



# On the surface structures and catalytic properties of Al-based intermetallics

Corentin Chatelier

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# THÈSE

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par

CORENTIN CHATELIER

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# On the surface structures and catalytic properties of Al-based intermetallics

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## Composition du jury :

*Président :* Dr. Sylvain RAVY Directeur de Recherche, LPS, CNRS

*Rapporteurs :* Dr. Céline CHIZALLET Chef de projet, IFPEN  
Dr. Holger MEYERHEIM Directeur de Recherche, MPI Halle

*Examinateurs :* Dr. Sébastien LEBÈGUE Directeur de Recherche, LPCT, CNRS  
Dr. Christine MOTTET Directrice de Recherche, CINaM, CNRS  
Dr. Marie-Ingrid RICHARD Chercheur, CEA

*Invités :* Pr. Yves GARREAU Professeur, Université de Paris, MPQ, SOLEIL  
Dr. Laurent PICCOLO Chargé de Recherche, IRCELYon, CNRS

*Directrice :* Pr. Émilie GAUDRY Professeure, Université de Lorraine, IJL

*Co-directeur :* Dr. Alessandro COATI Responsable de ligne, SOLEIL



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# Résumé

Remplacer les catalyseurs à base de métaux nobles (Pd, Pt, Au) par des substituts peu coûteux, stables, sélectifs et actifs est un grand défi pour l'industrie chimique. Plusieurs intermétalliques complexes à base d'aluminium se sont révélés prometteurs pour les réactions d'hydrogénéation d'alcynes et d'alcènes, importantes dans l'industrie des polymères. C'est le cas des approximants quasicristallins  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$  et  $\text{Al}_{13}\text{Fe}_4$ . L'étude des propriétés catalytiques de ces derniers nécessite plusieurs approches, théoriques et expérimentales, afin de déterminer les structures de surface hors et sous conditions de réaction, première étape avant la détermination des propriétés d'adsorption et des mécanismes de réaction. La combinaison d'expériences de sciences de surface (microscopie à effet tunnel, diffraction de surface des rayons X) et de calculs de chimie théorique (énergies de surface, énergies d'adsorption, chemins de réaction) a permis d'établir des modèles de surface détaillés, leurs propriétés d'adsorption et de mieux comprendre les facteurs clés à l'origine des propriétés catalytiques prometteuses de ces matériaux.

*Résumé*

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# Abstract

Replacing noble metal (Pd, Pt, Au) catalysts with inexpensive, environmentally harmless, active, selective, and stable substitutes is a big challenge for the chemical industry. Several aluminium-based complex intermetallic compounds have shown promises for alkynes and alkenes hydrogenation reactions, which are of interest in the chemical industry. It is the case for  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$  and  $\text{Al}_{13}\text{Fe}_4$  quasicrystalline approximants. The study of their catalytic properties demands different approaches – both theoretical and experimental – in order to determine first their surface structures under ultra-high vacuum or reaction conditions, then their catalytic properties. The combination of surface science experiments (scanning tunneling microscopy, surface X-ray diffraction) and theoretical chemistry calculations (surface energies, adsorption energies and reaction pathways) allows for a better understanding of the key parameters behind the promising catalytic properties of these materials.

*Abstract*

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# Synthèse

La synthèse qui suit est un résumé étendu des travaux de recherche présentés dans ce manuscrit. Il s'agit d'une présentation générale des principaux résultats obtenus tout au long de la thèse.

## Introduction

De nos jours, la catalyse – modification de la vitesse d'une réaction chimique sous l'influence d'une substance capable, par sa seule présence, de déclencher cette réaction sans subir elle-même d'altération finale – joue un rôle crucial dans l'industrie chimique. Il existe une demande forte de la part des industriels pour des catalyseurs actifs, sélectifs, stables, ayant un impact environnemental minimal, tout en restant peu coûteux (réduction de l'utilisation de métaux nobles).

L'hydrogénéation sélective des alcynes et des alcènes est une classe de réaction importante de la pétrochimie. Le raffinage du pétrole par craquage induit la formation de résidus et impuretés hautement réactifs tels que l'acétylène ou le butadiène. Ces derniers doivent être hydrogénés de manière sélective avant d'être ultérieurement transformés (*e.g.* par polymérisation). Les catalyseurs industriels sont actuellement à base de palladium pour ce type de réaction. Bien qu'actifs et stables, leur sélectivité n'est pas optimale et peut être améliorée par l'ajout d'autres métaux comme l'or, l'argent ou le cuivre [1, 2, 3, 4]. Ces catalyseurs à base de métaux nobles peuvent néanmoins souffrir par exemple de modifications de leurs surfaces du fait de l'adsorption des réactifs, induisant une baisse de leur sélectivité [2, 5, 6] : les réactifs pouvant inhiber des sites actifs, des intermédiaires néfastes pour le catalyseur pouvant être produits, *etc.*

Au cours de la dernière décennie, de nouveaux catalyseurs ont été identifiés : les intermétalliques complexes à base d'aluminium. Ces derniers présentent de sérieux atouts vis-à-vis de la catalyse. Ils sont stables, sélectifs, bon marché, et ont un impact très limité sur l'environnement. Certains comme  $\text{Al}_{13}\text{Fe}_4(010)$  et  $\text{Al}_{13}\text{Co}_4(010)$  présentent une activité aussi bonne voire meilleure que celle du palladium pour les réactions d'hydrogénéation du butadiène [7, 8] :  $\text{C}_4\text{H}_6 \text{ (g)} + \text{H}_2 \text{ (g)} \longrightarrow \text{C}_4\text{H}_8 \text{ (g)}$ . Néanmoins, ils peuvent faire l'objet de potentielles désactivation du fait de contamination (par l'oxygène par exemple) [9]. Ils restent néanmoins de bons systèmes modèles.

L'objectif des travaux de recherches présentés dans ce manuscrit est de déterminer les structures de surfaces de certains de ces intermétalliques complexes, de comprendre les phénomènes d'adsorption intervenants à leurs surfaces et d'étudier leurs réactivités spé-

cifiques. Une approche transverse a été choisie afin de mener à bien cette étude, et combine des calculs *ab initio* de chimie quantique, des mesures de diffraction de surface des rayons X, de la microscopie à effet tunnel ainsi que des mesures de performances catalytiques sur des monocristaux. Trois composés de la famille des intermétalliques complexes à base d'aluminium ont été choisis :  $\text{Al}_5\text{Co}_2$  [10, 11, 12, 13, 14, 15],  $\text{Al}_{13}\text{Co}_4$  [16, 17, 18, 19] et  $\text{Al}_{13}\text{Fe}_4$  [20, 21, 22].

## Structure de surface et propriétés catalytiques de $\text{Al}_5\text{Co}_2(2\bar{1}0)$

La première étude présentée dans ce manuscrit s'intéresse à la structure de la surface ( $2\bar{1}0$ ) du composé  $\text{Al}_5\text{Co}_2$  (appartenant au groupe d'espace  $P6_3/mmc$ , No. 194, symbole de Pearson  $hP28$  [10, 11, 12]). Il a été montré expérimentalement [23] que  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  est un catalyseur potentiel de la semi-hydrogénéation du butadiène, ayant une grande sélectivité malgré une activité modérée. La structure de ce composé (Fig. 1.8) peut être vue comme une succession de plans atomiques de types ( $2\bar{1}0$ ) plats (F, *flat*) et corrugés (P, *puckered*). Une étude préliminaire se basant sur des mesures LEED (diffraction d'électrons lents) et STM (microscopie à effet tunnel) suggère une reconstruction de surface ( $2\times 1$ ). Plusieurs modèles de surface sont donc proposés. Les deux modèles les plus probables présentent une terminaison P (Fig. 1.9). Cette terminaison P peut être décrite comme une succession de motifs bipentagonaux d'aluminium avec en leurs centres des atomes de cobalt placés alternativement au dessus et en dessous du plan moyen desdits bipentagones. Des calculs DFT ainsi que des mesures STM suggèrent la présence d'un seul bipentagone (au dessus d'un atome de cobalt) sur deux, induisant de fait une reconstruction ( $2\times 1$ ). La présence ou non des atomes de cobalt protubérants reste indéterminée. Le premier modèle de surface présente tous les atomes de cobalt protubérants (au nombre de 4) et se nomme  $\text{P}_B$ . Le second modèle quant à lui n'en présente aucun et se nomme  $\text{P}_{B-4\text{Co}}$ . Le nombre de cobalt présents en surface est facteur important puisqu'il peut influencer grandement les propriétés de catalyse.

Les atomes de cobalt protubérants n'étant pas cristallographiquement équivalents deux autres modèles de surface sont donc imaginés et se nomment  $\text{P}_{B-2\text{Co},1}$  et  $\text{P}_{B-2\text{Co},2}$  (Fig. 3.5). Des calculs *ab initio* d'énergies de surface montrent que les différents modèles peuvent être stables sur différents domaines de potentiel chimique (Fig. 3.6). Des simulations d'image STM ne permettent pas de discriminer les quatre modèles précédemment évoqués (Fig. 3.7).

Des mesures de diffraction de surface des rayons X (SXRD, Fig. 3.9) sont donc effectuées afin de permettre une discrimination entre les différents modèles de surface. Une analyse basée sur la mesure de 12 tiges de troncature et 10 tiges de reconstruction montre une prédominance du modèle  $\text{P}_{B-2\text{Co},1}$  (présentant seulement deux atomes de cobalt protubérants par maille de surface). Une combinaison des modèles  $\text{P}_{B-2\text{Co},1}$  et  $\text{P}_{B-2\text{Co},2}$  dans un ratio 3:1 permet d'améliorer l'accord entre les mesures expérimentales et les simulations. Néanmoins,  $\text{P}_{B-2\text{Co},1}$  est retenu comme étant le modèle principal pour la suite de l'étude.

Des calculs d'adsorption sont par la suite effectués afin de déterminer les différents

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sites stables de l'hydrogène, du butadiène ainsi que du but-1-ène sur  $P_{B-2Co,1}$ . En tenant compte du site le plus favorable pour le butadiène (une double liaison adsorbée sur un cobalt protubérant, et l'autre sur un aluminium), il existe deux façons de l'hydrogénérer pour obtenir du but-1-ène (Fig. 3.12). Ces deux voies mènent à deux modes d'adsorption différent du butène (Fig. 3.13), à savoir un mode chimisorbé (hydrogénéation de la liaison liée à un aluminium) et un mode physisorbé (hydrogénéation de la liaison adsorbée sur un cobalt). Grâce à la détermination des différents sites d'adsorption de l'hydrogène sur la surface, il est possible de calculer les énergies de coadsorption du butadiène/but-1-ène avec différents taux de couverture d'hydrogène. Les différents résultats sont intégrés dans un modèle thermodynamique qui calcule l'enthalpie de coadsorption en fonction de la température et des pressions partielles des réactifs. Ce modèle permet de déterminer la faisabilité thermodynamique de la réaction sur ce modèle de surface.

Aux conditions expérimentales ( $p_{tot} = 5.5$  mbar et  $T = 383$  K), le modèle thermodynamique prédit que les deux modes d'adsorption (chimisorption et physisorption) sont stables (Fig. 3.15). Néanmoins le mode physisorbé possède une énergie de coadsorption plus faible que le mode chimisorbé. D'après les mesures expérimentales, la surface est 100% sélective pour la semi-hydrogénéation pour cette condition de pression et de température, et la conversion totale. Cela induit donc une adsorption très faible du but-1-ène, empêchant une hydrogénéation complète en butane. Le modèle prédit une température de désorption de 440 K pour le mode physisorbé (57 K au dessus de la température expérimentale), et de 572 K pour le mode chimisorbé (189 K au dessus de la température expérimentale). Ce résultat permet donc d'entrevoir un possible mécanisme de réaction qui consisterait à hydrogénérer en premier la double-liaison C=C adsorbée sur les cobalt protubérants. La présence de seulement deux atomes de cobalt par maille de surface affecterait donc les propriétés de catalyse de  $Al_5Co_2(2\bar{1}\bar{0})$ , le comportement étant bien différent lorsque l'on considère un modèle avec plus de cobalt (Fig. 3.17).

Des calculs supplémentaires permettant d'établir un chemin de réaction possible sont toutefois nécessaires pour déterminer le mécanisme de réaction et la réactivité de cette surface vis-à-vis de la semi-hydrogénéation du butadiène.

## Hydrogénéation et nanostructuration de $Al_{13}Co_4(100)$

La deuxième étude présentée dans cette thèse porte sur la structure de la surface (100) du composé  $Al_{13}Co_4$  (groupe d'espace No. 31  $Pmn2_1$ , symbole de Pearson  $oP102$ ). La structure de ce composé peut être décrite de deux manières différents : soit par une succession (le long de la direction [100]) de plans plats (F, *flat*) et corrugés (P, *puckered*), soit par une assemblage de clusters (blocs atomiques) identiques (Fig. 1.12). Deux modèles ont été proposés par le passé pour la surface (100) [24, 18] (Fig. 4.1). Un premier modèle suggère une surface corrugée et nanostructurée où les clusters sont préservés [24]. Ce modèle possède deux atomes de cobalt protubérants par maille de surface (modèle P<sub>14</sub>). Il a été déterminé par des calculs *ab initio* par clivage de la structure bulk. Un deuxième modèle a été par la suite suggéré et est plus en accord avec les mesures expérimentales sous con-

## Synthèse

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dition ultra-vide (STM, LEED, SXRD). Il s'agit d'une terminaison présentant un plan dense P privé d'atomes de cobalt protubérants (modèle P<sub>24</sub>) [18]. Il a été montré expérimentalement que cette surface était un potentiel catalyseur pour des réactions d'hydrogénéation [8]. Des calculs DFT (adsorption, barrières de réaction) ont cependant montré que le modèle P<sub>24</sub> – observé expérimentalement – ne pouvait être une surface active en catalyse [15] contrairement au modèle P<sub>14</sub> (actif et sélectif) [24].

Des calculs d'énergies de surface montrent que les deux modèles P<sub>14</sub> et P<sub>24</sub> sont tous deux stables sur des domaines de potentiel chimique (Fig. 4.2). Des calculs d'adsorption d'hydrogène, de butadiène et de butène permettent de déterminer les différents sites favorables des différents adsorbats sur les deux surfaces (Figs. 4.3, 4.4, 4.5, 4.6 et 4.7). Il est possible alors de modifier le modèle thermodynamique évoqué précédemment pour corriger l'énergie de surface en fonction des taux de couvertures des adsorbats (adsorption/-coadsorption). Ce nouveau modèle permet de prédire l'énergie de surface en fonction de la pression, de la température et du potentiel chimique de l'aluminium. Aux conditions expérimentales ( $p_{tot} = 5.5$  mbar et  $T = 383$  K), l'adsorption de butadiène inhibe l'adsorption d'hydrogène sur P<sub>24</sub> (Fig. 4.10 et 4.11). Cette surface est donc inactive vis-à-vis de l'hydrogénéation du butadiène. P<sub>14</sub> a un comportement inverse : la coadsorption de butadiène et de six hydrogènes stabilise la surface. Puisque P<sub>24</sub> a été observé expérimentalement sous condition ultra-vide, et que seule la surface P<sub>14</sub> semble favorable sous les conditions de réaction (d'un point de vue thermodynamique), les résultats prédisent donc une transition d'une surface plate vers une surface corrugée présentant des atomes de cobalts protubérants.

De manière similaire à la première étude précédemment évoquée, une fois le butadiène adsorbé, il existe deux façons de l'hydrogénier en butène. Ces deux voies mènent à deux modes d'adsorption différent du butène, à savoir un mode chimisorbé (hydrogénéation de la liaison liée à un aluminium) et un mode physisorbé (hydrogénéation de la liaison adsorbée sur un cobalt). Dans le cas du butène chimisorbé (Fig. 4.12b) et aux conditions expérimentales ( $p_{tot} = 5.5$  mbar), la température calculée de désorption est de 502 K (119 K au dessus de la température expérimentale). Dans le cas du butène physisorbé (Fig. 4.12c), la température calculée de désorption est de 420 K (37 K au dessus de la température expérimentale). Puisque les mesures expérimentales montrent une sélectivité de 100% et une conversion totale, le butène ne peut rester adsorbé et s'hydrogénier de nouveau en butane. Ce résultat donne des pistes de réflexion quant à un potentiel mécanisme de réaction, qui consisterait une fois de plus à hydrogénier en premier la double-liaison C=C adsorbée sur les cobalts protubérants.

Ces résultats restant théoriques, il est nécessaire d'établir une vérification expérimentale de la nanostructuration de Al<sub>13</sub>Co<sub>4</sub>(100) sous des conditions d'hydrogénéation. Des mesures *operando* de diffraction de surface des rayons X permettraient d'apporter la réponse à cette question.

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## Stabilisation et activation de Al<sub>13</sub>Co<sub>4</sub>(010) par facettage

La troisième étude présentée dans ce document s'intéresse à la structure de la surface (010) de l'intermétallique complexe *o*-Al<sub>13</sub>Co<sub>4</sub> et sur ses propriétés d'adsorption de l'hydrogène. Une étude récente [8] a montré que cette surface était active et sélective vis-à-vis de la semi-hydrogénéation du butadiène (Fig. 1.26). La structure de sa surface ainsi que les mécanismes liés à sa réactivité sont donc des sujets d'intérêts.

L'analyse des clichés LEED (Fig. 5.3) met principalement en évidence une structure de surface (1×1) coexistante avec des reconstructions de types (2×1) et (3×1). Ces clichés montrent aussi la présence de facettes le long de la direction [100]. Une intensité continue entre les pics de diffraction le long de la direction [001] suggère de plus la présence de défauts planaires. Des mesures AFM et STM (Fig. 5.4) confirment la présence de facettes et montrent une structure en colonne le long de la direction [100]. Les images STM confirment aussi la coexistence de surfaces (1×1), (2×1) et (3×1). Au total, 17 modèles de surfaces sont proposés (Fig. 5.11). Un modèle se distingue – modèle dénommé O<sub>0</sub> – en possédant la plus faible énergie de surface (1.66 J/m<sup>2</sup>) sur tout le domaine de potentiel chimique autorisé (Fig. 5.9). La simulation des images STM de ce modèle pour un bias positif est en accord avec les données expérimentales (Fig. 5.13). Des mesures de diffraction de surface permettent de confirmer ce modèle de surface comme étant la structure la plus pertinente (Fig. 5.14). Ces mesures montrent aussi la présence de facettes à la surface de l'échantillon et permettent d'entrevoir leurs structures. Elles montrent que ces dernières n'ont pas la même structure que le volume : il s'agit de la phase monoclinique Al<sub>13</sub>Co<sub>4</sub> et plus précisément de la surface *m*-Al<sub>13</sub>Co<sub>4</sub>(̄201). De nouveau, 17 modèles de surface sont proposés (Fig. 5.16) et deux modèles se distinguent (modèles M<sub>0.9</sub> et M<sub>3.15</sub>, plans denses) par leurs faibles énergies de surface (1.2–1.3 J/m<sup>2</sup>, Fig. 5.17).

Des calculs d'adsorption d'hydrogène atomique permettent de dresser une cartographie des énergies d'adsorption des terrasses orthorhombiques et des facettes monocliniques (Fig. 5.21). Les sites les plus favorables sur les terrasses orthorhombiques sont les atomes d'aluminium protubérants placés au dessus d'atomes de cobalt ( $E_{ads} = -0.59$  eV, un seul site par maille de surface). Sur les facettes, les sites les plus favorables sont les atomes de cobalt protubérants ( $E_{ads} = -0.53$  eV, 4 sites par maille de surface). L'adsorption d'hydrogène est forte sur les deux surfaces (mais reste moins forte que sur Pd(111) ou Pd(100), qui est de l'ordre de -1 eV [25]). Cela suggère que la dissociation de l'hydrogène moléculaire est très probable. D'autres sites d'adsorption légèrement moins favorables se retrouvent en nombre sur les deux surfaces et sont probablement favorable pour la diffusion de l'hydrogène pendant les réactions d'hydrogénéation.

Ces résultats offrent des pistes intéressantes pour comprendre en détails les propriétés catalytiques de Al<sub>13</sub>Co<sub>4</sub>(010). Des mesures *operando* sous conditions catalytiques et des calculs complémentaires sont nécessaires pour déterminer le mécanisme de réaction et la réactivité de cette surface.

## Structure de surface et réactivité de Al<sub>13</sub>Fe<sub>4</sub>(010)

La dernière étude présentée dans ce manuscrit porte sur la détermination de la structure de la surface (010) de Al<sub>13</sub>Fe<sub>4</sub> et de sa réactivité vis-à-vis de la réaction d'hydrogénéation du butadiène. Le composé Al<sub>13</sub>Fe<sub>4</sub> appartient au groupe d'espace C<sub>2</sub>/m (No. 12, symbole de Pearson mC102). Le long de cette direction [010], la structure de Al<sub>13</sub>Fe<sub>4</sub> peut être décrite par une succession de plan plats (F, *flat*) et corrugés (P, *puckered*) ou bien par un assemblage de clusters atomiques (similaires à ceux de Al<sub>13</sub>Co<sub>4</sub>, Fig. 1.15). Des mesures LEED (Fig. 6.1) et STM (Fig. 6.2), ainsi que des simulations DFT, suggèrent un modèle de surface corrugée présentant une terminaison de type P et préservant la structure en clusters. La présence d'atomes d'aluminiums entre ces clusters (atomes dits "glue") n'est pas entièrement déterminée et dépendrait des conditions de préparation de l'échantillon (température de recuit, paramètres de bombardement ionique) [26]. Il a été montré que cette surface était un catalyseur actif et relativement sélectif vis-à-vis de la semi-hydrogénéation du butadiène [7].

Afin de déterminer la structure de surface de Al<sub>13</sub>Fe<sub>4</sub>(010), cinq modèles de surface sont proposés (Fig. 6.3) et leurs énergies de surface sont calculées (Fig. 6.4). Deux modèles se distinguent par leurs faibles énergies de surface sur deux domaines distincts de potentiel chimique : le modèle P, plan P complet (1.67–1.68 J/m<sup>2</sup>), et le modèle P<sub>2</sub><sup>inc</sup>, plan P incomplet et corrugé (1.68–1.73 J/m<sup>2</sup>), préservant les clusters en surface et présentant deux atomes d'aluminium "glue" par maille de surface. Les trois autres modèles envisagés présentent de même des terminaisons par des plans P incomplets, seul le nombre d'atomes d'aluminium "glue" varie. La mesure des tiges de troncatures par diffraction de surface des rayons X suggère que seulement un atome Al "glue" est présent par maille de surface (Fig. 6.13). Néanmoins la présence de deux atomes d'aluminium "glues" reste probable, et ce modèle de surface est retenu comme étant le meilleur pour Al<sub>13</sub>Fe<sub>4</sub>(010).

Dans le but de déterminer la réactivité de cette surface, une première étape consiste à déterminer les sites d'adsorption favorables de l'hydrogène atomique, du butadiène et du butène (Figs. 6.14 et 6.15). Au total, 14 sites d'adsorption de l'hydrogène sont stables ( $E_{\text{ads}} > -0.61 \text{ eV}$ ). Le butadiène s'adsorbe quant à lui préférentiellement sur un atome de fer protubérant et sur un atome d'aluminium du pentagone environnant ( $E_{\text{ads}} = -1.90 \text{ eV}$ ). Les deux voies d'hydrogénéation du butadiène permettent d'obtenir deux modes d'adsorption différents du butène. Cependant ces deux modes présentent des énergies similaires. Le modèle montre que la réaction est faisable d'un point de vue thermodynamique aux conditions expérimentales ( $p_{\text{tot}} = 5.5 \text{ mbar}$  et  $T = 297 \text{ K}$ , Fig. 6.16). Néanmoins, contrairement aux études précédentes, il n'est pas possible d'envisager un quelconque mécanisme de réaction en se basant simplement sur des résultats thermodynamiques. Des calculs de barrières énergétiques sont donc nécessaires.

Des calculs NEB (*Nudged Elastic Band*) permettent de déterminer les énergies d'activation des différentes étapes du mécanisme de réaction envisagé. La dissociation de l'hydrogène sur un atome de fer protubérant possède une énergie d'activation relativement faible

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( $E_a = 0.06$  eV) comparé à  $\text{Al}_{13}\text{Co}_4(100)$  ( $E_a = 0.18$  eV, [24]). Les barrières d'activation les plus grandes sont calculées pour des étapes intermédiaires de diffusion de l'hydrogène sur la surface pour la réaction de semi-hydrogénéation et sont de l'ordre de 0.75 eV. L'étape limitante de l'hydrogénéation totale est quant à elle la troisième hydrogénéation ( $\text{C}_4\text{H}_8$  en  $\text{C}_4\text{H}_9$ ) avec une énergie d'activation de l'ordre de 0.86 eV. La détermination d'un potentiel chemin de réaction (Fig. 6.19) permet l'estimation des constantes de réaction (facteurs pré-exponentiels et énergies d'activation) pour chaque étape intermédiaire.

Connaissant toutes les constantes de réaction, il est possible de construire un modèle microcinétique de réaction d'hydrogénéation du butadiène sur  $\text{Al}_{13}\text{Fe}_4(010)$ . Ce modèle prédit les taux de couvertures et de productions au cours du temps des différentes molécules mises en jeu dans la réaction chimique considérée (Fig. 6.20). Il est ainsi possible d'étudier la réaction pour différentes conditions de pression et de température et de prédire les taux de production de butène et de butane pour un couple (p,T). Ainsi, pour une pression totale de 5.5 mbar (5 mbar d'hydrogène, 0.5 mbar de butadiène), la température optimale calculée est de 423 K. À ces conditions, la production de butène atteint 353 molécules par seconde et par maille de surface (Fig. 6.21). La production calculée de butane est négligeable (de l'ordre de  $10^{-6}$  molécule par seconde et par maille de surface), ce qui confirme la grande sélectivité vis-à-vis de la semi-hydrogénéation du butadiène.

Ces résultats doivent encore être confrontés à des mesures expérimentales plus complètes telles que des mesures de performances catalytiques à différentes pressions et températures, ainsi que des mesures *operando* de diffraction de surface sous conditions de réaction.

## Conclusions et perspectives

Les travaux de recherches effectués au cours de cette thèse ont permis d'apporter de nouvelles connaissances sur les structures de surface et les propriétés d'adsorption et de catalyse de certains intermétalliques à base d'aluminium ( $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$ ,  $\text{Al}_{13}\text{Fe}_4$ ). Le couplage théorique/expérimental de la DFT et de la diffraction de surface des rayons a été la combinaison idéale à utiliser. À l'avenir, une utilisation plus poussée de ces outils (diffraction de surface *in situ* et/ou *operando*, couplés à des calculs de chemins réactionnels) est nécessaire afin de comprendre encore plus en détail les mécanismes à l'origine de la réactivité spécifique de ces intermétalliques complexes.

D'un point vue plus théorique, les prédictions des énergies et les modèles thermodynamiques/microcinétiques pourraient être améliorés en augmentant la précision des calculs (discrétisation de l'espace réciproque) et en changeant la fonctionnelle utilisée. Une analyse plus systématique en fonction des fonctionnelles pourraient s'avérer très pertinente. Enfin, une utilisation de *machine learning* pour le calcul de barrières de réaction et d'algorithmes génétiques pour la prédiction de nouvelles structures – assistés par des calculs *ab initio* – pourrait être un pas de plus en avant pour trouver d'autres matériaux capables de répondre aux demandes du monde de la catalyse [27, 28].

## *Synthèse*

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D'autres intermétalliques complexes à base d'aluminium, comme  $\text{Al}_5\text{Fe}_2$  pourraient s'avérer être de bons candidats pour la catalyse et mériteraient une étude approfondie de leurs propriétés. Cependant, du fait de la présence d'aluminium, ces intermétalliques sont sujet à une désactivation par oxydation [9], ce qui rend leur utilisation difficile à une échelle industrielle. D'autres matériaux moins sensibles à une contamination par l'oxygène pourraient aussi être un axe à développer. Le gallium pourrait être un bon substitut à l'aluminium et permettrait de contourner de potentielles désactivations du fait d'une contamination des surfaces. Ces matériaux pourraient aussi se présenter sous d'autres formes telles que des couches minces [29] ou des nanoparticules. Auquel cas, une approche théorique pourraient être couplées avec d'autres méthodes expérimentales, telle que l'imagerie par diffraction cohérente des rayons X (*coherent x-ray diffraction imaging*) [30].

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# **Glossary**

2D : 2-dimensional

3D : 3-dimensional

AES : Auger Electron Spectroscopy

AFM : Atomic Force Microscopy

CIC : Complex Intermetallic Compound

CTR : Crystal Truncation Rod

DFT : Density Functional Theory

DOS : Density of States

FT : Fourier Transform

GC : Gas Chromatography

GGA : Generalized Gradient Approximation

HRTEM : High-Resolution Transmission Electron Microscopy

LEED : Low Energy Electron Diffraction

LDA : Local Density Approximation

MS : Mass Spectrometry

QC : Quasicrystal

SSR : Super-Structure Rod

STEM : Scanning Transmission Electron Microscopy

STM : Scanning Tunneling Microscopy

SXRD : Surface X-ray Diffraction

TOF : Turn-Over Frequency

TM : Transition Metal

UHV : Ultra-High Vacuum

*Glossary*

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# Index

|                          |   |
|--------------------------|---|
| A                        | Surface area ( $\text{m}^2$ )             |
| $A_o$                    | Preexponential factor ( $\text{s}^{-1}$ ) |
| $\varepsilon$            | Eigenvalue of a wavefunction              |
| $f(\mathbf{q})$          | Atomic form factor                        |
| $F(\mathbf{q})$          | Structure factor                          |
| G                        | Free enthalpy (eV)                        |
| $\gamma$                 | Surface energy ( $\text{J/m}^2$ )         |
| h                        | Planck constant                           |
| c                        | Speed of light                            |
| $\Delta H_f$             | Formation enthalpy (eV)                   |
| $\hat{H}$                | Hamiltonian operator                      |
| $I_{\text{hkl}}$         | Scattered intensity                       |
| $I_t$                    | Tunneling current (A)                     |
| $\mathbf{k}$             | Wave vector                               |
| $k_f$                    | Forward rate constant ( $\text{s}^{-1}$ ) |
| $k_r$                    | Reverse rate constant ( $\text{s}^{-1}$ ) |
| $k_B$                    | Boltzmann constant                        |
| m                        | Mass (kg)                                 |
| $\mu$                    | Chemical potential (eV)                   |
| $\nu$                    | Vibration frequency (THz)                 |
| p                        | Pressure (bar or Pa)                      |
| $\varphi$                | Multi-electronic wave function            |
| $\Psi$                   | Eigenvector of $\hat{H}$                  |
| $\psi$                   | Wave function                             |
| $\mathbf{q}$             | Momentum transfer                         |
| R                        | Ideal gas constant                        |
| $\rho$                   | Electron density                          |
| $\sigma$                 | Symmetry number                           |
| T                        | Temperature (K or $^\circ\text{C}$ )      |
| $\vartheta$              | Coverage                                  |
| $\vartheta_{\text{rot}}$ | Rotational temperature (K)                |
| Z                        | Partition function                        |

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# Introduction

Catalysis plays a large role in today's chemical industry and there is a constant demand for better and cheaper catalysts. In this regard, the selective hydrogenation of alkynes and dienes from streams containing alkenes are important class of reactions in the petrochemical industry. Steam cracking, catalytic cracking and coking lead to traces of alkynes (e.g. acetylene) and/or dienes (e.g. butadiene), which have to be selectively hydrogenated into olefinic compounds before alkenes can be further processed by polymerization (e.g. the polyethylene production is 80 megatons per year in 2008 [22]) or selective oxidation (e.g. the ethylene oxidation into oxirane, around 20 megatons per year in 2018). In this context, the development of catalysts with a high activity, stability and selectivity is crucial.

Typical catalysts for the alkynes and dienes hydrogénations are Pd-based materials. The reaction selectivities to partially hydrogenated products can be improved by the addition of other metal such as Ag [1]. First-principles calculations suggested Ni-Zn alloys as low-cost substitutes to reference Pd-Ag [31] for acetylene partial hydrogenation. However, these alloys may suffer from adsorption-induced surface segregation under reaction conditions, which usually leads to a decrease in selectivity [5, 2, 6]. Searching for stable, selective, low-cost and environmentally benign catalysts for acetylene and butadiene semi-hydrogenations led to the recent identification of transition-metal (TM) Al-based complex intermetallics such as  $\text{Al}_{13}\text{Fe}_4$ ,  $\text{Al}_{13}\text{Co}_4$ , and  $\text{Al}_{13}\text{Ru}_4$  as efficient catalysts [22, 21, 7, 26, 9, 32, 19, 8]. A great asset of these compounds is related to their covalent-like chemical bonding network, that may prevent any surface segregation process, ensuring the structural stability of the catalyst [33, 34]. The activity towards the gas-phase butadiene hydrogenation was shown to increase in the order  $\text{o-Al}_{13}\text{Co}_4(100) \ll \text{m-Al}_{13}\text{Ru}_4(010) < \text{m-Al}_{13}\text{Fe}_4(010)$  (at room temperature), when comparing the same types of pseudo 10-fold surfaces [8]. Above room temperature, another surface orientation of  $\text{Al}_{13}\text{Co}_4$  (the pseudo 2-fold surface  $\text{o-Al}_{13}\text{Co}_4(010)$ ) was determined as the most active one while remaining 100% selective towards butenes, unlike  $\text{Al}_{13}\text{Fe}_4(010)$ .

The promising catalytic performances of another Al-based complex intermetallic surface –  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  – were suggested by a recent theoretical investigation [15]. This study highlighted the influence of the topmost surface Co atoms on the adsorption properties and catalytic activity, in the case of the semi-hydrogenation of acetylene.

Several factors may influence the catalytic performances of intermetallic compounds [35]. Isolating active transition metal atoms into single-sites through alloying generally increases the selectivity [36, 37]. In the case of pure metals, the adsorption properties of the

## *Introduction*

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reactants and therefore the reaction barriers can be rationalized by their electronic structure (d-band position and width) [38, 39]. Correlations between electronic structure and selectivity also exist in the case of intermetallic compounds [40, 41]. Last, surface corrugation plays a role, *i.e.* mono-atomic steps may offer completely new reaction pathways with highly reduced energy barriers compared to flat surfaces [42].

The objective of this project is to determine the surface structures (under ultra-high vacuum and reaction conditions), the adsorption and catalytic properties of these complex intermetallics. To do so, an approach combining surface science experiments and theoretical chemistry calculations (surface energies, adsorption energies and reaction paths calculations) is adopted. Because of the complexity of industrial processes (complex catalytic systems made of nanoparticles, faceted structures, promoters, *etc*), this work focuses on ideal surface models and single-crystalline materials with unique surface orientation. The overall strategy is the following. First, single crystals of Al-based intermetallics are grown and used to determine experimentally their surface structures (scanning tunneling microscopy – STM, surface X-ray diffraction – SXRD) as well as their catalytic performances. Then model catalysts are theoretically investigated through the means of *ab initio* calculations (density functional theory) in order to estimate their surface energies, adsorption properties, and reaction pathways. Finally and based on both experimental and theoretical analyses, the reaction thermodynamic feasibility and kinetic are determined to provide macroscale insights regarding their specific reactivity.

The present manuscript is divided into six main parts, detailed as follows. A fundamental and concise review regarding catalysis and intermetallics is presented in Chapter 1. The reader will find all the relevant definitions that are useful for the reading of the manuscript, as well as some preliminary results of different Al-based complex intermetallics catalytic performances.

Chapter 2 of this thesis focuses on the different methodologies that are used throughout this entire work. The two principal techniques – DFT and SXRD – are treated in detail. Different numerical tools used to determine the thermodynamic feasibility and reaction kinetic are also presented.

Chapter 3 deals with the question of the surface structure of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  using a combination of SXRD and *ab initio* calculations. Since it has been experimentally shown that this surface catalyzes the reaction of butadiene partial hydrogenation, its catalytic properties are studied through the means of a thermodynamic modelling. The influence of surface Co atoms on the butadiene adsorption is also investigated.

Chapter 4 is focused on the pseudo 10-fold surface of  $\text{Al}_{13}\text{Co}_4$  that has been shown to present fair catalytic performances while being theoretically poorly active. This chapter thus tackles the question of a possible surface restructuring of  $\text{o-Al}_{13}\text{Co}_4(100)$  under hydrogenation conditions – using *ab initio* calculations and thermodynamic modelling – that might explain the experimental observations.

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Chapter 5 investigates the surface structure of *o*-Al<sub>13</sub>Co<sub>4</sub>(010) that has been experimentally shown to be a good (active and selective) catalyst for the partial hydrogenation of butadiene. Here again a combination of SXRD and DFT calculations is used to study the surface structure. Hydrogen adsorption is also investigated using first-principle calculations to provide insights regarding the good catalytic properties of this surface.

Finally, Chapter 6 presents the surface structure SXRD study of *m*-Al<sub>13</sub>Fe<sub>4</sub>(010). Butadiene adsorption as well as reaction pathway calculations are performed in order to provide a possible reaction mechanism. Kinetic modelling results are then compared to experimental measurements.

Last but not least, a final part provides the general conclusions and some future perspectives that might be of interest in the future development of this topic in light of the conclusion of the present work.

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# Chapter 1

## Catalysis and Al-based intermetallics

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### 1.1 Heterogeneous Catalysis

#### 1.1.1 Definition and historical background

According to the *International Union of Pure and Applied Chemistry* (IUPAC), a catalyst is "a substance that increases the rate of a reaction without modifying the overall standard

Gibbs energy change in the reaction; the process is called catalysis" [43]. From the greek  $\chi\alpha\tau\alpha\lambda\upsilon\sigma\varsigma$  – meaning to loosen – catalysis has played and still plays a tremendous role in societal and technological advances : photocatalysis, biocatalysis (*e.g.* ethanol fermentation, dairy production), energy conversion (*e.g.* catalytic cracking), nanocatalysis, *etc.* Fundamentally, catalysts aim to speed up a chemical process.

There are two main types of catalysis : homogeneous and heterogeneous catalysis. While the catalyst acts in the same phase as the reactants in homogeneous catalysis, it works in a different phase in heterogeneous catalysis (*e.g.* a solid catalyst in a mixture of gases). The latter will be of particular interest in many processes such as catalytic cracking. The main advantage lies in the fairly easy separation of the products and the catalyst. In heterogeneous catalysis, two mechanisms are generally considered : the Langmuir–Hinshelwood mechanism [44, 45, 46] and the Eley–Rideal mechanism [47]. In the first mechanism both reactants are adsorbed at the surface of the catalyst, react at the surface and then happen the desorption of the product. In Eley–Rideal mechanism, only one of the reactant is adsorbed while the other one reacts with it directly from the gas phase. The first mechanism (Langmuir–Hinshelwood) appears to be generally preferred [48] (Fig. 1.1).

