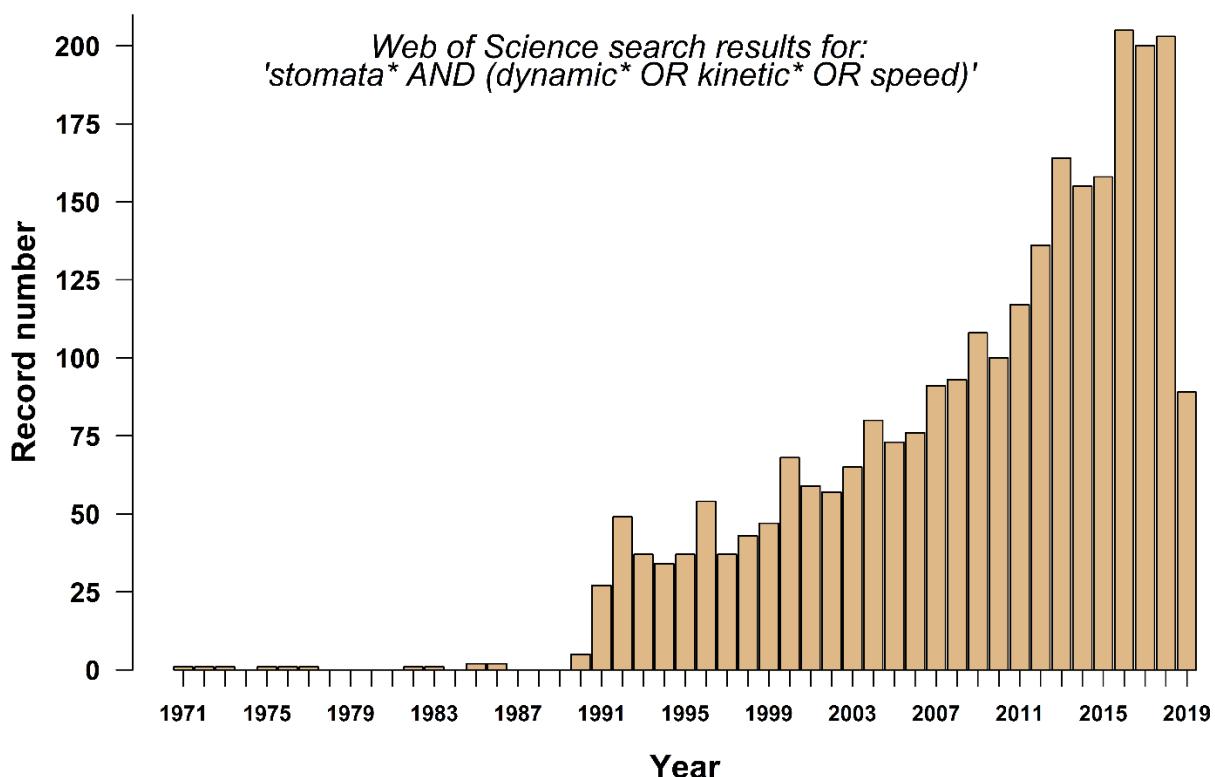
# DISCUSSION GENERALE

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## 1. Dynamiques temporelles de la conductance stomatique

### 1.1. Vers une modélisation dynamique à l'échelle globale ?

Il nous faut souligner que l'étude des dynamiques temporelles de la conductance stomatique est relativement récente. Les premières études datent des années 1970 (Davies & Kozlowski, 1975), mais ce n'est qu'à partir des années 1990 que cette thématique est réellement étudiée (Fig. 1). Elle connaît depuis un essor exponentiel. Les problèmes rencontrés sont donc, en partie, inhérents à une nouvelle thématique : pas ou peu de méthodologie commune, comparaisons difficiles et un appui de la littérature limité.



**Figure 1.** Nombre de publications associées aux dynamiques stomatiques de 1971 à 2019. Graphique produit à partir de la base de données « Web of Science ». La phrase recherchée est « stomata\* AND (dynamic\* OR kinetic\* OR speed) ».

A travers ces travaux de thèse nous avons pu mettre en évidence une très large diversité des dynamiques temporelles de la conductance stomatique. Cette diversité peut être liée à des facteurs environnementaux comme l'irradiance, le VPD, le déficit hydrique et les conditions de croissance, mais aussi à des facteurs endogènes liés au fonctionnement spécifique du génotype ou de l'espèce. De manière générale nous avons pu observer à travers l'expérimentation en

serre (Chapitre I) que les mouvements stomatiques en réponse au VPD étaient plus lents qu'en réponse à un changement d'irradiance. En conditions naturelles (Chapitre III) les mouvements stomatiques étaient plus lents qu'en conditions contrôlées, mais la réponse à la lumière était également plus rapide que la réponse au VPD. De plus, la dynamique stomatique était généralement plus rapide chez les peupliers hybrides que chez les peupliers noirs, à la fois en serre et en pépinière. Ces résultats suggèrent un contrôle génétique mais également une plasticité phénotypique des dynamiques stomatiques, puisque malgré des conditions environnementales largement différentes induisant des changements de la dynamique stomatique, les différences génotypiques étaient maintenues.

La réponse plus lente des stomates au VPD par rapport à l'irradiance implique des mécanismes de perception et de signalisation différents, qui pourraient être liés aux variations couramment plus lentes du VPD atmosphérique (lié aux dynamiques de l'humidité de l'air et de la température) par rapport aux variations de l'irradiance (ombrage par des feuilles proches, passage d'un nuage, percée de lumière). Bien que notre méthodologie se soit focalisée sur l'étude d'une variable environnementale à la fois, en conditions naturelles les stomates répondent simultanément à un ensemble de variables environnementales, parfois contradictoires. Il a été proposé qu'un ordre de priorités des variables microclimatiques régisse la réponse des stomates (Aasamaa & Sober, 2011), toutefois elles pourraient également avoir un caractère synergique ou antagoniste. Des signaux contradictoires (par exemple augmentation de la lumière et du VPD) pourraient ralentir la réponse stomatique alors que plusieurs signaux concordants pourraient les accélérer.

Pendant l'expérience en serre, la sécheresse a induit un ralentissement de la vitesse des mouvements stomatiques, en particulier pendant la fermeture. Cependant en conditions naturelles cet effet n'était pas clairement visible. Il est difficile de comparer le déficit hydrique mis en place en serre et en pépinière puisque la durée, l'intensité, et la mise en place de la sécheresse étaient différents, s'ajoutant à un âge de la plantation et un type de sol également contrasté. Néanmoins, ces résultats suggèrent que les modalités de l'établissement de la sécheresse induisent un ajustement spécifique des dynamiques stomatiques dépendant du génotype. Ces résultats s'ajoutent à la diversité des dynamiques stomatiques observée en réponse au CO<sub>2</sub> (Haworth *et al.*, 2018), au potentiel hydrique foliaire (Davies & Kozlowski, 1975), et même à la période de la journée (Matthews *et al.*, 2017) ou à l'ozone (Dumont *et al.*, 2013). Même si l'effet de ces facteurs sur la dynamique stomatique est encore mal connu, nous pouvons soupçonner que d'autres facteurs peuvent influencer ces dynamiques (température,

vent, fertilité du sol). Une diversité si considérable des dynamiques stomatiques observées nous permet de poser deux hypothèses très générales.

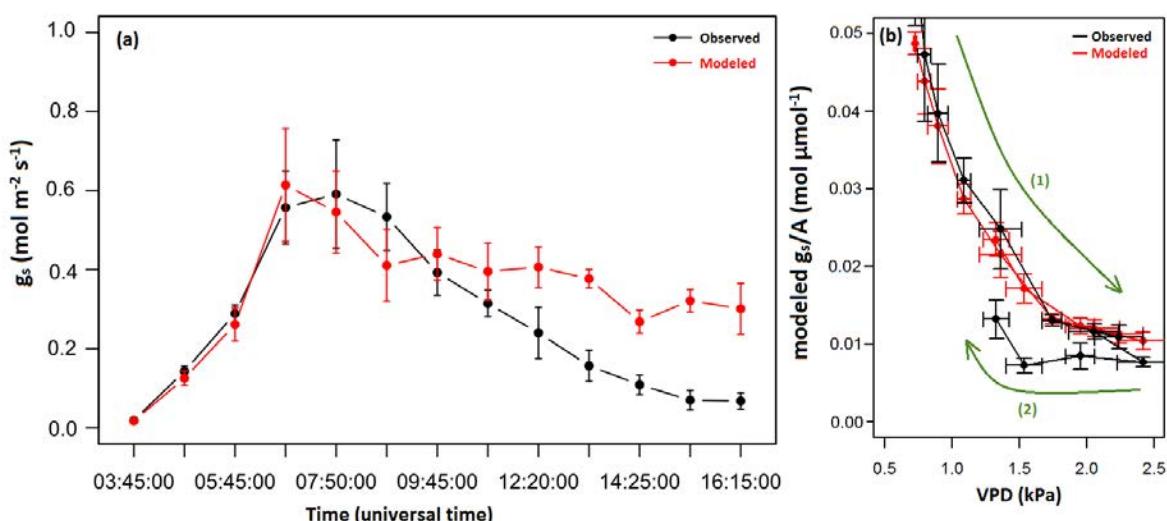
D'une part nous pourrions considérer que des stomates plus ou moins rapides n'engendreraient pas ou peu de bénéfices ou d'inconvénients sur la plante entière. Dans ce paradigme, les vitesses des mouvements stomatiques seraient définies comme la résultante circonstancielle d'autres traits, ayant eux un fort impact sur la plante (densité stomatique, nombre et activité des transporteurs sur la membrane des cellules de garde). D'autre part, la forte plasticité phénotypique des dynamiques stomatiques observées serait liée à une régulation active permettant de répondre de manière spécifique à une large gamme de facteurs à la fois à court et long terme. Cette question est difficile à trancher d'après nos connaissances actuelles. Néanmoins, les corrélations négatives observées en serre et en champs entre les vitesses stomatiques et la transpiration foliaire par unité de surface impliquent que des stomates plus lents induisent une plus grande transpiration foliaire. Ceci aurait potentiellement de fortes conséquences sur l'efficience d'utilisation de l'eau de l'individu. Au niveau foliaire,  $\Delta$  semble être sous contrôle génétique (Brendel *et al.*, 2008) suggérant que le WUE de la plante entière pourrait l'être également, ce qui nous fait considérer la deuxième hypothèse comme la plus probable.