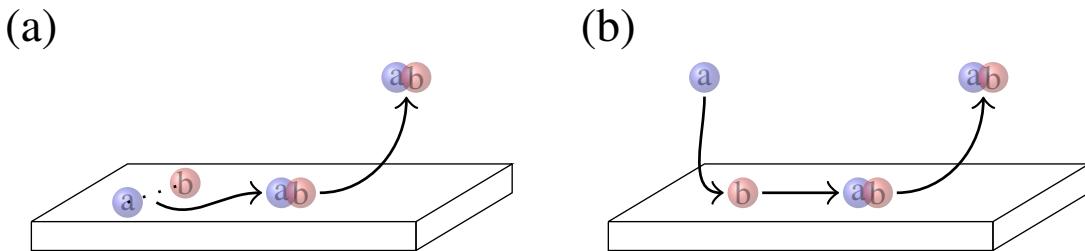


Figure 1.1: (a) Langmuir–Hinshelwood versus (b) Eley–Rideal mechanism.

The overall heterogenous catalytic reaction generally consists of a series of elementary steps : adsorption of the reactants, diffusion on the surface, breaking of reactants bonds, creation of new bonds to form products and finally desorption of these new chemicals. Catalysts are usually complex systems in powder form (presenting different surface orientation, *i.e.* facets) coupled with promoters (chemicals that improve the catalytic activity). It is therefore difficult to provide a molecular-level understanding of such processes. Model catalysts can therefore be used to simplify the investigation. A well-built theory has been proposed by Hammer and Nørskov [49] and lays down the basic rules behind catalysis. Some key parameters are used to rationalize and describe a catalytic process and catalysts performances. More recent approaches involving the use of machine learning can help predict the key descriptors for catalysis [50, 51]. Hammer and Nørskov theory is more of a model theoretical approach that could be experimentally questionned by model catalysts.

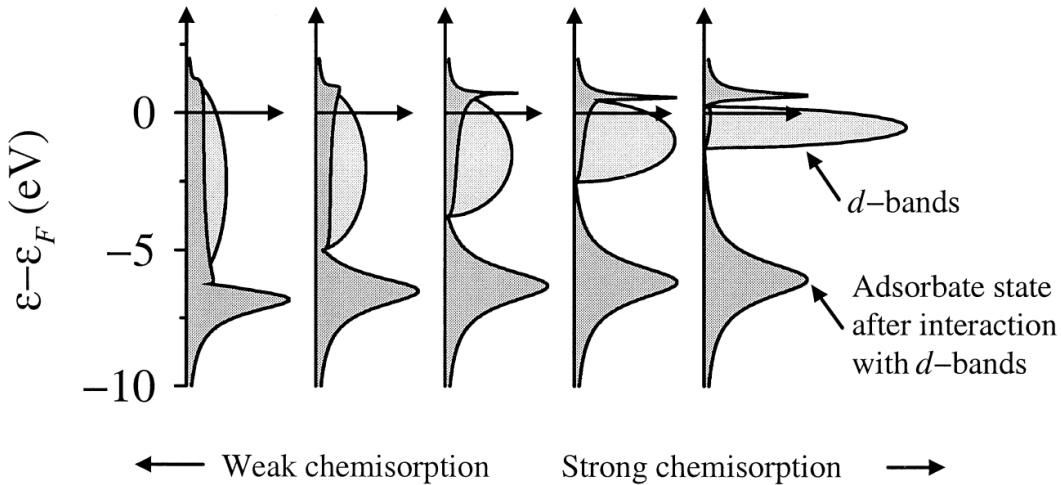


Figure 1.2: Newns–Anderson model of adsorbate–surface interactions [49, 52] : the local density of states projected onto an adsorbate state interacting with the d-bands at a surface. The strength of the adsorbate–surface coupling is kept fixed as the center of the d bands  $\varepsilon_d$  is shifted up toward the Fermi energy ( $\varepsilon_F = 0$ ).

### 1.1.2 Key parameters and theory of adsorbate-surface interactions

Catalysis is described by key parameters such as the stability (the propensity of the catalyst to stay unchanged after the reaction), the activity and the turn-over frequency (TOF, number of mole of reactant that can be converted per mole of catalyst over time), the selectivity (for example targetting the production of one particular isomer), the propensity to deactivation of the catalyst (for instance due to its oxidation). Depending on the chemical reaction, one wishes to have an active catalyst that is very stable and very selective towards a unique product, and doing so for a long time. However, it is difficult to meet all the requirements at once. A high activity is unfortunately often linked to a poor selectivity.

Hammer and Nørskov [49] have compiled and provided a well-built theory of adsorbates–surface interactions for simple transition metals. As shown in Fig. 1.2, the model predicts that as the d-band of the metal shifts up towards the Fermi level (the filling of the band is kept fixed so that as the center of the d-band is shifted up, the band width decreases), the electron density of states of the adsorbate is modified and antibonding states appear above the Fermi level. Therefore they are empty and the bonds become stronger as the number of empty antibonding states increases. In short, the closer to the Fermi level and the narrower the d-band, the stronger the bonding *i.e.* the chemisorption.

This model seems to work fine for simple transition metals (3d, 4d and 5d) [38, 39] for chemisorption (*e.g.* oxygen adsorption [49]) and also for molecular dissociation (*e.g.* CO

dissociation [53], NO dissociation on Ru(0001) [42]). A clear linear correlation between adsorption energies and d-band position is determined both experimentally and theoretically. This is similar to the Brønsted-Evans-Polanyi linear relation between the activation and reaction energies. In the case of a monolayer of a transition metal over a substrate, a similar behavior is observed and the model still works fine (*e.g.* 5d metals on Pt(111) [54]).

Looking at more complex structures – such as alloys or intermetallics – the rules become much more complicated. This is due to the rather more complex electronic structures of such materials (compared to simple metals). This is also due to the binding interactions between the adsorbates and the surface that are different than on simple metals. Nevertheless, correlations between electronic structure and selectivity can exist for intermetallic compounds [40, 41]. In the case of Al-based intermetallics, transition metal (TM, *e.g.* Fe or Co) atoms are ‘diluted’ inside an Al matrix. TM atoms can therefore be considered as isolated sites. This concept of site isolation was shown to be relevant in catalysis. Unique catalytic properties are achieved thanks to the site isolation of active species on surfaces [55]. Single atom catalysis is the extreme limit of that concept and has become over the last few years a hot topic in catalysis [37, 56]. However, when the TM atoms get isolated at the surface (*e.g.* single atom on an oxide, or at the surface of an intermetallic compound), this previously detailed model (Newns–Anderson) is not valid anymore [54, 37]. The rational design of TM-based catalysts is therefore much complicated than expected. This will be later on of interest when considering Al-based intermetallics as potential catalysts for the partial hydrogenation of alkynes and alkenes.

### 1.1.3 Alkynes and alkenes partial hydrogenation

Nowadays catalysis plays a great role in the chemical industry and there is a constant demand for better and cheaper catalysts. In this regard, the selective hydrogenation of alkynes ( $C_nH_{2n-2}$ ) and dienes (alkenes with two C=C bonds) from streams containing alkenes are important class of reactions in the petrochemical industry (over 80 millions of tons of acetylene *per annum* [57]). Steam cracking, catalytic cracking and coking lead to traces of alkynes and/or dienes, which have to be selectively hydrogenated into olefinic compounds before alkenes can be further processed by polymerization or selective oxidation. In this context, the development of catalysts with a high activity, stability and selectivity is crucial.

The main reaction that will be studied in the present work is the semi-hydrogenation of 1,3-butadiene ( $C_4H_6$ ), the simplest conjugated diene. The reaction can be reduced to the following one :  $C_4H_6(g) + H_2(g) \rightarrow C_4H_8(g)$ . Nevertheless, different chemicals are involved in the reaction. They are presented in Table 1.1. The whole reaction scheme is presented

Table 1.1: Molecules that are involved in the butadiene hydrogenation.

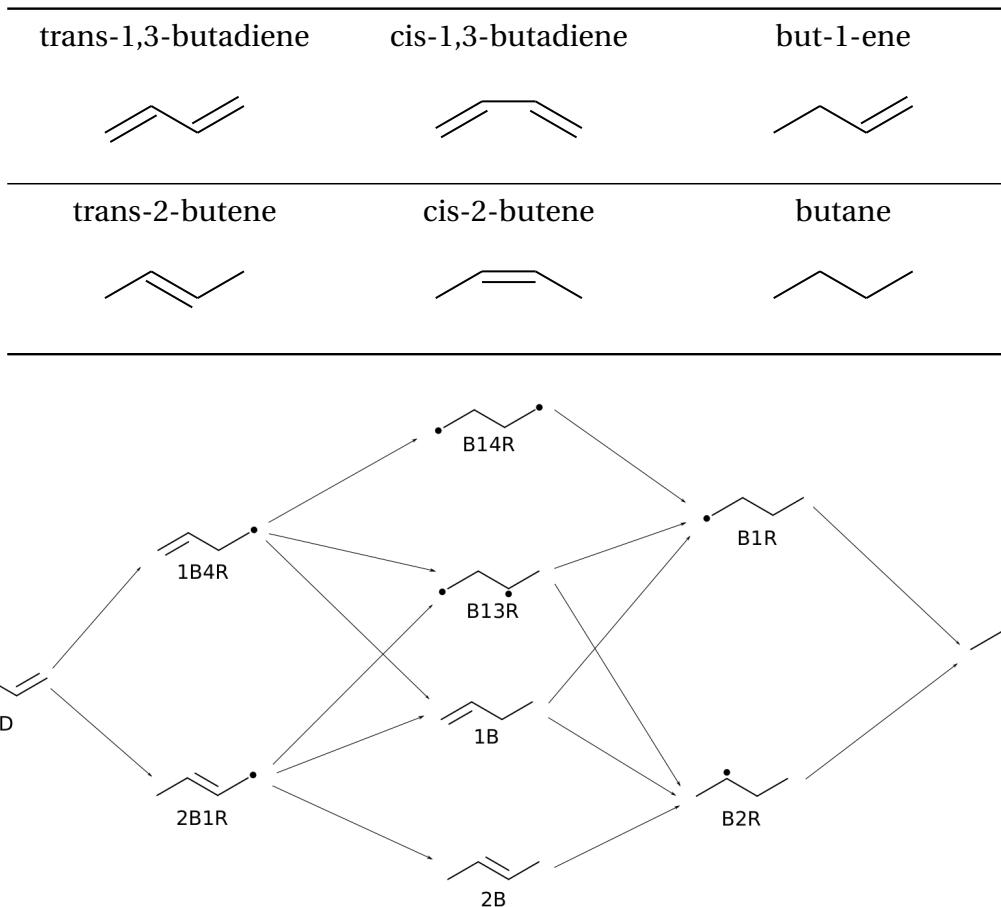


Figure 1.3: Horiuti–Polanyi reaction scheme of the hydrogenation of butadiene where BD stands for butadiene, 1B and 2B for but-1-ene and 2-butene, respectively, B for butane and R for radical [58].

in Fig. 1.3.

Noble metal Pd-based catalysts are typical ones for the alkynes and dienes hydrogens, *i.e.* expensive, non-environmental friendly, poorly selective catalysts. The reaction selectivities to partially hydrogenated products can be improved by the addition of an inactive coinage metal such as Ag, Au or Cu [1, 2, 3, 4]. First-principles calculations suggested Ni–Zn alloys as low-cost substitutes to reference Pd–Ag [31] for acetylene partial hydrogenation. These substitutional alloys may nevertheless suffer from adsorption-induced surface segregation under reaction conditions, which usually leads to a decrease in selectivity [5, 2, 6].

Searching for stable, selective, low-cost and environmentally benign catalysts for acetylene and butadiene semi-hydrogenations led to the recent identification of transition-metal

(TM) Al-based quasicrystalline approximants such as  $\text{Al}_{13}\text{Fe}_4$ ,  $\text{Al}_{13}\text{Co}_4$ , and  $\text{Al}_{13}\text{Ru}_4$  [22, 21, 7, 26, 32, 19, 8].

## 1.2 Materials

As stated previously, a new class of materials has recently been studied as potential catalysts : the Al-based complex intermetallic compounds. They are crystalline compounds made of metals, alloyed with metalloids. They exhibit large unit cells, containing up to thousands of atoms [59]. Some of them appear to have local symmetries similar to the one of quasicrystals such as 10-fold symmetry.

### 1.2.1 Quasicrystals

Quasicrystals are ordered crystals without any translational symmetry. They were first discovered by Dan Schechtman in 1982. He was awarded the Nobel Prize in Chemistry in 2011 for this discovery. Since then many quasicrystalline phases have been found (*e.g.* the decagonal phase *d*- $\text{AlNiCo}$  [60] or the icosahedral *i*- $\text{AuAlYb}$  [61]).

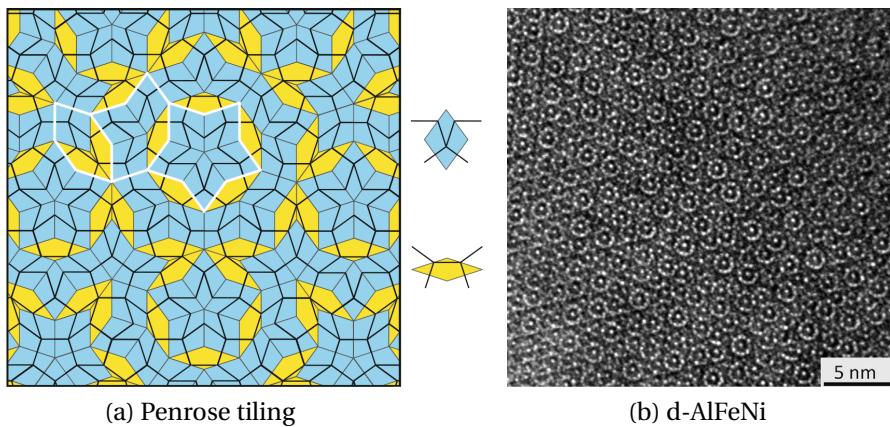


Figure 1.4: (a) Penrose tiling, involved in quasicrystalline model structures, made of rhomb prototiles (blue and yellow) [62]; (b) HRTEM image of *d*-AlFeNi [63].

A 2D quasiperiodic structure can be modelled for example by the famous Penrose tiling (Fig. 1.4a). This tiling is made of two rhomb prototiles and 5-fold symmetries can be locally observed. This kind of tiling can be observed in real crystals such as the decagonal phase *d*-AlFeNi [63]. High-resolution microscopy can reveal this particular structure (Fig 1.4b).

Although these compounds show no periodicity in their structures, diffraction measurements clearly provide information regarding their overall symmetries (5-fold, 10-fold,

Fig. 1.5a–b) – because of their long-range order structure – that used to be commonly accepted as forbidden symmetries in conventional crystallography. This kind of material – although promising in the field of catalysis [64, 65] – is however out of the scope of the present work. The interest will be focused on simpler structures such as complex intermetallics compounds. This perfect symmetry (5-fold or 10-fold) is not observed in the systems that are studied in this work (Al-based complex intermetallics). Only resembling symmetries are observed (defined as pseudo- $n$ -fold), hence the term 'approximant' of quasicrystals.

### 1.2.2 Intermetallic compounds

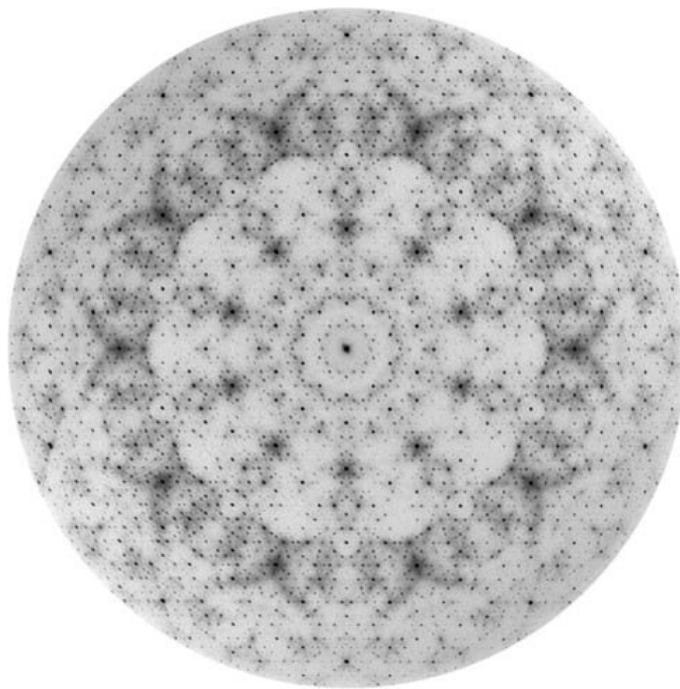
Before describing what are complex intermetallics, one shall define more precisely what is an intermetallic compound. For example, when two metals are mixed, the product is typically called a bimetallic alloy. Depending on the nature of the interaction between the two elements and the composition of the mix, they can be classified into two categories : solid-solution alloys – either substitutional (if the two elements are of similar size) or interstitial (if one of the elements is small enough to fit in the lattice of the bigger one) – and intermetallic compounds (Fig. 1.6 [35]).

Intermetallic compounds are thus well-ordered structures with precise compositions. They can present particular physical and chemical properties (electronic [67], mechanical [68], thermal [69] and/or even catalytic properties [22]).

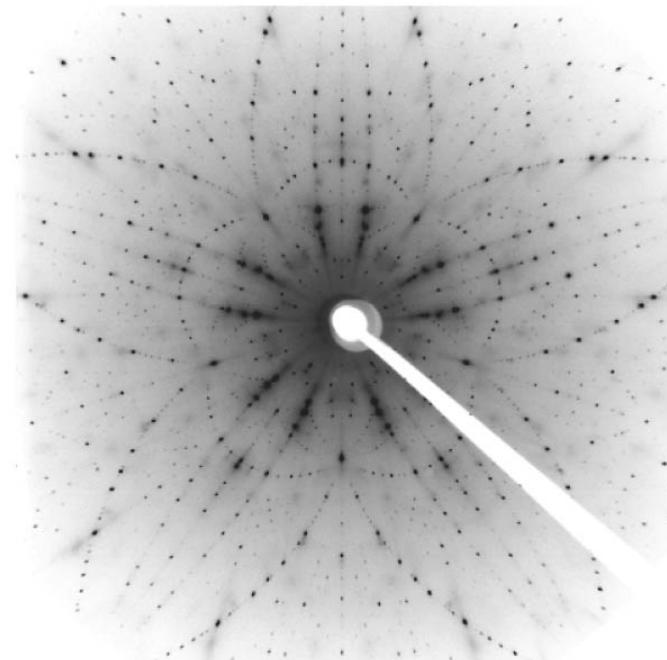
### 1.2.3 Complex intermetallic compounds

As explained previously, complex intermetallic compounds (CIC) are large unit cell intermetallic compounds that can have from 20 atoms ( $\text{Al}_5\text{Co}_2$  and  $\text{Al}_9\text{Co}_2$ , arbitrary low limit) up to few thousand of atoms (e.g. W-AlNiCo [60], or AlCuTa [70, 71, 72], with more than 20000 atoms) in their unit cell. The extreme scenario is a quasicrystal which could be defined as a crystal having an infinite number of atoms in its unit cell.

Among the complex intermetallic compounds, some of them are very close to their quasicrystal counterparts and are therefore called quasicrystalline 'approximants'. They are different from the 'true' quasicrystal approximants, which arise from the projection of a hyper crystal along rational directions (while QC arise from irrational directions of the same hyper crystal). They are periodic crystals that derive from quasicrystalline phases : similar local arrangements, high-symmetry clustered structures (e.g. 10-fold), similar physical and chemical properties.



(a) *d*-AlCoNi



(b) *i*-AlPdPn

Figure 1.5: (a) Diffraction pattern of *d*-AlCoNi quasicrystal (10-fold symmetry) and (b) Laue diffraction pattern of *i*-AlPdPn (5-fold symmetry) [66].

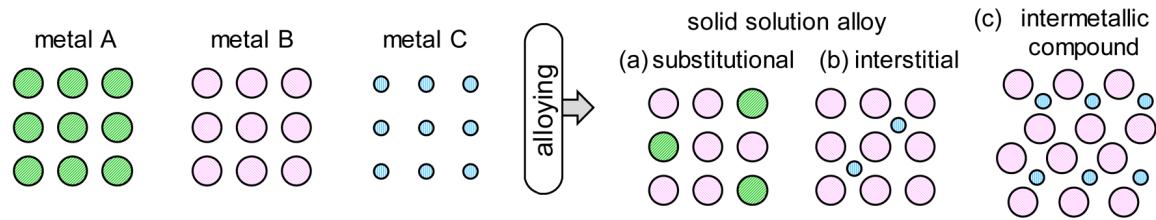


Figure 1.6: Comparison of different bimetallic alloys : (a) substitutional solid-solution alloy, (b) interstitial solid-solution alloy and (c) intermetallic compound [35].

These compounds appear to exist thanks to an electronic stabilization due to small discrepancies between electronegativities and atomic radii of the different alloying elements. This is called the Hume-Rothery mechanism, linked to the particular interaction between the Fermi surface (which is depending on the ratio of the number of valence electrons and the number of atoms,  $\frac{e}{a}$ ) and the first Brillouin zone [73, 74, 75, 76]. This mechanism seems valid for Al-TM systems [77] such as the ones that will be later focused on in this work. Other electronic effects such as sp-d hybridization could also explain/enhance this stabilization.

Another stabilization phenomenon has to be mentioned : the  $18 - n$  rule [78, 79]. For example, in a Al-TM system, each TM atom in the intermetallic phase will need  $18 - n$  electrons to achieve a closed-shell 18-electron configuration, where  $n$  is the number of electron pairs it shares with neighboring TM atoms. For instance, B2-AlCo and  $\text{Al}_5\text{Co}_2$  – both are intermetallics in the Al-Co system – appear to follow this  $18 - n$  rule [78].

## 1.3 Al-based complex intermetallics

Over the course of this work, four Al-based complex intermetallics are focused on :  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_5\text{Fe}_2$ ,  $\text{Al}_{13}\text{Co}_4$ , and  $\text{Al}_{13}\text{Fe}_4$ . All the structural models that are presented in this work are plotted using the VESTA software [80].

### 1.3.1 $\text{Al}_5\text{Co}_2$

The  $\text{Al}_5\text{Co}_2$  bulk structure belongs to the  $P6_3/mmc$  (No. 194, Pearson symbol  $hP28$ ) space group [10, 11, 12, 81]. The hexagonal cell contains 28 atoms (20 Al and 8 Co) and the lattice parameters are detailed in Tab. 1.2. The bulk structure can be described by a stacking of two different clusters [81] : a 2D cluster made of 3 Al and 3 Co atoms (bounded atoms in Fig. 1.7) and a 3D cluster made of 6 Al and 1 Co atoms (yellow cluster in Fig. 1.7).

A second description based on a stacking of two types of atomic ( $(2\bar{1}0)$ ) planes that alternate (Fig. 1.8) is also possible. More precisely, it involves a flat (F-type) and a puckered

Table 1.2:  $\text{Al}_5\text{Co}_2$  crystallographic parameters.

| Phase                    | Space group | a (Å)   | b (Å)   | c (Å)   | Ref. |
|--------------------------|-------------|---------|---------|---------|------|
| $\text{Al}_5\text{Co}_2$ | $P6_3/mmc$  | 7.67(2) | 7.67(2) | 7.60(5) | [12] |

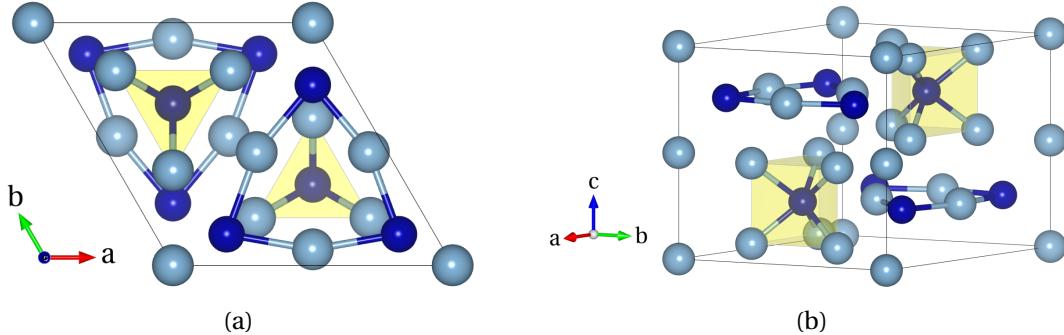


Figure 1.7: Bulk structure of  $\text{Al}_5\text{Co}_2$  (a) along the [001] direction and (b) perspective projection. Al and Co atoms are drawn in light blue and dark blue, respectively. 2D (bounded atoms) and 3D (yellow) clusters are drawn.

(P-type) plane containing 12 (4 Co and 8 Al) and 16 (4 Co and 12 Al) atoms in the surface unit cell, respectively.

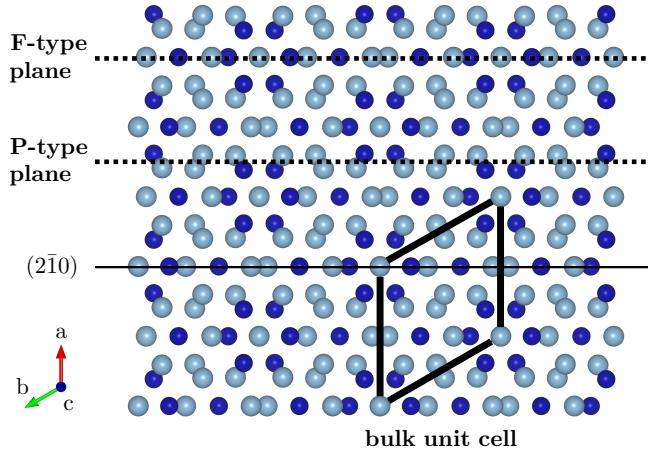


Figure 1.8: Bulk structure of  $\text{Al}_5\text{Co}_2$ . Flat (F) and puckered (P) planes are indicated using dashed lines.

Different low-index surface orientations have been previously investigated – both experimentally (LEED, STM) and theoretically (DFT) – such as the (001) [13], (100) and  $(2\bar{1}0)$  surfaces [14]. The latter might present Co protruding atoms at the surface and could be thus considered as a good candidate for catalytic reactions. The  $(2\bar{1}0)$  surface structure results from a bulk truncation and selection of the P-type (puckered) planes termination,

with every four bipentagonal motifs missing, thus forming a  $(2\times 1)$  reconstruction. It means that the surface structure consists of nano-structured bands of 1.2 nm wide separated by gaps of 0.7 nm wide. Several surface models were built, based on the knowledge gained by the experimental observations. Two of them (Fig. 1.9) – named  $P_B$  and  $P_{B-4Co}$  – fit equally well (for two different ranges of the allowed chemical potential) both STM and LEED measurements, and present low surface energies. However, the detailed surface composition, especially the presence/absence of these topmost surface Co atoms, could not be deduced from surface energy calculations, even combined with the comparison of simulated and experimental STM images.

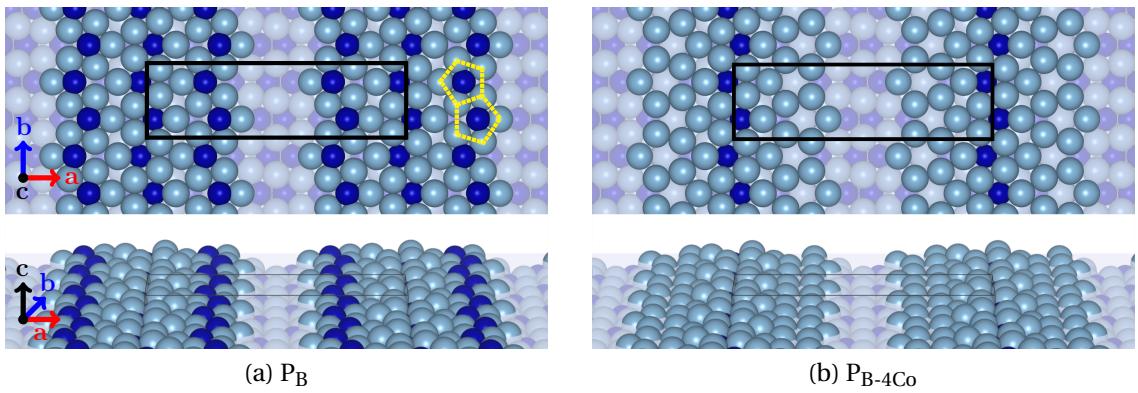


Figure 1.9: Top and perspective views of (a)  $P_B$  surface model and (b)  $P_{B-4Co}$  surface model of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ . Al and Co atoms are drawn in light blue and dark blue, respectively. The unit cells and bipentagonal Al arrangements are drawn in black lines and yellow dashed lines, respectively.

### 1.3.2 $\text{Al}_5\text{Fe}_2$

The  $\text{Al}_5\text{Fe}_2$  bulk structure belongs to the *Cmcm* (No. 63) space group [82] and is constituted of 20 Al atoms and 4 Fe atoms. The lattice parameters are detailed in Tab. 1.3. The atomic structure is described by a rigid framework of pentagonal antiprisms (polyhedron composed of two parallel copies of pentagons) extending along the  $[001]$  direction and connected by side edges as shown in Fig. 1.10a. These channels are formed by fully occupied Fe (Wyckoff site 4c) and Al1 (8g) sites. Additional partially occupied Al sites form a disordered chain confined within the channels (Fig. 1.10b). It was proposed [82] to describe the Al chains by Al2 (4a) and Al3 (8f) sites with site occupations of 0.36 and 0.23, respectively. Unexpected (inconsistent with the *Cmcm* symmetry) split satellite reflections were observed in electron diffraction patterns and were attributed to the partially disordered Al chains

in the channels along the [001] direction [83], which would correspond to a doubling of the lattice parameter  $c$ .

Table 1.3:  $o\text{-Al}_5\text{Fe}_2$  crystallographic parameters.

| Phase                    | Space group | $a$ (Å) | $b$ (Å) | $c$ (Å) | Ref. |
|--------------------------|-------------|---------|---------|---------|------|
| $\text{Al}_5\text{Fe}_2$ | $Cmcm$      | 7.65(6) | 6.41(5) | 4.21(9) | [82] |

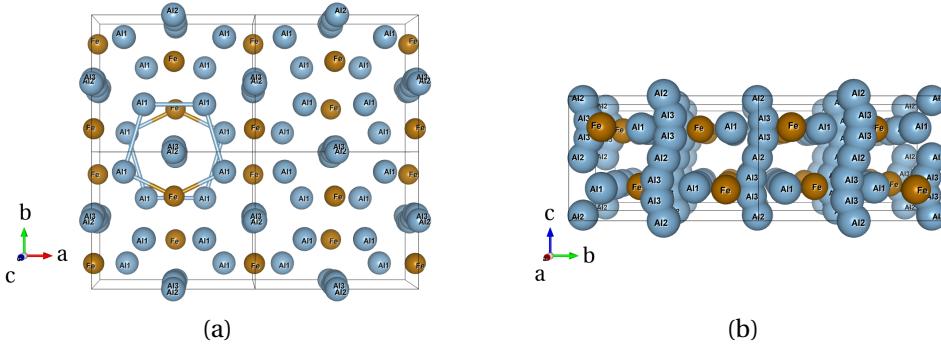


Figure 1.10: Bulk structure of  $\text{Al}_5\text{Fe}_2$  (perspective views) : (a) along [001] (the pentagonal structures made of Al1 and Fe are highlighted), (b) along [100]. The unit cell, Al and Fe atoms are drawn in black, light blue and orange, respectively.

No surface studies have yet been conducted. Different surface orientations (dense atomic layers) could be investigated such as the (100), the (001) or even the (02̄1) orientations.

### 1.3.3 $\text{Al}_{13}\text{Co}_4$

Many varieties of  $\text{Al}_{13}\text{Co}_4$  can be found in the Al–Co phase diagram :  $o\text{-Al}_{13}\text{Co}_4$ ,  $m\text{-Al}_{13}\text{Co}_4$ ,  $o'\text{-Al}_{13}\text{Co}_4$ ,  $Z\text{-Al}_{13}\text{Co}_4$ ,  $Y_1\text{-Al}_{13}\text{Co}_4$  and  $Y_2\text{-Al}_{13}\text{Co}_4$  [84]. The two latter structures are metastable. Like  $\text{Al}_5\text{Co}_2$ , all of them can be described by a stacking of flat (F) and puckered (P) atomic planes as well as 3D clusters. The first flat atomic planes of the different  $\text{Al}_{13}\text{Co}_4$  phases are presented in Fig. 1.11.

In this work, only  $o\text{-Al}_{13}\text{Co}_4$  and  $m\text{-Al}_{13}\text{Co}_4$  will be focused on.  $o\text{-Al}_{13}\text{Co}_4$  crystallizes in the  $Pmn_2_1$  (No. 31, Pearson symbol  $oP102$ ) space group while  $m\text{-Al}_{13}\text{Co}_4$  crystallizes in the  $C2/m$  (No. 12, Pearson symbol  $mC102$ ) space group. The crystallographic information of  $o\text{-Al}_{13}\text{Co}_4$  and  $m\text{-Al}_{13}\text{Co}_4$  are presented in Table 1.4. Both structures present a pseudo 10-fold symmetry axes (along the  $[100]_o$  and  $[010]_m$ ) as one can see in Fig. 1.12a and 1.13a (pentagonal and bipentagonal arrangements).

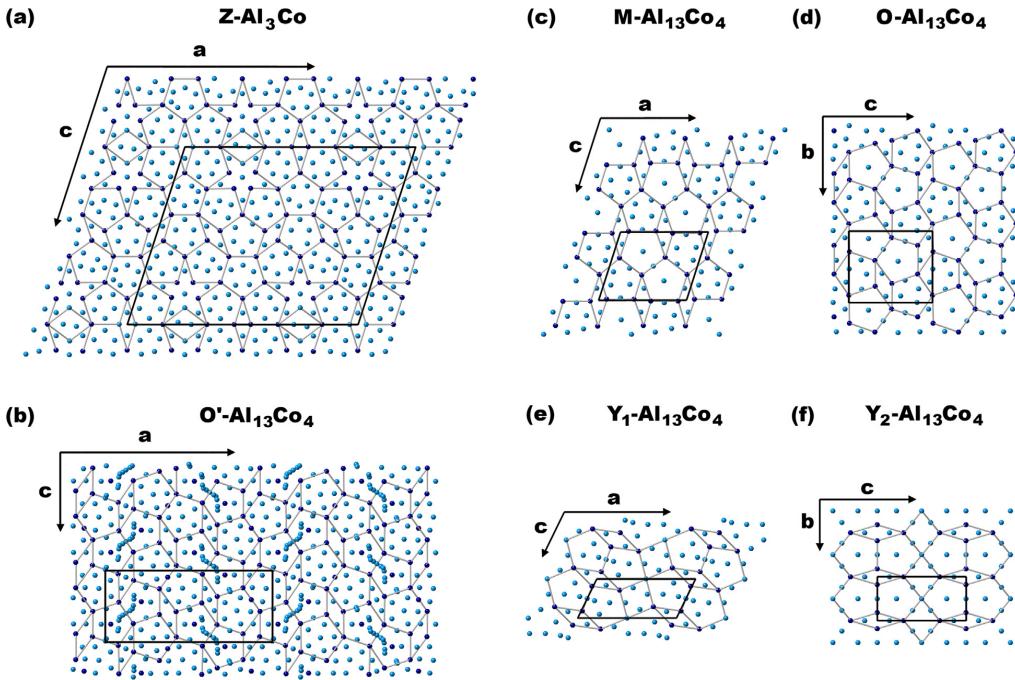


Figure 1.11: Flat atomic planes in  $\text{Al}_{13}\text{Co}_4$ : (a)  $Z\text{-Al}_{13}\text{Co}_4$ , (b)  $o'\text{-Al}_{13}\text{Co}_4$ , (c)  $m\text{-Al}_{13}\text{Co}_4$ , (d)  $o\text{-Al}_{13}\text{Co}_4$ , (e)  $Y_1\text{-Al}_{13}\text{Co}_4$  and (f)  $Y_2\text{-Al}_{13}\text{Co}_4$  [84]. Al and Co atoms are drawn in light blue and dark blue, respectively. Pentagonal and quasicrystal-like atomic arrangements are clearly visible.

Table 1.4:  $o$ - and  $m\text{-Al}_{13}\text{Co}_4$  crystallographic parameters.

| Phase                         | Space group | $a$ (Å) | $b$ (Å) | $c$ (Å) | $\beta$ (°) | Ref. |
|-------------------------------|-------------|---------|---------|---------|-------------|------|
| $o\text{-Al}_{13}\text{Co}_4$ | $Pmn2_1$    | 8.158   | 12.342  | 14.452  | –           | [20] |
| $m\text{-Al}_{13}\text{Co}_4$ | $C2/m$      | 15.173  | 8.109   | 12.349  | 107.84      | [16] |

As said previously, the structure can be seen as a stacking of flat (F) and puckered (P) layers (Figs. 1.12b-c and 1.13b-c) as well as a stacking of QC-like clusters (*e.g.* Henley-type [85], as detailed in Fig. 1.12d and 1.13d) where the F-type planes intercept the cluster in the middle. In  $o\text{-Al}_{13}\text{Co}_4$  the clusters are not crystallographically equivalent (two clusters, red and blue, are needed to describe the structure). The Al atoms at their centers do not have the same Wyckoff position. In  $m\text{-Al}_{13}\text{Co}_4$  all the clusters (in blue) are crystallographically equivalent. The Al atoms at their centers have the same Wyckoff position. The linear structure Co-Al-Co that is present in the cluster is calculated to be quite strong and of a covalent-like bond [34]. Other cluster types exist to describe this kind of structure [86]. Nevertheless, the Henley-type is chosen here to describe the  $\text{Al}_{13}\text{TM}_4$  structures.

The local structures are similar between the orthorhombic and the monoclinic phases. The only difference lies in the relative cluster arrangements. A bigger structure made of 6

Henley-type clusters – thus forming an irregular hexagon – may also be a fair description of the bulk structure. In the monoclinic phase, all the hexagonal clusters point towards the same direction whereas they change orientation every other cluster in the orthorhombic phase (Figs. 1.12a and 1.13a). One can see lone Al atoms in the middle of these hexagonal clusters : these Al atoms are not part of any clusters but connect them together and are thus referred as "glue" atoms.

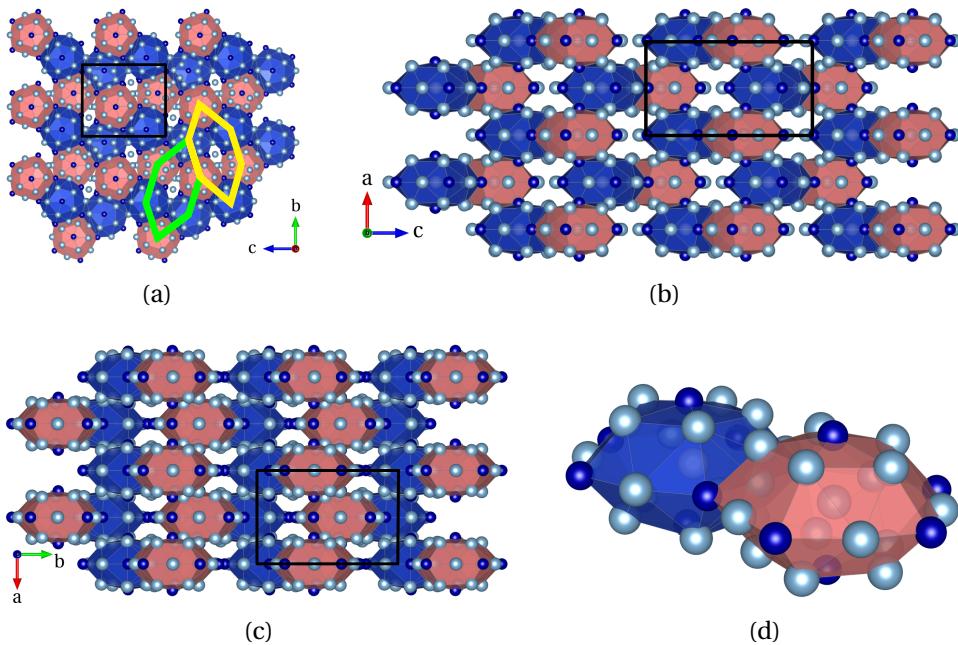


Figure 1.12: Bulk structure of *o*-Al<sub>13</sub>Co<sub>4</sub>: (a) along [100] (the hexagonal structures are drawn in green and yellow), (b) along [010], (c) [001] and (d) two Al–Co Henley-type clusters. The unit cell, Al and Co atoms are drawn in black, light blue and dark blue, respectively.

So far, the structure of one surface orientation – *o*-Al<sub>13</sub>Co<sub>4</sub>(100) (pseudo 10-fold crystallographic direction) – has been both theoretically and experimentally investigated. Three different studies had been conducted since 2011 and proposed three possible surface models.

In 2009, Addou et al. [87] showed, thanks to STM measurements and DFT calculations, that there is a coexistence of two terminations at the surface : a rather flat one (a F-type layer) and a corrugated one (an incomplete P-type layer) consisting of 12 atoms (10 Al and 2 Co atoms) – named P<sub>12</sub>, Fig. 1.14c – per surface unit cell (only the bottom of two Henley-type clusters at the surface). This latter surface model could be seen as Co atoms embedded in Al bipentagonal motifs. The surface terminations and their relative abundance appeared to be quite affected by surface preparation conditions (annealing temperature, sputtering).

In 2011, Shin et al. [88] conducted further investigations (LEED-I(V) and STM measure-

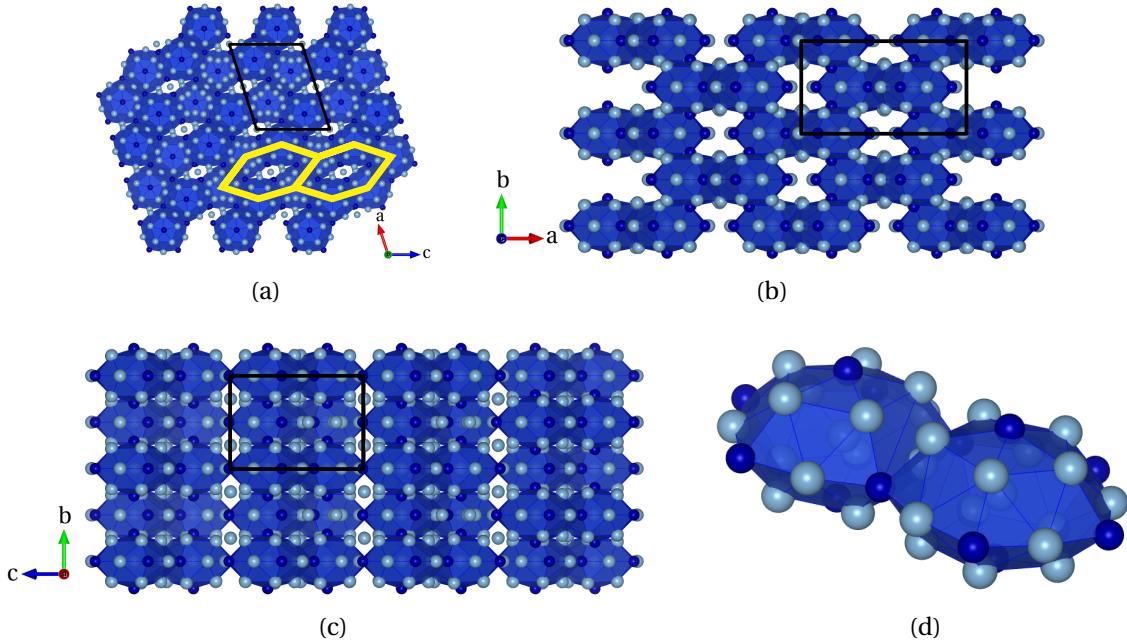


Figure 1.13: Bulk structure of  $m\text{-Al}_{13}\text{Co}_4$ : (a) along [010] (the hexagonal structures are drawn in yellow), (b) along [001], (c) [100] and (d) two Al–Co Henley-type clusters. The unit cell, Al and Co atoms are drawn in black, light blue and dark blue, respectively.

ments coupled with DFT calculations) that suggested two other surface models that both fits LEED-I(V), STM images simulations and present low surface energies : a complete P layer with 22 Al and only 2 low Co atoms – named  $P_{24}$ , Fig. 1.14a – and a complete P layer (22 Al atoms) with no Co atom – named  $P_{22}$ , Fig. 1.14b.

In the meantime, Krajci et al. [89] did a simulated cleavage experiment – using DFT calculations – and determined theoretically that the clusters are preserved at the surface because of strong Co–Al–Co bonds inside. Hence, according to their study they suggested another surface model ( $P_{14}$ , Fig. 1.14d), an incomplete P layer consisting of Al bipentagonal motifs with Co protruding atoms (top part of the clusters as seen in Fig. 1.12d).

Finally in 2016, Gaudry et al. [90] conducted surface X-ray diffraction (SXRD) experiments coupled with *ab initio* calculations to determine the actual surface structure under UHV conditions. The X-ray diffraction and DFT analyses suggested one particular surface model : a complete P layer without Co protruding atoms ( $P_{24}$ ). This model presents a rather low energy ( $1.09 \text{ J}\cdot\text{m}^{-2}$ ).

The other low-index surfaces – such as (010) and (001) – have not yet been structurally investigated. We will see later in this chapter that one of the latter might be a good candidate from a catalytic point of view.

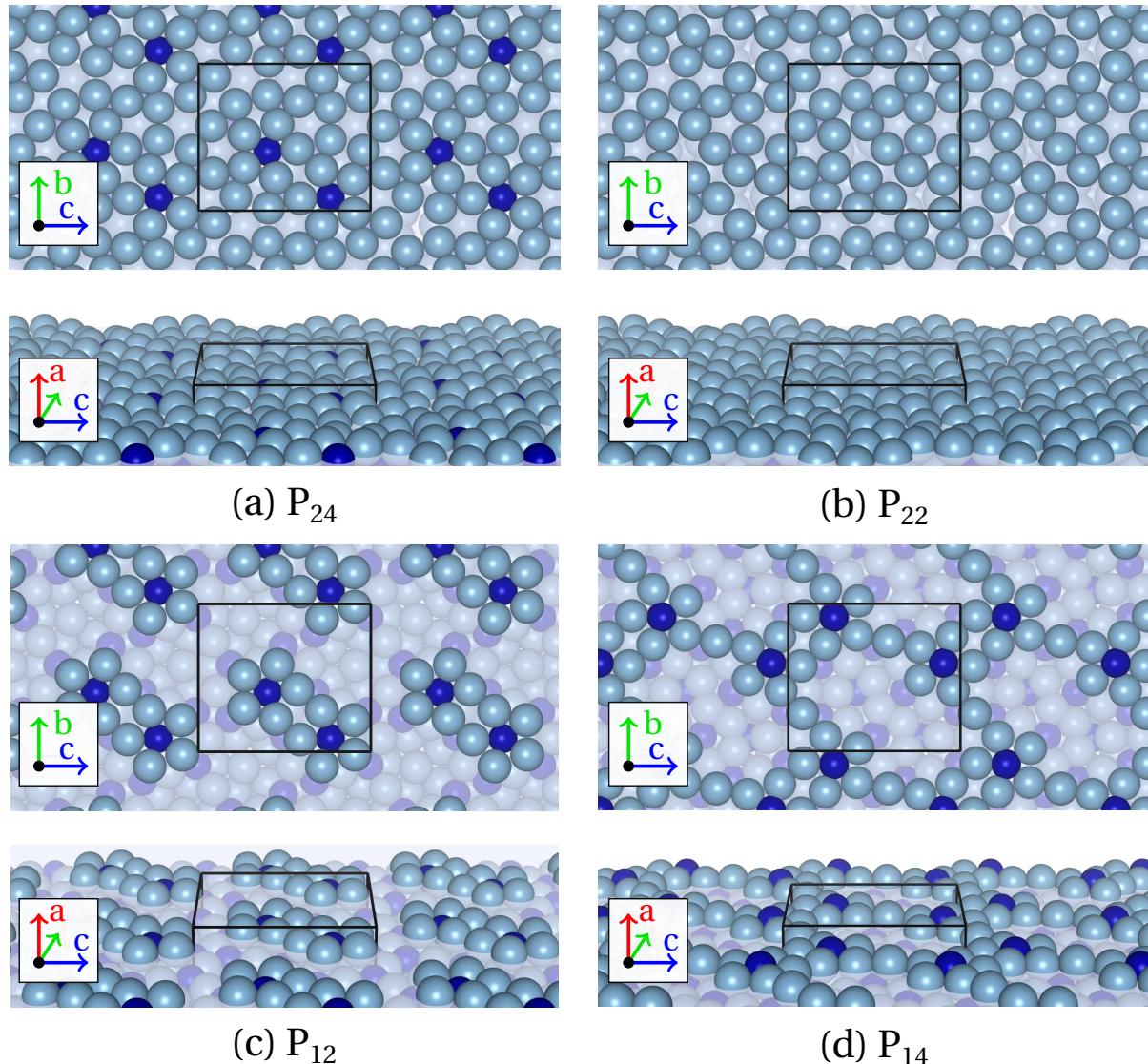


Figure 1.14: Surface models of  $o\text{-Al}_{13}\text{Co}_4(100)$  (top and perspective views) : (a)  $P_{24}$  model (22 Al atoms and 2 Co atoms), (b)  $P_{22}$  model (22 Al atoms), (c)  $P_{12}$  model (10 Al atoms and 2 Co atoms) and (d)  $P_{14}$  model (12 Al atoms and 2 Co atoms). The unit cell, Al and Co atoms are drawn in black, light blue and dark blue, respectively.

### 1.3.4 $\text{Al}_{13}\text{Fe}_4$

Focusing on the Al–Fe system, a few intermetallics can be found. Among them one can find  $m\text{-Al}_{13}\text{Fe}_4$  (monoclinic phase). It crystallizes in the  $C2/m$  (No. 12, Pearson symbol  $mC102$ ) space group. Table 1.5 presents the crystallographic information of  $m\text{-Al}_{13}\text{Fe}_4$ .

Table 1.5:  $m\text{-Al}_{13}\text{Fe}_4$  crystallographic parameters.

| Phase                         | Space group | $a$ (Å) | $b$ (Å) | $c$ (Å) | $\beta$ (°) | Ref. |
|-------------------------------|-------------|---------|---------|---------|-------------|------|
| $m\text{-Al}_{13}\text{Fe}_4$ | $C2/m$      | 15.492  | 8.078   | 12.471  | 107.69      | [91] |

The bulk structure of  $m\text{-Al}_{13}\text{Fe}_4$  is very similar to the one of  $m\text{-Al}_{13}\text{Co}_4$ . It can be described the same way as either a stacking of P-type and F-type layers – along the [010] direction – or a stacking of pentagonal bipyramidal clusters (Fig. 1.15a–d) – also along the [010] direction – together connected by Al glue atoms. Similarly to  $\text{Al}_{13}\text{Co}_4$ , a strong covalent-like Fe–Al–Fe arrangement is present in the clusters [34].

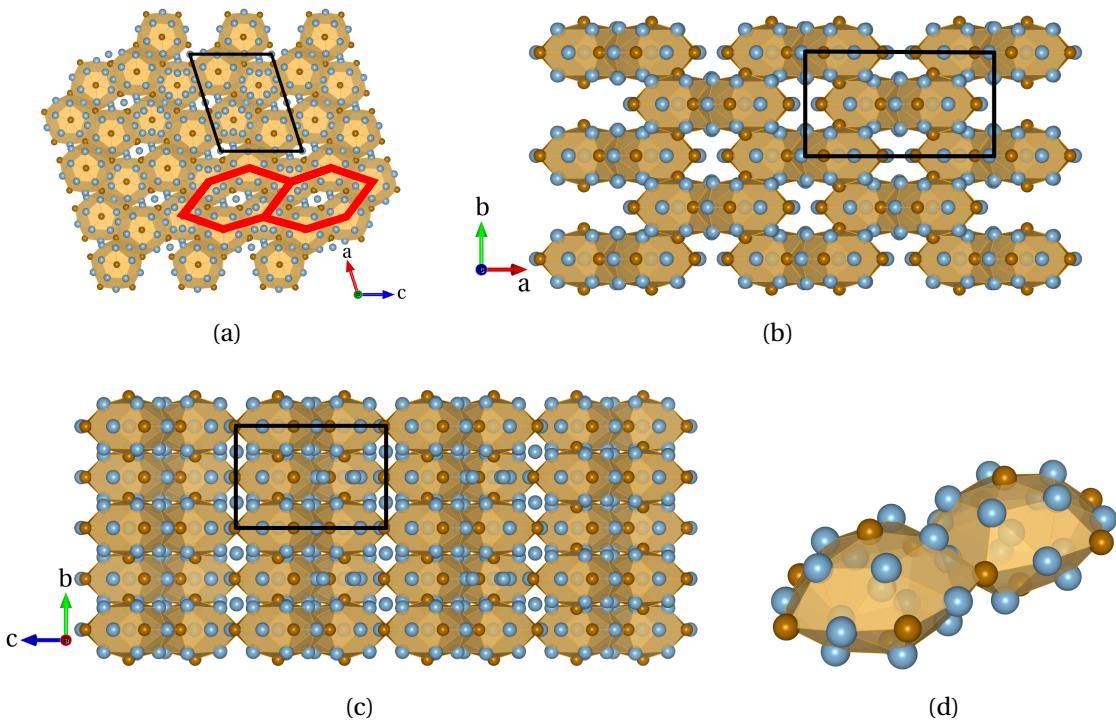


Figure 1.15: Bulk structure of  $m\text{-Al}_{13}\text{Fe}_4$ : (a) along [010] (the hexagonal clusters are drawn in red), (b) along [001], (c) [100] and (d) two Al–Fe Henley-type clusters. Al and Fe atoms are drawn in light blue and dark orange, respectively.

Up to now, only one surface orientation has been studied :  $m\text{-Al}_{13}\text{Fe}_4(010)$ . Both STM [26] (Fig. 1.16(a–b)) and LEED-I(V) [92] analyses suggest a P-type surface termination with

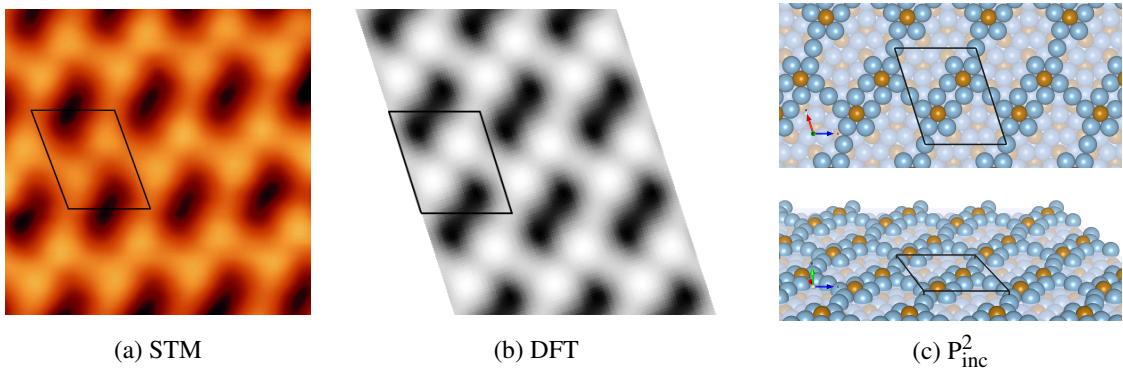


Figure 1.16: Surface model of  $m\text{-Al}_{13}\text{Fe}_4(010)$  : (a) STM image ( $5\times 5 \text{ nm}^2$ ,  $V_b = -1\text{V}$ ) of  $m\text{-Al}_{13}\text{Fe}_4(010)$  prepared at  $600^\circ\text{C}$  [26], (b) STM image simulation ( $V_b = -1\text{V}$ ) [26] and (c) surface structure model of  $m\text{-Al}_{13}\text{Fe}_4(010)$ . The unit cell, Al and Fe atoms are drawn in black, light blue and dark orange, respectively.

only one bipentagonal Al motifs with protruding Fe atoms embedded in each Al pentagons. The presence of Al glue atoms in between the bipentagonal motifs seems to depend on the annealing temperature : at  $600^\circ\text{C}$  both Al glue atoms could be observed while at  $720^\circ\text{C}$  both of them might be gone. The surface thus preserves the cluster structure as well as the Fe–Al–Fe bonding. We will see later on that this surface structure could explain some particular catalytic properties of  $\text{Al}_{13}\text{Fe}_4$ .

### 1.3.5 Defects in complex intermetallics : twins and metadislocations

Having such complex materials and peculiar structures gives naturally rise to defects. Defects in alloys and intermetallics are common and can be of different type. Point defects (0D) occur at a single lattice point : vacancy defects (vacant sites), interstitial defects (extra occupied sites) or even antisite defects (exchanged sites). In the case of  $\text{Al}_{13}\text{Co}_4$  it was shown that vacancy hopping stabilizes the crystal structure [93]. Line defects (1D) are mainly occurring through dislocations (around which the atoms of the crystal lattice are misaligned). Planar defects (2D) are usually grain boundaries (abrupt change of the direction of the lattice) and twin boundaries (mirror plane in the crystal structure). Other defects can occur in quasicrystalline structure such as phason defects. This can be seen as a local rearrangement of the quasicrystalline tile structure. This kind of defect was shown to stabilize quasicrystals [94]. The two main defects that are observed in complex intermetallic compounds and especially in  $\text{Al}_{13}\text{TM}_4$  (where TM is a transition metal) are twins and a particular type of dislocations called metadislocations.

When two crystals are twins they share some crystal lattice point from a symmetrical

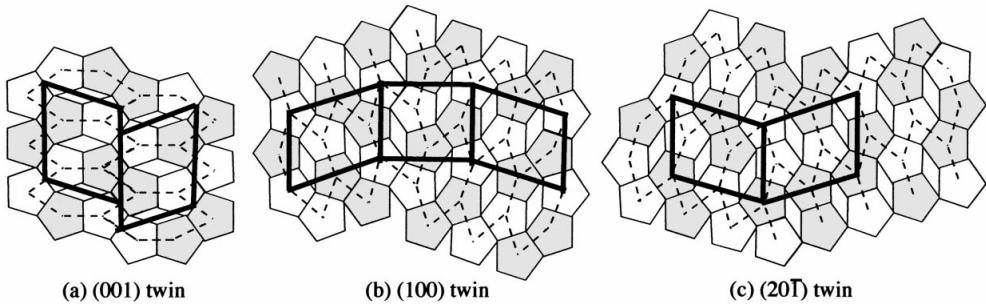


Figure 1.17: Twin models in  $\text{Al}_{13}\text{TM}_4$ : (a) (001) twin (b) (100) twin and (c) ( $20\bar{1}$ ) twin [95].

operation. The shared surface is called the twin plane. In the  $\text{Al}_{13}\text{TM}_4$  case, three main twin planes are reported [95] : (001), (100) and ( $20\bar{1}$ ) planes (Fig. 1.17). In the case of the (001) and (100) planes, it is not rigorously correct to call them twin planes, since (a) there are a mirror plane and a translation operation, and (b) a change in the crystal structure (orthorhombic cell in between monoclinic ones). This is due to the relative orientation of the clusters at the interface between the monoclinic cells. Nevertheless, these defects are referred to twin defects in the following discussion.

Due to the pseudo 10-fold axis and the local 5-fold symmetry of the clusters, twinning can occur quite easily in such materials. As shown in Fig. 1.18, both (100) and ( $20\bar{1}$ ) twins are based on glide-reflection mechanisms. This structure model has actually been seen in crystals at a macroscale level and are formed during crystal growth. They are not introduced by deformation. The formation energy of such structure is therefore low.

Experimentally, it is possible to observe those features thanks to high resolution TEM [95]. In  $\text{Al}_{13}\text{Fe}_4$ , (001) twins are observed in the bulk material. Fig. 1.19(a) shows that twinning is quite frequent in  $\text{Al}_{13}\text{Fe}_4$ . It suggests that the interfacial energy is low and the feature favorable. In  $\text{Al}_{13}\text{Co}_4$ , (100) and ( $20\bar{1}$ ) twins are observed (due to the coexistence of orthorhombic and monoclinic phases). From a geometrical point of view it is possible to explain these twinning effects with the tiling rules requirements for pentagonal motifs arrangements (Fig. 1.18).

A second type of defect can also be observed in complex intermetallic compounds and are related to dislocations. They are called metadislocations and could appear due to plastic deformations [97, 98, 99] : they are line defects with a Burger vector corresponding to a  $\tau^{-n}$ -fraction of a lattice constant, with  $\tau$  the golden ratio defined by  $\tau = \frac{1+\sqrt{5}}{2}$  and  $n \in \mathbb{N}^*$ . The golden ratio is known to be a key parameter in quasicrystalline structure and appears to be also present in quasicrystalline approximants. In short, metadislocations are highly complex defects mediating plasticity in complex intermetallic compounds.

Metadislocations are always associated with a region of transformed phase, by which

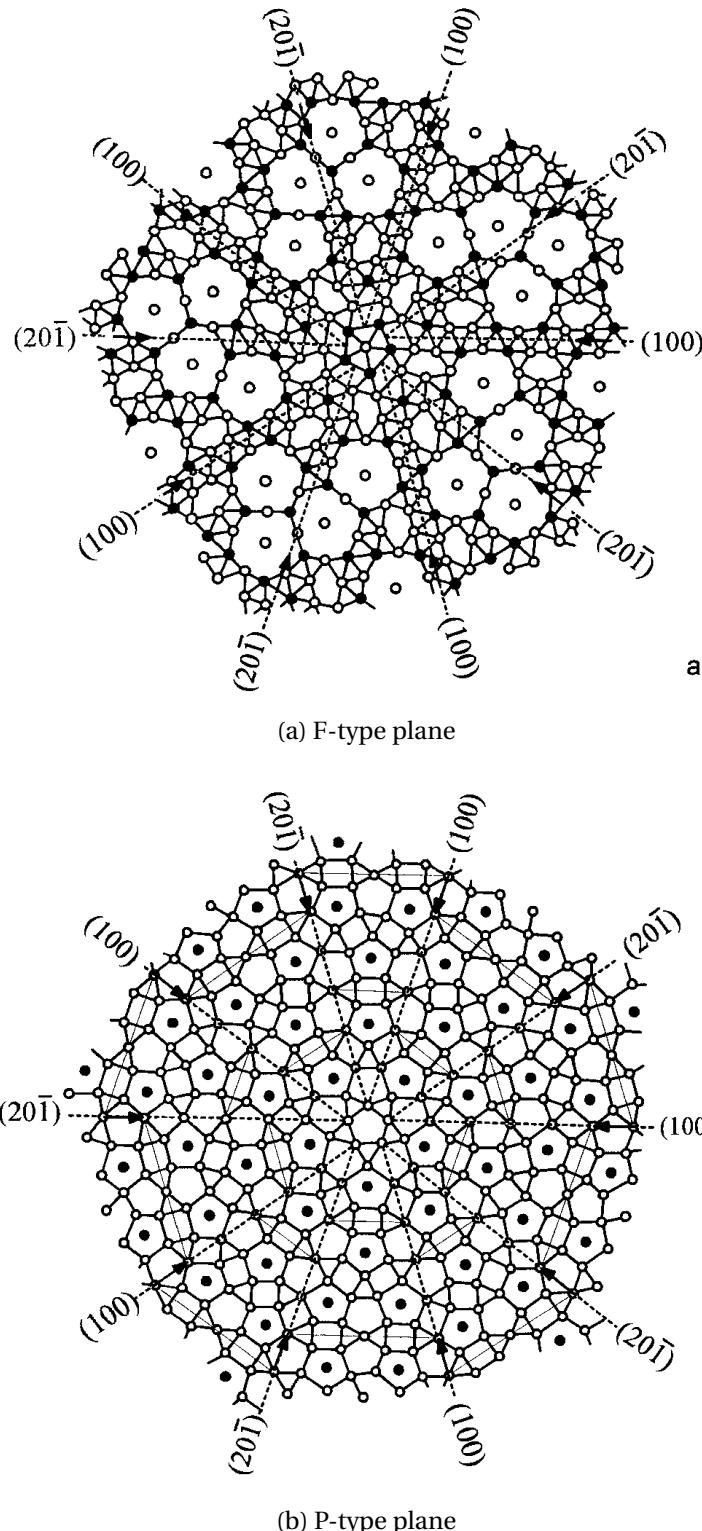
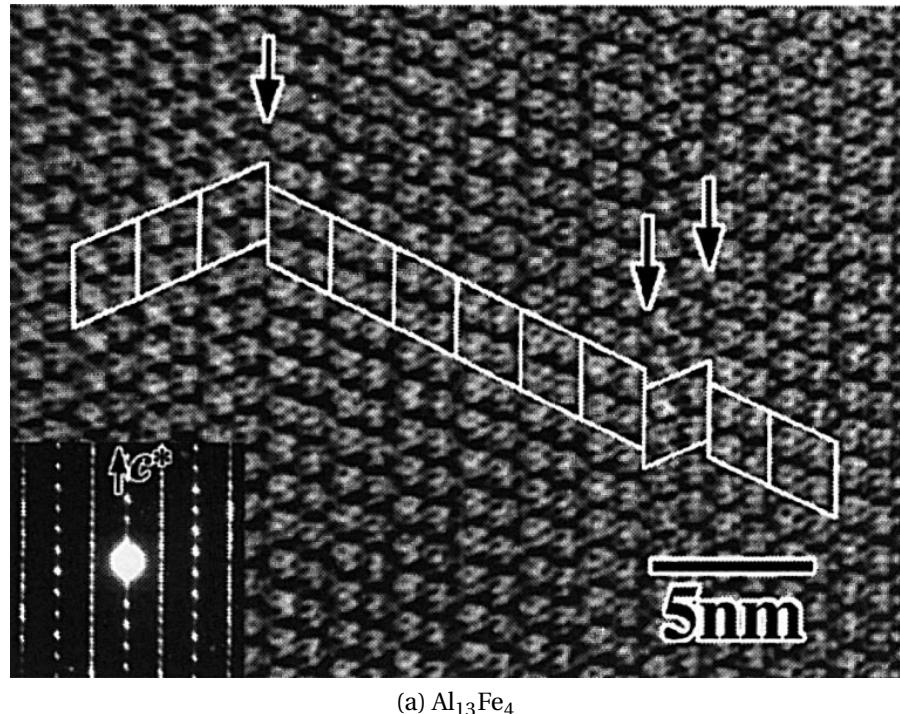
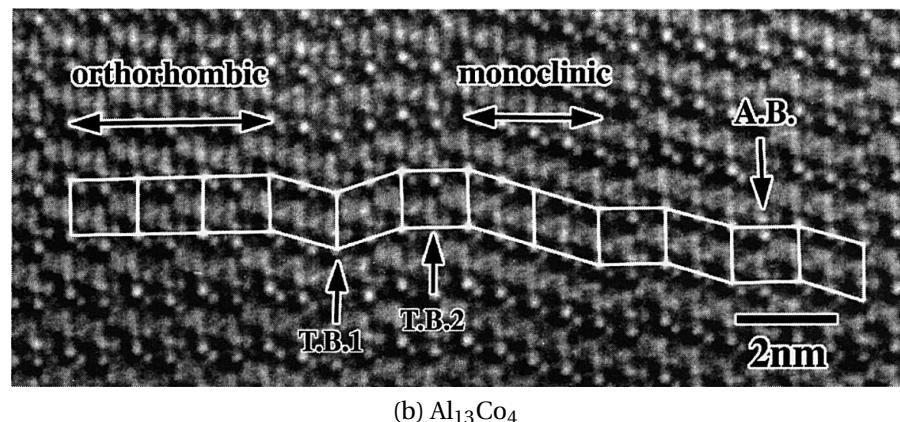


Figure 1.18: Structural models of (100) and  $(20\bar{1})$  twins in  $m\text{-Al}_{13}\text{Fe}_4$  (F-type and P-type planes) [96]. Al and Fe atoms are drawn in white and black, respectively.



(a)  $\text{Al}_{13}\text{Fe}_4$



(b)  $\text{Al}_{13}\text{Co}_4$

Figure 1.19: HRTEM observations of twins in (a)  $\text{Al}_{13}\text{Fe}_4$  ((001) twin) and (b)  $\text{Al}_{13}\text{Co}_4$  ((100) and  $(\bar{1}0\bar{1})$  twins) [95]. TB and AB stand for twin boundary and anti-phase boundary, respectively.

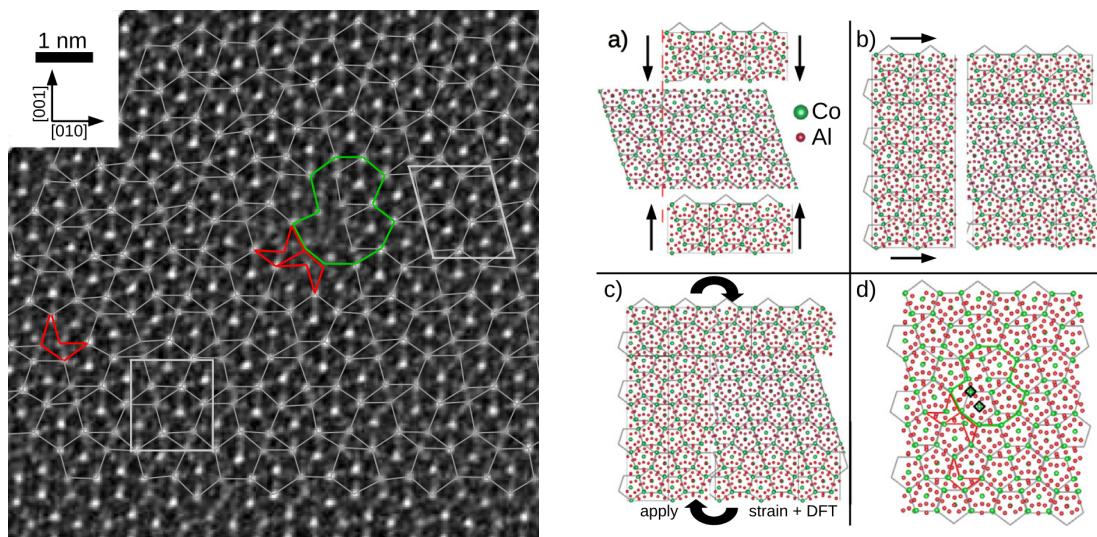


Figure 1.20: Metadislocation in  $\text{Al}_{13}\text{Co}_4$ : HRTEM image and structural model (building of the model a–d) [100].

the irrational Burgers vector is accommodated in the crystal lattice [100]. The transformed region is therefore affected by other defects, called phason defects (same kind of defects that are encountered in quasicrystals). Unlike metadislocations that are linear defects, phasons could also form planar defects called phason planes. The phason structures affect the dislocation motions and are thus of importance to understand mechanical properties of such complex phases.

Metadislocations and phasons defects are observed in  $\text{Al}_{13}\text{Co}_4$  [100]. Fig. 1.20 shows a STEM micrograph of a metadislocation in  $\text{o-Al}_{13}\text{Co}_4$ . The metadislocation core is drawn in green while the "crown-shaped" phasons are marked in red. Both orthorhombic and monoclinic phases are observed around this dislocation. A slab of a model metadislocation was built by Heidelmann et al. [100] using monoclinic cells (Fig. 1.20a) surrounded by orthorhombic cells (Fig. 1.20b–c). The authors did a geometric optimization of this first-order model using DFT calculations and obtain a good agreement with the experimental measurements (Fig. 1.20d). All of these defects (vacancies, twins, metadislocations, phasons) occur because they stabilize the complex intermetallic compounds.

### 1.3.6 The need for single-crystalline materials

In today's industrial processes, the catalysts are rather complex systems (powders, nanoparticles, faceted structures, *etc*) coupled with promoters. The systems that are investigated in this work – Al-based complex intermetallics – are also quite complicated. In order to rationalize the investigation, one has to simplify the approach using ideal surface models

and single-crystal materials with unique surface orientation. This is the best strategy to investigate the catalytic properties of such systems under controlled reaction conditions. Nevertheless, one has to keep in mind that the so-called "material gap" (real catalyst are not single crystals) and "pressure gap" (ultra-high vacuum studies are too ideal compared to the real conditions that sees the surface) are major issues in the field of catalysis.

Two main processes exist in order to make single crystalline materials : the Czochralski method [101] and the Bridgman technique [102]. In the latter, a polycrystalline material is heated above its melting point and slowly cooled down from one end of the container (at the bottom of which a single crystal seed is placed). This technique allows for the formation of a single crystalline material with the same orientation as the aforementioned seed. In the Czochralski method, a seed is placed at the tip of a rod and dipped into a melt of the desired composition that is close to thermodynamic equilibrium with the growing solid. The rod is then slowly pulled out (few millimeters per hour) while rotating. This allows for the growth of a single crystal with the same orientation as the crystal seed.

### **1.3.7 Crystal growth using Czochralski process**

The melting points (at the atmospheric pressure) of  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$ , and  $\text{Al}_{13}\text{Fe}_4$  are  $1182^\circ\text{C}$ ,  $1092^\circ\text{C}$  and  $1160^\circ\text{C}$  [103], respectively. The three previously mentioned phases are incongruently melting intermetallic compounds as shown in the Al-Co and Al-Fe phase diagrams in Fig. 1.21 and can be grown by Czochralski growth from Al-rich solution [103, 104, 13].

The initial compositions have to be richer in Al than the targeted intermetallic phases since all of them come from a peritectic phase transition. Therefore the growth temperature has to be lower than the melting temperature of the desired structures. During the growth, the temperature has to be progressively lowered since the melt pool become less and less rich in Co or Fe (following the liquidus equilibrium line). Unlike the previously mentioned phase,  $\text{Al}_5\text{Fe}_2$  forms congruently at  $1169^\circ\text{C}$ .

In the case of  $\text{Al}_{13}\text{Co}_4$ , it is only possible to obtain an orthorhombic phase from a Czochralski growth. The monoclinic phase could then be obtained through a peritectoid transformation at  $1090^\circ\text{C}$  [84].

The previous sections detailed how the Al-based complex intermetallic compounds are formed, what are their crystallographic structures and what kind of defects they could bear. The following section focuses on their catalytic properties and how they could be related to their electronic and surface structures.

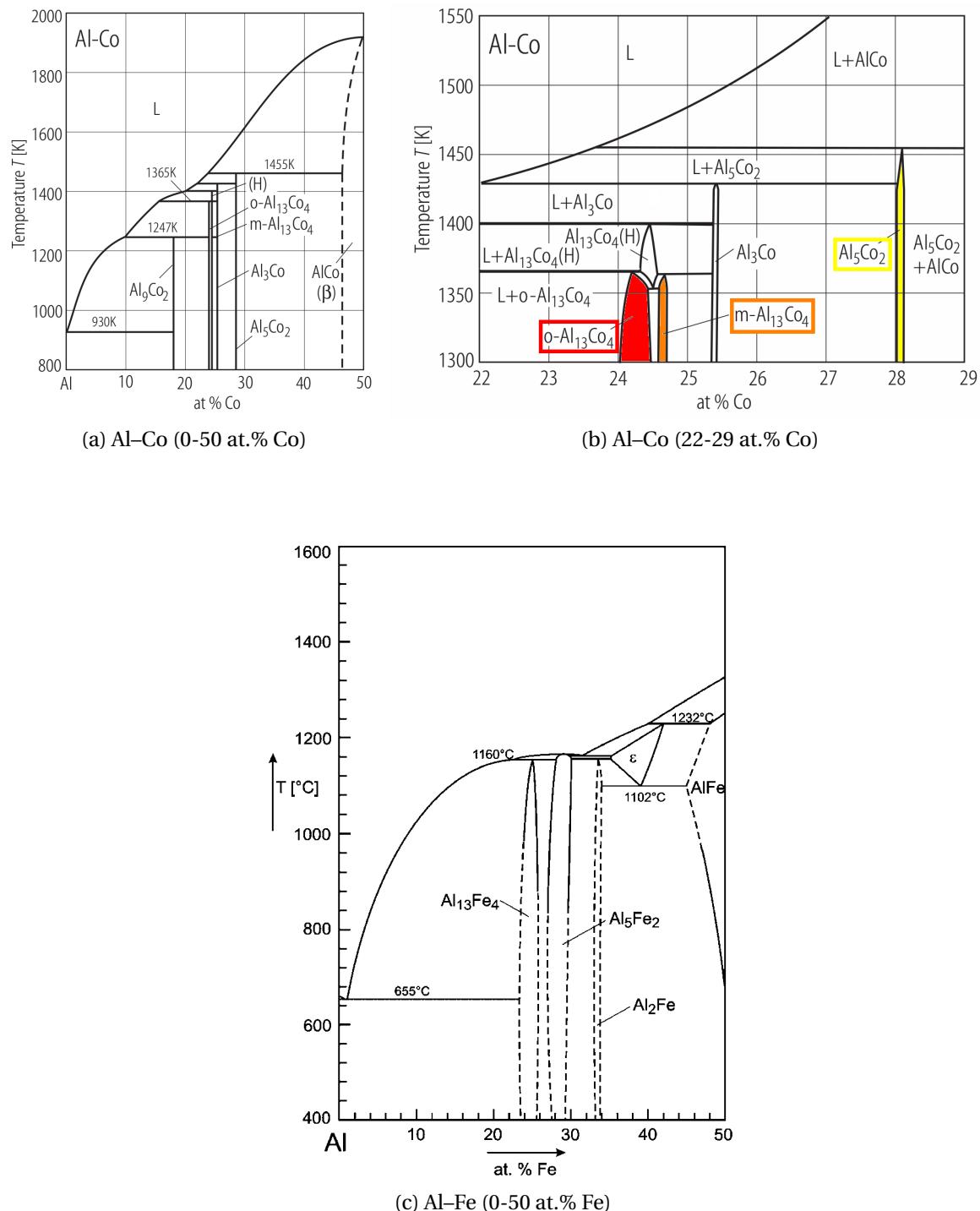


Figure 1.21: (a-b) Al-Co [104, 105] and (c) Al-Fe [103] phase diagrams.