Les modèles climatiques globaux calculent fréquemment la conductance stomatique en regroupant les végétaux en types fonctionnels (Krinner *et al.*, 2005; Franks *et al.*, 2018). Ainsi, prédire une conductance stomatique moyenne (et en régime permanent) sur une zone géographique donnée qui intègre temporellement la diversité et l'abondance spécifique est à la fois complexe et est un élément critique de ces modèles (Franks *et al.*, 2018). Pendant ma visite à l'institut Hawkesbury pour l'environnement (Western Sydney University, Australie), j'ai pu travailler avec le Dr. Remko Duursma sur le modèle de conductance stomatique se basant sur la théorie d'optimisation des mouvements stomatiques (Cowan & Farquhar, 1977; Medlyn *et al.*, 2011) de type :

$$g_s = g_0 + 1.6 \left( 1 + \frac{g_1}{VPD^{g_k}} \right) \frac{A}{CO_2} \quad (1)$$

Où  $g_0$ ,  $g_1$  et  $g_k$  sont des paramètres empiriques. Ce modèle est notamment intégré dans des modèles climatiques globaux (Franks *et al.*, 2018). En ajustant ce modèle sur les cinétiques journalières de la conductance stomatique en serre nous avons pu observer, pour tous les génotypes et les deux régimes hydriques, un décalage en fin de journée entre les valeurs de  $g_s$  observées et prédictes par le modèle. (Fig. 2a). Ce décalage serait en partie dû au fait que l'effet

du VPD sur la conductance stomatique n'est pas identique à tout moment de la journée (Fig. 2b). Compte-tenu des vitesses lentes des mouvements stomatiques en réponse au VPD observées en serre, nous avons posé l'hypothèse que ce décalage est en fait induit par la dynamique temporelle du VPD en augmentation de 0.7 à 2.0 kPa de 3h45 à 13h20 (temps universel) puis en décroissance jusque 1.2 kPa à 16h15. Cette diminution du VPD en fin de journée n'est pas accompagnée d'une stabilisation ou d'une augmentation de  $g_s$ , qui continue de décroître à la même vitesse depuis 7h50. Un tel décalage entre les mesures observées et prédictes pourrait avoir un impact considérable dans un modèle global intégrant plusieurs types fonctionnels de végétaux sur une vaste période de temps.



**Figure 2.** (a) : Ajustement d'une cinétique journalière de Carpaccio mesurée en serre (9 juin 2016) à l'aide du modèle « optimal » en régime permanent (proche de Ball et Berry, Medlyn, 2011). (b) Relation entre le VPD et l'efficience d'utilisation de l'eau (représentée comme  $g_s/A$  puisque calculée ainsi par le modèle) observée et ajusté. Les lignes, et les flèches vertes, représentent le sens de la dynamique journalière. Les couleurs noires et rouges représentent les données observées et ajustées, respectivement. Les points et les barres horizontales montrent la moyenne et l'erreur standard.

La prise en compte de la dynamique stomatique dans la modélisation de la conductance stomatique permet d'accorder les données observées et calculées avec une meilleure précision (Vialet-Chabrand *et al.*, 2013; Vialet-Chabrand *et al.*, 2016). Ce modèle dynamique calcule une conductance « cible » à partir de l'irradiance, puis du VPD :

$$G_{PPFD} = g_{min} + \frac{[\alpha_g PPFD (g_{max} - g_{min})]}{\sqrt{(g_{max} - g_{min})^2 + (\alpha_g PPFD)^2}} \quad (2)$$

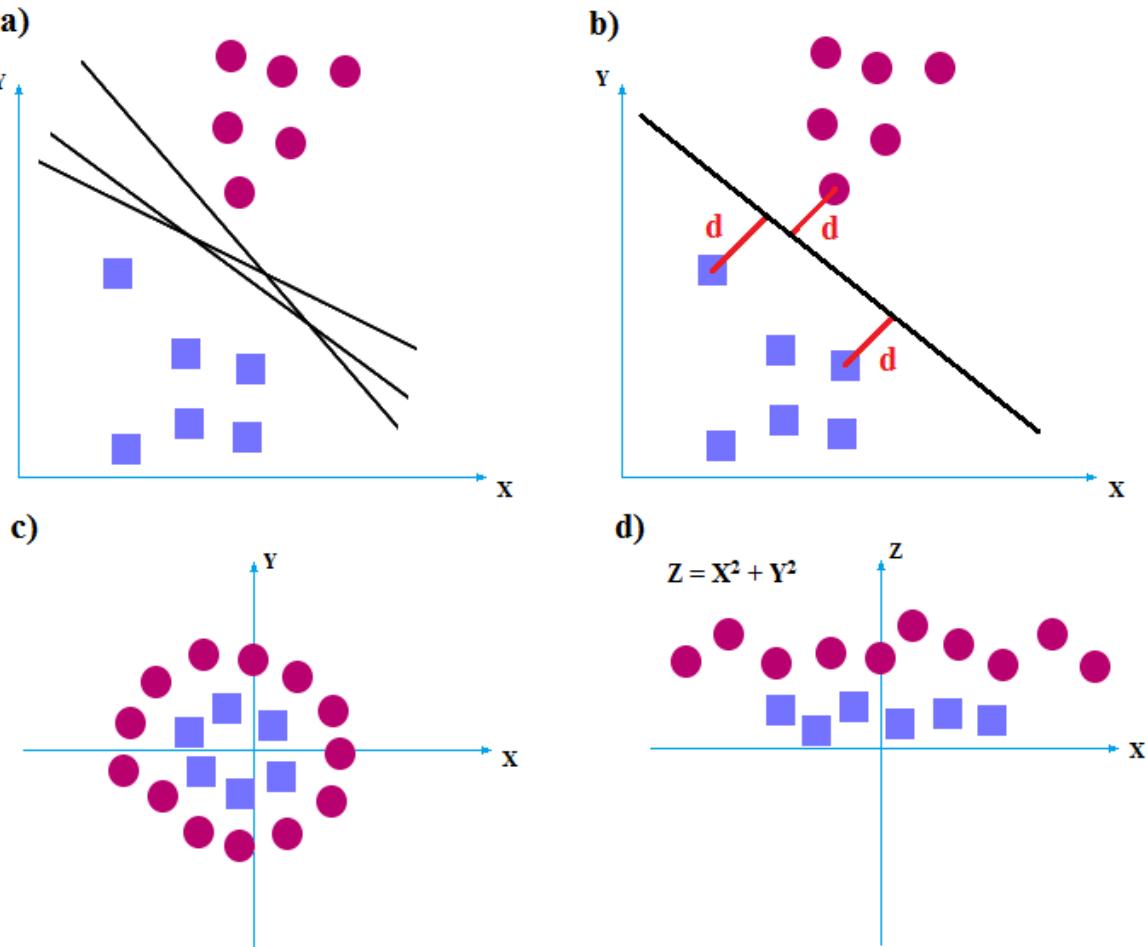
$$G_{VPD} = \frac{G_{PPFD} - \theta \frac{VPD}{e_{sat}}}{1 + Z * VPD} \quad (3)$$

avec  $G_{PPFD}$  et  $G_{VPD}$  les conductances cibles pour l'irradiance et le VPD, respectivement,  $g_{\min}$  et  $g_{\max}$  étant la conductance stomatique minimale et maximale,  $\alpha_g$  la pente initiale de la réponse de  $g_s$  à l'irradiance, PPFD la densité de flux de photons photosynthétiques,  $e_{sat}$  la fraction molaire de vapeur d'eau à saturation dans l'air et  $Z$  et  $\theta$  sont des paramètres empiriques définis par Peak & Mott (2011). La conductance stomatique est ensuite calculée :

$$\frac{dg_s}{dt} = \frac{1}{\tau} (G_{VPD} - g_s) \quad (4)$$

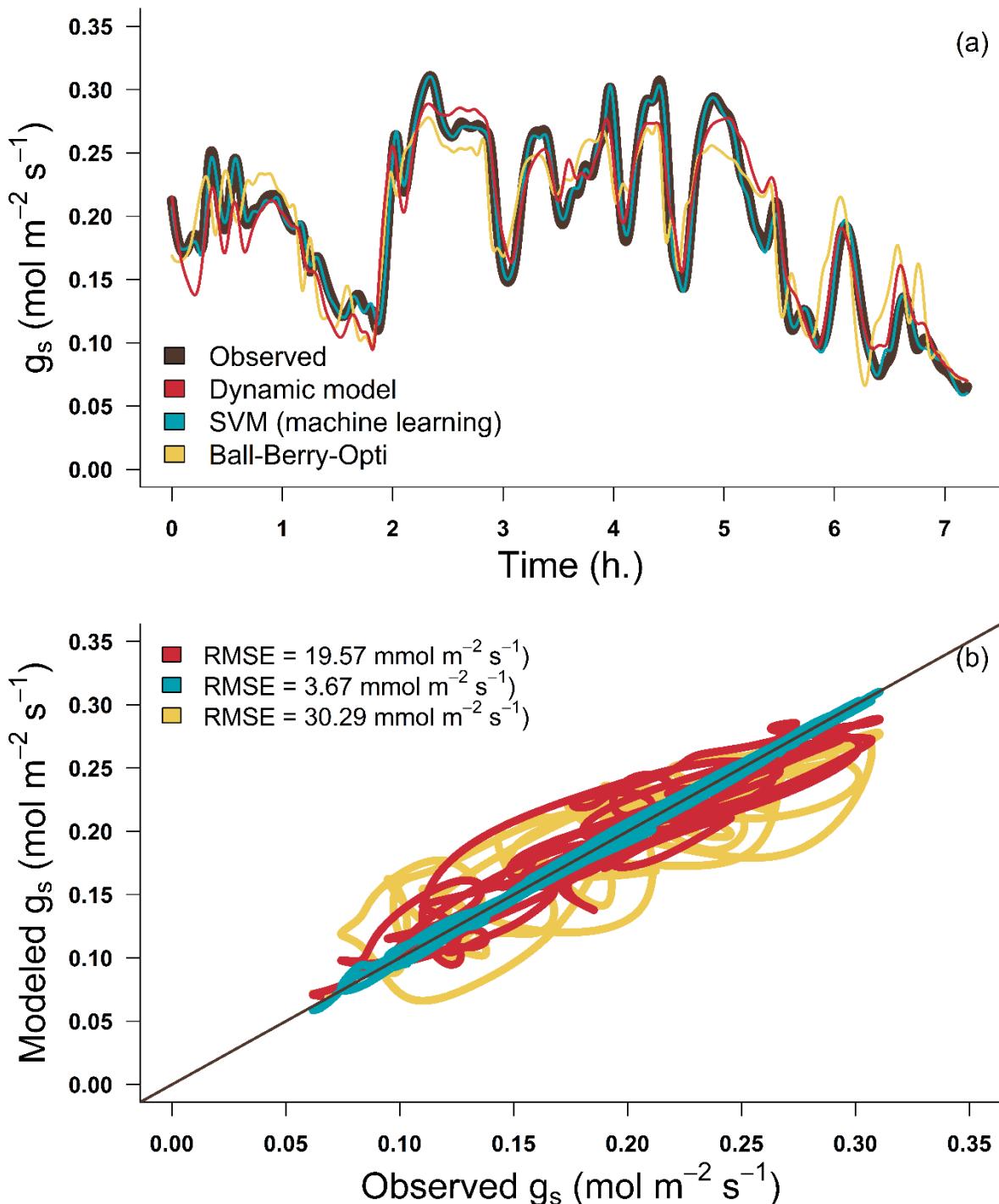
où  $g_s$  est la valeur de la conductance stomatique à l'instant « t »,  $G_{VPD}$  la conductance cible et  $\tau$  une constante de temps pour les mouvements stomatiques. Un  $\tau$  différent est utilisé pour l'ouverture et la fermeture stomatique.