Figure 1.22: (a)  $\text{Al}_5\text{Co}_2$  single crystal grown from an Al-rich solution of initial composition  $\text{Al}_{76}\text{Co}_{24}$  [13, 106], (b)  $o\text{-}\text{Al}_{13}\text{Co}_4$  single crystal grown from an Al-rich solution of initial composition  $\text{Al}_{86.5}\text{Co}_{13.5}$  and (c)  $m\text{-}\text{Al}_{13}\text{Fe}_4$  single crystal grown from an Al-rich solution of initial composition  $\text{Al}_{89.5}\text{Fe}_{10.5}$  [103].

## 1.4 Catalytic preliminary results

### 1.4.1 $\text{Al}_{13}\text{TM}_4$

In 2012, Armbrüster et al. showed that  $m\text{-}\text{Al}_{13}\text{Fe}_4(010)$  (and  $o\text{-}\text{Al}_{13}\text{Co}_4(100)$ ) was a low-cost alternative for palladium in heterogeneous hydrogenation and more particularly for the partial hydrogenation of acetylene in ethylene [22, 21]. As shown in Fig. 1.23,  $\text{Al}_{13}\text{Fe}_4$  is a highly active and selective catalyst towards the ethylene production from an industry-like ethylene feed. Hydrogen treatment does not influence the catalytic properties of the sample unlike oxygen treatment. The catalyst takes a few hours to recover some of its previous activity and selectivity. Lower values suggest detrimental and irreversible changes of the surface (oxidation). The authors link the good catalytic properties to the so-called site-isolation concept because of a low count of well separated Fe atoms in the bulk lattice.

In 2013, L. Piccolo showed that  $m\text{-}\text{Al}_{13}\text{Fe}_4(010)$  was also a good alternative for the partial hydrogenation of butadiene into butene at room temperature [7] using a dedicated static catalytic reactor coupled with a UHV preparation chamber, mass spectrometer and gas chromatographer [107]. As shown in Fig. 1.24 butadiene is fully converted into butane within 50 min on  $m\text{-}\text{Al}_{13}\text{Fe}_4(010)$ . This is exactly the time needed for the same conversion on clean Pd(100). The non-precious metallic compound is highly active, both for butadiene and butene hydrogenation, and highly selective to butene during butadiene hydrogenation, even at room temperature. However,  $\text{Al}_{13}\text{Fe}_4$  is subject to deactivation [9] (oxidation) unlike Pd.

Gas chromatography allowed for the determination of the different ratio of butene iso-

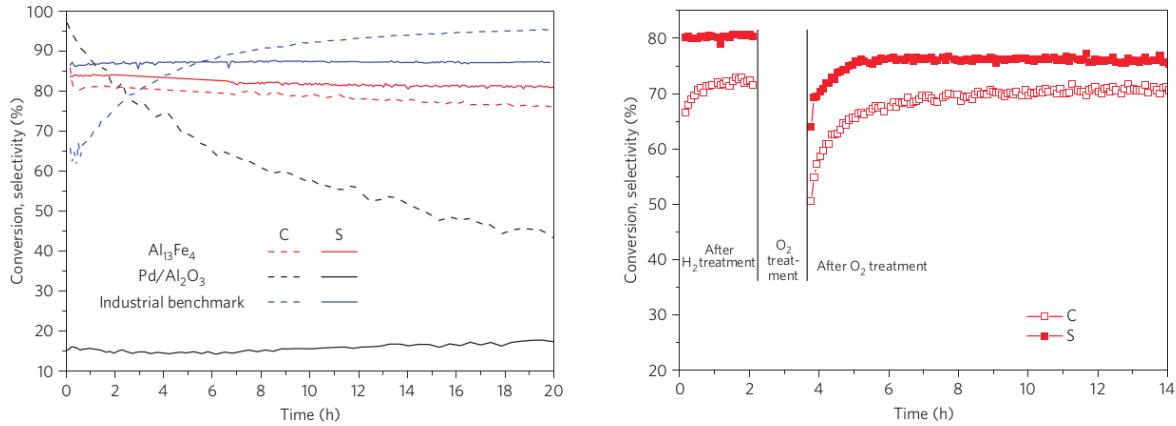


Figure 1.23: Catalytic properties of  $\text{Al}_{13}\text{Fe}_4$ : (left) Conversion (C) and selectivity (S) to ethylene of unsupported  $\text{Al}_{13}\text{Fe}_4$ , 5 wt%  $\text{Pd}/\text{Al}_2\text{O}_3$  and an industrial benchmark catalyst in the semi-hydrogenation of acetylene over 20 h time on stream (reaction conditions: 0.5%  $\text{C}_2\text{H}_2$ , 5%  $\text{H}_2$ , 50%  $\text{C}_2\text{H}_4$  in He, 30 ml. $\text{min}^{-1}$  total flow, 200°C). (right) Catalytic properties of  $\text{Al}_{13}\text{Fe}_4$  after treatment in hydrogen and oxygen.

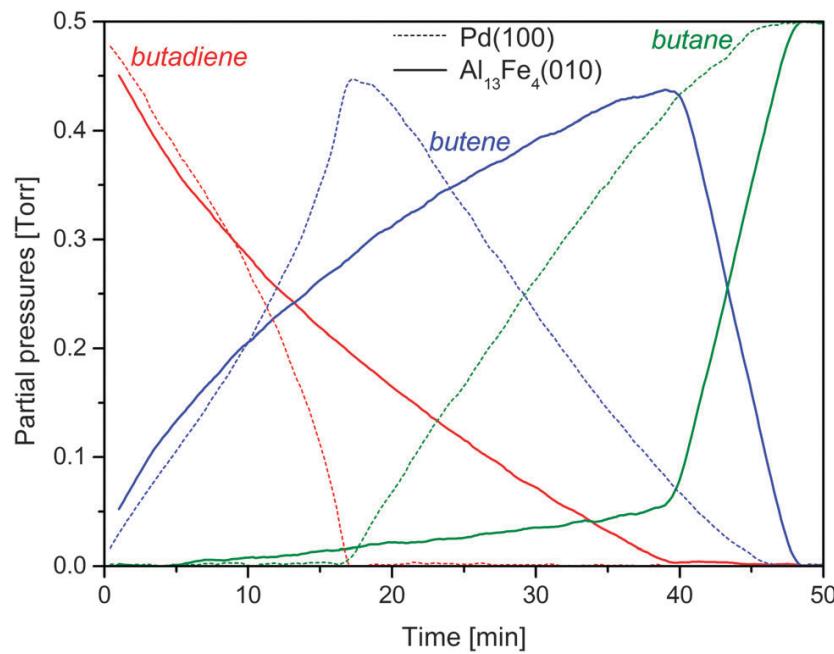


Figure 1.24: Catalytic properties of  $\text{Al}_{13}\text{Fe}_4$  regarding the partial hydrogenation of butadiene: Hydrocarbon partial pressures during butadiene hydrogenation on a single crystal of  $\text{Al}_{13}\text{Fe}_4(010)$  (straight lines) and Pd(100) (dashed lines) at 24°C [7].

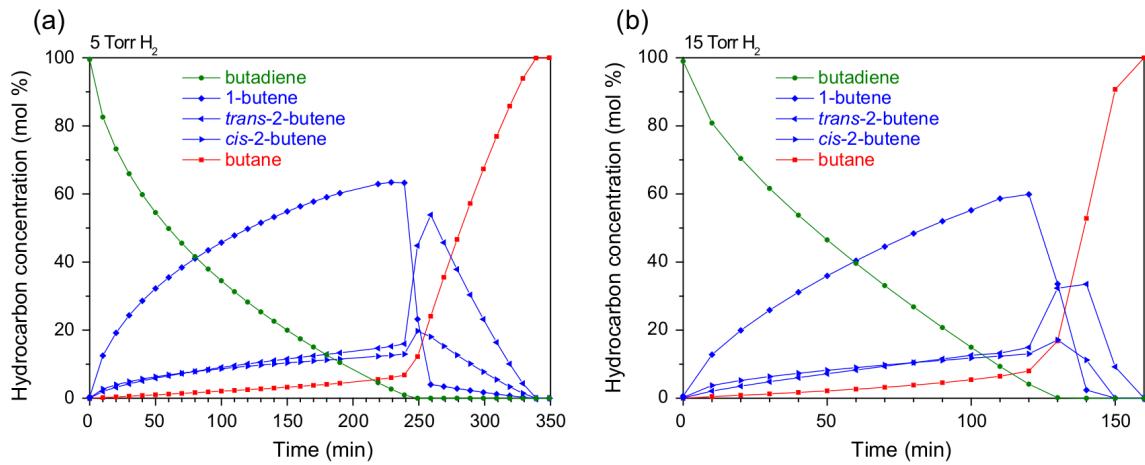


Figure 1.25: Hydrogenation of butadiene on  $\text{Al}_{13}\text{Fe}_4(010)$  at room temperature for initial hydrogen pressures of (a) 5 Torr ( $\approx 6.7$  mbar) and (b) 15 Torr ( $\approx 20$  mbar) [9].

mers that are produced during butadiene hydrogenation [9]. As shown in Fig. 1.25 1-butene is the major product during the first hydrogenation reaction with a selectivity close to 70% for two hydrogen concentration (6.7 and 20 mbar) at room temperature.

Regarding  $\text{Al}_{13}\text{Co}_4$ , Kandaskalov et al. performed in 2014 and 2017 theoretical investigations of the catalytic properties of  $\text{Al}_{13}\text{Co}_4(100)$  [32, 19]. Their results suggested an easier hydrogen dissociation on this intermetallic compound than on simple Al surfaces. They also provided a possible mechanism for the hydrogenation of acetylene highlighting a high selectivity but low activity towards the formation of ethylene. The activation energy was calculated to be of 0.86 eV for ethylene and 1.15 eV for ethane.

Finally, in 2019 Piccolo et al. studied the influence of the transition metal and the surface orientation on butadiene hydrogenation in  $\text{Al}_{13}\text{TM}_4$  [8]. Pseudo 10-fold surfaces of  $\text{Al}_{13}\text{Fe}_4$ ,  $\text{Al}_{13}\text{Ru}_4$  and  $\text{Al}_{13}\text{Co}_4$  and the pseudo 2-fold surface of  $\text{Al}_{13}\text{Co}_4$  were compared :  $\text{Al}_{13}\text{Fe}_4(010)$  appears to be the most active model catalyst at room temperature whereas  $\text{Al}_{13}\text{Co}_4(010)$  (pseudo 2-fold) is revealed to be the most active at  $110^\circ\text{C}$  while remaining 100% selective to butenes (unlike  $\text{Al}_{13}\text{Fe}_4(010)$ ). Fig. 1.26 presents the comparison of the different activities. It has to be noted that no surface model for  $\text{Al}_{13}\text{Co}_4(010)$  has yet been proposed since no investigation has been carried on.

Adsorption as well as electronic (density of states, DOS) calculations were also performed in order to determine if those compounds tend to follow the Newns–Anderson model [49]. First, the results suggest that the higher adsorption energy of  $\text{C}_4\text{H}_x$  the higher the activity towards butadiene hydrogenation (Tab. 1.6). Then, it is noticeable that site-isolation induces a narrowing of the d-band (Fig. 1.27) compared to the one of relative

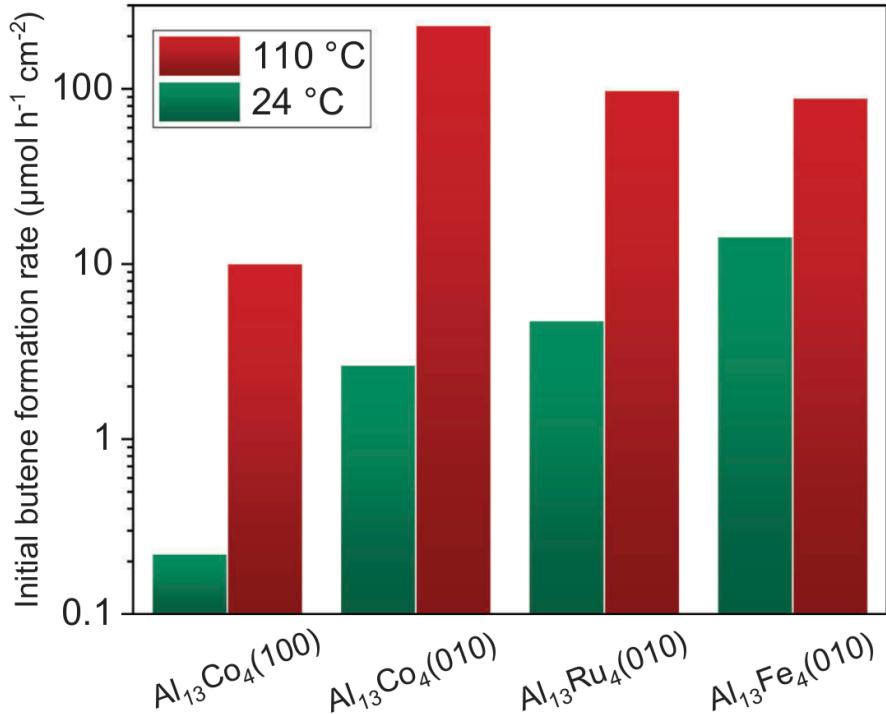


Figure 1.26: Comparison of initial butene formation rates of  $\text{Al}_{13}\text{TM}_4$  surfaces at room temperature and  $110^\circ\text{C}$  [8].

Table 1.6: Adsorption, catalytic and electronic properties of  $\text{Al}_{13}\text{TM}_4$  ( $\text{TM} = \text{Co}, \text{Ru}, \text{Fe}$ ) [8].

| Phase                                  | $E_{\text{ads}}^{\text{C}_4\text{H}_6}$ (eV) | $E_{\text{ads}}^{\text{C}_4\text{H}_8}$ (eV) | Activity ( $\mu\text{mol/h/cm}^2$ ) | d-band center (eV) |
|--|--|--|-------------------------------------|--------------------|
| <i>o</i> - $\text{Al}_{13}\text{Co}_4$ | 1.06   | 0.62   | 0.2                                 | -1.97              |
| <i>m</i> - $\text{Al}_{13}\text{Ru}_4$ | 1.15   | 0.70   | 4.5                                 | -2.48              |
| <i>m</i> - $\text{Al}_{13}\text{Fe}_4$ | 1.30   | 0.91   | 13                                  | -1.41              |

simple metal (bcc Fe, hcp Co and Ru). Therefore a stronger chemisorption (than on simple metals) and a narrowing of the d-band are observed, enhancing the hydrogenation activity. Focusing on  $\text{Al}_{13}\text{Fe}_4$  and  $\text{Al}_{13}\text{Co}_4$ , there is a correlation between the position of the d-band (last column of Tab. 1.6) and the adsorption properties. As long as one stay on one line of the periodic table (3d TM, Fe and Co), the  $\text{Al}_{13}\text{TM}_4$  compounds seem to follow the Newns–Anderson model. It is more difficult to rationalize the correlation between the position of the d-band and the adsorption properties when one adds the 4d TM ( $\text{Al}_{13}\text{Ru}_4$ ) into the equation.

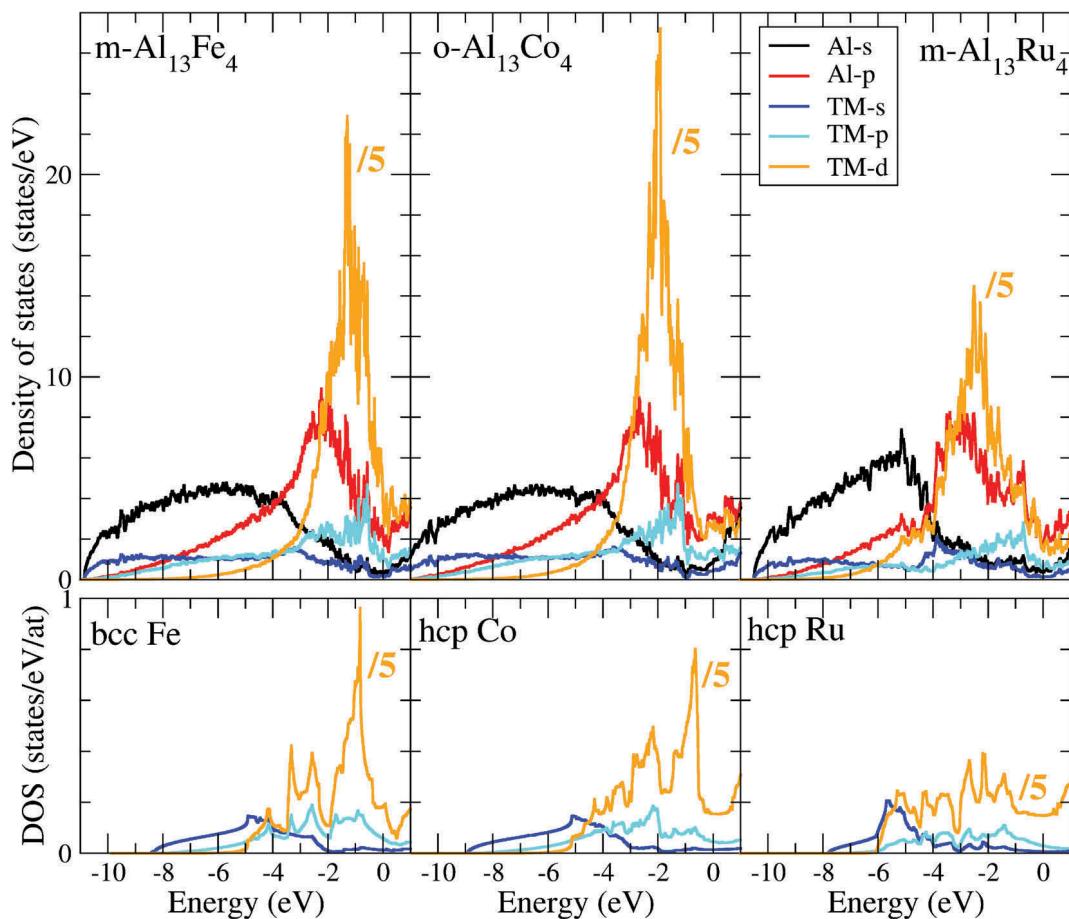


Figure 1.27: Contributions of s-, p-, and d-states to the density of states (DOS) for bulk Al<sub>13</sub>TM<sub>4</sub> and TM. The Fermi energy corresponds to 0 eV [8].

### 1.4.2 $\text{Al}_5\text{Co}_2$

Concerning  $\text{Al}_5\text{Co}_2$ , in 2017 Meier et al. used theoretical calculations to investigate the catalytic properties of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ ,  $\text{Al}_5\text{Co}_2(100)$  and  $\text{Al}_5\text{Co}_2(001)$  towards the partial hydrogenation of acetylene ( $\text{C}_2\text{H}_2$ ) [15]. On  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ , the activation energy was calculated to be around 60 kJ/mol while the one towards total hydrogenation (into ethane) was estimated to be around 106 kJ/mol. These results suggested a selective catalyst.

Recently, it was shown that  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  was a selective yet not highly active catalyst towards the partial hydrogenation of butadiene into butene at  $110^\circ\text{C}$  [23]. As shown in Fig. 1.28(a) the sample is quite selective towards the formation of butene (butane is formed only after the full conversion of butadiene). The main butene isomer that is produced is here again 1-butene (determined by gas chromatography, Fig. 1.28b).

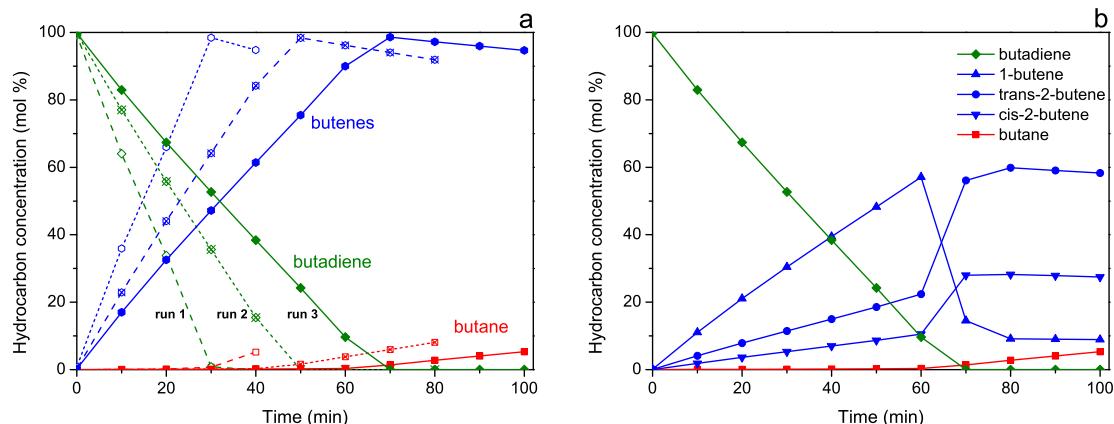


Figure 1.28: (a) Catalytic performances of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  at  $T = 110^\circ\text{C}$ , with initial pressures  $p_{\text{H}_2} = 5 \text{ mbar}$  and  $p_{\text{C}_4\text{H}_6} = 0.5 \text{ mbar}$  (first, second and third runs are respectively drawn in dashed, dotted and full lines). (b) Detailed catalytic performances of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  for the third run showing the concentrations of the different butene isomers [23].

# Chapter 2

## Theoretical and Experimental Methodologies

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## 2.1 Principles of Density Functional Theory

This first section focuses on the well-established Density Functional Theory, its fundamental equations, limitations and approximations. The numerical implementation using the code VASP is also detailed. In the following bold letters will refer to vectors.

### 2.1.1 Schrödinger equation

A crystalline structure can be seen as a system of  $n$  electrons and  $N$  nuclei and therefore as a  $3n + 3N$  many-body quantum problem. The interactions between those particles are governed by the law of quantum mechanics. The time-independent Schrödinger equation describes such system and is expressed by the following equation :

$$\hat{H}\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{R}_1, \mathbf{R}_2, \dots) = E\Psi(\mathbf{r}_1, \mathbf{r}_2, \dots, \mathbf{R}_1, \mathbf{R}_2, \dots) \quad (2.1)$$

where  $\hat{H}$  is the Hamiltonian operator,  $E$  one eigenvalue of the system,  $\mathbf{r}_i$  and  $\mathbf{R}_i$  the positions of electrons and nuclei, respectively, and  $\Psi$  its associated eigenvector also known as the many-body wave function of the system. In the following, all the equations will be written in atomic units. The Hamiltonian operator can be expressed as follows.

$$\hat{H} = T_{el} + T_{nuc} + U_{el-el} + V_{el-nuc} + V_{nuc-nuc}$$

where  $T_{el}$  and  $T_{nuc}$  are respectively the kinetic energies of the electrons and the nuclei,  $U_{el-el}$  the interaction potential of the electrons,  $V_{el-nuc}$  the Coulomb attraction of the electrons and the nuclei, and finally  $V_{nuc-nuc}$  the interaction potential of the nuclei. The developed form of the Hamiltonian is presented by Eq. 2.2.

$$\hat{H} = \underbrace{-\frac{1}{2} \sum_i^n \nabla_i^2}_{T_{el}} - \underbrace{\frac{1}{2} \sum_k^N \frac{\nabla_k^2}{M_k}}_{T_{nuc}} - \underbrace{\sum_i^n \sum_{j \neq i}^n \frac{1}{|\mathbf{r}_i - \mathbf{r}_j|}}_{U_{el-el}} - \underbrace{\sum_i^n \sum_k^N \frac{Z_k}{|\mathbf{r}_i - \mathbf{R}_k|}}_{V_{el-nuc}} - \underbrace{\sum_k^N \sum_{l \neq k}^N \frac{Z_k Z_l}{|\mathbf{R}_k - \mathbf{R}_l|}}_{V_{nuc-nuc} = \text{constant}} \quad (2.2)$$

where  $\nabla^2$  is the Laplacian operator,  $M_k$  the mass of nucleus  $k$ ,  $|\mathbf{r}_i - \mathbf{r}_j|$  the distance between electrons  $i$  and  $j$ ,  $|\mathbf{r}_i - \mathbf{R}_k|$  the distance between electron  $i$  and nucleus  $k$ ,  $|\mathbf{R}_k - \mathbf{R}_l|$  the distance

between nuclei k and l, and  $Z_k$  the atomic number of nucleus k. The analytical solution of this equation is unreachable (many-body problem). Numerical solutions are possible within some approximations.

The first one is the Born-Oppenheimer approximation. Since the nuclei are much heavier than the electrons (mass ratio 1:2000 →  $T_{\text{nuc}} \ll T_{\text{el}}$ ), we only solve the electronic structure for frozen configurations of the nuclei. In this scenario,  $V_{\text{nuc-nuc}}$  is assumed to be constant. The relevant Hamiltonian is thus  $\hat{H}_{\text{el}} = T_{\text{el}} + U_{\text{el-el}} + V_{\text{el-nuc}}$ . Therefore our problem is simplified to a  $3n$  many-body problem described by Eq. 2.3.

$$\hat{H}_{\text{el}} \varphi(\mathbf{r}_1, \mathbf{r}_2, \dots) = E_{\text{el}} \varphi(\mathbf{r}_1, \mathbf{r}_2, \dots) \quad (2.3)$$

where  $\varphi$  is the multi-electronic wave function. The total electronic energy  $E_{\text{el}}$  depends only parametrically on the position  $\mathbf{R}_k$  of the nuclei. Nevertheless we still have a  $3n$  many-body quantum problem. Two main types of methods were developed in order to solve this kind of problems : the Hartree-Fock methods [108, 109] and the Density Functional Theory [110, 111].

The first one approximates the multi-electronic wave function  $\varphi$  as a Slater determinant [112]. It is a mathematical way to approach the wave function of a system as it respects the antisymmetry principle of such function for the fermions.

$$\varphi = \begin{vmatrix} \varphi_1(\mathbf{r}_1) & \cdots & \varphi_n(\mathbf{r}_1) \\ \vdots & \ddots & \vdots \\ \varphi_1(\mathbf{r}_n) & \cdots & \varphi_n(\mathbf{r}_n) \end{vmatrix}$$

Density Functional Theory is a post-Hartree-Fock method that uses the total electronic density as the main variable instead of the multi-electronic wave function. This method comes from the 1927 Thomas-Fermi model [113, 114]. In this model the kinetic energy of a non-interacting electrons cloud depends only on its electron density. This electron density is expressed by the following equation (Eq. 2.4).

$$\rho(\mathbf{r}) = \int_{\mathbf{r}_2} \cdots \int_{\mathbf{r}_n} |\varphi(\mathbf{r}, \mathbf{r}_2, \dots, \mathbf{r}_n)|^2 d\mathbf{r}_2 \dots d\mathbf{r}_n \quad (2.4)$$

## 2.1.2 The Hohenberg and Kohn theorems

The Density Functional Theory lies on two main theorems that were established by Hohenberg and Kohn in 1964 [110].

### 2.1.2.1 Existence theorem

All observable quantities of a quantum system are uniquely determined by its density, and all properties can be calculated as an explicit or implicit functional of density. A functional is a function of a function : it refers to a linear mapping from a vector space  $V$  into its field of scalars. Therefore the energy of a system can be written as Eq. 2.5 (from Eq. 2.2).

$$E_{el}[\rho(\mathbf{r})] = T_{el}[\rho(\mathbf{r})] + U_{el-el}[\rho(\mathbf{r})] + V_{el-nuc}[\rho(\mathbf{r})] \quad (2.5)$$

$V_{el-nuc}$  can be seen as an external potential and the previous equation can be generalized by Eq. 2.6 where  $F_{HK}$  is the universal functional that does not depend on any external potential to the system but only on the kinetic energies and interaction potentials of the electrons of the system.

$$E_{el}[\rho(\mathbf{r})] = F_{HK}[\rho(\mathbf{r})] + \int V_{ext}(\mathbf{r})\rho(\mathbf{r})d\mathbf{r} \quad (2.6)$$

### 2.1.2.2 Variational principle

Derived from the variational principle of quantum mechanics, this theorem implies that the calculated energy of a system is higher than the exact energy determined by the exact electronic density (Eq. 2.7). Thanks to this principle, it is possible to choose an electronic density the closest to the real one in order to minimize the total energy of the system.

$$E_{el}[\rho(\mathbf{r})] \geq E_{true}[\rho_{true}(\mathbf{r})] \quad (2.7)$$

However, these theorems do not allow the determination of the density  $\rho(\mathbf{r})$  since the interaction potential  $U_{el-el}$  is still unknown.

### 2.1.3 The Kohn-Sham method and the Exchange-Correlation energy

In 1965 Kohn and Sham developed a method – called the Kohn-Sham method – to determine the energy and the electronic density of a system in its fundamental state (stationary solution with the lowest eigenvalue) [111]. In this method, the many-body problem of  $n$  interacting electrons (density  $\rho(\mathbf{r})$ ) is seen as a system of  $n$  non-interacting electrons having the same density  $\rho(\mathbf{r})$ . The many-body problem is thus transformed into a system of  $n$

uncoupled single-body equations. Therefore Eqs. 2.3 and 2.4 could be written as follows :

$$\hat{H}_{KS} \psi_i(\mathbf{r}) = \varepsilon_i \psi_i(\mathbf{r}) \text{ and } \rho(\mathbf{r}) = \sum_{i=1}^n |\psi_i(\mathbf{r})|^2 \text{ with } i \in 1, \dots, n \quad (2.8)$$

where  $\hat{H}_{KS}$  is the Kohn-Sham Hamiltonian,  $\psi_i(\mathbf{r})$  the Kohn-Sham wave functions, and  $\varepsilon_i$  the eigenvalues of their associated energies. The Kohn-Sham Hamiltonian is expressed as follows :

$$\hat{H}_{KS} = \underbrace{\frac{1}{2} \nabla_i^2}_{\text{kinetic}} - \underbrace{\sum_{i=1}^N \frac{Z_i}{|\mathbf{r} - \mathbf{R}_i|}}_{e^-/\text{nuclei}} + \underbrace{\int_{\mathcal{V}} \frac{\rho(\tilde{\mathbf{r}})}{|\mathbf{r} - \tilde{\mathbf{r}}|} d^3 \tilde{\mathbf{r}}}_{e^-/e^-} + \underbrace{\frac{\delta E_{xc}[\rho]}{\delta \rho}}_{V_{xc}} \quad (2.9)$$

It could be seen as if each electron does not see the others, but only a external potential and a electronic density equals to the one of all the electrons  $\rho$ . Here, the only approximation lies inside the functional  $E_{xc}[\rho]$  called the exchange-correlation energy that contains both the exchanges between electrons, their correlations and the corrections on the kinetic energies due to the Kohn-Sham method. Using the Hohenberg-Kohn theorems, it is possible to determine an expression of the groundstate energy of the system  $E_{el}^\circ$  (Eq. 2.10).

$$E_{el}^\circ = F[\rho(\mathbf{r})] = \sum_i \varepsilon_i + E_{xc}[\rho] - \int_{\mathcal{V}} V_{xc}(\mathbf{r}) \rho(\mathbf{r}) d^3 \mathbf{r} - \frac{1}{2} \int_{\mathcal{V}} \int_{\tilde{\mathcal{V}}} \frac{\rho(\mathbf{r}) \rho(\tilde{\mathbf{r}})}{|\mathbf{r} - \tilde{\mathbf{r}}|} d^3 \tilde{\mathbf{r}} d^3 \mathbf{r} \quad (2.10)$$

where  $F$  is the universal functional of the electronic density, and  $V_{xc}(\mathbf{r}) = \frac{\delta E_{xc}[\rho]}{\delta \rho}(\mathbf{r})$  the exchange-correlation potential. If the exact form of  $E_{xc}[\rho]$  is known, one could get the exact value of  $E_{el}^\circ$ . But it is not possible to obtain this exact form.

It is therefore necessary to approximate the exchange-correlation energy, in order to compute it numerically. There are a few ways to estimate this energy and the simplest one is called the Local Density Approximation.

### 2.1.3.1 Local density approximation (LDA)

In this approximation proposed by Kohn and Sham [111], the density is considered to be locally homogeneous and barely varying. The exchange-correlation energy is linearly decomposed into the sum of an exchange term and a correlation term, and is defined by :

$$E_{xc}^{\text{LDA}}[\rho] = \int_{\mathcal{V}} \rho(\mathbf{r}) \varepsilon_{xc}(\rho) d\mathbf{r}$$

where  $\varepsilon_{xc}$  is the exchange-correlation energy per particle of a homogeneous electron gas

of electronic density  $\rho$  that can be computed with high accuracy (local calculation).

### 2.1.3.2 Generalized gradient approximation (GGA)

This approximation is an improvement of the LDA where  $\varepsilon_{xc}$  also depends on the gradient (first derivative) of the electronic density [115] and is defined by :

$$E_{xc}^{\text{GGA}}[\rho] = \int_V \rho(\mathbf{r}) \varepsilon_{xc}(\rho, \nabla \rho) d\mathbf{r}$$

Other functionals exist such as the Hybrid-GGA (that uses the Hartree-Fock method), the Meta-GGA (that uses the gradient and the Laplacian of the density), or even the Hybrid-Meta-GGA that combines both functionals. In the case of Al-based intermetallics, it is better to use GGA functionals because of the relatively different electronic structures of Al and Co/Fe. A locally homogeneous electronic density hypothesis is not correct. In this work, the functionals that we use are therefore based on the GGA functional : the Perdew-Burke-Ernzerhof (PBE) and the DFT-D3 (dispersive) functionals.

### 2.1.4 The PBE and DFT-D3 functionals

The PBE functional [115, 116, 117] could be referred to as the "classical" GGA functional used in common DFT calculations. It is an improvement upon the first GGA functionals (such as PW91) and corrects some features of LDA [116]. Atomization energies of molecules are for instance better predicted using PBE functional. GGA-PBE is well-designed for the study of intermetallics (bulk and surfaces) as well as adsorption properties [106].

Nevertheless, by studying the adsorption of molecules onto a metallic surface, one has to consider van der Waals interactions. GGA-PBE functionals do not describe correctly this kind of interaction resulting from dynamical correlations between fluctuating charge distributions. An easy way to bear this issue is to add a dispersive term to the Kohn-Sham energy :

$$E_{\text{DFT-D}} = E_{\text{KS}} + E_{\text{disp}}$$

In 2010, Grimme et al. developed the DFT-D3 corrections [118, 119, 120] in which the dispersion coefficient are geometry-dependent as they are adjusted on the basis of local geometry (coordination number) around the considered atoms. This functional presents numerous advantages : it is robust, numerically stable, fast, purely *ab initio* (no empirical coefficients, depending on input parameters only), and is applicable to all elements, molecules, and solids. Van der Waals forces are also present at metallic surfaces, and they

non negligibly affect the surface energies [121]. It is therefore interesting to use this approximation when it comes to molecular adsorption on metallic surfaces.

### 2.1.5 Numerical implementation

The computational way to solve the aforementioned equations is to use self-consistent loops (as presented in Fig. 2.1) with convergence criteria as stop conditions. In practice, different methods exist to tackle the resolution of a DFT calculation. For example one can use gaussian orbitals (code Gaussian), or plane waves inside periodic boundary conditions (code VASP). The fundamental difference between them is the basis set used to represent Kohn-Sham orbitals. The code VASP will be the one used all over the present work.

High power computing (HPC) ressources are needed to perform such calculations. Three HPC clusters were mainly used in this work : *Occigen* at CINES (Centre Informatique National de l'Enseignement Supérieur, Montpellier, France), *Explor* at IJL (Université de Lorraine, Institut Jean Lamour, Nancy, France) and *Cobalt* at TGCC (Très Grand Centre de calcul du CEA, Saclay, France).

#### 2.1.5.1 VASP code

The Vienna *Ab initio* Simulation Package (VASP) is developed by Kresse et al. since the 1990's and is based on the use of plane waves to represent the electrons, combined either with pseudopotentials or the projector augmented wave (PAW) method [122, 123, 124, 125, 126].

#### 2.1.5.2 Reciprocal space discretization

When looking at crystal structures (bulk materials and surfaces), VASP tackles the problem using periodic boundary conditions in order to avoid dealing with  $\sim 10^{23}$  electrons ! If we recall the Kohn-Sham main equation ( $\hat{H}_{KS} \psi_i(\mathbf{r}) = \varepsilon_i \psi_i(\mathbf{r})$ ), one has to describe the wave functions inside those periodic boundary conditions. Thanks to the translational invariant principle and the Bloch theorem [127], the wave functions could be written as follows :

$$\psi_{n\mathbf{k}}(\mathbf{r}) = u_{n\mathbf{k}}(\mathbf{r}) e^{i\mathbf{k}\cdot\mathbf{r}} \quad \text{with} \quad u_{n\mathbf{k}}(\mathbf{r} + \mathbf{R}) = u_{n\mathbf{k}}(\mathbf{r}) \quad \text{and} \quad \psi_{n\mathbf{k}}(\mathbf{r} + \mathbf{R}) = \psi_{n\mathbf{k}}(\mathbf{r}) e^{i\mathbf{k}\cdot\mathbf{R}}$$

where  $\mathbf{R}$  is a translation vector that leaves  $\hat{H}$  unchanged,  $\mathbf{k}$  is the Bloch wavevector (constrained to lie within the first Brillouin zone of the reciprocal space lattice),  $n$  is the band index, and  $u_{n\mathbf{k}}(\mathbf{r})$  is the periodic part due to the lattice and its periodicity. The electronic

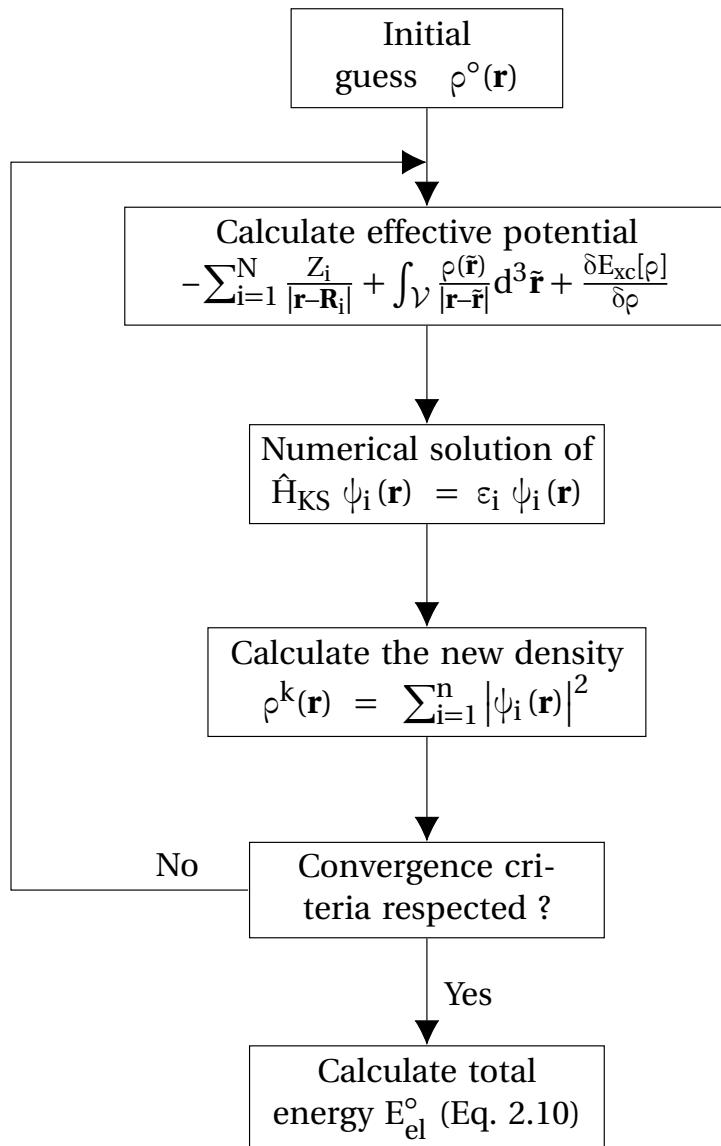


Figure 2.1: Self-consistent loop used in Density Functional Theory

density is obtained by integration over the first Brillouin zone (BZ) and is given by:

$$\rho(\mathbf{r}) = \frac{1}{\Omega_{\text{BZ}}} \sum_n \int_{\text{BZ}} f_{n\mathbf{k}} |\psi_{n\mathbf{k}}(\mathbf{r})|^2 d\mathbf{k}$$

where  $\Omega_{\text{BZ}}$  is the volume of the first Brillouin zone and  $f_{n\mathbf{k}}$  are the occupation numbers (*i.e.* the number of electrons in the state  $n\mathbf{k}$ ). The integration is transformed for numerical purposes into a discrete weighted (for normalization purposes) sum over a discrete set of  $\mathbf{k}$ -points :

$$\rho(\mathbf{r}) = \frac{1}{\Omega_{\text{BZ}}} \sum_n \sum_{\mathbf{k}} w_{\mathbf{k}} f_{n\mathbf{k}} |\psi_{n\mathbf{k}}(\mathbf{r})|^2 d\mathbf{k} \quad \text{with} \quad \sum_{\mathbf{k}} w_{\mathbf{k}} = 1$$

Ideally, one would like to have an equally spaced mesh of the Brillouin zone that provides a good calculation precision while being fast enough in order to have reasonable computing costs. In practice, the following rule of thumb is applied for a  $(N_1 \times N_2 \times N_3)$   $\mathbf{k}$ -points grid and its  $N_i$  ratio :

$$N_1 : N_2 : N_3 \simeq \frac{1}{|\mathbf{a}_1|} : \frac{1}{|\mathbf{a}_2|} : \frac{1}{|\mathbf{a}_3|}$$

where  $\mathbf{a}_i$  are the Bravais lattice vectors of the considered crystal. This grid will be a VASP input parameter. In the case of bulk  $\text{Al}_5\text{Co}_2$ , a  $(8 \times 8 \times 8)$  grid is enough to ensure a precision below 1 meV (as presented later on in Fig. 2.3). For a bulk  $\text{Al}_{13}\text{Co}_4$ , a  $(13 \times 9 \times 7)$  grid is enough to have a similar precision.

### 2.1.5.3 Plane waves basis definition

As seen previously, the wave functions are defined using a periodic part due to the lattice and its periodicity ( $u_{n\mathbf{k}}(\mathbf{r})$ ). In order to numerically calculate them, it is possible to express this periodic part as Fourier series, transforming the previous wave functions into sums of plane waves :

$$u_{n\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{G}} C_{\mathbf{G}n\mathbf{k}} \cdot e^{i\mathbf{G} \cdot \mathbf{r}} \rightarrow \psi_{n\mathbf{k}}(\mathbf{r}) = \sum_{\mathbf{G}} C_{\mathbf{G}n\mathbf{k}} \cdot e^{i(\mathbf{G} + \mathbf{k}) \cdot \mathbf{r}} \quad (2.11)$$

where  $\mathbf{G}$  covers all the reciprocal space vectors and  $C_{\mathbf{G}n\mathbf{k}}$  are the coefficient of the plane waves. In practice, not all the reciprocal space vectors are probed. We introduce then the cut-off energy  $E_{\text{cut-off}}$  that represents the maximum kinetic energy of the considered plane waves. This energy will be a VASP input parameter.

$$\frac{1}{2} |\mathbf{G} + \mathbf{k}|^2 \leq E_{\text{cut-off}}$$

#### **2.1.5.4 Pseudo-potentials and Projector-Augmented Wave method**

Finally, in order to solve the Kohn-Sham equations one has to know the electronic structures of the atoms. In our case, VASP uses the frozen core approximation where only the valence electrons are considered since the core electrons barely affect the electronic properties. Their potentials are therefore approximated and pseudo-potentials – that are equal to the real potential far from the atom core – are used. The Projector-Augmented Wave (PAW) method is a generalization of the pseudo-potential method and allows for DFT calculations to be performed with greater computational efficiency [126, 128].

## **2.2 DFT applications to Al-based intermetallics**

DFT will be a powerful tool used all along this work. From bulk electronic structures to surfaces reactivity all kind of relevant properties may be predicted : bulk and slabs total energies, bulk and surface density of states, partial surface charge density, surface energies, adsorption energies, reaction paths and barriers, etc.

### **2.2.1 Bulk and surfaces simulations**

Before doing more complex calculations, it is necessary to tune the VASP input parameters for the considered systems in order to have reasonable computing time while reaching convergence within a good precision. It is for example crucial to determine the right k-points mesh and cut-off energy. Concerning surfaces calculations, slabs must be built : a sufficiently thick vacuum has to be put along the surface direction to have no interaction between the periodic slabs as shown in Fig. 2.2.

Fig. 2.3 presents the different convergence tests regarding (a) the k-points mesh, (b) the cut-off energy and (c) the thickness of the vacuum between two slabs. For bulk  $\text{Al}_5\text{Co}_2$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ , a  $(8 \times 8 \times 8)$  k-points grid ( $(8 \times 8 \times 1)$  for the surface), a cut-off energy of 450 eV and a vacuum thickness (for the surface) of 16 Å are enough to reach a precision below 1 meV while remaining fast enough (using the PBE functional).

### **2.2.2 Electronic structure**

#### **2.2.2.1 Density of states**

The density of states (DOS) is the number of states that are to be occupied by the system at each level of energy. High DOS at a specific energy level means that many states are

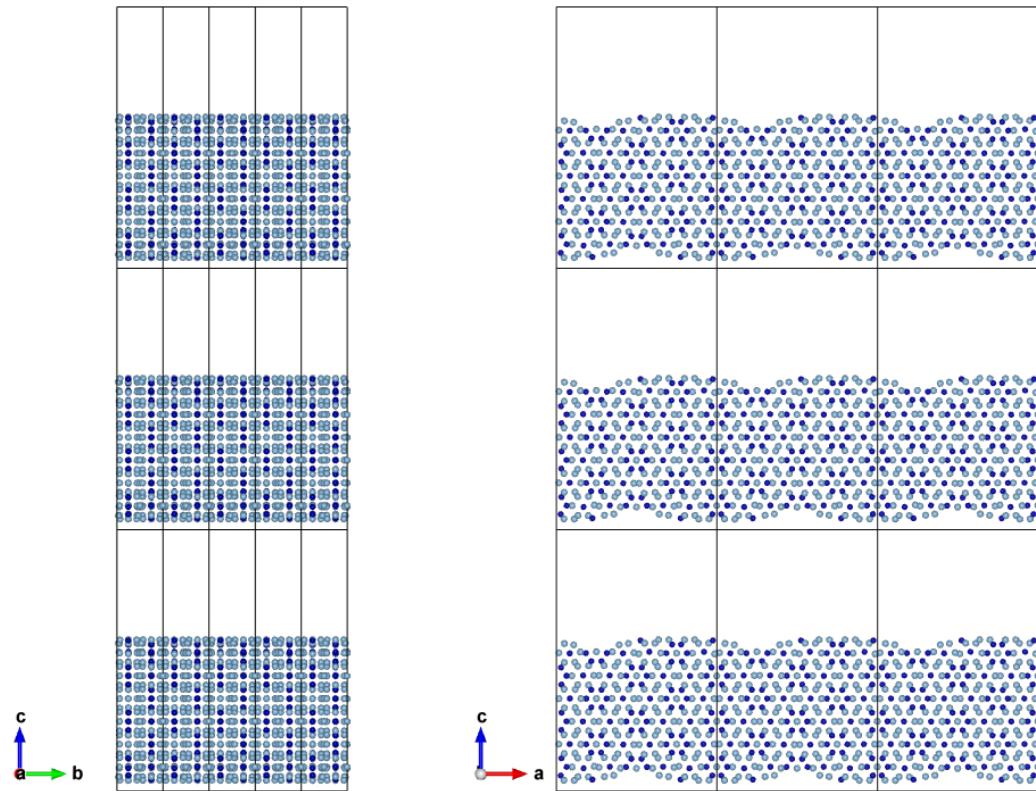


Figure 2.2: Slab construction of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ . Al and Co atoms are drawn in light blue and blue, respectively.

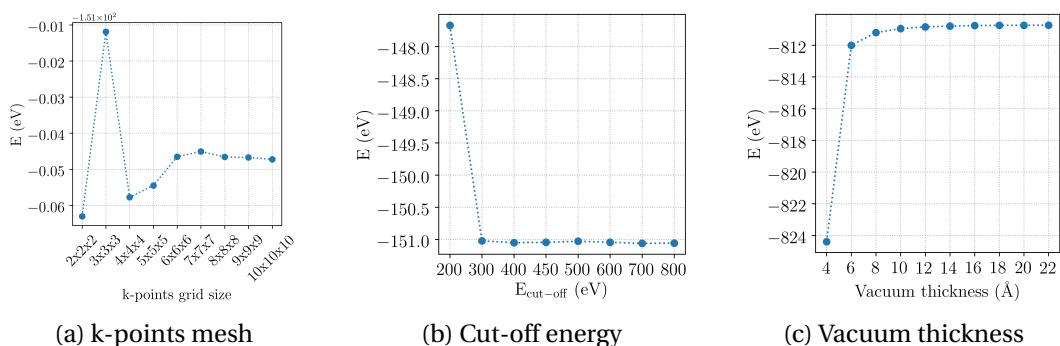


Figure 2.3: Convergence tests for (a-b) bulk  $\text{Al}_5\text{Co}_2$  and (c) surface  $\text{Al}_5\text{Co}_2(2\bar{1}0)$

available for occupation. In metals, the DOS is continuous while it is discrete in atoms and molecules. Numerically, the calculated DOS  $\bar{n}$  is determined as the difference of the integrated DOS between two energies :

$$\bar{n} = \frac{N(\varepsilon_i) - N(\varepsilon_{i-1})}{\Delta\varepsilon} \quad \text{with} \quad \Delta\varepsilon = \varepsilon_i - \varepsilon_{i-1} \quad \text{and} \quad N(\varepsilon_i) = \int_{-\infty}^{\varepsilon_i} n(\varepsilon) d\varepsilon$$

where  $N(\varepsilon_i)$  is the integrated DOS and  $\Delta\varepsilon$  the energy discretization. This numerical method conserves exactly the total number of electrons. The calculation of the DOS allows to verify if there is a gap or a pseudo-gap at the Fermi level that could be correlated to some particular properties.

### 2.2.2.2 STM images simulations

The experimental description of scanning tunneling microscopy (STM) will be further developed in section 2.4.4. Simulations of STM images are done using the Tersoff-Hamann approximations [129, 130]. The tunneling current  $I_t$  is calculated and assumed to be proportional to the local DOS of the surface at the position of the tip of the microscope.

The surface and the tip are considered to be independant systems having little interactions. The tunneling current is therefore calculated by first-order perturbation theory [129]. The tip is considered to be punctual : only the surface electronic density below the tip is thus relevant. Finally, we consider that the tip has a spherical local potential with s-type wave functions. All these approximations give the following equation for the calculated tunnelling current :

$$I_t(\mathbf{r}_o) \approx \frac{4\pi^2 e}{h} \rho_{tip}(\varepsilon_F) \int_{\varepsilon_F}^{\varepsilon_F + V_b} \rho_{surf}(\mathbf{r}_o, \varepsilon) d\varepsilon$$

where  $\mathbf{r}_o$  is the position of the tip,  $\rho_{tip}$  its electronic density,  $\rho_{surf}(\mathbf{r}_o, \varepsilon)$  the one of the surface at  $\mathbf{r}_o$  (local density),  $\varepsilon_F$  the Fermi level and  $V_b$  the applied bias between the tip and the surface (here the bias is positive).

### 2.2.3 Thermodynamic simulations

DFT calculations allow for the determination of the total energy of a system as well as the adsorption energies of different elements of molecules to the surface of a material. Thanks to those results, one can calculate surface energies or even extrapolate pressure and temperature stability conditions of an adsorbed gas.

### 2.2.3.1 Surface energy calculations

Let's assume a symmetrical slab of  $\text{Al}_{13}\text{Co}_4$ , the surface energies  $\gamma$  of the surface models are defined by the following equations 2.12 and 2.13 [106].

$$2A\gamma = E^{\text{slab}} - \sum_i \mu_i N_i^{\text{slab}} \quad \text{with } i \in (\text{Al}, \text{Co}) \quad \text{and} \quad \mu_i = \frac{\partial G}{\partial N_i} \Big|_{p, T, N_j} \quad (2.12)$$

$$\gamma = \frac{1}{2A} \left( E^{\text{slab}} - \mu_{\text{Al}} N_{\text{Al}}^{\text{slab}} - \mu_{\text{Co}} N_{\text{Co}}^{\text{slab}} \right) \quad (2.13)$$

where  $A$  is the surface area,  $E^{\text{slab}}$  is the total energy of the slab (determined through DFT calculations),  $\mu_X$  is the chemical potential of  $X$  in  $\text{Al}_{13}\text{Co}_4$ ,  $G$  the free enthalpy of the system and  $N_X^{\text{slab}}$  the number of  $X$  atom in the slab ( $X = \text{Al}, \text{Co}$ ). In the bulk material the  $\text{Al}_{13}\text{Co}_4$  atomic chemical potential – also known as the cohesive energy – is defined by (Gibb's rule):

$$E_{\text{cohesive}}^{\text{Al}_{13}\text{Co}_4} = \mu_{\text{Al}_{13}\text{Co}_4}^{\text{bulk}} = 13\mu_{\text{Al}} + 4\mu_{\text{Co}} \quad (2.14)$$

The Al and Co cohesive energies are defined by the following equation :

$$E_{\text{cohesive}}^X = \mu_X^{\text{bulk}} = \frac{E_X^{\text{bulk}} - N_X^{\text{bulk}} E_X^{\text{lone}}}{N_X^{\text{bulk}}} \quad \text{with } X \in (\text{Al}, \text{Co})$$

where  $E_X^{\text{bulk}}$  is the energy of a pure  $X$  bulk,  $N_X^{\text{bulk}}$  the number of atoms in the unit cell and  $E_X^{\text{lone}}$  the energy of an isolated  $X$  atom ( $X = \text{Al}, \text{Co}$ ). The cohesive energy of  $\text{Al}_{13}\text{Co}_4$  can also be defined using its formation enthalpy :

$$E_{\text{cohesive}}^{\text{Al}_{13}\text{Co}_4} = \mu_{\text{Al}_{13}\text{Co}_4}^{\text{bulk}} = 13\mu_{\text{Al}}^{\text{bulk}} + 4\mu_{\text{Co}}^{\text{bulk}} + 17\Delta H_f^{\text{Al}_{13}\text{Co}_4} \quad (2.15)$$

where  $\Delta H_f^{\text{Al}_{13}\text{Co}_4}$  is the atomic formation enthalpy of  $\text{Al}_{13}\text{Co}_4$ . Using all the previous definition, it is possible to express the surface energy as a function of  $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$ , where  $\mu_{\text{Al}}$  – the potential of Al in  $\text{Al}_{13}\text{Co}_4$  – is the only unknown variable :

$$\gamma = \frac{1}{2A} \left[ E^{\text{slab}} - \frac{\mu_{\text{Al}_{13}\text{Co}_4}^{\text{bulk}} N_{\text{Co}}^{\text{slab}}}{4} - \mu_{\text{Al}}^{\text{bulk}} \left( N_{\text{Al}}^{\text{slab}} - \frac{13 N_{\text{Co}}^{\text{slab}}}{4} \right) - (\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}) \left( N_{\text{Al}}^{\text{slab}} - \frac{13 N_{\text{Co}}^{\text{slab}}}{4} \right) \right] \quad (2.16)$$

Assuming the surface is in equilibrium with the bulk (no segregation is occurring), the following inequalities must be respected :  $\mu_{\text{Al}} \leq \mu_{\text{Al}}^{\text{bulk}}$  and  $\mu_{\text{Co}} \leq \mu_{\text{Co}}^{\text{bulk}}$ . In other words the system is bounded between pure bulk Al and pure Co bulk. Finally, the range of chemical

## Chapter 2. Theoretical and Experimental Methodologies

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potential for  $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$  is bounded by these two limits (Eq. 2.17, detailed in footnote<sup>1</sup>). The surface energy could then be plotted as a function of  $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$  in between  $\frac{17}{13} \Delta H_f^{\text{Al}_{13}\text{Co}_4}$  and 0.

$$\left. \begin{array}{l} \mu_{\text{Al}} \leq \mu_{\text{Al}}^{\text{bulk}} \rightarrow \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}} \leq 0 \\ \mu_{\text{Co}} \leq \mu_{\text{Co}}^{\text{bulk}} \rightarrow \frac{17}{13} \Delta H_f^{\text{Al}_{13}\text{Co}_4} \leq \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}} \end{array} \right\} \Rightarrow \frac{17}{13} \Delta H_f^{\text{Al}_{13}\text{Co}_4} \leq \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}} \leq 0 \quad (2.17)$$

The vacuum thickness – between the periodic images of the slab – barely affects the results. The key for comparison between the surface energies of different converged surface models (having the same surface reconstruction type) is to keep constant the calculations parameters (k-points grids, cut-off energy, vacuum thickness, etc) [131].

Tab. 2.1 presents some calculated energies (in this work) that are relevant for the determination of the surface energies. The DFT-D3 functional was used for these calculations.

Table 2.1: Total and cohesive energies of Al, Co and  $\text{Al}_{13}\text{Co}_4$  and formation enthalpy of  $\text{Al}_{13}\text{Co}_4$ .

| System  | Value           | Litterature       |
|---|-----------------|-------------------|
| $E_{\text{Al}}^{\text{bulk}}$                     | -3.91 eV/at     | -                 |
| $E_{\text{Co}}^{\text{bulk}}$                     | -7.38 eV/at     | -                 |
| $E_{\text{Al}}^{\text{alone}}$                    | -0.24 eV/at     | -                 |
| $E_{\text{Co}}^{\text{alone}}$                    | -1.88 eV/at     | -                 |
| $E_{\text{Al}_{13}\text{Co}_4}^{\text{bulk}}$     | -524.32 eV      | -                 |
| $E_{\text{cohesive}}^{\text{Al}}$                 | -3.67 eV/at     | 3.39 eV/at [132]  |
| $E_{\text{cohesive}}^{\text{Co}}$                 | -5.50 eV/at     | 4.39 eV/at [132]  |
| $E_{\text{cohesive}}^{\text{Al}_{13}\text{Co}_4}$ | -76.68 eV/motif | -                 |
| $\Delta H_f^{\text{Al}_{13}\text{Co}_4}$          | -0.41(2) eV/at  | -0.41 eV/at [133] |

### 2.2.3.2 Adsorption of molecules

Let's focus now on the reaction of interest in this work : the hydrogenation of butadiene. On the clean surface, the most stable sites for the adsorption of  $\text{C}_4\text{H}_x$  molecules ( $x = 6$  for butadiene and  $x = 8$  for butene) and hydrogen atoms are deduced from the calculation of

<sup>1</sup>  $\mu_{\text{Co}} \leq \mu_{\text{Co}}^{\text{bulk}} \xrightarrow{\times 4} 4\mu_{\text{Co}} \leq 4\mu_{\text{Co}}^{\text{bulk}} \xrightarrow{+13\mu_{\text{Al}}^{\text{bulk}}} 4\mu_{\text{Co}} + 13\mu_{\text{Al}}^{\text{bulk}} \leq 4\mu_{\text{Co}}^{\text{bulk}} + 13\mu_{\text{Al}}^{\text{bulk}} \rightarrow 4\mu_{\text{Co}} + 13\mu_{\text{Al}}^{\text{bulk}} \leq 4\mu_{\text{Co}} + 13\mu_{\text{Al}}^{\text{bulk}} \leq 4\mu_{\text{Co}} + 13\mu_{\text{Al}}^{\text{bulk}} - 17\Delta H_f^{\text{Al}_{13}\text{Co}_4}$  (using Eqs. 2.14 and 2.15)  
 $4\mu_{\text{Co}} + 13\mu_{\text{Al}}^{\text{bulk}} \leq 4\mu_{\text{Co}} + 13\mu_{\text{Al}}^{\text{bulk}} - 17\Delta H_f^{\text{Al}_{13}\text{Co}_4} \rightarrow 13\mu_{\text{Al}}^{\text{bulk}} \leq 13\mu_{\text{Al}}^{\text{bulk}} - 17\Delta H_f^{\text{Al}_{13}\text{Co}_4} \rightarrow 17\Delta H_f^{\text{Al}_{13}\text{Co}_4} \leq 13\mu_{\text{Al}}^{\text{bulk}} - 13\mu_{\text{Al}}^{\text{bulk}} \xrightarrow{\div 13} \frac{17}{13} \Delta H_f^{\text{Al}_{13}\text{Co}_4} \leq \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$

adsorption energies ( $E_{\text{ads}}^X$  with  $X = \text{C}_4\text{H}_x$  or  $X = \text{H}$ ):

$$E_{\text{ads}}^{\text{C}_4\text{H}_x} = E_{\text{tot}} - E_{\text{slab}} - E_{\text{C}_4\text{H}_x}$$

$$E_{\text{ads}}^{n\text{H}} = E_{\text{tot}} - E_{\text{slab}} - \frac{n}{2} E_{\text{H}_2}$$

where  $E_{\text{slab}}$ ,  $E_X$  and  $E_{\text{tot}}$  are respectively the energies of the clean slab, the  $X$  molecule in the gas phase, and the slab when  $X$  is adsorbed. The interaction between the adsorbed molecule and the surface can be analyzed in terms of the deformation energy costs  $-E_{\text{def,mol}}^{\text{C}_4\text{H}_x}$  and  $E_{\text{def,surf}}^{\text{C}_4\text{H}_x}$  – for relaxing the surface and the molecule upon forming the adsorbate complex from their respective isolated forms:  $E_{\text{ads}}^{\text{C}_4\text{H}_x} = E_{\text{def,mol}}^{\text{C}_4\text{H}_x} + E_{\text{def,surf}}^{\text{C}_4\text{H}_x} + E_{\text{int}}^{\text{C}_4\text{H}_x}$ , where  $E_{\text{int}}^{\text{C}_4\text{H}_x}$  is the "true" interaction energy between the molecule and the surface.

Co-adsorption energies were also considered as inputs for the thermodynamic model described in the following section. They are referred either to the clean surface (Eq. 2.18) or to the hydrogenated slab (Eq. 2.19), using:

$$E_{\text{clean-surf}}^{\text{C}_4\text{H}_x+n\text{H}_2\text{H}_2} = E_{\text{tot}} - E_{\text{slab}} - E_{\text{C}_4\text{H}_x} - \frac{n}{2} E_{\text{H}_2} \quad (2.18)$$

$$E_{\text{H-surf}}^{\text{C}_4\text{H}_x+n\text{H}_2\text{H}_2} = E_{\text{tot}} - E_{\text{slab}}^{n\text{H}_2\text{H}_2} - E_{\text{C}_4\text{H}_x} \quad (2.19)$$

In the previous equations,  $E_{\text{slab}}^{n\text{H}_2\text{H}_2}$  and  $n = 2n_{\text{H}_2}$  are the total energy of the hydrogenated slab and the number of hydrogen atoms adsorbed, respectively.

### 2.2.3.3 Thermodynamic modelling

The thermodynamic feasibility of the hydrogenation is deduced from the comparison of the Gibbs free adsorption energy of butadiene and butene ( $G_{\text{ads}}^{\text{C}_4\text{H}_x}$ ) [134]. These energies are computed as a function of the pressure ( $p = p^{\text{H}_2} + p^{\text{C}_4\text{H}_x}$ ) and the temperature ( $T$ ):

$$G_{\text{ads}}^{\text{C}_4\text{H}_x}(T, p) = E_{\text{clean-surf}}^{\text{C}_4\text{H}_x+n\text{H}_2\text{H}_2} + k_B T \sum_{i \in \mathcal{X}} \ln(z_{\text{trans}}^i \cdot z_{\text{rot}}^i)^{n_i} - k_B T \sum_{i \in \mathcal{X}} n_i \ln\left(\frac{p^i}{k_B T}\right) \quad (2.20)$$

with  $\mathcal{X} = (n_{\text{H}_2}\text{H}_2, \text{C}_4\text{H}_x)$ . The quantities  $z_{\text{trans}}$  and  $z_{\text{rot}}$  are the translational and rotational partition functions. They are calculated with

$$z_{\text{trans}}^i = \left( \frac{4\pi m_i k_B T}{h^2} \right)^{\frac{3}{2}}$$

$$z_{\text{rot}}^{\text{C}_4\text{H}_x} = \frac{\pi^{1/2}}{\sigma} \left( \frac{8\pi^2 k_B T}{h^2} \right)^{\frac{3}{2}} \cdot I_a I_b I_c \quad \text{and} \quad z_{\text{rot}}^{\text{H}_2} = \frac{1}{\sigma} \left( \frac{8\pi^2 k_B T}{h^2} \right) \cdot I_{\text{H}_2}$$

where  $m_i$  are the masses of the molecules,  $k_B$  the Boltzmann constant and  $h$  the Planck constant. The inertia constants ( $I_i$ ) are taken from Craig et al. [135] for butadiene ( $I_a = 2.01 \cdot 10^{-46} \text{ kg.m}^2$ ,  $I_b = 1.89 \cdot 10^{-45} \text{ kg.m}^2$ ,  $I_c = 2.09 \cdot 10^{-45} \text{ kg.m}^2$ ), from Bouchy et al. [136] for but-1-ene ( $I_a = 5.54 \cdot 10^{-46} \text{ kg.m}^2$ ,  $I_b = 1.54 \cdot 10^{-45} \text{ kg.m}^2$ ,  $I_c = 1.99 \cdot 10^{-45} \text{ kg.m}^2$ ). For hydrogen molecules, the inertia constant is equal to  $I_{\text{H}_2} = 4.57 \cdot 10^{-48} \text{ kg.m}^2$ . The symmetry parameter  $\sigma$  is equal to 2 for hydrogene and butadiene and 1 for but-1-ene.

This kind of calculations has already been performed for butadiene and butene on simple metals (such as Pd(111) and Pd(100) [25], and Pt(111) [137]) or surface alloys (Pt<sub>2</sub>Sn(111) [137]) but has yet to be done on Al-based complex intermetallics.

## 2.2.4 Kinetic calculations

Thermodynamic calculations allow for the determination of the feasability of a reaction. Further simulations are therefore necessary to provide the kinetic properties such as the selectivity and activity of a material. First we have to estimate the activation energy (or energy barrier) for each step of a chemical mechanism, then we have to model it through the means of microkinetic approaches.

### 2.2.4.1 Nudged elastic band method

The nudged elastic band (NEB) [138, 139] is a method for finding saddle points and minimum energy paths (MEP) between known reactants and products. The method works by optimizing a number of intermediate images along the reaction path. Each image finds the lowest energy possible while maintaining equal spacing to neighboring images. This constrained optimization is done by adding spring forces along the band between images and by projecting out the component of the force due to the potential perpendicular to the band [140].

Fig. 2.4 [141] shows an example of a 2D potential map with an initial point (the reactant), a final point (the product), and two paths between them : the initial NEB path, and the final MEP with its maximum being the saddle point (transition state). The climbing image method (CI-NEB) is a small modification to the NEB method in which the highest energy image is driven up to the saddle point.

The MEP saddle point is equivalent to the activation energy  $E_a$  of the reaction and therefore allows for the calculation of the reaction rate constant through the Arrhenius equation

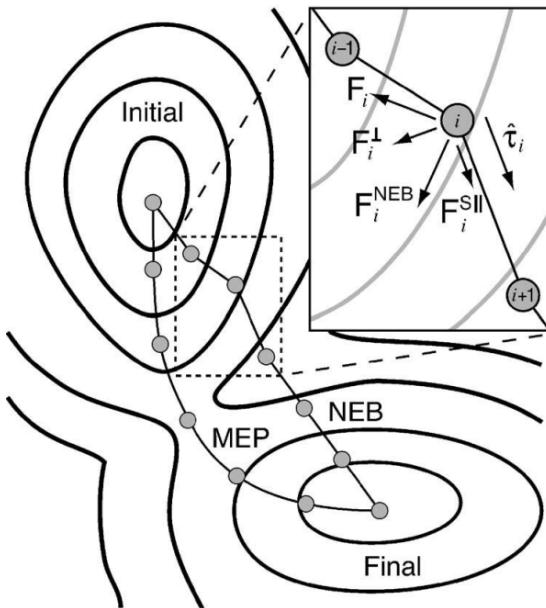


Figure 2.4: Nudged Elastic Band (NEB) and Minimum Energy Path (MEP) [141].

[142, 143] :

$$k = A e^{-\frac{E_a}{RT}}$$

where  $k$  is the rate constant (frequency of collisions resulting in a reaction),  $T$  is the absolute temperature (in K),  $A$  is the pre-exponential factor (constant for each chemical reaction), and  $R$  is the universal gas constant. The pre-exponential factor can be estimated using the transitional state theory (first introduced by Eyring, Evans and Polanyi in 1935 [144, 145]) :

$$A = \frac{k_B T}{h} \frac{Z^{\text{TS}}}{Z^{\text{react}}} \quad \text{with} \quad Z^i = z_{\text{trans}}^i \cdot z_{\text{rot}}^i \cdot z_{\text{vib}}^i$$

where  $k_B$  and  $h$  are the Boltzmann and Planck constants, respectively;  $Z^{\text{TS}}$  and  $Z^{\text{react}}$  are the partition functions of the transition state and the reactant, respectively;  $z_{\text{trans}}$ ,  $z_{\text{rot}}$ ,  $z_{\text{vib}}$  are the translational, rotational and vibrational partition functions. The translational and rotational partition functions are previously defined in section 2.2.3.3.

In order to determine the vibration frequencies of the transition state and the local minimum state (initial or final), vibrational calculations have to be performed using VASP. For each configuration, only the molecules were let free. In these type of calculation, each atom (total of  $N$  atoms) of the molecules is displaced in each direction ( $x$ ,  $y$  and  $z$ ) by a small positive and negative displacement (here  $0.1 \text{ \AA}$ ). The system will have a total of  $3N - 6$  vibration frequencies for non-linear molecules ( $3N - 5$  for linear molecules such as  $\text{H}_2$ ). If the system is in a transition state, one of these frequencies will be an imaginary one and will thus

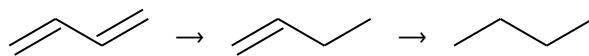
not be considered in the calculation of the partition function (total of  $3N - 7$  modes). This only imaginary value implies a negative force constant applied to the system, which means that in only one direction the energy presents a maximum. Once all the frequencies  $\nu_i$  are calculated, the vibrational partition function  $z_{\text{vib}}$  as a function of the temperature  $T$  can be determined using the following equation :

$$z_{\text{vib}}(T) = \prod_i \left[ \frac{1}{1 - e^{\frac{-h\nu_i}{k_B T}}} \right]$$

This kind of calculations can be done for each intermediate reaction of a more complex mechanism, such as the hydrogenation of butadiene. The vibration frequencies of the different states as well as a script that calculates all the partition functions are available in the Appendices of the present manuscript.

#### 2.2.4.2 Butadiene hydrogenation elementary steps

Let's consider the following reactions transforming buta-1,3-diene into but-1-ene (partial hydrogenation) then into butane (complete hydrogenation) :



The full mechanism can be divided into elementary steps. The following reactions will therefore occur. The star symbol represents either an unoccupied site ( $*$ ) or an adsorbed molecule ( $X^*$ ). First the molecules are adsorbed :



Then hydrogen molecules get dissociated at the surface :

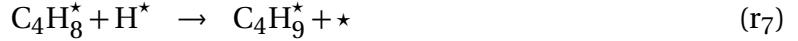


Hydrogenation of the first double C=C bond occurs, and butene is produced :



After once again  $r_1$  and  $r_3$ , hydrogenation of the second double C=C bond occurs, and bu-

tane is finally produced :



Knowing all the elementary steps, their related energy barriers and pre-exponential factors, it is possible to build a microkinetic model that determines the relevant kinetic informations such as the turn-over frequencies (production rates) and the adsorbate coverages over time, as a function of temperature.

#### 2.2.4.3 Microkinetic modelling

In order to determine the kinetic predictions, we introduce a microkinetic modelling that takes as input parameters the previously presented elementary steps, as well as the DFT results (activation energies and pre-exponential factors).

The different reaction rates  $r_i$  (per surface unit cell) can be expressed as follow, where  $k_{f_i}$  and  $k_{r_i}$  are the forward and reverse rate constants (determined through the means of NEB and vibrational calculations),  $k_i^{ads}$  and  $k_i^{des}$  are the adsorption and desorption rate constants, and  $\vartheta_i$  the surface coverages :

$$\left\{ \begin{array}{l} r_1 = k_{H_2}^{ads} \cdot \vartheta_\star - k_{H_2}^{des} \cdot \vartheta_{H_2} \\ r_2 = k_{C_4H_6}^{ads} \cdot \vartheta_\star - k_{C_4H_6}^{des} \cdot \vartheta_{C_4H_6} \\ r_3 = k_{f_3} \cdot \vartheta_{H_2} \cdot \vartheta_\star - k_{r_3} \cdot \vartheta_H^2 \\ r_4 = k_{f_4} \cdot \vartheta_{C_4H_6} \cdot \vartheta_H - k_{r_4} \cdot \vartheta_{C_4H_7} \cdot \vartheta_\star \\ r_5 = k_{f_5} \cdot \vartheta_{C_4H_7} \cdot \vartheta_H - k_{r_5} \cdot \vartheta_{C_4H_8} \cdot \vartheta_\star \\ r_6 = k_{C_4H_8}^{des} \cdot \vartheta_{C_4H_8} - k_{C_4H_8}^{ads} \cdot \vartheta_\star \\ r_7 = k_{f_7} \cdot \vartheta_{C_4H_8} \cdot \vartheta_H - k_{r_7} \cdot \vartheta_{C_4H_9} \cdot \vartheta_\star \\ r_8 = k_{f_8} \cdot \vartheta_{C_4H_9} \cdot \vartheta_H - k_{r_8} \cdot \vartheta_{C_4H_{10}} \cdot \vartheta_\star \\ r_9 = k_{C_4H_{10}}^{des} \cdot \vartheta_{C_4H_{10}} - k_{C_4H_{10}}^{ads} \cdot \vartheta_\star \end{array} \right. \quad (2.21)$$

The adsorption ( $k_i^{ads}$ ) and desorption ( $k_i^{des}$ ) rate constants are defined as follow [146, 147]:

$$k_i^{ads} = \frac{p_i \cdot A_i}{\sqrt{2\pi \cdot m_i \cdot k_B T}} \quad \text{and} \quad k_i^{des} = \frac{k_B^2 T^3}{h^3} \frac{A_i \cdot 2\pi \cdot m_i}{\sigma_i \cdot \vartheta_{rot,i}} e^{-\frac{E_{des,i}}{k_B T}} \quad (2.22)$$

where  $p_i$  is the partial pressure of molecule  $i$ ,  $A_i$  is the area of the surface site on which  $i$  is adsorbed,  $m_i$  is the mass of  $i$ ,  $\sigma_i$  is the symmetry number of  $i$ ,  $\vartheta_{rot,i}$  is the rotational

temperature of  $i$  (defined by  $\vartheta_{\text{rot},i} = \frac{h^2}{8\pi^2 k_B T}$  [148]) and  $E_{\text{des},i}$  is the desorption energy of  $i$ .  $T$ ,  $h$  and  $k_B$  are the temperature, the Planck and the Boltzmann constants, respectively.