Il est possible de comparer le modèle « dynamique » (équation 2 à 4) et le modèle « optimal », tous les deux basés sur des processus physiologiques contraignant l'ajustement des paramètres, à un modèle statistique par séparateurs à vaste marge (SVM; Cortes & Vapnik, 1995; Drucker *et al.*, 1997). Une telle méthodologie permet d'obtenir un point de repère pour évaluer la performance de modèles mécanistes (par exemple : Abramowitz, 2005). Le modèle SVM établit une régression entre un jeu de variables d'entrée (ici la concentration atmosphérique en CO<sub>2</sub>, la température foliaire, le VPD et l'irradiance) et une variable de sortie. Ce modèle n'est absolument pas contraint par des processus biophysiques et physiologiques mais permet une estimation du meilleur modèle mécaniste qu'il serait possible de formuler à partir des variables d'entrée. Le principe des SVM est l'utilisation d'algorithmes d'apprentissage supervisé et a pour origine un problème de classification, qui a été plus tard généralisé à des problèmes de régression, à l'image du modèle linéaire (capable de réaliser des analyses de variance et des régressions). Intuitivement, les SVM recherchent l'hyperplan (un plan généralisé à plus de 3 dimensions) ayant la marge optimale, c'est-à-dire la séparation la plus éloigné des groupes à classifier (Fig. 3a-b). Dans la plupart des cas, une séparation linéaire n'est pas possible et une transformation est opérée permettant de redéfinir l'espace des données pour trouver une séparation (Fig. 3c-d).



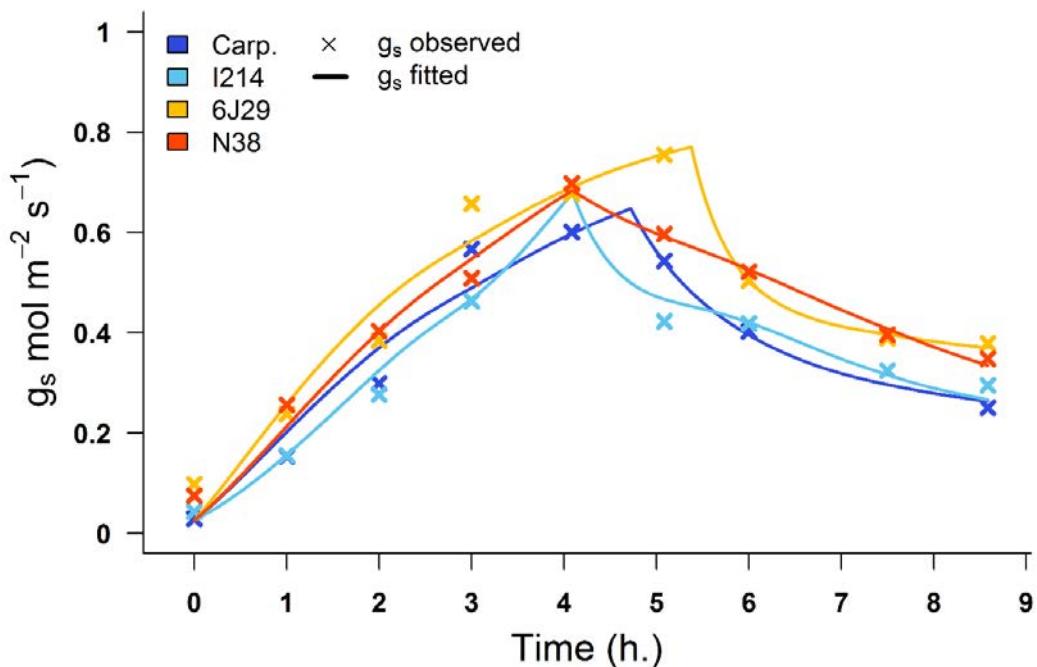
**Figure 3.** Principe du fonctionnement du modèle par séparateurs à vastes marges. (a) : Le problème de classification cherche à séparer linéairement les carrés et les cercles et plusieurs possibilités existent. (b) : Le modèle recherche le plan offrant la marge «  $d$  » maximale. (c) : Pour des problèmes plus complexes, une solution linéaire n'est pas possible. Il est nécessaire de transformer l'espace en ajoutant la variable  $Z$  tel que  $Z = X^2 + Y^2$ . L'espace passe donc de deux à trois dimensions. (d) : La transformation a permis de trouver une solution linéaire.

La figure 4 montre la comparaison du modèle « optimal » (équation 1), « dynamique » (équations 2 à 4) à un modèle ajusté par séparateurs à vastes marges. Le modèle « dynamique » s'ajuste clairement mieux aux données observées que le modèle « optimal » (le RMSE est 33% plus faible, Fig. 4b) mais il est également apparent d'après le modèle SVM que de fortes améliorations sont encore possibles. Par exemple, la paramétrisation du modèle dynamique requiert actuellement la définition simultanée (par inférence bayésienne ou à l'aide d'algorithmes génétiques) d'au moins quatre paramètres qui sont les constantes de temps  $\tau$  pour l'ouverture et la fermeture stomatique,  $g_{\min}$  et  $g_{\max}$ .  $\tau$  a par ailleurs une valeur unique quels que soient le ou les changements environnementaux.



**Figure 4.** Ajustement de trois modèles de conductance stomatique sur une cinétique journalière de la conductance stomatique chez le chêne sessile (a, données collectées par Silvère Vialet-Chabrand) et comparaisons entre données observées et prédites par les modèles (b). Les couleurs noires, rouges, bleues et jaunes représentent la conductance stomatique observée, calculée par le modèle dynamique (Vialet-Chabrand, 2013), calculé par « Séparateurs à Vastes Marges » (SVM, apprentissage supervisé à partir des concentrations en CO<sub>2</sub>, de la température foliaire, du VPD et de la quantité de PAR) et par le modèle « optimal » en régime permanent (proche de Ball et Berry, Medlyn, 2011). L'erreur quadratique moyenne pour chaque modèle est affichée.

Au vu des fortes différences observées pendant ces travaux de la dynamique stomatique en réponse à l'irradiance et au VPD nous pouvons supposer qu'un  $\tau$  unique ne permet pas de définir précisément le champ des dynamiques possibles au sein d'un individu. Des premiers tests à partir d'une version modifiée du modèle dynamique semblent encourageants (Fig. 5). Dans cette version, l'équation (3) n'est pas systématiquement utilisée. A la place, nous définissons une valeur de VPD, ajustée par le modèle. Pour des VPD en dessous de cette valeur,  $G_{PPFD}$  est utilisé à la place de  $G_{VPD}$  dans l'équation (4), sinon les équations (2), (3) et (4) sont prises en compte. Cela permet de prendre en compte un jeu de paramètres  $\tau$  différent pour l'irradiance et le VPD selon si la conductance cible est calculée à partir de l'équation (2) uniquement, ou de l'équation (2) et (3). De plus amples recherches sont nécessaires pour mieux comprendre comment les stomaques conjuguent leur réponse à différentes variations environnementales, afin de formuler par un modèle un effet qui pourrait être synergique, antagoniste, pondéré, avec un seuil défini et/ou un ordre de priorités (Aasamaa & Sober, 2011; Haworth *et al.*, 2018).