The variation over time of the different coverages is governed by the following ordinary differential equations (ODE) system ( $\dot{\vartheta}_i = \frac{d\vartheta_i}{dt}$ ) using the previously defined reaction rates  $r_i$ :

$$\left\{ \begin{array}{l} \dot{\vartheta}_* = -2r_1 - r_2 - 2r_3 + r_4 + r_6 + r_7 + r_8 + r_9 \\ \dot{\vartheta}_H = 4r_3 - r_4 - r_5 - r_7 - r_8 \\ \dot{\vartheta}_{H_2} = 2(r_1 - r_3) \\ \dot{\vartheta}_{C_4H_6} = r_2 - r_4 \\ \dot{\vartheta}_{C_4H_7} = r_4 - r_5 \\ \dot{\vartheta}_{C_4H_8} = r_5 - r_6 - r_7 \\ \dot{\vartheta}_{C_4H_9} = r_7 - r_8 \\ \dot{\vartheta}_{C_4H_{10}} = r_8 - r_9 \end{array} \right. \quad (2.23)$$

This ODE system can not be solved analytically (because of coupled equations). Numerical methods have therefore to be used to integrate numerically the system over time. Based on the work of Filot [147], it was chosen to use the ODE solver of the SciPy library [149]. After reaching convergence, it is possible to retrieve the steady-state production rate of but-1-ene and butane as a function of temperature ( $r_6$  and  $r_9$  in 2.21). These values can then be compared to experimental measurements. The numerical implementation is presented in detail in the Appendices of the present manuscript.

A few hypotheses are made in order to build this model. First of all, no diffusion processes are considered (such as atom migrations) since it would involve a space-time coupling of differential equations (Fick's laws of diffusion). This would result in a partial differential equations (PDE) system that is more complicated to solve. A second hypothesis is made on the initial conditions : the initial coverage is assumed to be  $\vartheta_* = 0$ , while all other coverage is equal to 0. Moreover, this model tries to simulate a flow reactor : the pressures of the reactants do not vary over time and are constant to the initial pressure. The pressure of the products is therefore considered to be zero. This tends to simulate industrial processes.

To sum up, giving initial partial pressures of the reactants as well as a reaction temperature, energy barriers and pre-exponential factors, the model is able to provide the formation rates and coverages of the different intermediates for a steady-state reaction occurring in a flow reactor.

## 2.3 Surface X-ray Diffraction (SXRD)

All of the surface X-ray diffraction experiments were performed at the *Surfaces and interfaces x-ray Scattering* (SixS) beamline at SOLEIL Synchrotron [150]. Before presenting the beamline and the SXRD technique, let's focus on the x-ray diffraction theory. In the following, bold letters will refer to vectors.

### 2.3.1 Basics of x-ray diffraction

This section is a brief reminder of the basic equations of diffraction theory and is adapted from Robinson [151], and Als-Nielsen & McMorrow [152]. Let's consider an incoming plane wave (wavelength  $\lambda$ ) – wave vector  $\mathbf{k}_i$  – and a wave scattered by an electron – wave vector  $\mathbf{k}_f$  – giving a momentum transfer  $\mathbf{q} = \mathbf{k}_f - \mathbf{k}_i$ . The norm of the wave vectors is  $|\mathbf{k}| = |\mathbf{k}_i| = |\mathbf{k}_f| = \frac{2\pi}{\lambda}$ . X-rays are chosen because their wavelength is of the same order of magnitude than the interatomic distance in a crystal lattice.

Considering  $A_i$  the amplitude of the incoming wave and  $A_f$  the amplitude of the wave scattered by an electron, one has the following relationship, where  $\mathbf{r}$  is the position of a scattering electron,  $e$  and  $m$  the charge and mass of the electron, and  $R$  the position of the observer :

$$A_f = A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} e^{i\mathbf{q} \cdot \mathbf{r}} \quad (2.24)$$

When considering an atom with a particular electronic structure, one has to represent the electrons by the electron density. Then the amplitude of the scattered wave becomes :

$$A_{f,at_j^n} = A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot f(\mathbf{q}) \cdot e^{i\mathbf{q} \cdot (\mathbf{R}_n + \mathbf{r}_j)} \text{ with } f(\mathbf{q}) = \iiint_{-\infty}^{+\infty} \rho(\mathbf{r}) \cdot e^{i\mathbf{q} \cdot \mathbf{r}} d^3\mathbf{r} \quad (2.25)$$

where  $f(\mathbf{q})$  is the atomic form factor,  $\mathbf{R}_n$  the position of the  $n^{\text{th}}$  unit cell (lattice vectors  $\mathbf{a}_i$ ,  $\mathbf{R}_n = n_1 \mathbf{a}_1 + n_2 \mathbf{a}_2 + n_3 \mathbf{a}_3$ ), and  $\mathbf{r}_j$  the position of the  $j^{\text{th}}$  atom in this unit cell. The atomic form factor is the Fourier transform of the electron density  $\rho(\mathbf{r})$  of a considered atom. If one considers a complete unit cell having  $N_c$  atoms, the scattered amplitude is then defined as follows :

$$A_{f,cell} = A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot F(\mathbf{q}) \cdot e^{i\mathbf{q} \cdot \mathbf{R}_n} \text{ with } F(\mathbf{q}) = \sum_{j=1}^{N_c} f_j(\mathbf{q}) \cdot e^{i\mathbf{q} \cdot \mathbf{r}_j} \quad (2.26)$$

where  $F(\mathbf{q})$  is the structure factor, *i.e.* the Fourier transform of the electron density of one unit cell.

Finally, when all the cells are summed up to build a whole crystal, the scattered ampli-

tude is defined by the following equation (under the kinematical approximation) :

$$A_{f,\text{crys}} = A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot F(\mathbf{q}) \sum_{n_1=-\infty}^{+\infty} e^{in_1 \mathbf{q} \cdot \mathbf{a}_1} \sum_{n_2=-\infty}^{+\infty} e^{in_2 \mathbf{q} \cdot \mathbf{a}_2} \sum_{n_3=-\infty}^{+\infty} e^{in_3 \mathbf{q} \cdot \mathbf{a}_3} \quad (2.27)$$

It is then possible to define the reciprocal space coordinates  $(h, k, l)$  and the basis vectors  $\mathbf{b}_i$  of the crystal reciprocal lattice as follows :

$$\begin{cases} \mathbf{q} \cdot \mathbf{a}_1 = 2\pi \cdot h \\ \mathbf{q} \cdot \mathbf{a}_2 = 2\pi \cdot k \\ \mathbf{q} \cdot \mathbf{a}_3 = 2\pi \cdot l \end{cases} \quad \text{and} \quad \mathbf{q} = h\mathbf{b}_1 + k\mathbf{b}_2 + l\mathbf{b}_3 \quad \begin{cases} \mathbf{b}_1 = 2\pi \frac{\mathbf{a}_2 \times \mathbf{a}_3}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)} \\ \mathbf{b}_2 = 2\pi \frac{\mathbf{a}_3 \times \mathbf{a}_1}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)} \\ \mathbf{b}_3 = 2\pi \frac{\mathbf{a}_1 \times \mathbf{a}_2}{\mathbf{a}_1 \cdot (\mathbf{a}_2 \times \mathbf{a}_3)} \end{cases} \quad (2.28)$$

Eq. 2.27 can then be rewritten using the  $(h, k, l)$  coordinates of the reciprocal space, where the structure factor  $F(\mathbf{q}) = F(h\mathbf{b}_1 + k\mathbf{b}_2 + l\mathbf{b}_3) = F_{hkl}$  :

$$A_{f,\text{crys}} = A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot F_{hkl} \sum_{n_1=-\infty}^{+\infty} e^{in_1 \cdot 2\pi h} \sum_{n_2=-\infty}^{+\infty} e^{in_2 \cdot 2\pi k} \sum_{n_3=-\infty}^{+\infty} e^{in_3 \cdot 2\pi l} \quad (2.29)$$

The intensity  $I_{hkl}$  of the scattered wave is then defined as follows using the Dirac function ( $\delta$ ) and the Dirac comb definition  $(\sum_{n_1=-\infty}^{+\infty} e^{in_1 \cdot 2\pi h} = \sum_{n_1=-\infty}^{+\infty} \delta_{n_1}(h))$  :

$$I_{hkl} = |A_{f,\text{crys}}|^2 = \left| A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot F_{hkl} \right|^2 \sum_{n_1=-\infty}^{+\infty} \delta_{n_1}(h) \sum_{n_2=-\infty}^{+\infty} \delta_{n_2}(k) \sum_{n_3=-\infty}^{+\infty} \delta_{n_3}(l) \quad (2.30)$$

For integer values of  $(h, k, l)$  – i.e.  $\mathbf{q}$  satisfying the Laue conditions – the intensity of the scattered wave reaches a maximum : this is the definition of the Bragg conditions.

### 2.3.2 Crystal truncation rods

The previous detailed mathematical development concerns the diffraction from a 3D crystal, where the scattered intensity is discrete and localized at the Bragg peaks in the reciprocal space. It is true for 3D infinite crystals. If one studies a surface, the crystal is considered to be infinite over two directions only. It is therefore referred to as a truncated crystal, and this has consequences on the scattered intensities [151]. The crystal can be seen as the product of an infinite crystal and a step function as presented in Fig. 2.5 [153] : one does not have Bragg peaks anymore but a convolution of an ideal diffraction pattern (Bragg) and

the Fourier transform of a step function. From a mathematical point of view, it consists in considering a semi-infinite sum along the third axis:

$$A_{f,\text{crys}} = A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot F_{hkl} \sum_{n_1=-\infty}^{+\infty} e^{in_1 \cdot 2\pi h} \sum_{n_2=-\infty}^{+\infty} e^{in_2 \cdot 2\pi k} \sum_{n_3=-\infty}^0 e^{in_3 \cdot 2\pi l} e^{\eta n_3} \quad (2.31)$$

where  $\eta$  represents the attenuation due to the x-ray absorption of the material (typically of the order of magnitude of  $10^{-6}$  [152]). Away from Bragg peaks – therefore far from integer values of  $l$  – it is possible to neglect this damping term (which is only a minor correction) and the third Fourier series can be simplified as follows :

$$\left| \sum_{n_3=-\infty}^0 e^{in_3 \cdot 2\pi l} e^{\eta n_3} \right|^2 = \left| \frac{1}{1 - e^{-(2\pi l + \eta)}} \right|^2 \quad \eta \approx 0 \quad \frac{1}{4 \sin^2 \pi l} \quad \text{for } l \in \mathbb{R} \setminus \mathbb{Z}$$

The following equation (Eq. 2.32) is then obtained, where the intensities are not discrete anymore, but rather continuous and modulated in the direction normal to the surface. This feature is called a crystal truncation rod (CTR).

$$I_{\text{CTR}} = \left| A_i \cdot \frac{e^2}{mc^2} \frac{1}{R} \cdot F_{hkl} \right|^2 \cdot \left[ \sum_{n_1=-\infty}^{+\infty} \delta_{n_1}(h) \sum_{n_2=-\infty}^{+\infty} \delta_{n_2}(k) \right] \cdot \frac{1}{4 \sin^2 \pi l} \quad \text{for } l \in \mathbb{R} \setminus \mathbb{Z} \quad (2.32)$$

If the surface exhibits a reconstruction, additional rods are measured in the direction normal to the surface for non-integer values of  $h$  and/or  $k$  and are called super-structure rods (SSR) as shown in Fig. 2.5 [153].

### 2.3.3 Reciprocal space exploration

The measurement of the reciprocal space can provide different information regarding the structure of the material. In-plane maps of the reciprocal space brings information regarding the atomic in-plane arrangement of the crystal (symmetry, reconstruction, etc). Out-of-plane measurements can be crucial in the analysis of potential facets that grow at the surface of a sample. Intensities along CTRs and SSRs can be collected in order to refine the crystal structure of the surface as well as to determine its roughness. Under catalytic conditions, CTRs and SSRs measurements could also bring information regarding the adsorption properties of the surface and its structural evolution during the reaction.

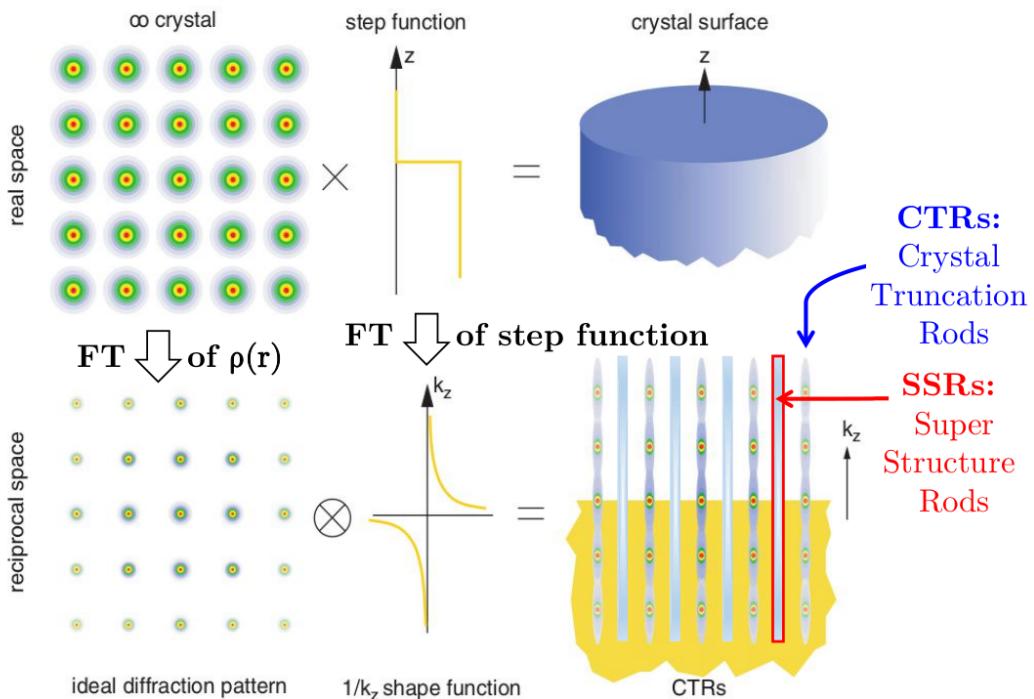


Figure 2.5: Truncation of a crystal and consequence on the diffraction pattern [153].

### 2.3.4 Data collection and analysis

During a SXRD experiment, different strategies can be undertaken. The conventional strategy is to align the sample surface and index different Bragg peaks in order to retrieve the sample orientation matrix. Then, it is possible to 'navigate' in the reciprocal space of the sample, to collect particular regions such as CTRs or larger portions.

Thanks to a 2D x-ray detector, it is possible to increase the data collection and therefore to scan faster the reciprocal space : this is the strategy that was chosen many times during this work. In this method, a very large volume of the reciprocal can be measured faster because no orientation matrix is determined. Afterwards, it is easy to calculate the orientation matrix and to extract all the relevant data of the reciprocal space (CTR, SSR, diffuse scattering, etc).

On a more technical side, the collected intensities are processed using the *BINoculars* software [154] (data reduction). The measurements are then integrated using the program *fitaid* from *BINoculars* package in order to retrieve the structure factors. Finally *AVE* and *ROD* softwares (from the *ANAROD* suite [155, 156, 157]) are used to analyze the processed data generated by *BINoculars*. Structure factors of CTRs and SSRs were simulated from DFT-relaxed surface models. The adequacy of the simulated CTRs and SSRs intensities

with the experimental data is quantified by the  $\chi^2$  factor or the R-factor:

$$\chi^2 = \frac{1}{N_{\text{data}} - N_p} \sum \left| \frac{F_{\text{exp}} - F_{\text{th}}}{\sigma} \right|^2 \quad \text{R-factor} = \frac{\sum |F_{\text{exp}} - F_{\text{th}}|}{\sum F_{\text{exp}}}$$

where  $N_{\text{data}}$  is the number of data points,  $F_{\text{exp}}$  (respectively  $F_{\text{th}}$ ) the experimental structure factors (respectively simulated structure factors),  $N_p$  the number of refined parameters and  $\sigma$  the estimated error bar.

### 2.3.5 Experimental end-stations at SixS beamline

SOLEIL Synchrotron stands for *Source Optimisée d'Énergie Intermédiaire du LURE (Laboratoire pour l'Utilisation du Rayonnement Électromagnétique)* and is a third generation synchrotron source facility (energy of 2.75 GeV). The *Surfaces interfaces x-ray Scattering* beamline is one of SOLEIL's diffraction beamlines specialized in surfaces and interfaces studies using x-rays. A synchrotron radiation is produced thanks to a U20 undulator before SixS' front-end.

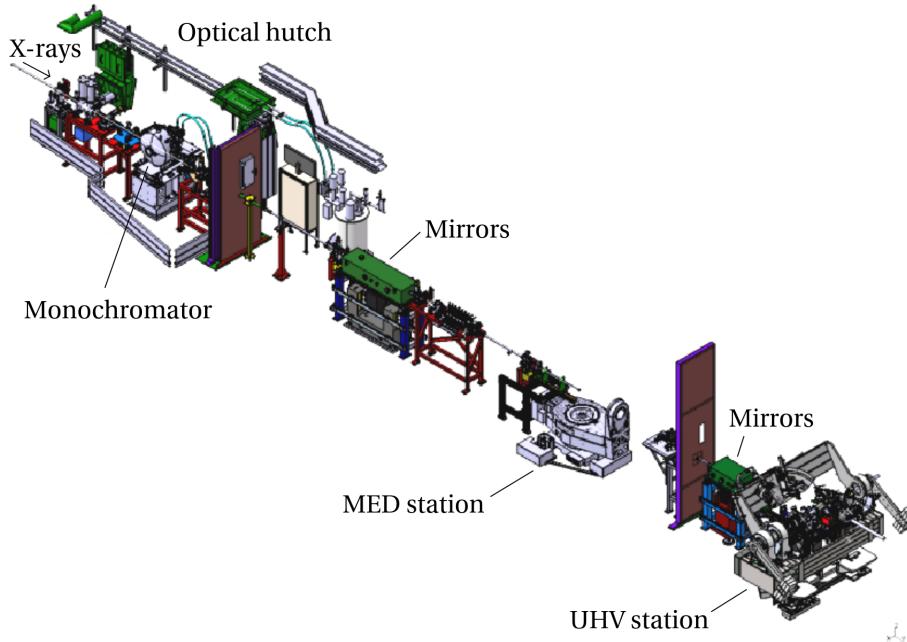


Figure 2.6: Schematic view of SixS : the optical hutch with the monochromator, the first hutch with the first focusing mirrors, the filters and the MED station, and the second hutch with a mirror and the UHV station

SixS is divided into three hutches : one optical hutch and two experimental hutches as presented in Fig. 2.6. In the optical hutch one can find the monochromator that pro-

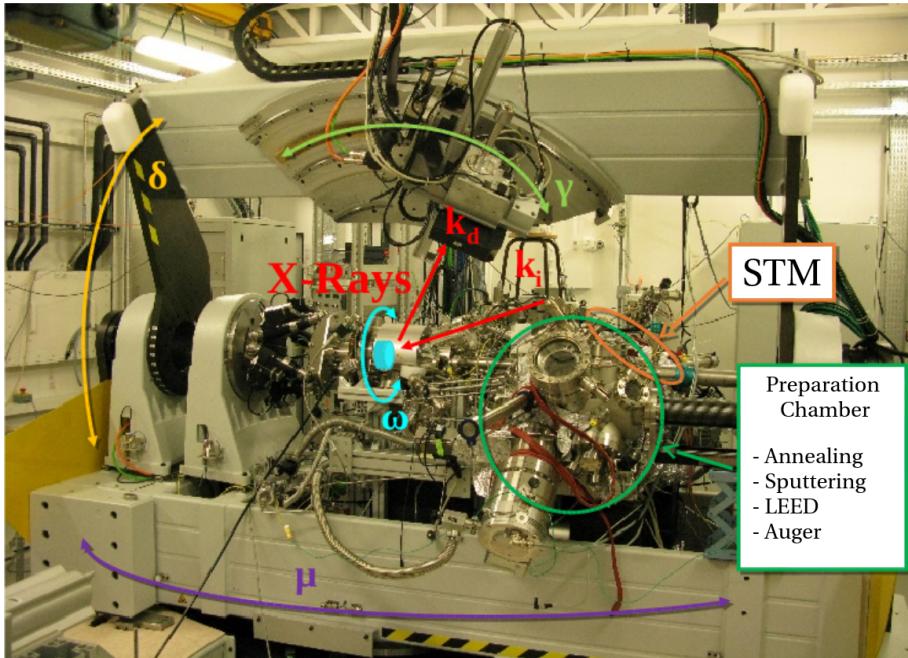


Figure 2.7: Photograph of SixS' UHV station : diffraction, carrousel, STM, and preparation chambers [158].

vides the desired photon energy (18.41 keV in our case). In the first experimental hutch, one can find the focusing mirrors as well as the automatic attenuators that attenuate the direct beam (if needed). The Multi-Environment Diffractometer station (MED) is also present in this first hutch. The last hutch also has a focusing mirror as well as the Ultra-High Vacuum station (UHV).

The UHV station is a Z-axis diffractometer on which are mounted three coupled UHV chambers : a diffraction chamber, a carrousel (connected to a scanning tunneling microscope), and a preparation chamber. Fig. 2.7 presents a view of the UHV setup (diffractometer's angles, equipments). The preparation chamber has everything a surface scientist needs for surface preparation : ion sputtering, evaporators, a low-energy electron diffractometer and an Auger electron spectrometer. During SXRD measurements, the sample is placed in the diffraction chamber (the sample is drawn in cyan in Fig. 2.7). Thanks to an hexapod, the sample is aligned with the incoming beam ( $k_i$ ). Four angles allow for the control of the reciprocal space scanning :  $\omega$  represents the azimuthal rotation axis of the sample,  $\mu$  represents the incidence angle of the x-ray beam;  $\delta$  and  $\gamma$  are the in-plane and out-of-plane angles on which is mounted the x-ray detector. A  $(\omega, \delta, \gamma, \mu)$  position in real space corresponds to a particular  $(Q_x, Q_y, Q_z)$  position in the reciprocal space.

Finally, a XPAD S140 detector is used to collect the scattered x-rays ( $k_d$ ) and is coupled to the automatic attenuators present in the first hutch [159]. This setup protects the detector

when the reciprocal space is scanned : when approaching intense Bragg peaks, the detector sends a signal to the automatic attenuators to attenuate the direct beam thus decreasing the measured intensity and maintain the detector in its linear range.

## 2.4 Conventional surface investigation techniques

Apart from surface x-ray diffraction, other conventional experimental and surface investigation techniques were used in this work. First the Czochralski method is used to grow single crystals of  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$  and  $\text{Al}_{13}\text{Fe}_4$ . This technique is developed in Chapter 1. Our crystals were grown at *Institut Jean Lamour* (IJL) in Nancy and at *Ludwig-Maximilians-Universität* (LMU) in München, Germany.

### 2.4.1 Surface preparation

The newly grown single crystal is oriented using the back-scattered X-ray Laue technique, polished down to  $0.25 \mu\text{m}$  using a diamond paste, and mounted on a Ta plate. The sample surface is then prepared by cycles of  $\text{Ar}^+$  sputtering (usually for 30 min at an energy of 1.5 keV, using an ion gun) followed by annealing (for 30 min to 1 h, between 873 K and 1073 K, depending on the crystal and on the surface orientation) in UHV. This kind of surface preparation was done at both IJL before STM analysis and SixS before SXRD experiments.

### 2.4.2 Auger electron spectroscopy (AES)

Auger electron spectroscopy is a technique used to determine the surface composition of a sample [160]. In this work, AES is performed to ascertain the cleanliness of a crystal under ultra-high vacuum conditions. All of the materials that will be studied in this work are Al-based compounds and are therefore quite sensitive to oxygen as well as carbon contaminations. This technique is used only qualitatively in the present work.

AES was performed at SOLEIL at SixS beamline during every beamtime to make sure the surface preparation was correct and the surface ready for diffraction measurements.

### 2.4.3 Low energy electron diffraction (LEED)

Low energy electron diffraction allows for the determination of the periodicity and symmetries of surface structure of crystalline materials [161]. The incoming beam of electron (monochromatic with an energy ranging from 20 to 200 eV) is diffracted by the very first atomic layers of the surface. In this work it was used to check the sample periodicity, the

presence of twins, the possible reconstructions, the crystallographic quality of the surfaces after sputtering and annealing process, the presence of facets.

LEED measurements were performed at both IJL before STM analysis and SixS before SXRD experiments to assess the quality of the surface structure.

#### **2.4.4 Scanning tunneling microscopy (STM)**

This technique is based on the concept of quantum tunneling effect. Scanning tunneling microscopy is performed to analyze the surface structure at an atomic scale. The local electron density of state is probed using a tip that ideally made of one atom. A voltage bias is applied between the surface and the tip. A constant current is maintained between the surface and the tip and is called the tunnelling current. This is a non-destructive method since no contact is done with the surface. An exchange of electrons is done with the surface thanks to the tunnelling effect. It is a technique that allows to measure not only the topography of surfaces, but their electronic structure as well.

STM measurements were done primarily at IJL using the ASURE setup, but also at SOLEIL at the SixS beamline.

#### **2.4.5 Atomic force microscopy (AFM)**

Atomic Force Microscopy consists in probing a surface using a cantilever having a sharp tip at its end [162]. When the tip approaches the surface, forces between the tip and the sample lead to a deflection of the cantilever. AFM analyses were achieved at room conditions (pressure and temperature) with a Nano-I AFM from Pacific Nanotechnology Inc. used in close contact mode with a Si tip. In contact mode, the tip is "dragged" across the surface of the sample and the contours of the surface are measured either using the deflection of the cantilever directly or, more commonly, using the feedback signal required to keep the cantilever at a constant position.

AFM measurements were performed at SOLEIL Synchrotron at the *Laboratoire de Surfaces*.

#### **2.4.6 Catalysis experiments**

The butadiene hydrogenation reaction was performed in a dedicated static catalytic reactor coupled with UHV preparation and analysis chambers (LEED and AES) [107]. The sample was heated from the back by an infrared laser beam. The surface temperature was controlled using an infrared pyrometer (surface emissivity set to 0.3). A mixture of

## *2.4. Conventional surface investigation techniques*

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ultrapure gases (5 mbar hydrogen, 0.5 mbar butadiene, and 0.5 mbar Ar for internal calibration) was prepared in a separate chamber before injecting into the reactor. The reaction kinetics is followed by mass spectrometry and gas chromatography. This kind of catalysis experiments was performed at IRCELyon (Institut de Recherche sur la Catalyse et l'Environnement de Lyon).

Catalysis measurements were also done during surface x-ray diffraction experiments thanks to a UHV/high-pressure flow reactor especially made for grazing incidence small angle x-ray scattering studies. The XCAT setup [163] was used at SixS beamline on the MED station.



## Chapter 3

# From the surface structure to the catalytic properties of $\text{Al}_5\text{Co}_2(2\bar{1}0)$

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## 3.1 Introduction

In this chapter, the catalytic performances of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  for butadiene hydrogenation is investigated. The surface structure and chemical composition, especially the surface Co atom density, are determined in details by a combination of experimental measurements (SXRD, STM) and *ab initio* surface calculations. A simple thermodynamic model, used to account for experimental reaction conditions, further addresses the influence of the surface structure on the adsorption properties towards butadiene and butene molecules, as well as on the thermodynamic feasibility of the reaction.

## 3.2 Preliminary experimental results

### 3.2.1 Sample preparation

The experiments were performed using  $\text{Al}_5\text{Co}_2$  single crystal cut parallel to the  $(2\bar{1}0)$  planes. The sample was extracted from an ingot, grown from an Al-rich solution by the Czochralski method (see 1.3.7 in Chapter 1 for more details concerning the growth process) and oriented using back-scattered x-ray Laue technique, polished down to  $0.25 \mu\text{m}$  using a diamond paste and mounted on a Ta plate.

The sample was prepared by cycles of 30 min 1.5 keV  $\text{Ar}^+$  sputtering followed by 1 hour annealing at 973 K in UHV. A  $(2 \times 1)$  surface reconstruction was observed by LEED (Fig. 3.1). Neither oxygen, nor carbon surface contaminations were observed by AES (Fig. 3.2).

### 3.2.2 STM

STM analysis [14] shows the presence of terraces (Fig. 3.3a) separated by a unique step height of  $3.8 \pm 0.2 \text{ \AA}$  corresponding to half the cell parameter in this direction ( $\frac{a}{2}$ ) meaning that only a F or P termination is present at the surface (see Chapter 1 for more details concerning the bulk structure, Fig. 1.8). Higher resolution (Fig. 3.3b) images show the presence of  $26.3 \text{ \AA}$  wide rows along the  $[001]$  direction with a  $7.4 \text{ \AA}$  periodicity (corresponding to the c lattice parameter). This is consistent with a  $(2 \times 1)$  reconstruction that was previously observed by LEED measurements.

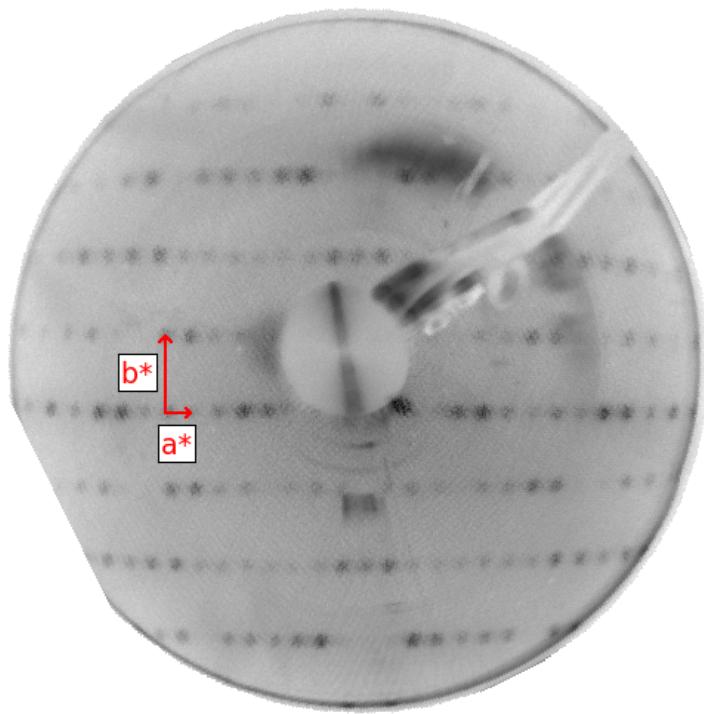


Figure 3.1: LEED pattern measured in the SixS UHV preparation chamber at 80 eV showing the  $(2 \times 1)$  surface reconstruction ( $\mathbf{a}^* = [120]$  and  $\mathbf{b}^* = [001]$ , in the surface lattice reference). The periodicity is doubled in the  $[120]$  direction. Cell parameters are  $a = 26.78 \text{ \AA}$  and  $b = 7.63 \text{ \AA}$ .

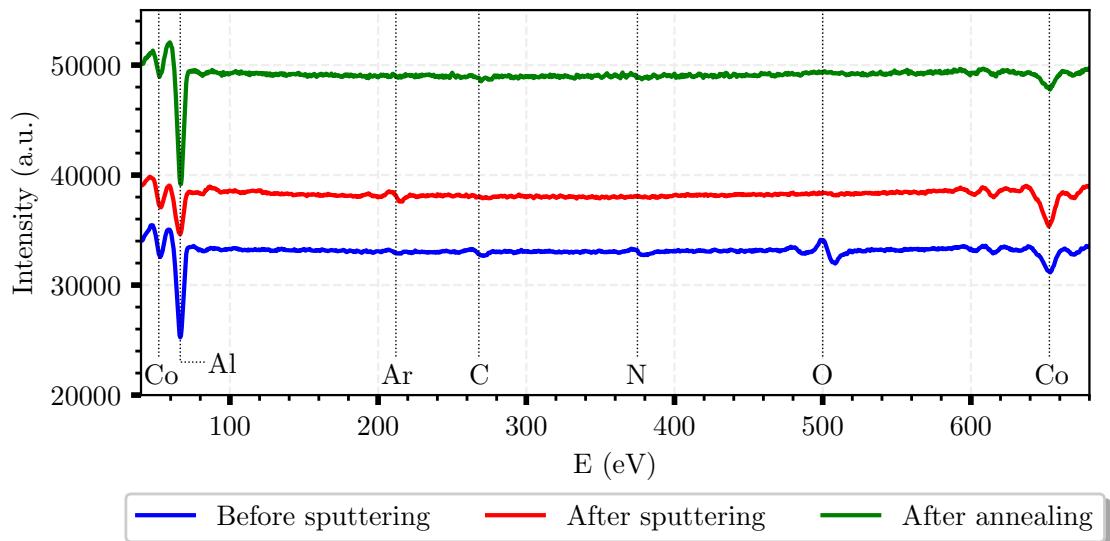


Figure 3.2: Auger Electron Spectra before and after  $\text{Ar}^+$  sputtering (for 30 min at 1.5 keV) measured in the SixS UHV preparation chamber.

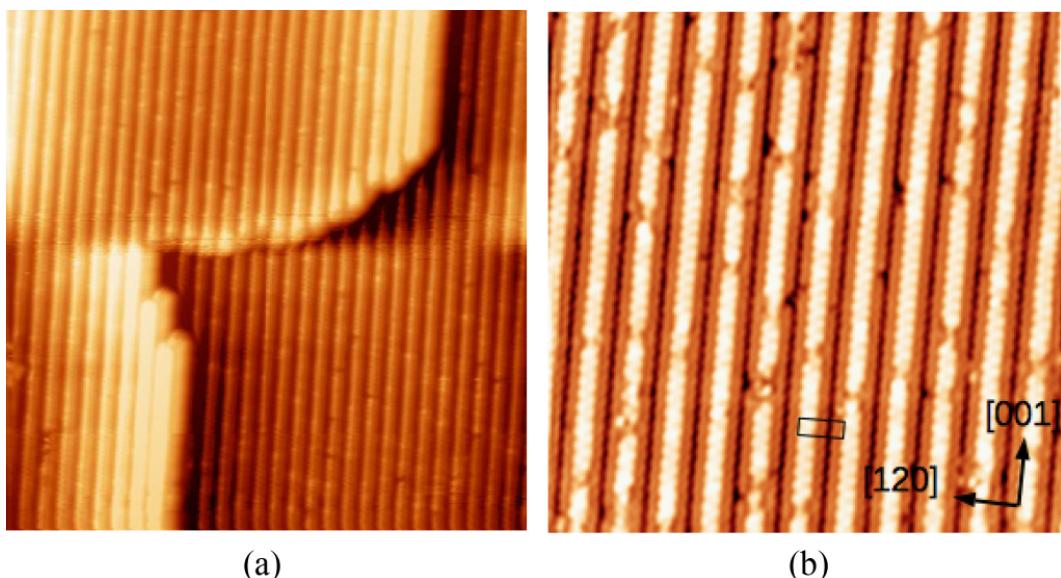


Figure 3.3: (a)  $75 \times 75 \text{ nm}^2$  STM image of the  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  surface prepared at 973 K ( $V_b = -2 \text{ V}$  and  $I_t = 0.1 \text{ nA}$ ) and (b)  $20 \times 20 \text{ nm}^2$ ,  $V_b = -1 \text{ V}$  and  $I_t = 0.2 \text{ nA}$ ). The unit cell of the  $(2 \times 1)$  reconstruction is also shown. Images from [14].

### 3.2.3 Catalytic measurements

The reaction was performed in a dedicated static catalytic reactor coupled with UHV preparation and analysis chambers (LEED and AES). The sample was heated from the back by an infrared laser beam. The surface temperature was controlled using an infrared pyrometer (surface emissivity set to 0.3). A mixture of ultra pure gases (5 mbar hydrogen, 0.5 mbar butadiene and 0.5 mbar Ar for internal calibration) was prepared in a separate chamber before injection into the reactor. The reactants and products were monitored by mass spectroscopy and gas chromatography.

The catalytic performances of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  for butadiene hydrogenation were evaluated at 383 K, with initial hydrogen and butadiene partial pressures of 5 and 0.5 mbar, respectively. Fig. 3.4 a displays three successive reaction runs. On the clean annealed surface (run 1), butadiene is fully converted after 30 min, with 100% selectivity to butenes throughout the conversion. Butane is formed – at a slower rate – only after complete conversion of butadiene into butenes. The butadiene hydrogenation activity of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  is similar to that of  $\text{Al}_{13}\text{Co}_4(100)$  [8].

The model catalyst gradually deactivated from one run to the next. This is due to surface contamination with oxygen-containing impurities (mostly water) inherently present in gases, as previously shown by post-reaction Auger electron spectroscopy for other transition metal aluminides [9, 8].

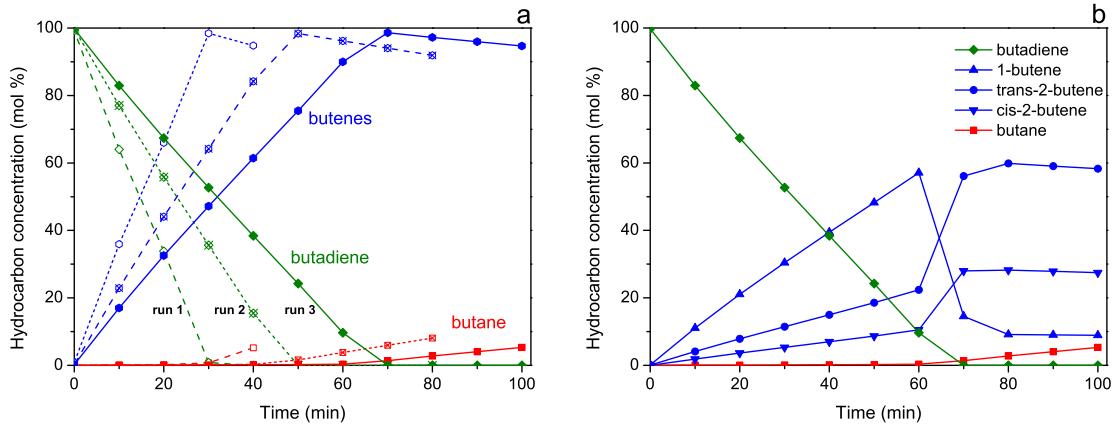


Figure 3.4: (a) Catalytic performances of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  at  $T = 383$  K, with initial pressures  $p_{\text{H}_2} = 5$  mbar and  $p_{\text{C}_4\text{H}_6} = 0.5$  mbar (first, second and third runs are respectively drawn in dashed, dotted and full lines). (b) Detailed catalytic performances of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  for the third run showing the concentrations of the different butene isomers.