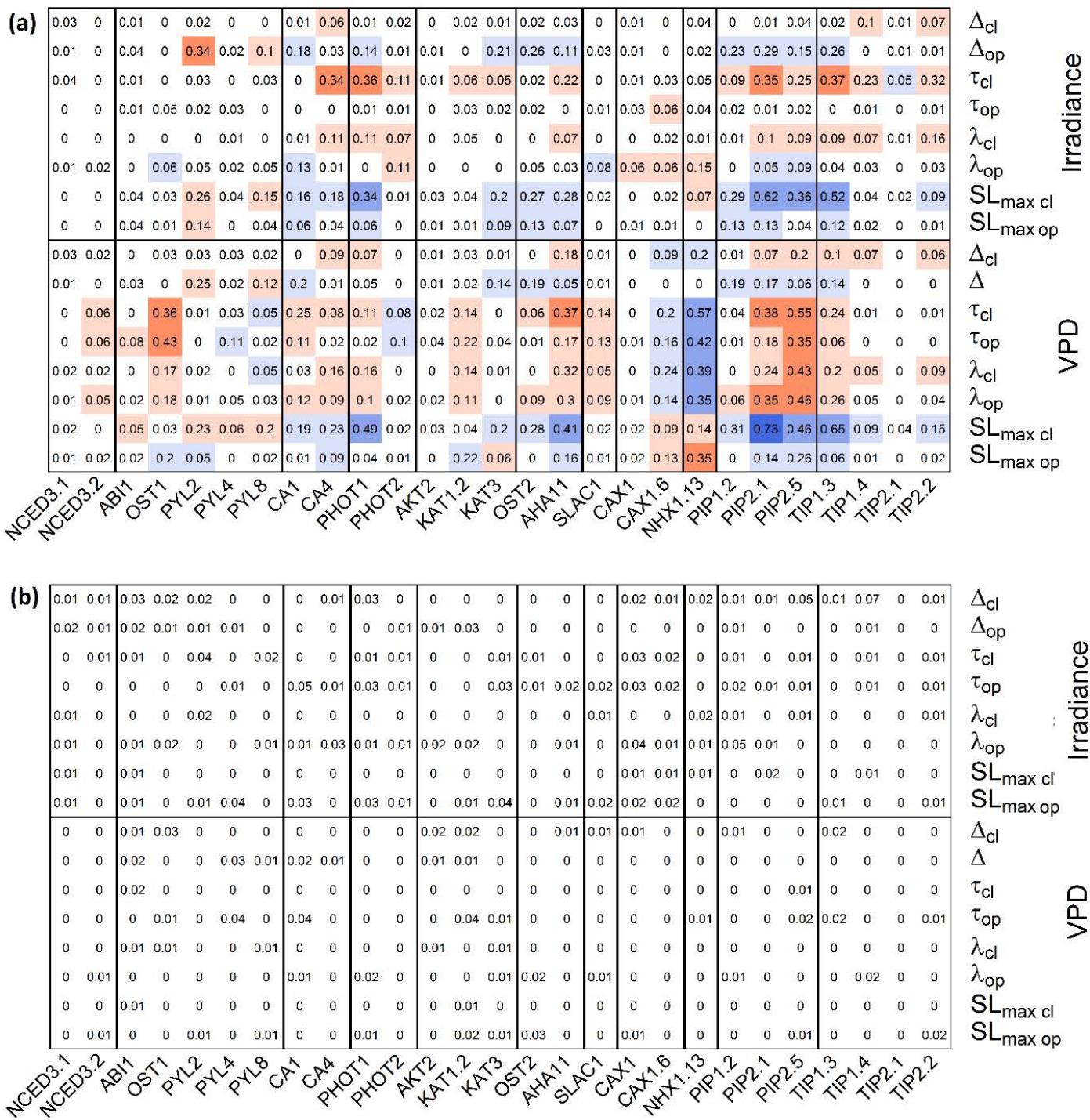
**Figure 5.** Ajustement des cinétiques journalières mesurées en serre (9 juin 2016) sur des peupliers témoins. Les données observées sont représentées par des croix et l'ajustement à partir d'une version modifiée du modèle dynamique est représenté à l'aide de lignes. Les couleurs représentent les quatre génotypes : Carpaccio, I214, 6J29 et N38. La modification du modèle dynamique permet de prendre en compte deux jeux de paramètres  $\tau$ , un pour la réponse à l'irradiance et un pour la réponse au VPD. Cette dernière s'activant à partir d'une valeur de VPD critique (la brisure apparente de la ligne continue) ajusté par le modèle. Si la conductance n'atteint pas cette valeur, la conductance cible n'est définie qu'à partir des variations de l'irradiance et les paramètres  $\tau$  adéquats sont utilisés. Au contraire, lorsque cette valeur est atteinte, les paramètres  $\tau$  pour la réponse au VPD sont activés et la conductance cible est définie à partir de l'irradiance et du VPD.

Cependant, intégrer cette dynamique stomatique dans des modèles plus globaux utilisant des mesures de flux à grande échelle comme FLUXNET (Baldocchi *et al.*, 2001) est encore loin d'être à notre portée. D'abord, la modélisation dynamique de la conductance stomatique nécessite un suivi continu, de l'ordre de plusieurs secondes à une minute. Un suivi par des mesures plus ponctuelles, qui peuvent ensuite être interpolées, aura une précision diminuée proportionnellement à la longueur du pas de temps. Si la perte de précision est raisonnable pour des pas de temps de 30 secondes à une minute, des moyennes semi-horaires sont souvent utilisés pour modéliser les flux à grande échelle (Baldocchi *et al.*, 2001; Dufrêne *et al.*, 2005). Enfin, la modélisation dynamique de la conductance stomatique se fait par la résolution d'une ou plusieurs équations différentielles qui, lorsque la quantité de données est importante, pose des contraintes supplémentaires : un temps d'analyse long et la nécessité d'avoir une forte puissance de calcul.

Ces travaux de thèse ont également permis d'examiner plusieurs hypothèses quant aux déterminants des dynamiques stomatiques. La morphologie des stomates apparaissait être une piste prometteuse, puisque reposant sur des concepts physiques, et elle était fortement liée à la dynamique stomatique en réponse au VPD en serre (chapitre I). Pourtant nous avons mis en évidence par une étude similaire en pépinière (chapitre III) que son effet n'est probablement pas déterminant, ou pour le moins elle aurait un effet dépendant des conditions environnementales et de croissance. D'autres paramètres morphologiques, comme la taille et le nombre de cellules épidermiques entourant les cellules de garde (Dow *et al.*, 2014) ou l'agencement des microfibrilles dans les cellules de garde (Galatis *et al.*, 1983) pourraient participer à la diversité de la vitesse des mouvements stomatiques observés. Toutefois la physiologie des cellules de garde nous semble être l'élément décisif permettant d'expliquer une telle diversité. En effet, des régulations actives du nombre et de l'activité des transporteurs d'éléments responsables des mouvements stomatiques ( $K^+$ ,  $Cl^-$ ,  $Ca^{2+}$ , malate<sup>2-</sup>) permettraient une modification dynamique et réversible des vitesses stomatiques selon les conditions environnementales. Une telle régulation des mouvements stomatiques par des caractères morphologiques, et donc fixes après la maturation des feuilles, est plus difficilement envisageable.

A ce propos, les expressions géniques mesurées en serre et présentées au Chapitre IV ont été mises en relation avec les paramètres de la dynamique stomatique présentée au Chapitre I (Fig. 6). Toutes les corrélations significatives détectées sont portées par les différences génotypiques de l'expression génique. Lorsque nous contrôlons cet effet, aucune corrélation n'apparait

significative. Carpaccio et I214, présentant constitutivement une plus grande quantité de transcrits de NHX1.13, CAX1.6 et une plus faible quantité de PIP2.1, PIP2.5, TIP1.3 et AHA11, ont tendance à avoir des stomates plus rapides (Fig. 6a). Ces transcrits codent pour des transporteurs, aquaporines et pompes à protons impliqués dans les mouvements d'eau responsables des mouvements stomatiques. Ces tendances pourraient avoir une explication physiologique. Si c'est le cas, ce type de caractères pourrait être utilisé pour sélectionner des génotypes ayant des mouvements stomatiques plus rapides. Cependant, il existe une grande séparation organisationnelle entre la transcription d'un gène codant pour un canal ionique et l'activité dudit canal sur la membrane des cellules de garde. Les variations génotypiques de l'expression génique pourraient simplement refléter des divergences intrinsèques du fonctionnement du génome, sans pour autant provoquer une diversité phénotypique. Ces éléments, lorsqu'ils sont ajoutés à l'absence de corrélation similaire au niveau individuel (Fig. 6b), nous contraint à interpréter ces corrélations avec la plus grande prudence (exemple : pourquoi une plus forte expression d'aquaporines serait liée à des mouvements stomatiques plus lents ?), d'autant plus que plusieurs jours ont séparé les mesures de dynamique stomatique et le prélèvement des feuilles pour l'expression génique.



**Figure 6.** Matrice des corrélations entre la dynamique stomatique en réponse à l'irradiance et au VPD et l'expression de 27 gènes dans les cellules de garde. Un modèle linéaire simple (a) ou prenant en compte l'effet du génotype (b) a été utilisé. Les couleurs orange et bleues montrent les corrélations significativement positives et négatives, respectivement. La couleur blanche représente les corrélations non-significatives après correction post-hoc du « false discovery rate ». Les valeurs représentées sont les R<sup>2</sup> partiels. Δ : amplitude de la conductance stomatique, τ et λ : des paramètres du modèle dynamique et SL<sub>max</sub>, la pente maximum de la sigmoïde au point d'inflexion.

## 1.2. Critiques

Notre méthodologie pour décrire la dynamique temporelle des stomates n'est pas parfaite. Par définition le modèle dynamique nécessite une description *a priori* de la forme de la dynamique pour définir l'utilisation du modèle dynamique exponentiel (non présenté ici) ou sigmoïde :

$$g_s = g_0 + (G - g_0)e^{-e^{\left(\frac{\lambda-t}{\tau}\right)}} \quad (5)$$

De plus la sigmoïde est doublement asymptotique (à  $g_0$  et  $G$ , les valeurs de  $g_s$  initiale et finale) et présente une asymétrie fixe, avec une pente maximum au point d'infexion fixée à 37% de la conductance stomatique initiale. En effet, en prenant la forme simple de la courbe :  $y = \exp(-\exp(x))$ , nous obtenons une sigmoïde qui tend vers 1 et 0 entre  $-\infty$  et  $+\infty$  (respectivement) et qui, pour  $x = 0$  produit  $y = 1/\exp(1)$  soit  $\approx 0.3678$  (pour une représentation interactive : [www.google.com/search?q=y+=%3D+exp\(-exp\(x\)\)](http://www.google.com/search?q=y+=%3D+exp(-exp(x)))). Bien que cette fixité permette de limiter l'ajout de paramètres empiriques à déterminer, elle limite aussi notre capacité à améliorer l'ajustement de la dynamique des stomates. Par exemple, le caractère doublement asymptotique implique que la conductance stomatique soit toujours inférieure à sa valeur initiale au moment du changement environnemental : à  $t = 0$  pour une diminution de  $g_s$  de 1 à 0 mol m<sup>-2</sup> s<sup>-1</sup> nous avons  $g_s = 1 + (0 - 1) * \exp(-\exp((\lambda-0)/\tau))$  soit  $g_s = 1 + (-\exp(-\exp(\lambda/\tau)))$ . Ainsi à  $t = 0$  la conductance calculée est égale à la conductance initiale, auquel est soustrait  $(-\exp(-\exp(\lambda/\tau)))$ . Ce dernier problème peut être résolu au prix d'une complexification significative du modèle (Vialet-Chabrand & Lawson, 2019).

Au-delà des considérations purement mathématiques, la forte variabilité individuelle observée nécessite un grand nombre de réplicas afin d'obtenir une puissance statistique suffisante, en dépit de la complexité et de la longueur de ces mesures. Techniquement, la dynamique stomatique enregistrée sur une feuille n'est parfois pas indépendante du reste de l'arbre. Une augmentation expérimentale de l'irradiance dans la chambre du système d'échanges gazeux (pour induire une ouverture stomatique) peut être influencée par l'effet sur la plante entière du passage d'un nuage. De plus, les systèmes d'échanges gazeux utilisés ne contrôlent pas le VPD de manière optimale. Le flux d'air entrant et sortant de la chambre de mesure est suffisamment lent pour assurer une mesure adéquate, mais il présente l'inconvénient que l'humidité de l'air perçue par la feuille peut être influencée par la transpiration même de la feuille, et ainsi découpler la feuille de l'atmosphère. Les évolutions instrumentales récentes améliorant le contrôle de l'humidité de l'air et la vitesse du flux nécessaire pour obtenir une mesure adéquate permettent en grande partie de résoudre ce problème. Enfin, le peuplier présente des stomates

sur les deux faces, de densités et de tailles différentes (Chapitre I et III) et soumises à des variations microclimatiques différentes (Sheriff, 1979). Ceci impliquerait une régulation indépendante de chaque face (Mott *et al.*, 1993; Richardson *et al.*, 2017). D'après cette hypothèse, les dynamiques stomatiques pourraient être différentes selon la face considérée et devraient donc être étudiées indépendamment.

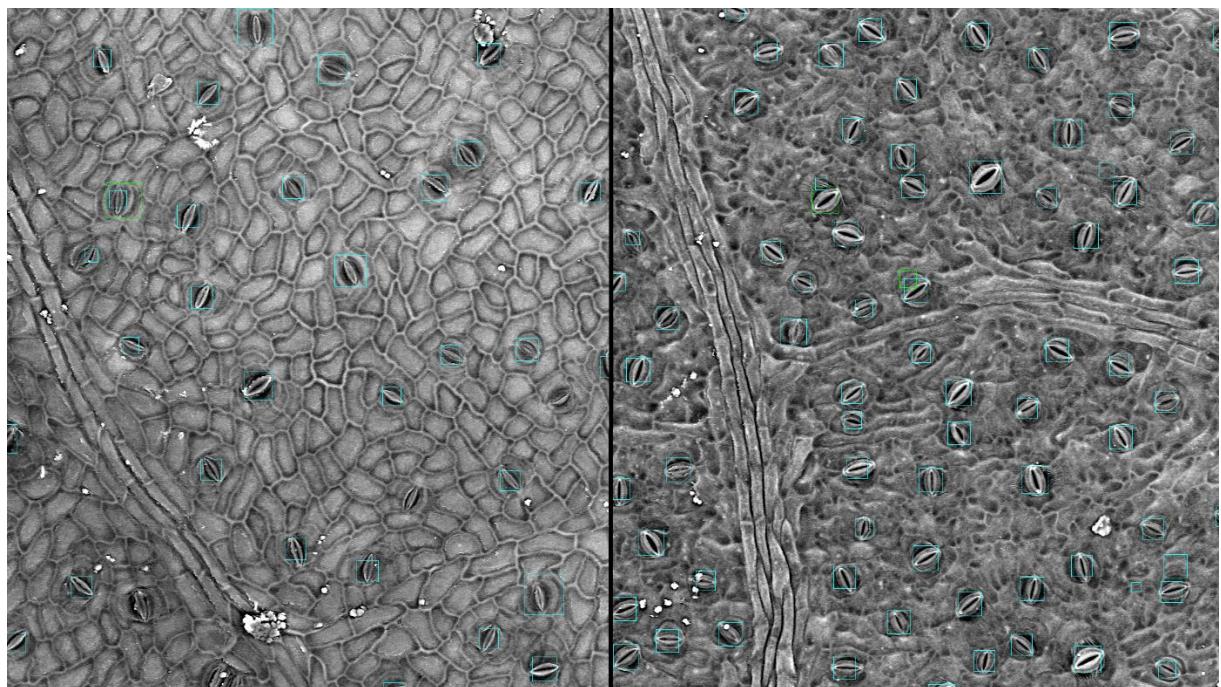
### 1.3. Perspectives

Il est clair que nous n'avons fait qu'effleurer les possibilités et les questions de recherche centrées sur les dynamiques stomatiques. De manière générale, des progrès dans la détermination des mécanismes de perception et de signalisation en réponse aux changements environnementaux (VPD, température, CO<sub>2</sub>, potentiel hydrique) permettront de formuler des hypothèses plus précises sur les déterminants de la dynamique stomatique. De plus, mieux comprendre la variabilité des vitesses stomatiques nécessite une connaissance approfondie des mécanismes de réponse des stomates. Cette dernière aidera au calcul des coûts énergétiques de l'ouverture stomatique, et donc du coût du suivi environnemental des stomates. En parallèle, des avancements de modélisation théorique seront requis pour, à la fois résoudre les contraintes inhérentes au modèle dynamique actuel, mais également pour intégrer la diversité observée des réponses stomatiques.

Plus spécifiquement, il existe un décalage entre les hypothèses concernant les dynamiques stomatiques, souvent formulées au niveau de l'ouverture de l'ostiole, et les mesures de la conductance stomatique intégrant non seulement l'ouverture stomatique mais également leur morphologie et densité. Par exemple, l'hypothèse que des stomates de plus grande taille seraient également plus lents implique seulement la morphologie et la vitesse des cellules de garde, alors que la plupart des études testant cette hypothèse se concentrent sur la vitesse de la conductance stomatique (Elliott-Kingston *et al.*, 2016; Mc Ausland *et al.*, 2016; Kardiman & Ræbild, 2018). D'après les comparaisons des vitesses de la conductance stomatique et de l'ouverture de l'ostiole aux chapitres I et III, il est clair que se placer uniquement au niveau de la conductance stomatique ne permet pas de tester cette hypothèse.

Afin de résoudre cette discordance, nous proposons de se placer à l'échelle de l'ouverture de l'ostiole. Par des techniques de microscopie, couplées à un dispositif permettant un contrôle environnemental, il est possible d'étudier directement les mouvements des cellules de garde et de l'ouverture de l'ostiole à la suite d'un changement environnemental (Oxborough & Baker, 1997; Lawson *et al.*, 2002). Une telle étude requiert la prise de photographie en séries, ou

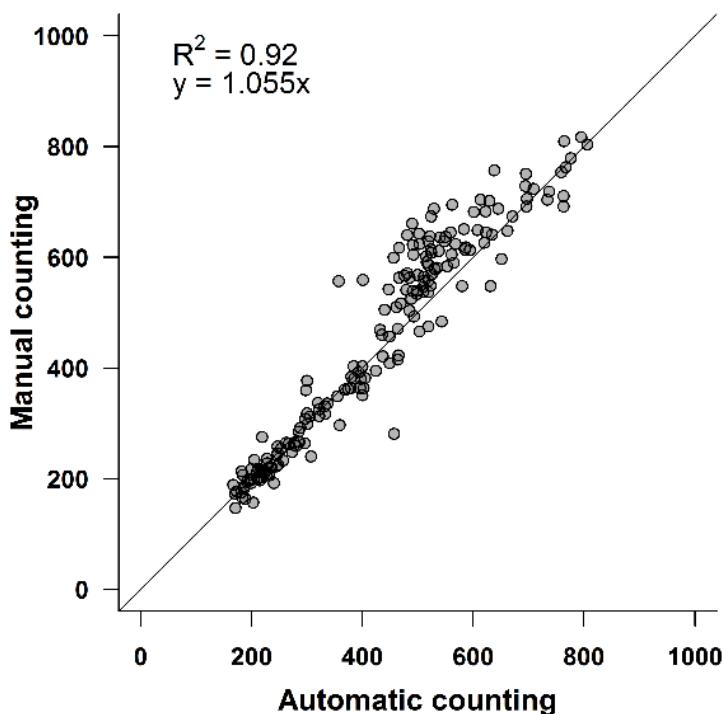
l'enregistrement de vidéos et pourrait fournir sur une simple feuille une grande quantité de d'informations.



**Figure 7.** Exemple de la reconnaissance automatisée de stomates par la méthode de Viola & Jones (2004) à l'aide du module OpenCV de Python 3.0 (face adaxiale à gauche, face abaxiale à droite). Les stomates reconnus par l'algorithme sont représentés par des carrés turquoise et verts. Les carrés verts ont été supprimés du comptage *a posteriori* en supprimant les zones se recouvrant, ainsi un stomate ne peut être détecté qu'une fois.

Au cours des mesures de densité et de taille des stomates, il est apparu évident que la principale limitation à l'analyse de ces données était les mesures morphologiques manuelles (le comptage des stomates et les mesures de la taille des cellules de garde). En effet, le prélèvement foliaire, la lyophilisation, la métallisation puis la photographie de la surface foliaire peuvent s'opérer relativement rapidement. De nombreuses améliorations ont pu être développées pour rendre ce traitement pratiquement automatisé. Une fois les échantillons placés sous le microscope électronique à balayage, un programme permet de se déplacer automatiquement sur les différents échantillons et régler les paramètres voulus (brillance, contraste, grossissement). De plus, nous pouvons réaliser des photographies avec un certain pourcentage de recouvrement et fusionner ces différentes photographies pour augmenter substantiellement la zone analysée. En revanche, compter et mesurer individuellement chaque stomate photographié requiert un temps considérable. Cependant, par des techniques d'intelligence artificielle, nous pouvons réaliser une analyse d'image par des techniques de « vision par ordinateur ». Parmi elles, la méthode de Viola & Jones (2004), a pu être utilisée dans de nombreuses applications (reconnaissance

faciale ou de plaques d'immatriculation). A partir de la bibliothèque OpenCV disponible sous Python 3.0, il est possible d'utiliser ce genre de techniques pour la reconnaissance de stomates. J'ai eu l'occasion de mettre en place une telle technique dans l'optique d'accélérer les mesures de densités et de morphologie stomatique (Fig. 7), à partir de travaux similaires chez le chêne (Vialet-Chabrand & Brendel, 2014). La paramétrisation de l'algorithme n'est pas aisée et est hautement spécifique à l'objet reconnu. Néanmoins le traitement de l'information automatique offre une opportunité considérable, et de bons résultats peuvent être obtenus rapidement. La figure 8 montre la précision obtenue après un premier essai de paramétrisation d'une seule semaine de travail, et démontre la faisabilité d'un tel projet.



**Figure 8.** Précision obtenue à partir d'un premier essai de la technique de reconnaissance automatisée de stomates par la méthode de Viola & Jones (2004). 192 photographies composées de faces abaxiales et adaxiales de feuilles de quatre génotypes de peuplier ont été utilisés. Le coefficient de détermination et l'équation de la droite sont montrés. La ligne représente la droite 1:1.

A partir de ces mesures morphologiques, il serait alors possible de suivre l'évolution du volume des cellules de garde (Franks *et al.*, 2001), et donc des quantités d'eau entrant et sortant des cellules de garde. Ces mesures morphologiques pourraient alors être reliées à la dynamique du stomate. Toutefois elles devraient également inclure une quantification de la profondeur du pore stomatique pour modéliser précisément la conductance. Malgré le peu d'informations disponibles, la profondeur du pore stomatique est trop souvent supposée comme proportionnelle à la taille des stomates (Franks & Beerling, 2009; Fanourakis *et al.*, 2014; de

Boer *et al.*, 2016), ce qui n'est pas toujours le cas (Roth-Nebelsick *et al.*, 2012), et devrait plutôt représenter la longueur du chemin de diffusion de la surface foliaire jusqu'aux cavités sous-stomatiques.