In Fig. 3.4b, the butenes distribution is shown in the case of the third reaction run. From  $t = 10$  min to  $t = 60$  min, it is about 65:24:11% for but-1-ene:trans-2-butene:cis-2-butene, which is similar to the results for  $\text{Al}_{13}\text{Co}_4$  surfaces [8]. After complete conversion of butadiene, the butenes inter-convert through isomerization till thermodynamic equilibrium, with a final distribution of 9:62:29%.

### 3.3 DFT results – Surface structure

#### 3.3.1 Surface models

According to a previous study [14], the  $(2\bar{1}0)$  surface structure results from a bulk truncation and selection of the P-type (puckered) planes termination, with every four bipentagonal motifs missing, thus forming a  $(2\times 1)$  reconstruction. It means that the surface structure consists of nano-structured bands of 1.2 nm wide separated by gaps of 0.7 nm wide. Several surface models were built, based on the knowledge gained by the experimental observations. Two of them fit equally well (for two different ranges of the allowed chemical potential) both STM and LEED measurements, and present low surface energies. However, the detailed surface composition, especially the presence/absence of these topmost surface Co atoms, could not be deduced from surface energy calculations, even combined with the comparison of simulated and experimental STM images.

Fig. 3.5 shows the four considered surface models, presenting different Co surface com-

positions. They include the  $P_B$  and  $P_{B-4\text{Co}}$  models investigated previously, with four and zero protruding Co atoms per surface cell, respectively, as well as two additional models:  $P_{B-2\text{Co},1}$  and  $P_{B-2\text{Co},2}$ , both containing 2 protruding Co atoms per surface cell, and differing by the position of the surface Co vacancy.

These models conform to the planar group of the surface, whose symmetry elements include a two-fold symmetry axis at the center of the surface unit cell perpendicular to the surface, and two mirror planes (**a**, **c**), positioned at  $y = 0.25$  and  $y = 0.75$ . These symmetries highlight that the four protruding Co atoms at the surface are not equivalent, but only two-by-two equivalent.

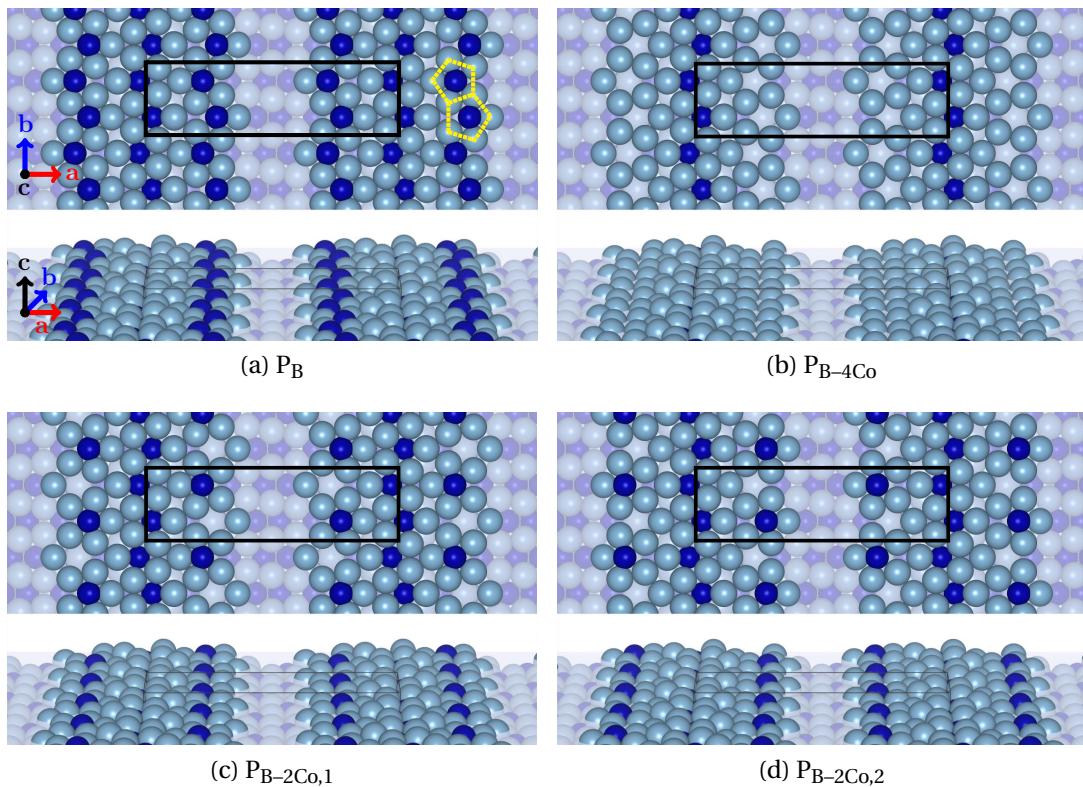


Figure 3.5: Top and perspective views of the surface models considered in this study. Al atoms and Co atoms are respectively drawn in light blue and dark blue. The surface cells are highlighted with black lines (top views). The Al bipentagonal motif is highlighted in yellow for model  $P_B$ .

### 3.3.2 Surface energy calculations

The surface energies of the four surface models described previously are shown in Fig. 3.6. The  $P_B$  surface model is the most stable in the Co-rich limit ( $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}} \leq -0.5 \text{ eV/at}$ ), while it

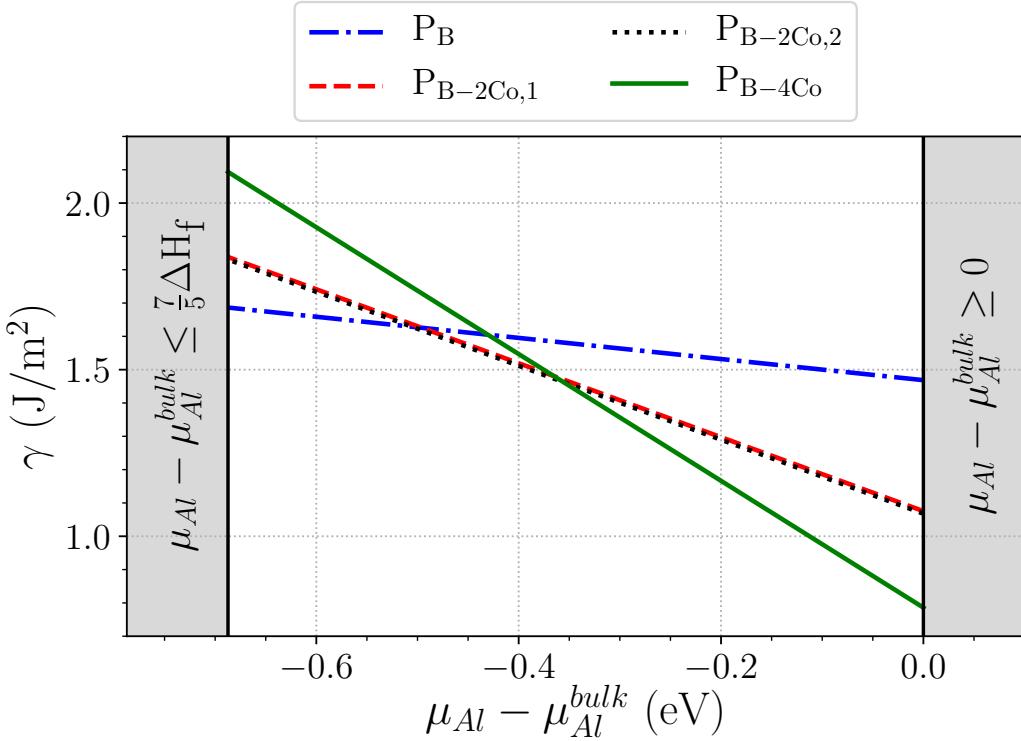


Figure 3.6: Calculated surface energies of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  as a function of the Al chemical potential.

is the  $P_{\text{B}-4\text{Co}}$  one in the Al-rich limit ( $-0.36 \text{ eV} \leq \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$ ), with a particularly low surface energy ( $0.79 \text{ J/m}^2$  at  $\mu_{\text{Al}} = \mu_{\text{Al}}^{\text{bulk}}$ ) similar to the one of  $\text{Al}(111)$  ( $0.80 \text{ J/m}^2$  [164]). The two additional models ( $P_{\text{B}-2\text{Co},1}$  and  $P_{\text{B}-2\text{Co},2}$ ) present similar surface energies. They are the most stable ones for intermediate values of the chemical potentials, no significant energy difference being calculated between these two models. Although these results assess the stability of the considered surface models, they do not provide any accurate information about the surface composition of the sample used for the catalytic measurements, since the chemical potentials depend on the exact composition of the single crystalline ingot used for the experiments (amount of vacancies, anti-sites, etc) [165, 166].

### 3.3.3 STM image simulations

The comparison of the experimental and STM images simulated using the four considered models is shown in Fig. 3.7. The simulations are in good agreement with the experimental data. Nevertheless, they are very similar for all models and therefore do not allow for any discrimination between them.

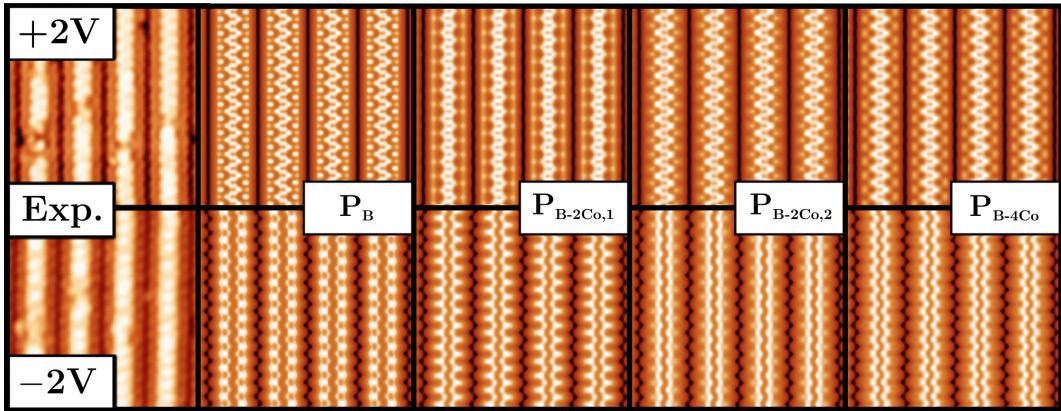


Figure 3.7: Experimental STM images (from Meier et al.),  $P_B$ ,  $P_{B-2Co,1}$ ,  $P_{B-2Co,2}$  and  $P_{B-4Co}$  simulated STM images ( $V_b = \pm 2V$ ).

## 3.4 SXRD analysis

### 3.4.1 In-plane measurements

The surface structure and composition were further investigated using SXRD. Fig. 3.8 shows the in-plane measurement of the reciprocal space highlighting (circled in blue) a pseudo 10-fold symmetry, i.e. nonequivalent in-plane reflections forming an irregular decagon. This is in agreement with the surface bi-pentagonal atomic arrangements (see Fig. 3.5a) which induce a modulation of the in-plane structure factors, thus allowing this particular symmetry to emerge on a rectangular lattice. The in-plane reciprocal space measurements also confirm the  $(2\times 1)$  surface reconstruction. Measured lattice parameters ( $a_{exp} = 26.7(8)$  Å and  $b_{exp} = 7.6(3)$  Å) are in good agreement with the literature [12] ( $a_{lit} = 26.58$  Å and  $b_{lit} = 7.61$  Å). Out-of-plane measurements were also done and 49 diffraction rods were collected. The complete dataset consists of 12 non-equivalent Crystal Truncation Rods (CTRs) and 10 non-equivalent Super Structure Rods (SSRs). Each rod was measured for  $0 \leq L \leq 5$ . Another set of diffraction spots is also observed (highlighted in green in Fig. 3.8). The measured lattice parameters ( $b_{exp} = 2.87(1)$  Å and  $a_{exp} = c_{exp} = 4.06$  Å) are consistent with the one of the B2-AlCo (110) surface ( $a_{lit} = 2.862$  Å [167]). This might be due to the presence of B2-AlCo precipitates – either in the bulk material and/or at the surface.

### 3.4.2 CTRs and SSRs extraction

The simulations of the CTRs and SSRs were performed based on the four considered surface models, whose structures were relaxed using DFT calculations (Fig. 3.9). The only

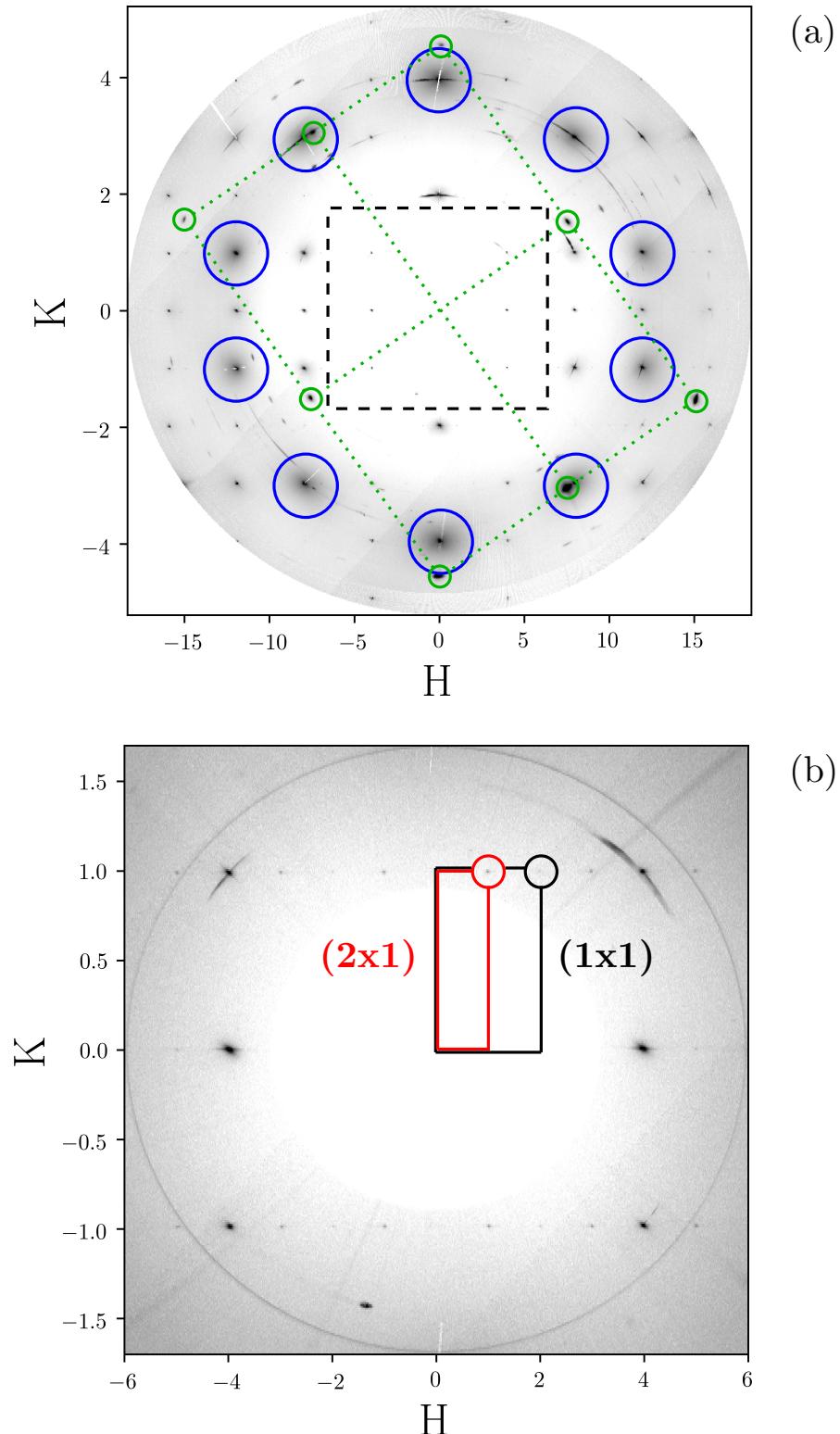


Figure 3.8: In-plane reciprocal space maps of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ : (a) full map highlighting the pseudo 10-fold symmetry in blue circles and the B2-AlCo (110) diffraction spots in green circles, (b) inner map (dashed region in (a)) showing the  $(2 \times 1)$  surface reconstruction cell.

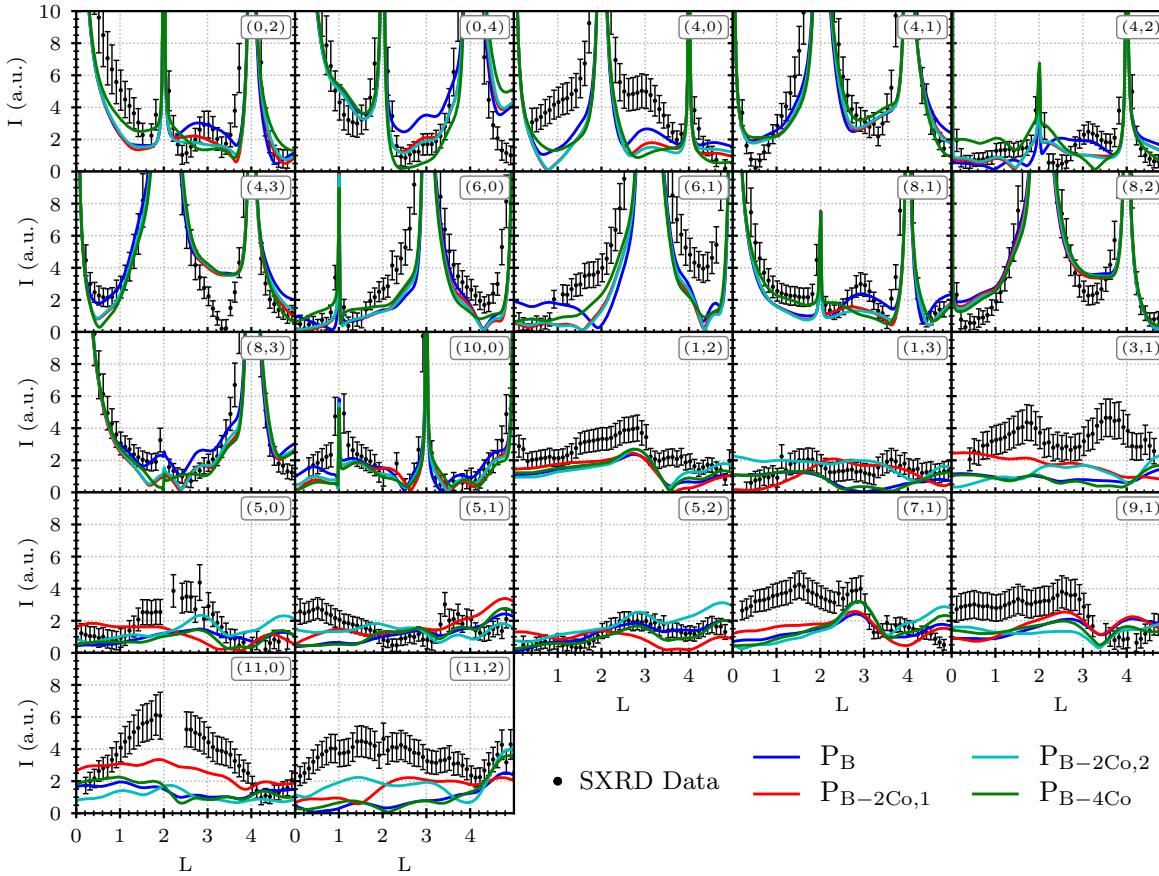


Figure 3.9: Measured crystal truncation rods (CTRs, even value of H) and super structure rods (SSRs, odd value of H) of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  and the related DFT-based simulations of the four surface models.

needed free parameter for the simulations was the scaling factor (ca. 0.040 and ca. 0.037 for the CTR and SSR calculations, respectively). All simulations reproduce quite well several CTRs and SSRs features such as surface-related anti Bragg positions (e.g. CTRs (0,2) or (8,1)). CTR  $\chi^2$  calculations show that models which present protruding Co atoms are more suitable. However, SSR  $\chi^2$  calculations are required to discriminate between the four models (SSRs are only due to the surface reconstruction). The  $P_{\text{B}-2\text{Co},1}$   $\chi^2$  is indeed lower than the others ( $\chi^2_{\text{SSR}} = 3.33$  and  $\chi^2_{\text{CTR}} = 5.13$ , see Tab. 3.1). The improvement of  $\chi^2$  is of almost 10% from the  $P_{\text{B}}$  model to the new model proposed for this surface ( $P_{\text{B}-2\text{Co},1}$ ). It reaches 25% when considering only the SSRs. However, one cannot exclude a combination of several models.

Fits based on a linear combination of the  $P_{\text{B}-2\text{Co},1}$  and  $P_{\text{B}-2\text{Co},2}$  models lead to an optimum ratio of 74:26 with a  $\chi^2$  of 4.11 (Fig. 3.10). Other fitting processes (atom positions and occupancies refinements) have been tried out but none allowed for better results.

Table 3.1: Comparison between the four DFT-based surface structures and SXRD measurements :  $\chi^2$  calculations

| Model                | SSRs $\chi^2$ | CTRs $\chi^2$ | Global $\chi^2$ |
|----------------------|---------------|---------------|-----------------|
| P <sub>B</sub>       | 4.19          | 5.19          | 4.74            |
| P <sub>B-2Co,1</sub> | <b>3.33</b>   | <b>5.13</b>   | <b>4.32</b>     |
| P <sub>B-2Co,2</sub> | 4.54          | 5.18          | 4.89            |
| P <sub>B-4Co</sub>   | 4.44          | 5.32          | 4.93            |

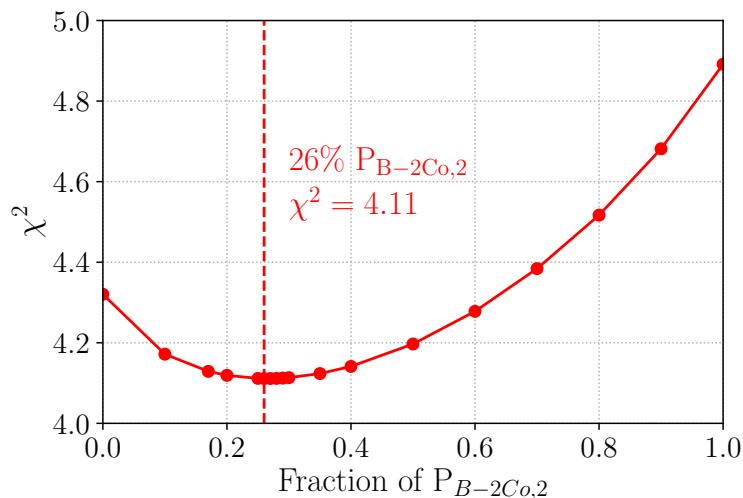


Figure 3.10:  $\chi^2$  calculations for a linear combination of P<sub>B-2Co,1</sub> and P<sub>B-2Co,2</sub>. The minimum of  $\chi^2$  is obtained for a 74:26 ratio.

Nevertheless, the combination of experimental approaches allows the identification of the P<sub>B-2Co,1</sub> model to describe the surface structure the best. In the following, this model is used to perform the investigation of the surface adsorption properties.

## 3.5 Adsorption calculations

The determination of adsorption properties for butadiene and butene on Al<sub>5</sub>Co<sub>2</sub>(2̄10) is a prerequisite to feed the thermodynamic model used in the following to analyze the catalytic properties of Al<sub>5</sub>Co<sub>2</sub>(2̄10). The complex energy landscape of this surface may however lead to a huge number of adsorption sites, including several molecular configurations for the adsorbates. Rather than performing a systematic, blindfolded and computationally expensive search, it is preferred to rely on the knowledge gained from the previous study,

which identified favorable adsorption sites for atomic hydrogen, acetylene and ethylene, using the  $P_B$  and  $P_{B-4\text{Co}}$  models [15].

### 3.5.1 Hydrogen adsorption

According to Ref. [15], the Co-rich surface model ( $P_B$ ) presents a large number of exothermic adsorption sites for atomic hydrogen. The labels were chosen to be consistent with the previous study. Most atomic hydrogen adsorption sites are found close to a protruding surface Co atom ( $\#i$ ,  $i \in \{1, 2, 4, 5, 7, 8, 10, 11\}$ ) with adsorption energies in the range  $[-0.39 \text{ eV}, -0.14 \text{ eV}]$ , or on top of the Co atoms belonging to the subsurface atomic plane ( $\#6$ ,  $E_{\text{ads}} = -0.31 \text{ eV}$ ), as well as on top of a specific Al surface atom ( $\#3$ ,  $E_{\text{ads}} = -0.36 \text{ eV}$ ). The number of favorable adsorption sites is reduced when protruding atoms are missing at the surface (Al-rich  $P_{B-4\text{Co}}$  surface model). Only a few sites are favorable:  $\#i'$  with  $i \in \{3, 4, 6, 7, 10, 11\}$  with adsorption energies in the range  $[-0.37 \text{ eV}, -0.09 \text{ eV}]$ . Figure 3.11 shows the 12 sites that were considered in this study ( $\#i$ ,  $i \in \{1, 3, 4, 5, 6, 10, 11\}$ ). Other favorable sites were not considered because of the adsorption of butadiene/butene.

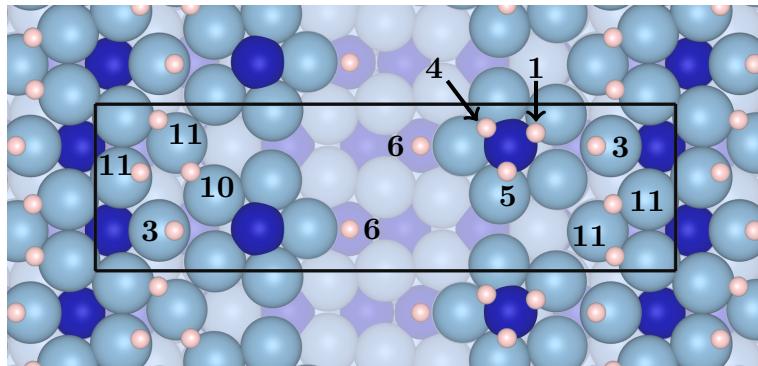


Figure 3.11: Co-adsorption of 12 hydrogen atoms (labelled  $i$ ,  $i \in \{1, 3, 4, 5, 6, 10, 11\}$ ) on  $P_{B-2\text{Co},1}$ . The surface unit cell is drawn in black. The color code is the same as Fig. 3.5.

### 3.5.2 Butadiene adsorption

According to previous studies [24, 8, 168, 169] stable sites for the adsorption of unsaturated molecules with carbon-carbon double bonds, like ethylene and butadiene, on complex polar intermetallic surfaces, generally occur through a  $\pi$ -bonding to a surface protruding transition metal atom. On  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ , the di- $\sigma$  configuration ( $\sigma_{\text{Al}}\sigma_{\text{Al}}$ ), was identified [15] as a stable site as well. In the following, only four configurations for butadiene is considered on  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  shown in Fig. 3.12 : three starting configurations (A, B and C) involve

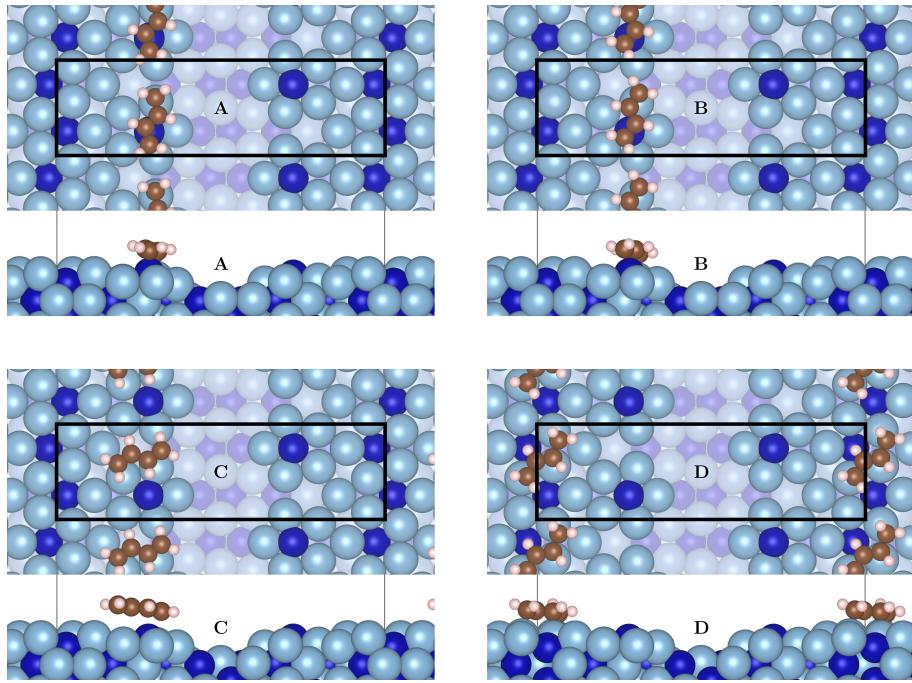


Figure 3.12: Adsorption sites and geometries for trans-1,3-butadiene on  $P_{B-2Co,1}$ . The surface unit cell is drawn in black. Al and Co atoms are drawn in light blue and dark blue, while C and H atoms are drawn in brown and white, respectively.

a C=C bond on top of a surface protruding/vacancy Co atom and one configuration (D) involves the  $\sigma_{Al}\sigma_{Al}$  configuration.

The most stable site (labelled A in Fig. 3.12 and also Fig. 3.14a) is a  $\pi_{Co}(\text{allyl})\sigma_{Al}(\text{C})$  site ( $E_{ads}^{C_4H_6} = -1.92 \text{ eV}$ ). The molecule is slightly deformed ( $E_{def,mol}^{C_4H_6} = 1.10 \text{ eV}$ ), with a C-C bond length of the allyl radical similar to the one of the free radical ( $1.43 \text{ \AA}$  [170]). Other favorable adsorption configurations include di- $\pi$  bonds ( $\pi_{Al}(\text{CC})\pi_{Co}(\text{CC})$ ,  $E_{ads}^{C_4H_6} = -1.74 \text{ eV}$ ) or tri- $\sigma$  bonds (tri $\sigma_{Al}(\text{C})$ ,  $E_{ads}^{C_4H_6} = -1.72 \text{ eV}$ ). Physisorbed butadiene is less bounded on the surface ( $E_{ads}^{C_4H_6} = -0.53 \text{ eV}$ ).

As expected, a stronger butadiene/ $Al_5Co_2(2\bar{1}0)$  interaction was identified on the Co-rich surface model ( $P_B$  model), with butadiene adsorbed in between the two closest protruding Co atoms ( $\pi_{Co}(\text{allyl})\sigma_{Al}(\text{C})$ ,  $E_{ads}^{C_4H_6} = -2.26 \text{ eV}$ ). More interesting, such a strong adsorption energy is calculated on the Al-rich surface model as well ( $P_{B-4Co}$  model,  $E_{ads}^{C_4H_6} = -2.27 \text{ eV}$ ). Butadiene is adsorbed with a 1,4-di $\sigma$ -2,3 $\pi$  configuration, in a site involving Al atoms, neighboring of the surface Co vacancies. The molecule here is strongly distorted ( $E_{def,mol}^{C_4H_6} = 1.90 \text{ eV}$ ), but the 'true' interaction energy is large ( $E_{int}^{C_4H_6} = -4.35 \text{ eV}$ ). This shows that the presence of a protruding Co-site at the surface is not required to strongly adsorb the butadiene molecule.

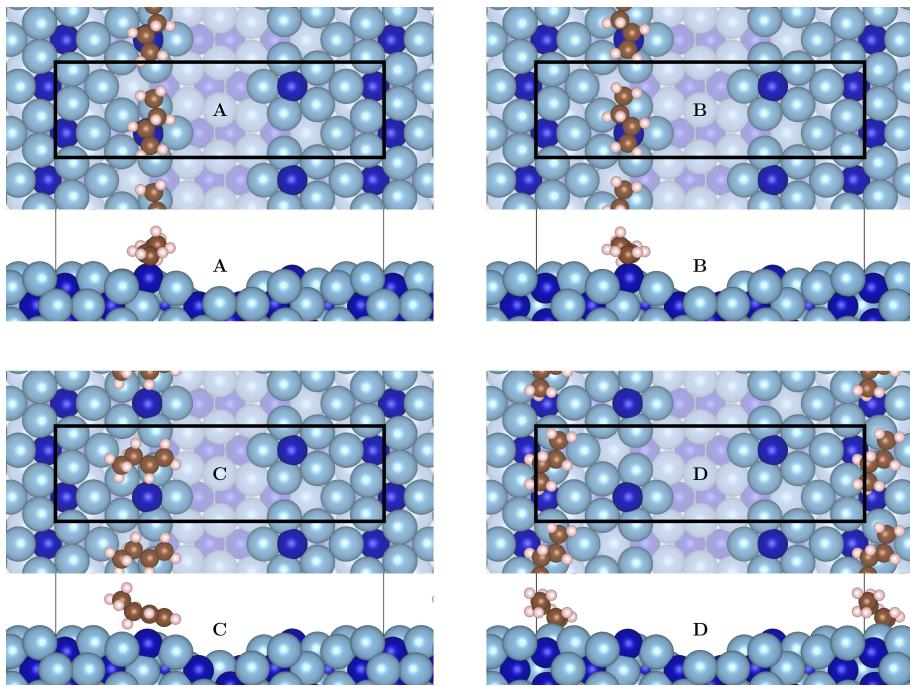


Figure 3.13: Adsorption sites and geometries for but-1-ene on  $\text{P}_{\text{B}-2\text{Co},1}$ . The surface unit cell is drawn in black. Al and Co atoms are respectively drawn in light blue and dark blue, while C and H atoms are drawn in brown and white, respectively.

### 3.5.3 But-1-ene adsorption

There are two possible ways to obtain but-1-ene from adsorbed butadiene : either through the hydrogenation of the free  $\text{C}=\text{C}$  bond or the hydrogenation of the one that is bonded to a Co (or Al) atom. In the latter scenario but-1-ene is physisorbed while in the first case it is chemisorbed.

Chemisorbed butene on  $\text{P}_{\text{B}-2\text{Co},1}$  site A leads to a  $\pi_{\text{Co}}$  configuration with an adsorption energy equal to  $-1.52$  eV (Fig. 3.13, Tab. 3.2 and Fig. 3.14b). Fig. 3.14e presents the charge density deformation around the chemisorbed butene molecule. A di- $\sigma$  configuration involving one Al-Al pair is also identified with a lower adsorption energy ( $E_{\text{ads}}^{\text{C}_4\text{H}_8} = -1.25$  eV).

Physisorption – showed in Fig. 3.14c – leads to a smaller adsorption energy ( $E_{\text{ads}}^{\text{C}_4\text{H}_8} = -0.61$  eV for site A). Fig. 3.14f presents the charge density deformation around the butene molecule, confirming the physisorbed mode. Other sites appear to be more stable for physisorbed butene ( $-0.63$  eV for site B and  $-0.82$  eV for site D). Nevertheless site A is the one considered for consistency since it was the most stable adsorption site for butadiene.

Here, since the strongest butene adsorption occurs through a  $\pi$  bonding involving only one surface protruding Co atom, it is not surprising to obtain similar strongest adsorption

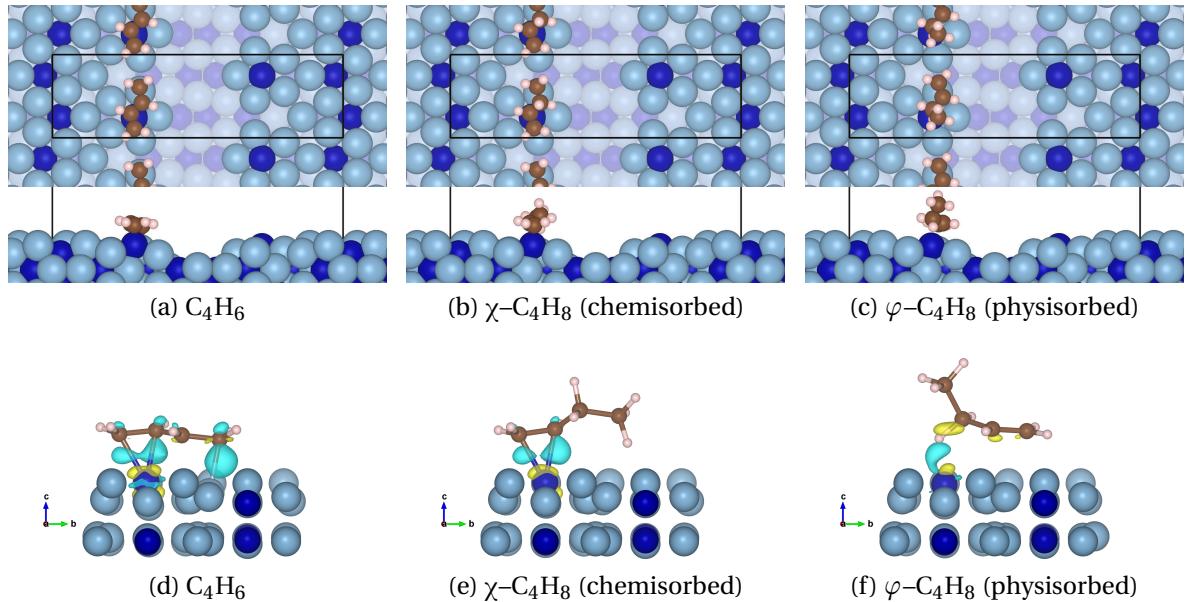


Figure 3.14: Adsorption configurations on  $P_{B-2Co,1}$  (site A) for (a)  $C_4H_6$ , (b)  $\chi$ - $C_4H_8$  (chemisorbed through a double  $C=C$  bond on a protruding Co atom) and (c)  $\varphi$ - $C_4H_8$  (physisorbed through a H atom on a Co atom). Isosurface of charge density differences for (d)  $C_4H_6$  ( $0.01 e^-/\text{\AA}$ ), (e)  $\chi$ - $C_4H_8$  ( $0.01 e^-/\text{\AA}$ ) and (f)  $\varphi$ - $C_4H_8$  ( $0.006 e^-/\text{\AA}$ ). Negative (resp. positive) isosurfaces are plotted in light blue (resp. yellow).

energies on the  $P_{B-2Co,2}$  and  $P_B$  models ( $E_{\text{ads}}^{C_4H_8} \in [-1.48 \text{ eV}; -1.54 \text{ eV}]$ ). Again, the presence of a protruding surface Co atom is not mandatory for the adsorption properties, since  $\sigma_{Al}$  and  $\sigma_{Al}\sigma_{Co}$  configurations also lead to large adsorption energies (e.g.  $E_{\text{ads}}^{C_4H_8} = -1.37 \text{ eV}$  on  $P_{B-4Co}$  model).