En parallèle, le développement des techniques de microanalyses, par exemple par cryogénie, permettrait d'étudier spécifiquement les contenus en éléments dans chaque compartiment cellulaire. L'ensemble de ces données pourraient être utilisées dans un modèle de type « bottom-up » tel que OnGuard (Hills *et al.*, 2012) pour associer la quantification des mouvements d'eau, du contenu en élément dans les compartiments cellulaires stomatiques, du nombre et de l'activité des transporteurs ioniques et des vitesses d'ouverture de l'ostiole. Les applications avec les techniques actuelles sont nombreuses, et les développements futurs permettront un meilleur accord entre notre compréhension des mécanismes stomatiques au niveau cellulaire et foliaire.

## 2. Efficience d'utilisation de l'eau

### 2.1. Vers des critères de sélection basés sur l'efficience ?

Les objectifs 3 et 4 de la thèse consistaient à comparer l'efficience d'utilisation de l'eau et ses déterminants au niveau foliaire et de la plante entière, à la fois en conditions contrôlées et naturelles. En ce qui concerne la comparaison entre niveaux d'organisation (feuille, plante entière), nous avons pu mettre en évidence une certaine stabilité de l'efficience d'utilisation de l'eau en conditions contrôlées que nous avons confirmé en conditions naturelles. Ces résultats impliquent que les processus non pris en compte dans le WUE foliaire (photosynthèse des tiges, transpiration de nuit) ne modifient pas significativement les conclusions tirées au niveau de la plante entière. Une étude sur les génotypes de peupliers noirs utilisés dans ces travaux a pu calculer la proportion de l'eau transpirée de nuit par rapport à celle transpirée de jour, variant de 1 à 4% selon le génotype (Bogeat-Triboulot *et al.*, 2019). Ce ratio correspond également à la proportion de l'efficience de transpiration modifiée lorsque cet élément est inclus dans le calcul de TE, montrant ainsi la faible contribution de ce phénomène à TE. En ce qui concerne l'assimilation photosynthétique des tiges, la contribution de la photosynthèse corticale à la biomasse des tiges nues (où l'écorce s'est détachée) était de 11% chez l'eucalyptus (*Eucalyptus minata*, Cunn.), connu pour son écorce décidueuse (Cernusak & Hutley, 2011). D'après les auteurs, cette proportion calculée est très sensible aux valeurs théoriques choisies de la composition isotopique de  $^{18}\text{O}$  de l'eau absorbée par les racines. La surface des tiges peut être estimée en serre par le calcul de la surface d'un cône (puisque la grande majorité des plants ne présentaient pas de sylleptiques) soit  $S = \pi * r * (r^2 + h^2)^{0.5}$  avec  $r$  et  $h$  le rayon et la hauteur du

cône, respectivement. Ainsi, la surface des tiges en serre était de 30 à 60 cm<sup>2</sup>, et représentait environ 1% de la surface foliaire totale. Sur un arbre composé de plusieurs branches insérées les unes sur les autres ce ratio pourrait être modifié mais l'ordre de grandeur serait vraisemblablement similaire parce que la lignification des tiges les plus anciennes ne permet pas la photosynthèse corticale. De plus la capacité photosynthétique des tiges est probablement plus faible que celle des feuilles puisque dépourvues de stomates, malgré la présence courante de lenticelles (Isebrands & Richardson, 2014).

En conditions contrôlées et naturelles, l'efficience d'utilisation de l'eau était relativement stable même si ses déterminants étaient différents. Une WUE aussi similaire entre des conditions environnementales aussi diverses suggère un contrôle génétique de l'efficience chez les peupliers, ce qui est en accord avec l'identification de QTLs liés à WUE chez le peuplier (Viger *et al.*, 2013) et plusieurs espèces arborées (Brendel *et al.*, 2002; Casasoli *et al.*, 2004; Marguerit *et al.*, 2014). Par contre, la sécheresse est un facteur susceptible d'influencer les stratégies de sélection de génotype de peupliers pour leur forte WUE. Nous avons pu voir que le déficit hydrique modifie plus fortement le classement génotypique que les différentes conditions de croissance, ce qui implique des modifications physiologiques spécifiques au génotype sous sécheresse et suggère ainsi une plasticité phénotypique lié à l'interaction entre le génotype et l'environnement. WUE observée est alors dépendante de la réponse à la sécheresse du génotype considéré, ainsi il semblerait que le coût hydrique du gain en carbone ( $\lambda$  au sens Cowan & Farquhar, 1977) est modifié lorsque la disponibilité en eau diminue. En se plaçant dans un contexte de sélection génotypique, des génotypes considérés comme fortement efficaces puisque sélectionnés sur des critères de WUE sous une disponibilité en eau non-limitante pourraient être très peu efficaces sous sécheresse et balayer l'intérêt de les avoir sélectionné.

Les prédictions climatiques pour les années à venir prévoient des sécheresses plus fréquentes et plus intenses (IPCC, 2014), et sont dès à présent perçues par les collectivités, les acteurs économiques et les citoyens (Ministère de l'agriculture et de l'alimentation, 2019). Lorsqu'une sécheresse intervient, des restrictions peuvent se mettre en place allant de l'interdiction d'irriguer pendant certaines heures à une interdiction absolue pendant plusieurs jours ou semaines. Ces restrictions sont définies sur la base de seuils au niveau local par la préfecture (Ministère de la transition écologique et solidaire, 2016), ce qui peut entraîner une perte de production. Certains agriculteurs sont soumis à des restrictions d'irrigation dès avril, très tôt dans la saison de croissance, lorsqu'une période de sécheresse se succède à un hiver sec, ne permettant pas de recharger suffisamment les réserves hydrologiques. Comme nous avons pu

le voir, bien que des génotypes plus efficents puissent permettre de limiter l'épuisement des réserves en eau du sol, cela n'implique pas forcément une meilleure tolérance à la sécheresse. Ainsi les pistes envisagées et discutées actuellement font rarement mention de l'utilisation de plants plus efficents. Il est conseillé aux agriculteurs de travailler des cultures consommant moins d'eau, intégrant à la fois un changement de l'espèce cultivée (légumineuse à la place du maïs) et un changement de génotype (Ministère de la transition écologique et solidaire, 2016). Certaines propositions envisagent la création et l'utilisation de retenues d'eau collinaires artificielles, l'extension des réseaux d'irrigation existants ou un changement de stratégie d'irrigation. Cette dernière implique d'irriguer moins mais au bon moment, de limiter le ruissèlement et l'évaporation ou de se passer de systèmes d'irrigation gravitaire (où la distribution de l'eau se fait entièrement à l'air libre par simple écoulement à la surface du sol) pour introduire des systèmes de microirrigation alimentant le végétal au sol, selon ses exigences. De manière générale, il est ainsi plutôt proposé actuellement d'optimiser l'efficience d'irrigation plutôt que l'efficience d'utilisation de l'eau.

En France, les génotypes de peupliers autorisés à la vente sont inscrits au registre national des matériels de base des essences forestières. L'inscription requiert d'abord l'identification de la provenance, la définition des critères de sélection utilisés et enfin la démonstration de la supériorité du matériel de base par rapport à des témoins déjà inscrits au registre sur la base de critères définis. Les essais comparatifs peuvent être différents selon l'usage recherché (pour le bois d'œuvre ou la biomasse) mais se concentrent principalement sur la sensibilité aux bioagresseurs, la vigueur et la productivité selon des protocoles définis. Pour le peuplier, la sensibilité à la rouille foliaire (*Melampsora larici-populina*), à la brunissement des feuilles (*Marssonina brunnea*), au chancre bactérien (*Xanthomonas populi*) et au puceron lanigère (*Phloeomyzus passerinii*) est testée souvent *in vitro* et en pépinière. Il faut noter qu'une résistance complète à un bioagresseur disqualifie le matériel de base. En effet, une résistance partielle entraînant une faible réduction de la productivité est privilégiée puisqu'elle réduit la pression de sélection et ainsi l'apparition de nouvelles virulences contournant cette résistance. Les caractères testés liés à la productivité et à la vigueur du matériel incluent différents paramètres de croissance (hauteur, diamètre), phénologique (date de débourrement et d'arrêt de croissance) et de mortalité. Ils doivent également être répliqués dans des conditions environnementales variées. En définitive la tolérance à la sécheresse n'intervient que très peu, et les sélectionneurs ne sont donc pas contraint de l'améliorer, même s'il existe une certaine demande des populiculteurs. Ajouter des critères de sélection basés sur la tolérance à la

sécheresse et l'efficience d'utilisation de l'eau est peut-être encore précoce, avant que les techniques de sélection sur ces paramètres soient bien développées. En contrepartie cela pourrait contraindre les sélectionneurs à considérer ces traits pendant la sélection, et aider le développement de ces techniques.

## 2.2. Critiques

Tester l'effet de différentes conditions de croissance à travers l'expérimentation en serre et en pépinière n'était pas sans difficultés. Comparer de tels dispositifs intègre un grand nombre de variables différentes (par exemple : âge, type de sol, disponibilité en eau, lumière, vent). Il est donc complexe d'attribuer ou de déterminer avec précision la contribution de chaque facteur. Néanmoins, une telle évaluation permet d'étudier un ensemble de facteurs et leur interaction pour avoir une vision globale. Bien sûr, nous étions loin de nous douter que les peupliers sous le traitement d'exclusion des pluies auraient une telle croissance, malgré la disponibilité en eau réduite. Rétrospectivement l'ajout d'un traitement témoin intermédiaire sur lequel nous aurions posé des bâches vertes identiques à celles posées sur le traitement d'exclusion des pluies nous aurait permis de séparer l'effet des bâches du reste de l'environnement pour l'analyser indépendamment. Définir les caractéristiques du sol et sa variabilité spatiale en amont de la plantation aurait également aidé à définir le design expérimental et l'interprétation des résultats.

Concernant les liens entre l'efficience d'utilisation de l'eau au niveau de la feuille et de la plante entière, nous avons remarqué que finalement peu d'effort se sont concentrés sur la quantification des gains et pertes en carbone et en eau indépendant des feuilles (respiration des tiges et des racines, assimilation photosynthétique et transpiration des tiges). Etudier la proportion de carbone destinée à la croissance en biomasse et à la séquestration, en comparant l'assimilation photosynthétique en carbone à la quantité de carbone dans la biomasse produite pendant une période donnée, aurait permis de préciser le lien entre l'assimilation photosynthétique et la production de biomasse. De plus, il aurait été possible de déconnecter l'intégration temporelle et spatiale de l'efficience de transpiration à l'aide de campagnes de mesures d'échanges gazeux foliaires plus conséquentes (mesures plus régulières, sous différentes conditions météorologiques, sur plusieurs orientations et étages foliaires). Bien que l'objectif fût d'étudier WUE dans une optique de sélection pour la production de bois, estimer la biomasse racinaire et l'interaction avec les micro-organismes auraient pu expliquer les différentes réponses à l'exclusion des pluies. L'exploration du sol par les racines s'est peut-être étendue au-delà du dispositif, cependant puisque l'arbre le plus excentré est situé à une distance de 5 et 6 mètres des bordures, l'analyse du système racinaire au-delà du dispositif aurait

nécessité un très lourd investissement. De plus, de nombreuses contraintes auraient limité l'intérêt d'une telle étude. Les racines fines responsables de la majorité de l'assimilation en eau sont particulièrement difficiles à examiner, et reconnaître l'appartenance d'une racine fine observée à un arbre du dispositif (et non une espèce herbacée proche ou même un arbre de bordure) serait difficile à déterminer. Enfin, même s'il était possible de déterminer précisément la proportion de racines fines à l'intérieur et à l'extérieur du dispositif d'exclusion des pluies, évaluer leur absorption d'eau respective n'aurait pas été aisé.

### 2.3. Perspectives

Ces travaux de thèses devaient, à l'origine, aboutir à la modélisation de l'efficience d'utilisation de l'eau au niveau de la plante entière à partir de données d'échanges gazeux foliaires, de structure du houppier et de bilan hydrique à l'aide du modèle MAESPA. Ce modèle est en développement depuis le début des années 1970 (Medlyn, 2004), à l'origine utilisé pour calculer la température foliaire à partir du bilan radiatif et de l'architecture des houppiers afin d'optimiser l'arrangement de chaudières au fioul pour combattre les dommages liés au froid dans des vergers (Welles *et al.*, 1979; Norman & Welles, 1983). Le modèle aujourd'hui est le résultat de dizaines d'années de développement, intégrant à la fois l'interception des radiations solaires par le houppier en définissant individuellement son architecture (Grace *et al.*, 1987), l'assimilation et la transpiration foliaire par un modèle d'échanges gazeux couplé au modèle biochimique de Farquhar de la cinétique de la Rubisco (Farquhar *et al.*, 1980; Medlyn *et al.*, 2011; Duursma & Medlyn, 2012), et le bilan hydrique d'une parcelle à partir de l'intégration du modèle Soil-Plant-Atmosphere (Williams *et al.*, 1996; Williams *et al.*, 2001). Le modèle nécessite un effort soutenu de paramétrisation, dont la plupart ont été réalisé pendant cette thèse en vue de son utilisation, notamment à l'aide de télédétection par laser (lidar) pour définir l'architecture du houppier (par exemple : forme du houppier, orientation des feuilles, distribution de la proportion de surface foliaire en hauteur). Cependant, obtenir des résultats intéressants avec suffisamment de confiance aurait nécessité au minimum plusieurs mois de travail en plus pour paramétriser le modèle, analyser la sensibilité des paramètres, et valider les résultats sur un jeu de données additionnel. L'intérêt d'utiliser un tel modèle réside dans la possibilité d'étudier à chaque instant la variabilité spatiale de l'assimilation de CO<sub>2</sub>, de la conductance stomatique et de l'efficience d'utilisation de l'eau intrinsèque foliaire avec les conditions microclimatiques. A partir de ce type de données de nombreuses applications sont possibles. Cela permettrait par exemple de mieux comprendre la variabilité de WUE lié à l'architecture de la plante (par exemple l'orientation et l'étage foliaire) et aux variables

microclimatiques (la quantité de lumière incidente reçue, l'humidité de l'air, la disponibilité de l'eau dans le sol) et comment cette diversité est intégrée dans l'efficience de transpiration à l'échelle de la plante entière (Medrano *et al.*, 2015). Relier WUE intrinsèque au niveau de la plante entière à l'efficience de transpiration pourrait ainsi permettre de déceler des motifs mettant en lumière de nouveaux déterminants liants ces processus. Par ailleurs, soustraire l'efficience intrinsèque foliaire de l'efficience de transpiration de la plante entière pourrait conduire à une estimation de WUE intrinsèque et indépendante des feuilles (c'est-à-dire la respiration des tiges, des racines et des fruits, la photosynthèse et la transpiration des tiges et des fruits) à partir des gains et pertes en carbone et en eau indépendant des feuilles. Ainsi, la mise à l'échelle de l'efficience d'utilisation de l'eau de la feuille à la plante entière ne se résumerait pas à considérer la plante entière comme un peuplement de feuilles.

Nous pourrions envisager également d'introduire un modèle dynamique d'échanges gazeux foliaires au modèle MAESPA, qui utilise actuellement un modèle de type Ball & Berry (Duursma & Medlyn, 2012), puisqu'un modèle dynamique semble produire un meilleur ajustement aux données observées (Fig. 4). Se faisant, il aurait été possible d'étudier non seulement la variabilité spatiale mais également temporelle de l'efficience d'utilisation de l'eau intrinsèque foliaire. Le modèle fonctionne avec une résolution temporelle de la minute au maximum, ce qui est suffisant pour observer le découplage de l'assimilation de CO<sub>2</sub> et de la conductance stomatique à la suite d'un changement environnemental. Par exemple lors du passage d'un nuage, la photosynthèse diminue instantanément alors que  $g_s$  est maintenue forte plusieurs minutes au cours de la fermeture stomatique. Inclure cette dynamique au sein du modèle MAESPA permettra de quantifier avec précision l'erreur attribuable à la dynamique stomatique de modèles fonctionnant en régime permanent. Un tel modèle pourrait servir de base à l'estimation, entre autre, des coûts énergétiques de l'ouverture des stoma, et de l'intérêt d'un suivi précis de l'environnement. Enfin, cela permettrait d'étudier la diversité des dynamiques stomatiques au sein du houppier. Tous ces éléments participent au développement des connaissances liés aux dynamiques stomatiques afin de quantifier au plus juste, la conductance stomatique observable en conditions naturelles.

Alternativement, le développement des techniques de télédétection, d'analyse d'images et de phénotypage à haut-débit permettent déjà une détermination de la photosynthèse (Porcar-Castell *et al.*, 2014). Dans certaines conditions, la conductance stomatique peut également être déterminée à partir de l'équation de balance énergétique de Penmann et Monteith (Vialet-Chabrand & Lawson, 2019), en lien fort avec la température foliaire. Une telle technique est

prometteuse et pourrait permettre à l'avenir de collecter rapidement un nombre considérable de données à utiliser en association avec les efforts de modélisation, par exemple comme jeux de données pour le paramétrage ou la validation de modèles.

Afin de mettre en lumière les déterminants des différentes réponses en serre et en pépinière, il serait peut-être judicieux d'établir des conditions intermédiaires, comme des chambres à ciel ouvert ou des plantes en pots élevées en conditions naturelles. Cela permettrait d'étudier plus spécifiquement l'interaction entre un jeu plus réduit de variables (fortes températures et forte irradiance, faible humidité atmosphérique et développement racinaire limité). Puisque la sécheresse est un stress complexe, la réponse physiologique est probablement variable selon la modalité du stress. Ainsi il est nécessaire de répliquer ces comparaisons pour différentes intensités, durées et vitesses d'établissement de la sécheresse. De plus, la répétition à long terme d'épisodes de déficit hydrique pourrait induire une acclimatation spécifique. Tous ces éléments contribueraient à définir des critères permettant de sélectionner des génotypes plus efficaces dans leur utilisation de l'eau, à la fois lorsque la disponibilité en eau n'est pas limitante et lors d'épisodes de sécheresse.

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## ANNEXES

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### Annexe 1. Production scientifique et technique réalisée pendant la thèse.

#### Publications

**Durand, M.**, Brendel, O., Buré, C., & Le Thiec, D. (2019). Altered stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought: impact on the whole plant transpiration efficiency of poplar genotypes. *New Phytologist*, 222: 1789-1802. doi:10.1111/nph.15710

Bogeat-Triboulot MB, Buré C, Gerardin T, Chuste PA, Le Thiec D, Hummel I, **Durand M**, Wildhagen H, Douthe C, Molins A, Galmés J, Smith HK, Flexas J, Polle A, Taylor G and Brendel O. (2019). Additive effects of high growth rate and low transpiration rate drive differences in whole plant transpiration efficiency among black poplar genotypes. *Environmental and Experimental Botany*. doi: 10.1016/j.envexpbot.2019.05.021

**Durand, M.**, Cohen, D., Aubry, N., Buré, C., Tomáškova, I., Hummel, I., Brendel, O. & Le Thiec, D. Element content and candidate gene expression in guard cells are connected to spatiotemporal variations in stomatal conductance. *Submitted to Plant, Cell & Environment*.

**Durand, M.**, Brendel, O., Buré, C., Courtois, P., Lily, J.C., Granier, A & Le Thiec, D. Leaf-level and whole-plant water-use efficiency under drought: do results reached in controlled conditions still hold in natural environments? *In preparation for Agricultural and Forest Meteorology*.

**Durand, M.**, Brendel, O., Buré, C., & Le Thiec, D. Impacts of growing conditions on the stability of stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought. *In preparation*.

**Durand, M.**, Rose, C., Dupouey, J-L., Legout, A., & Ponton, S. Do tree rings record changes in soil fertility? Results from a *Quercus petraea* fertilization trial. *Submitted to Science of the Total Environment*.

#### Conférences

**Durand M**, Brendel O. et Le Thiec D. 2018. Altered stomatal dynamics induced by changes in irradiance and vapour-pressure deficit under drought: impact on the whole plant transpiration efficiency of poplar genotypes. *IUFRO seventh international poplar symposium*. 3 novembre 2018, Buenos Aires, Argentine. Présentation orale en anglais.

**Durand M**, Brendel O. et Le Thiec D. 2017. Physiological, anatomical and molecular determinisms of water use efficiency linked to drought response in poplars: from leaf level to the whole plant scale. *IUFRO 125<sup>th</sup> anniversary. Symposium on water use efficiency in forest trees under drought*. 18 septembre 2017, Nancy, France. Présentation orale en anglais.

Le Thiec D, **Durand M**. 2017. Présentation de résultats expérimentaux conduits au cours du projet Up-Trans financé par le LabEx ARBRE. *Colloque Annuel du LabEx ARBRE*. 13 décembre 2017, Nancy, France. Présentation orale en français.

**Durand M**, Brendel O. et Le Thiec D. 2016 et 2017. Physiological, anatomical and molecular determinisms of water use efficiency linked to drought response in poplars: from leaf level to the whole plant scale. Journée des doctorants et post-doctorant de l'UMR « Ecologie et Ecophysiologie forestières ». 5 juillet 2016 et 27 juin 2017, Champenoux. Présentation orale en français.

### Posters

**Durand M**, Brendel O. et Le Thiec D. 2018. Altered dynamics of irradiance and VPD induced stomatal response under drought: variability, causes and impact on the whole plant transpiration efficiency of poplar species. *Séminaire annuel RP2E*. 13 février 2018, Nancy. Poster.

**Durand M**, Brendel O. et Le Thiec D. 2016. Upscaling morphological, physiological and molecular determinisms of transpiration from the leaf level to water use at the whole plant level in poplar trees. *Plant Environment Physiology Group*. 12-16 septembre 2016, Lisbonne. Poster.

**Durand M**, Brendel O. et Le Thiec D. 2015. Upscaling morphological, physiological and molecular determinisms of transpiration from the leaf level to water use at the whole plant level in poplar trees. *Colloque Annuel du LabEx ARBRE*. 16-17 novembre 2015, Champenoux. Poster.

### Autres communications

Bogeat-Triboulot MB et **Durand M**. 2016. Présentation des robots Castor & Pollux. *Journées porte-ouvertes du centre INRA Champenoux*. 21 mai 2016, Champenoux.

### Enseignement

64 heures d'enseignement en vacations pour des licences 2 et 3. (2018) :

- TP Licence 2 : Effet de l'auxine sur la croissance des végétaux (6h).
- TP Licence 2 : Induction de l' $\alpha$ -amylase par l'acide gibbérellique au cours de la germination (6h).
- TP Licence 3 : Reconnaissance d'espèces arborées locales (2h).

88 heures d'enseignement pendant un contrat d'attaché temporaire d'enseignement et de recherche à la fin de mon contrat de thèse pour des licences 1 et 2 et des masters 1 (2018-2019) :

- TD Master 1 : Modèle linéaire - ANOVA à deux facteurs : théorie et application sur R
- TD Licence 2 : Dormance et germination des graines (4h)
- TP Licence 2 : Effet de l'auxine sur la croissance des végétaux (6h).
- TP Licence 2 : Induction de l' $\alpha$ -amylase par l'acide gibbérellique au cours de la germination (6h).
- TP Licence 1 : Structure et fonction des parois, plastes et vacuoles (6h)
- TP et TD Licence 1: Projet professionnel professionalisant (orientation professionnelle, 10h)

### Formations

Ecole d'été sur les mesures d'échanges gazeux : Plant Environmental Physiology Group (PEPG) Techniques Workshop, 12-16 septembre 2016, Lisbonne, Portugal.

Résidentiel pour doctorants contractuels chargés d'enseignement : Préparer un cours, l'animer et évaluer les acquis : formation à la pédagogie universitaire, 8-12 janvier 2018, St Dié-des-Vosges, France.

Utilisation avancée de Microsoft Excel et Visual Basic pour la résolution de problèmes scientifiques, 26-28 mars 2018, Nancy, France.

Visite à l'Institut Hawkesbury pour l'Environnement dans le cadre de la modélisation des échanges gazeux au niveau de la plante entière, paramétrisation, fonctionnement et limitations du modèle MAESPA, Hawkesbury Institute for the Environment, Université de Sydney Ouest, 16 janvier au 20 février 2017, Richmond, NSW, Australie.

### Encadrement

#### **Encadrement de 3 Masters 1 pendant 2 mois :**

Emmanuelle Ha (2016) : Suivi de la croissance et des échanges gazeux foliaires de deux génotypes de peupliers noirs et deux génotypes de peupliers euraméricains en pépinière, en réponse à la sécheresse.

Marietou Diouf (2016) : Suivi de la croissance et des échanges gazeux foliaires de deux génotypes de peupliers noirs et de deux génotypes de peupliers euraméricains cultivés en serre, en réponse à la sécheresse.

Fabrice Petel (2017) : Suivi de la croissance et établissement d'allométries de quatre génotypes de peupliers en pépinière en réponse à la sécheresse.

#### **Co-encadrement de contrats courts à durée variable :**

CDD : Thibaud Salier (5 mois)

CDD : Lucie Le Thiec (2 semaines)

CDD : Basile Le Thiec (6 semaines)

CDD : Flore Pellegrini (1 mois)

CDD : Antoine Bogaert (1 mois)

CDD : Déborah Thevenin (2 mois)

CDD : Hugo Nègre (2 mois)

Stage L3 : Julien Morville (3 semaines)

Stage L3 : Clément Rieux (3 semaines)

Visite : Dr. Ivana Tomaskova (3 mois)

Développement

- Développement d'un package R pour l'analyse de donnée de flux de sève à partir de sondes de type Granier :

**Durand M.** (2016). TDPanalysis: Granier's Sap Flow Sensors (TDP) Analysis. R package version 0.99. URL : <https://CRAN.R-project.org/package=TDPanalysis>

- Développement d'un algorithme classificateur en cascade d'apprentissage supervisé à partir de caractéristiques de Haar pour la reconnaissance et le comptage automatique de stomates de peupliers.

## Annexe 2. Analyse statistique utilisé pendant la thèse.

Toutes les analyses statistiques ont été réalisées à l'aide du logiciel R (R Core Team, 2019). Les analyses de variance de type 2 ont été réalisées à l'aide du packages « car » (fonction Anova) et les tests *post-hoc* à l'aide du package « emmeans » (fonction « CLD(emmeans()) ») en utilisant une correction de type FDR. Les analyses de variance avec un design répété (par exemple, Chapitre I, Fig. 1 et 2) ont été réalisées à partir de la fonction « aov\_ez » du package « afex ». Les analyses de co-variances (par exemple, Chapitre I, Fig. 5 et 6) ont été réalisées à l'aide de la fonction « lm » du package « stat » de base afin de prendre en compte l'effet traitement (variable catégorielle) avant la variable numérique. Les analyses en composantes principales ont été réalisées à l'aide des packages « FactoMineR » et « factoextra » en utilisant la fonction « PCA ».

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## ABSTRACT

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The number of drought events is expected to increase in intensity and frequency as a result of climate change. Since poplar productivity is closely linked to water availability, there is an increasing risk of decline in wood production from poplar plantations. Optimization of the ratio of biomass production to water used (i.e. water use efficiency, WUE) appears therefore as a relevant target for poplar research. Previous studies have shown the clonal diversity of WUE in poplar is driven mainly by stomatal conductance ( $g_s$ ). However  $g_s$  and photosynthesis are not always tightly coupled which can result in large variations of WUE at leaf level. Additionally, because transpiration efficiency (TE) is laborious to measure, experiments are often conducted in pots in glasshouses. However in controlled conditions the environment is widely different than in the field and comparisons of WUE in controlled and field conditions are scarce in the literature.

We assessed the diversity of stomatal dynamics among poplar genotypes under control or drought conditions grown in a glasshouse and in the field. We investigated the link between physiological, morphological and molecular factors and stomatal dynamics, and their influence on TE. Furthermore, we examined the relation between different estimators of WUE and its components between controlled and field conditions. Element content and candidate gene expression in the guard cells were also quantified at two times during the day to analyze their link to stomatal conductance.

We found among the four genotypes studied significant genotypic variability of stomatal dynamics to irradiance and VPD which was altered by drought and growing conditions. Stomatal size and density as well as water use, but not WUE, were correlated to stomatal dynamics, emphasizing the importance and complexity of such mechanisms at the whole plant scale. Good agreements between leaf-level and whole-plant WUE among genotypes and between growing conditions were also found. Finally, distinct guard cell element contents and candidate gene expression, between leaf sides and time of day, linked with stomatal conductance draw attention to the diversity of components contributing to TE. These findings provides valuable information to better understand the diverse, sometimes unsuspected, leaf-level mechanisms driving water use efficiency at the whole plant scale.

**Key words:** water use efficiency, climate change, stomatal conductance, drought, upscaling

## RÉSUMÉ

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Il est prévu une augmentation de l'intensité et de la fréquence des sécheresses dans les années à venir à cause des changements climatiques. Puisque la productivité des peupliers est étroitement liée à la disponibilité en eau, il existe un risque de déclin de la production de bois dans les peupleraies. L'optimisation de la biomasse produite en regard de l'eau consommée (efficience d'utilisation de l'eau, WUE) apparaît alors être une question de recherche prometteuse. Des études précédentes ont montré une diversité clonale de WUE chez les peupliers, pilotée principalement par la conductance stomatique ( $g_s$ ). Cependant,  $g_s$  et l'assimilation en CO<sub>2</sub> ne sont pas toujours connectés, ce qui peut conduire à de fortes variations de WUE au niveau foliaire. De plus, puisque la mesure de l'efficience d'utilisation de l'eau au niveau de la plante entière (TE) est laborieuse à mesurer, les expérimentations sont souvent réalisées en serre. Toutefois, les conditions contrôlées d'une serre conduisent à un environnement très différent des conditions naturelles, et les comparaisons de WUE entre conditions contrôlées et naturelles sont rares dans la littérature.

Nous avons évalué la diversité des dynamiques stomatiques au sein de génotypes de peupliers sous conditions témoins et sous sécheresse en serre et en pépinière. Nous avons examiné le lien entre différents facteurs physiologiques, morphologiques et moléculaires et les dynamiques stomatiques, ainsi que leur influence sur TE. De plus, nous avons étudié la relation entre différents estimateurs de WUE et ses composantes entre des conditions contrôlées et naturelles. Le contenu en éléments minéraux et l'expression de gènes candidats ont également été quantifiés à deux moments de la journée pour analyser leur relation avec  $g_s$ .

Nous avons observé une variabilité génotypique significative des dynamiques stomatiques à la fois en réponse à l'irradiance et au VPD, de plus modifiée par la sécheresse et les conditions de croissance. La taille et la densité des stomates ainsi que la transpiration foliaire étaient fortement corrélées aux dynamiques stomatiques en serre, mais très peu en pépinière. Ces résultats soulignent l'importance et la complexité de ces mécanismes à l'échelle de la plante entière. WUE au niveau de la feuille et de la plante entière étaient relativement stables au sein des génotypes et entre conditions de croissance, mais bien moins avec la sécheresse. Enfin, des contenus en éléments et des expressions géniques distinctes ont été observées entre faces de la feuille et entre moments de la journée, en lien avec  $g_s$ . Ces résultats fournissent de précieuses informations pour mieux comprendre les divers mécanismes foliaires pilotant WUE au niveau de la plante entière.

**Mot-clés:** efficience d'utilisation de l'eau, changements climatiques, conductance stomatique, sécheresse, changement d'échelle.