### 3.5.4 Coadsorption of hydrogen and butadiene/but-1-ene

Atomic hydrogen and  $C_4H_6$  need to be simultaneously adsorbed on the surface for the hydrogenation reaction to occur according to the Langmuir–Hinshelwood mechanism. Here, we determine how the previous adsorption energies are impacted by co-adsorption with hydrogen under realistic conditions (pressure, temperature).

The determination of the realistic hydrogen coverage, with the constrain of one alkene molecule adsorbed per surface cell, would require the determination of the complete surface stability diagram for hydrogen, which is beyond the scope of the present investigation. Here, 7 hydrogen coverages are considered ( $\vartheta_H = 0, 2, 4, 6, 8, 10$  or  $12$ ). This means that for each  $(p, T)$  condition the optimum coverage  $\vartheta_H$  is determined. The hydrogen adsorption sites are selected amongst the one presented in Fig. 3.11 following their relative adsorption

Table 3.2: Trans-1,3-butadiene ( $\text{C}_4\text{H}_6$ ) and but-1-ene ( $\text{C}_4\text{H}_8$ ) adsorption energies on  $\text{P}_{\text{B}-2\text{Co},1}$ 

| $\text{P}_{\text{B}-2\text{Co},1}$ | $E_{\text{ads}}$ (eV) for different sites |       |                  |       |
|------------------------------------|---|-------|------------------|-------|
|                                    | A   | B     | C                | D     |
| $\text{C}_4\text{H}_6$             | -1.92                                     | -1.74 | -0.53 $^\varphi$ | -1.72 |
| $\chi\text{-C}_4\text{H}_8$        | -1.52                                     | -1.54 | -0.51 $^\varphi$ | -1.25 |
| $\varphi\text{-C}_4\text{H}_8$     | -0.61                                     | -0.63 | -0.36 $^\varphi$ | -0.82 |

$\chi$  : chemisorbed,  $\varphi$  : physisorbed

energies, i.e. for  $\vartheta_{\text{H}} = 2$ ,  $i \in (1, 3)$ ; for  $\vartheta_{\text{H}} = 4$ ,  $i \in (1, 3, 4)$ ; for  $\vartheta_{\text{H}} = 6$ ,  $i \in (1, 3, 4, 5, 6)$ ; for  $\vartheta_{\text{H}} = 8$ ,  $i \in (1, 3, 4, 5, 6)$ ; for  $\vartheta_{\text{H}} = 10$ ,  $i \in (1, 3, 4, 5, 6, 10, 11)$  and for  $\vartheta_{\text{H}} = 12$ ,  $i \in (1, 3, 4, 5, 6, 10, 11)$ .

The next step in the analysis is the prediction of the stability of these adsorbates under operating conditions using a thermodynamic model (developed in Chapter 2, section 2.2.3.3). The thermodynamic diagrams related to the Gibbs free adsorption energies of hydrogen, butadiene and butene (chemi- and physisorbed) are plotted in Figs. 3.15a–c for the  $\text{P}_{\text{B}-2\text{Co},1}$  model, as a function of the temperature and the total pressure (a 1:10 ratio was considered for the partial pressures of  $\text{C}_4\text{H}_6$  and  $\text{H}_2$ , in agreement with the experimental conditions).

Figs. 3.15d–f show the respective hydrogen coverages  $\vartheta_{\text{H}}$  as a function of  $p$  and  $T$ . They show that the alkene molecules are adsorbed under usual hydrogenation conditions. At the experimental ( $p, T$ ) conditions, the optimum hydrogen coverage appears to be  $\vartheta_{\text{H}} = 6$  (resp.  $\vartheta_{\text{H}} = 8$ ) for  $\text{C}_4\text{H}_6$  (resp.  $\chi\text{-C}_4\text{H}_8$  and  $\varphi\text{-C}_4\text{H}_8$ ) with a coadsorption enthalpy of -1.84 eV (resp. -1.49 eV and -0.49 eV).

### 3.5.5 Competition between chemisorption and physisorption

There are two possible ways to obtain but-1-ene from adsorbed butadiene (site A): through the hydrogenation of the  $\text{C}=\text{C}$  fragment bounded either to one surface Co or to one Al surface atom. In the latter scenario, but-1-ene is chemisorbed, while in the first case, it is physisorbed. Both products – chemisorbed or physisorbed butene – appear to be adsorbed at  $p = 5.5$  mbar and  $T = 383$  K (experimental conditions).

Chemisorbed butene on  $\text{P}_{\text{B}-2\text{Co},1}$  leads to a  $\pi_{\text{Co}}$  configuration with an adsorption energy equal to -1.52 eV (Tab. 3.2 and Fig. 3.14b). This rather large adsorption energy results from the strong molecule surface bonding, involving charge transfer (three lobes of charge

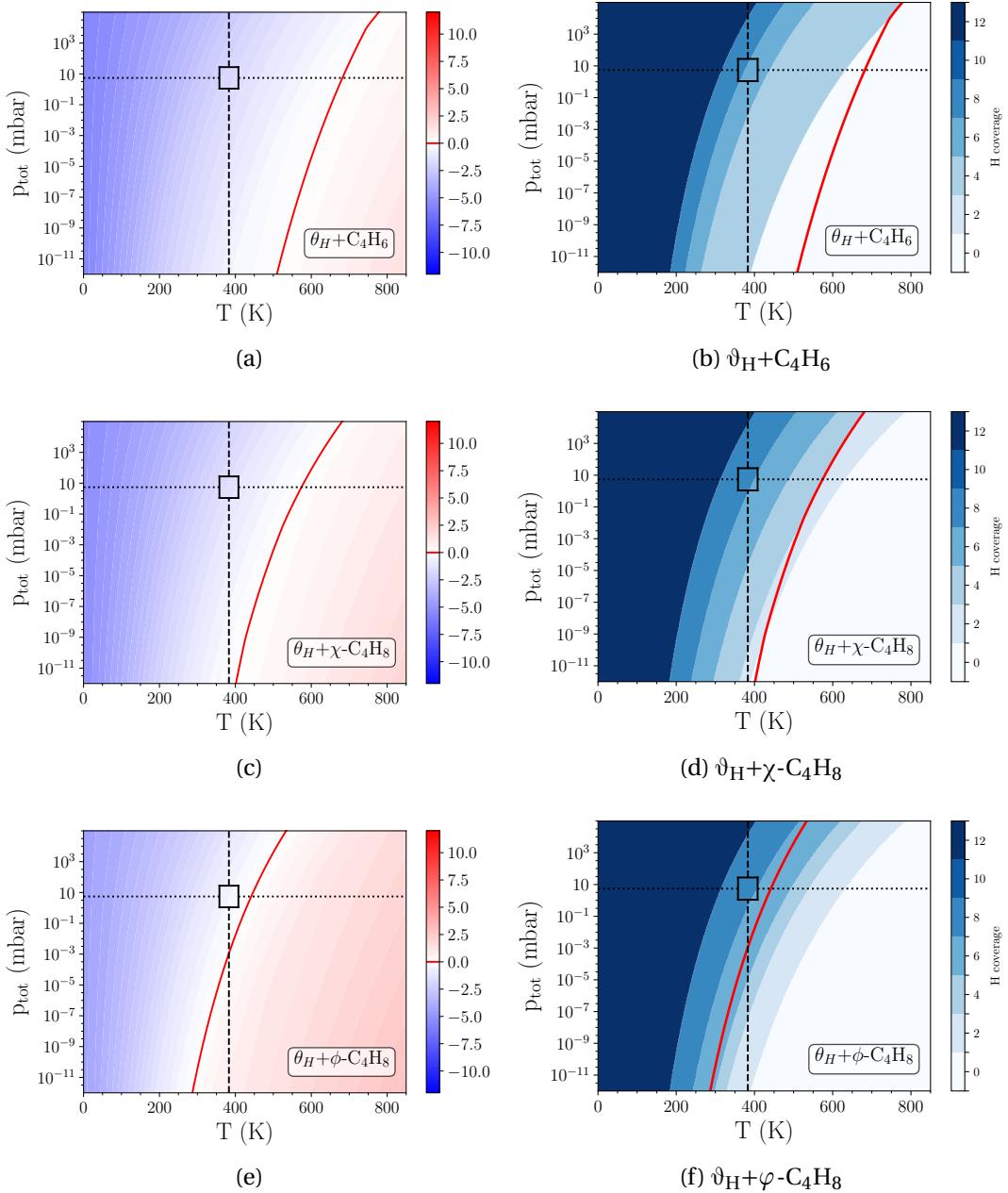


Figure 3.15: Co-adsorption enthalpy in eV (color scale) of (a) hydrogen atoms and  $C_4H_6$ , (b) hydrogen atoms and  $\varphi - C_4H_8$  and (c) hydrogen atoms and  $\chi - C_4H_8$ , as a function of  $p$  and  $T$  for  $P_{B-2Co,1}$ . Corresponding hydrogen coverage as a function of  $p$  and  $T$  plotted for (c) hydrogen atoms and  $C_4H_6$ , (d) hydrogen atoms and  $\chi - C_4H_8$  and (e) hydrogen atoms and  $\varphi - C_4H_8$  for  $P_{B-2Co,1}$  model.  $\chi -$  and  $\varphi -$  describe chemisorbed and physisorbed modes, respectively. The red line represents  $G_{\text{ads}} = 0$ . The dotted and dashed lines represent  $p = 5.5$  mbar and  $T = 383$  K *i.e.* the experimental conditions, respectively.

accumulation between the molecule and the surface, Fig. 3.14e). Physisorbed butene leads to a smaller adsorption energy ( $E_{\text{ads}}^{\text{C}_4\text{H}_8} = -0.51 \text{ eV}$ ). Charge deformation (Fig. 3.14f) highlights a bonding through one hydrogen atom.

According to the experimental results obtained at  $(p, T) = (5 \text{ mbar}, 393 \text{ K})$ , butene has to be desorbed once produced because a 100% selectivity toward semihydrogenation as well as full conversion is observed. Our thermodynamic study predicts a desorption temperature of 440 K for  $\varphi - \text{C}_4\text{H}_8$ , which is only 57 K higher than the experimental temperature. Conversely, it is calculated that  $\chi - \text{C}_4\text{H}_8$  would desorb at  $T = 572 \text{ K}$  (189 K higher than  $T_{\text{exp}}$ , Fig. 3.16). Uncertainties are still present on the experimental temperature measurements and on the desorption temperature calculations. Nevertheless, the combination of experimental and theoretical results may indicate that the  $\pi_{\text{Co}}$  (allyl) bond is hydrogenated first. Such a conclusion should however be strengthened by the evaluation of a possible reaction path on the surface, which is out of the scope of this work.

### 3.5.6 Influence of Co surface density

Adsorption properties are found to be strongly influenced by the density of surface protruding Co atoms (Fig. 3.17). When comparing the  $P_B$  and  $P_{B-2\text{Co},1}$  models, one observes that molecules are more strongly adsorbed at the Co-rich surface model, as already noticed in our preliminary calculations without atmosphere. The previous observations have a consequence on the catalytic properties. Using the  $P_B$  model, with four protruding cobalt atoms, the most stable site involves two protruding surface Co atoms ( $E_{\text{ads}} = -2.26 \text{ eV}$ , Table S1), leading to a rather high adsorption energy. Regardless of the double bond that is hydrogenated first, the hydrogenated molecule (but-1-ene) is strongly adsorbed as well, through one Co atom, which may prevent a good selectivity.

A strong molecule surface interaction was already calculated for acetylene on the  $P_B$  model. This was shown to alter the activity of the catalyst: in [15], the considered reaction path did not consider the most stable site because in this case the adsorption energies of the reactants are more stable than the products.

Using the  $P_{B-2\text{Co},1}$  model, only one protruding Co atom is involved in the bonding between the surface and butadiene. Protruding surface Co atoms are isolated, which may favor the selectivity. When the  $\pi_{\text{Co}-\text{allyl}}$  bonding is hydrogenated first, this scenario is shown to lead to theoretical results in agreement with the catalytic observations.

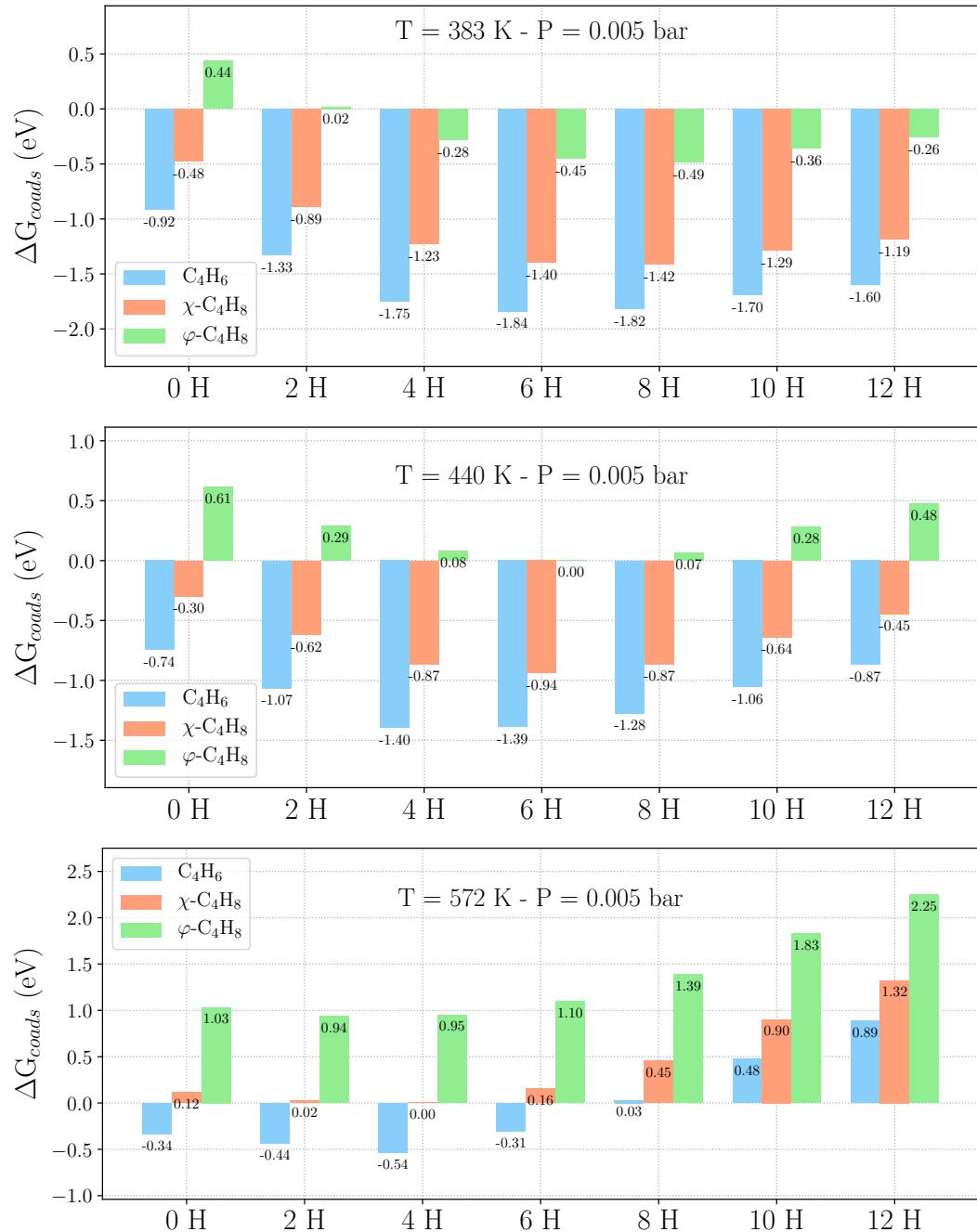


Figure 3.16: Co-adsorption enthalpies of hydrogen atoms, butadiene and butene plotted for P<sub>B-2Co,1</sub> model, under the following reaction conditions: p = 5.5 mbar, T = 383 K, 440 K or 572 K.

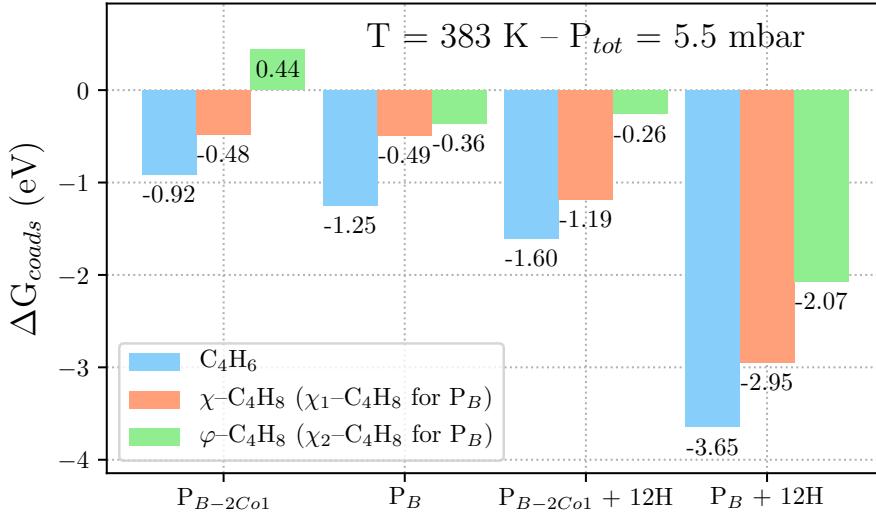


Figure 3.17: Coadsorption energies of hydrogen and  $\text{C}_4\text{H}_X$  as a function of the surface model.

## 3.6 Conclusion

This work identified the  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  surface as a highly selective catalyst though moderately active for the semi-hydrogenation of butadiene. The surface activity and selectivity strongly depends on the surface structure, which is determined by a combination of SXRD measurements and DFT calculations. Stable surface models differ only by the presence/absence of a few surface Co atoms, but their discrimination is shown to be possible, thanks to the SXRD/DFT approach. Our analysis leads to the conclusion that surface Co atoms are well isolated from each other at the surface, the distance between two closest Co atoms being 7.6 Å.

In addition, DFT calculations show that the density of surface Co atoms has a significant influence on the adsorption energies of butadiene and butene, which may impact the activity and selectivity of the catalyst. The consideration of atomic hydrogen and butadiene co-adsorption, in agreement with the Langmuir-Hinshelwood mechanism, as well as the influence of operating conditions ( $p, T$ ), weaken the adsorption energies of butadiene and butene. Both chemisorbed and physisorbed butene molecules are adsorbed at reaction conditions, but less strongly than butadiene. The hydrogenation leading to physisorption of butene seems more realistic when comparing to experimental results. Chemisorbed butene does not naturally desorb after the hydrogenation of the first C=C bond. This would explain the hydrogenation of butene experimentally observed once butadiene is fully converted.

### *3.6. Conclusion*

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Our study clearly show that although  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  present similar surface structures under ultra-high vacuum, with the presence of well isolated transition metal atoms protruding at the surface, and show analogous adsorption properties, their catalytic performances are rather different. This may come from kinetic factors, or from the emergence of a different surface structure under reaction conditions. Further theoretical and experimental investigations, including the determination of the surface structure under reaction condition, and of a possible reaction path, have to be conducted to fully get the catalytic properties of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ .



## Chapter 4

# Nanostructuring of $o\text{-Al}_{13}\text{Co}_4(100)$ under reaction conditions

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## 4.1 Introduction

In this chapter the (100) surface of *o*-Al<sub>13</sub>Co<sub>4</sub> is theoretically investigated under reaction conditions through the means of DFT. This surface has already been both theoretically and experimentally studied under UHV and two surface models emerged. A first one is derived from a theoretical cleavage [24] (Fig. 4.1a, model P<sub>14</sub>) and consists in an incomplete and nanostructured puckered layer built from the preservation of the cluster building blocks at the surface, in relation to strong covalent interactions present in this compound [34]. This first model appears to be inconsistent with the experimental observations of the surface prepared by sputtering–annealing under UHV conditions [88]. A second model was proposed, in agreement with the experimental observations (STM, SXRD), that consists in a dense puckered layer that lacks two protruding Co atoms (Fig. 4.1b, model P<sub>24</sub>) [18].

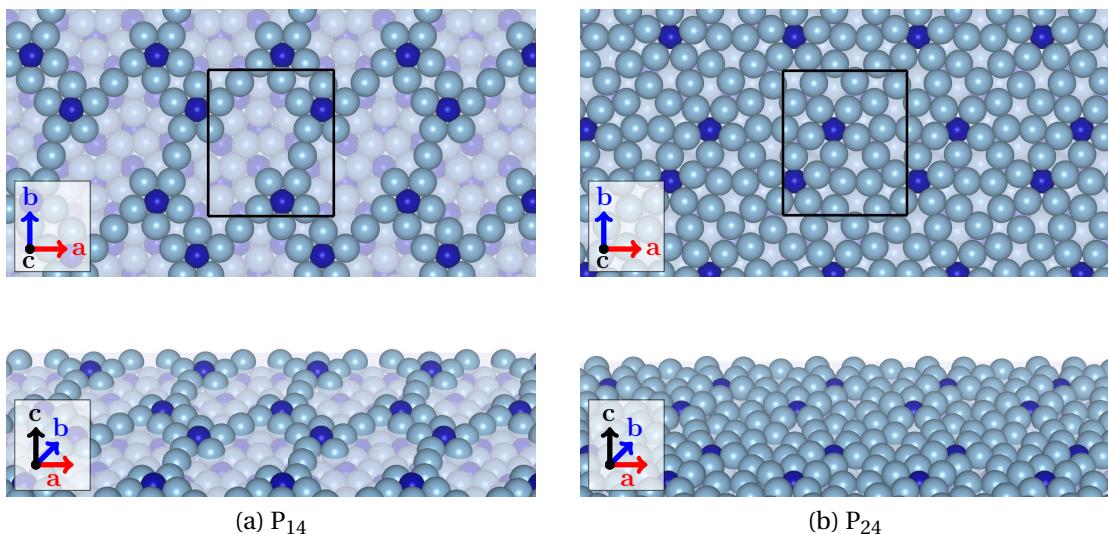


Figure 4.1: Al<sub>13</sub>Co<sub>4</sub>(100) – (a) P<sub>14</sub> and (b) P<sub>24</sub> – surface models. Al and Co atoms are drawn in light blue and dark blue, respectively.

*Al*<sub>13</sub>Co<sub>4</sub> has recently been shown to exhibit unexpected performances in the partial hydrogenation of alkynes [22, 21] and alkadienes [7, 9, 8]. A possible reaction path for the partial hydrogenation of acetylene was identified using first-principles calculations on *o*-Al<sub>13</sub>Co<sub>4</sub>(100), with energy barriers similar to the ones calculated for conventional Pd and Pd–Ag catalysts [24]. However, the starting point of this investigation was the P<sub>14</sub> surface model, which is inconsistent with the experimental observations performed under UHV, *i.e.* P<sub>24</sub> surface model, on which rather large hydrogenation barriers have been calculated [19]. Thus the question of its surface structure under catalytic hydrogenation conditions re-

mains open. Investigations to probe possible adsorbate-induced restructuring of complex intermetallic surfaces under hydrogenation conditions, and its influence on their catalytic performances, have never been reported so far.

## 4.2 Surface energy calculations

Preliminary DFT calculations allow for the estimation of the surface energies of both models (see section 2.2.3.1 in Chapter 2 for more details). In the following, all calculations are done using the DFT-D3 functionnal (if not mentionned otherwise). The results are plotted in Fig. 4.2. In the chemical potential range  $[-0.5 \text{ eV}; -0.15 \text{ eV}]$ ,  $P_{14}$  is the most stable surface model with a surface energy ranging from  $1.64 \text{ J/m}^2$  to  $1.68 \text{ J/m}^2$ . In the chemical potential range  $[-0.15 \text{ eV}; 0 \text{ eV}]$ ,  $P_{24}$  is the most stable surface model with a surface energy ranging from  $1.49 \text{ J/m}^2$  to  $1.64 \text{ J/m}^2$ .

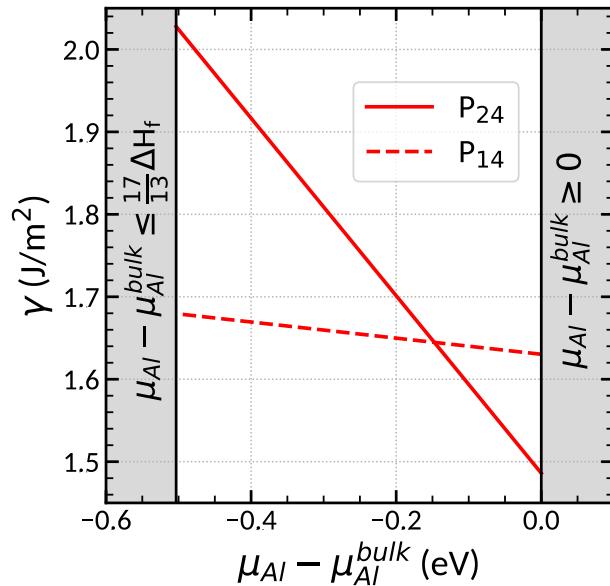


Figure 4.2: Surface energies of  $o\text{-Al}_{13}\text{Co}_4(100)$  as a function of the Al chemical potential

Both models are therefore stable over a rather long range of chemical potential with similar surface energies in the range  $[1.49 \text{ J/m}^2; 1.68 \text{ J/m}^2]$ . It is thus of interest to determine how these surface energies are changed when molecules are adsorbed at the surfaces. To predict adsorption thermodynamics under reaction conditions, the first step consists in the determination of the energetically favorable adsorption structures and sites for H,  $\text{C}_4\text{H}_6$  and  $\text{C}_4\text{H}_8$ .

## 4.3 Hydrogen adsorption

Weak hydrogen binding and easy H<sub>2</sub> dissociation are key descriptors in hydrogenation catalysis. These factors are related to the atomic hydrogen adsorption properties of the *o*-Al<sub>13</sub>Co<sub>4</sub> surfaces, an overview of which is obtained through potential energy surfaces (Fig. 4.3 and Fig. 4.4). The adsorption has been computed on specific points of regular grids covering the surfaces, using point densities of 2.3 pts/Å<sup>2</sup> (total of 400 adsorption positions for each surface).

### 4.3.1 On corrugated *o*-Al<sub>13</sub>Co<sub>4</sub>(100)

The adsorption energy landscape of P<sub>14</sub> is presented in the right-hand map of Fig. 4.3. The left-hand map of the figure shows particular and stable adsorption sites (top, bridge and hollow local minima) for which the adsorption energies are displayed in Tab. 4.1.

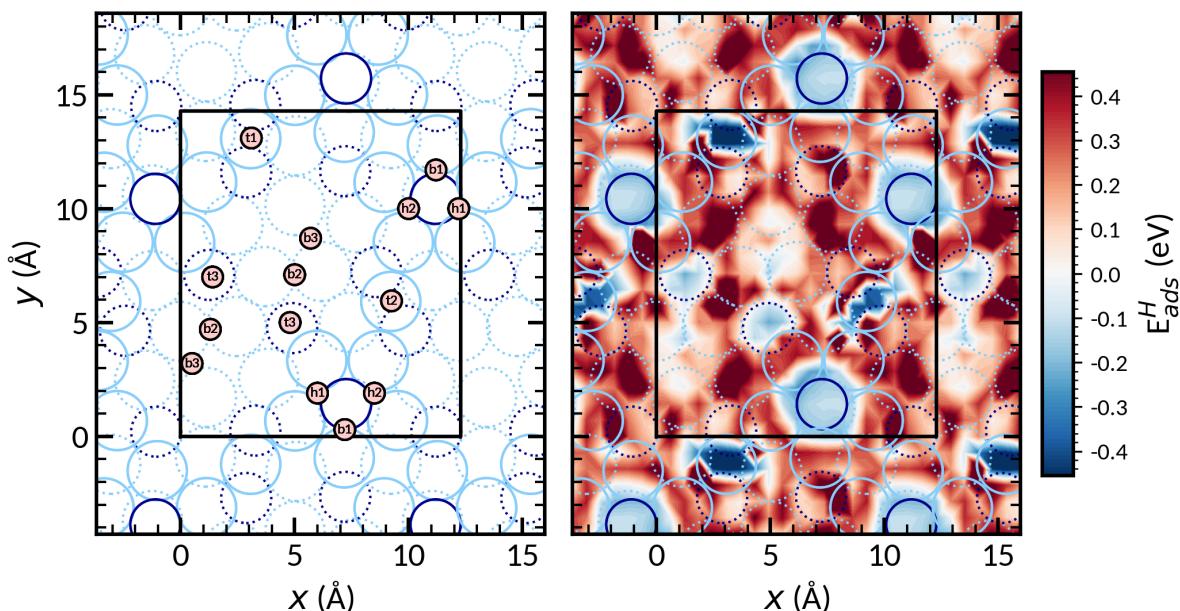


Figure 4.3: Hydrogen adsorption energy maps on P<sub>14</sub>. Al and Co atoms are drawn in light blue and dark blue, respectively.

The most stable adsorption sites appear to be the Al "glue atoms" – that link the bipentaagonal motifs – and one of the subsurface Co atom ( $E_{\text{ads}}^H = [-0.51 \text{ eV}; -0.45 \text{ eV}]$ ). The second most stable sites are – as expected – the protruding Co atoms (top site, bridge site with one Al atom, and hollow site with two Al atoms). In total, 14 sites are considered to be stable sites (considering symmetry and steric hindrance) and are labelled *t*<sub>1</sub> to *t*<sub>3</sub> for top sites,

Table 4.1: Hydrogen adsorption energies on P<sub>14</sub>

| Adsorption energies (eV) |       |        |        |
|--------------------------|-------|--------|--------|
|                          | top   | bridge | hollow |
| t1                       | -0.51 | b1     | -0.24  |
| t2                       | -0.45 | b2     | -0.21  |
| t3                       | -0.25 | b3     | -0.17  |
|                          |       | h1     | -0.22  |
|                          |       | h2     | -0.18  |
|                          |       | -      | -      |

*b1* to *b3* for bridge sites and *h1/h2* for hollow sites.

### 4.3.2 On flat *o*-Al<sub>13</sub>Co<sub>4</sub>(100)

The adsorption energy landscape of P<sub>24</sub> is presented in Fig. 4.4. The left-hand map of the figure shows particular and stable adsorption sites (only bridge sites) for which the adsorption energies are displayed in Tab. 4.2.

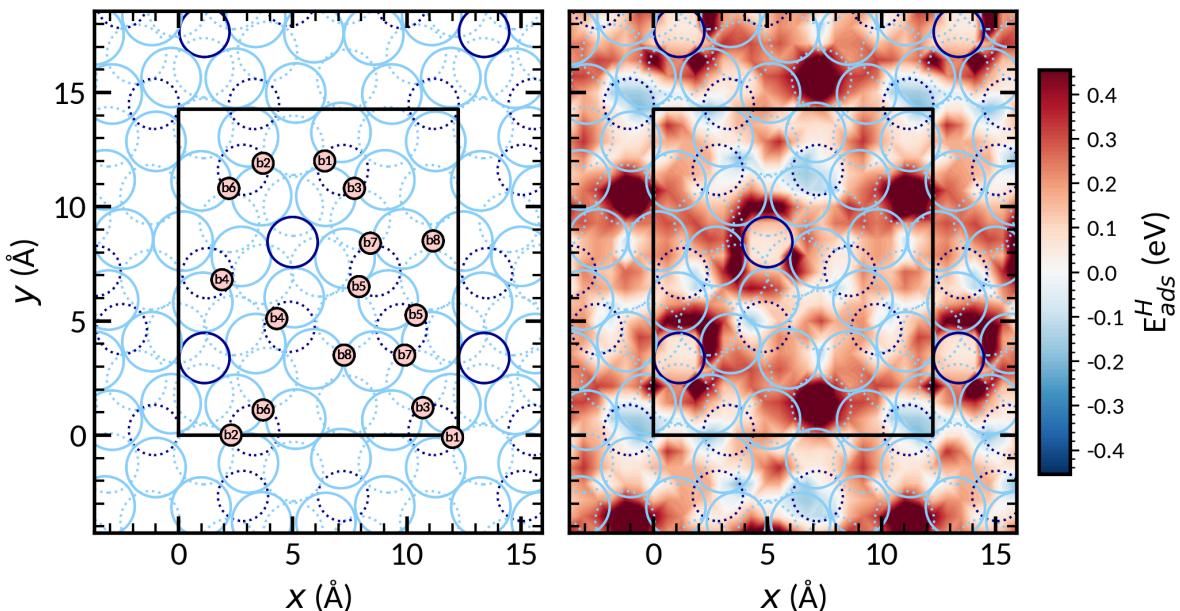


Figure 4.4: Hydrogen adsorption energy maps on P<sub>24</sub>. Al and Co atoms are drawn in light blue and dark blue, respectively.

The most stable adsorption sites appear to be on bridges between two Al atoms above a subsurface Co atom : the favorable adsorption sites are stabilized by the electronic donor

Table 4.2: Hydrogen adsorption energies on P<sub>24</sub>

| Adsorption energies (eV) |        |    |        |
|--------------------------|--------|----|--------|
|                          | bridge |    | bridge |
| b1                       | -0.23  | b5 | -0.16  |
| b2                       | -0.22  | b6 | -0.06  |
| b3                       | -0.20  | b7 | -0.03  |
| b4                       | -0.18  | b8 | -0.11  |

character of Co atoms positioned in the subsurface layer [32]. In total, 16 sites are considered to be stable sites (considering symmetry and steric hindrance) and are labelled *b1* to *b8* for bridge sites.

Thanks to these results on both surface models, it will be possible to build hydrogenated surface models with hydrogen coverage ranging from 1 to 12 hydrogen atoms per surface cell ( $5.6 \times 10^{-3}$  at/Å<sup>2</sup> to  $6.7 \times 10^{-2}$  at/Å<sup>2</sup>). A maximum of 12 hydrogen atoms will be adsorbed because of the coadsorption with a C<sub>4</sub>H<sub>x</sub> molecule which will take a few possible adsorption sites.

## 4.4 Butadiene and but-1-ene adsorptions

The next step is to find the stable adsorption sites of butadiene and but-1-ene on both surface models. Regardless of the surface model, the *trans* configuration of butadiene is always preferred. The molecule–surface interaction typically occurs through π-bonds, di-σ-bonds or a combination of both types.

### 4.4.1 C<sub>4</sub>H<sub>6</sub> on corrugated *o*-Al<sub>13</sub>Co<sub>4</sub>(100)

For the P<sub>14</sub> model, all considered adsorption sites involve protruding surface Co atoms and neighboring Al atoms. No adsorption sites were found in between the surface clusters, on the exposed part of the F-type layer. The most stable sites are drawn in Fig. 4.5a–d and their related adsorption energies are presented in Tab. 4.3. For all configurations, butadiene is adsorbed with a  $\pi_{\text{Co}}-\sigma_{\text{Al}}$  mode.

The butadiene adsorption energies range from -1.26 eV to -1.59 eV on P<sub>14</sub>. For comparison, butadiene is more strongly adsorbed (-1.94 eV) on Al<sub>13</sub>Fe<sub>4</sub>(010) – which has the same

nanostructured surface – than on P<sub>14</sub> [171]. This difference is attributed to a decreased filling of the adsorbate–metal antibonding states in agreement with DOS calculations [171].

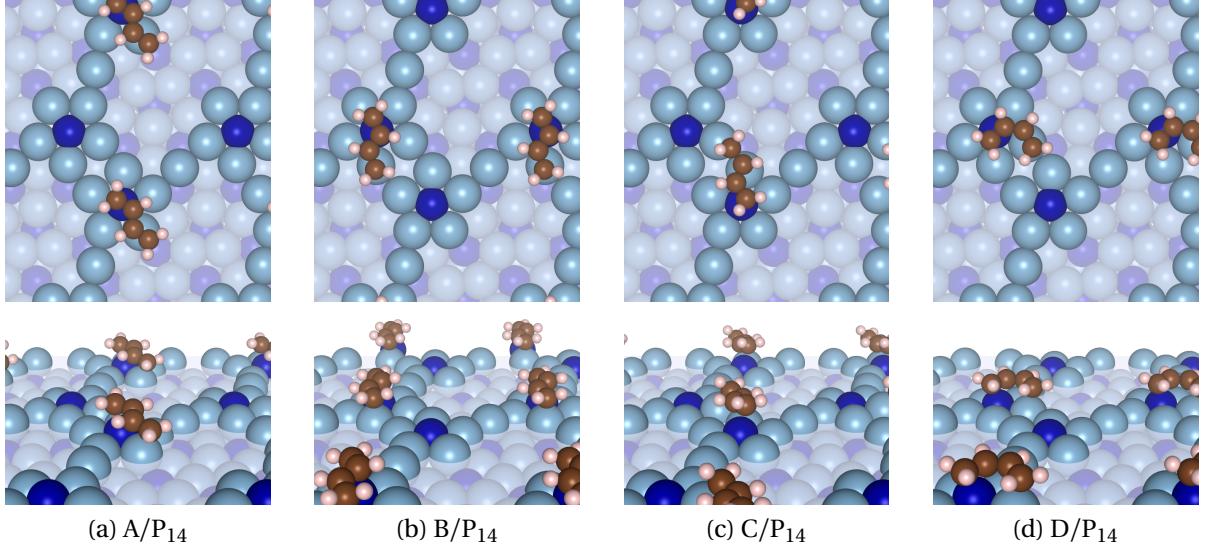


Figure 4.5: Adsorption sites of butadiene on P<sub>14</sub> (top and perspective views). Al and Co atoms are drawn in light blue and dark blue, respectively.

#### 4.4.2 C<sub>4</sub>H<sub>6</sub> on flat *o*-Al<sub>13</sub>Co<sub>4</sub>(100)

Only surface Al atoms are involved in the case of the P<sub>24</sub> model since no Co atoms are protruding unlike in the corrugated model. The most stable sites are drawn in Fig. 4.6a–d and their related adsorption energies are presented in Tab. 4.3. Butadiene is adsorbed with a di $\sigma$ – $\pi$  mode (configuration E) or a tri $\sigma$  mode (configurations F, G and H). These sites appear to be stabilized thanks to subsurface Co atoms positioned right below. The butadiene adsorption energies range from -1.67 eV to -1.90 eV on P<sub>24</sub>. It is therefore more strongly adsorbed on P<sub>24</sub> than on P<sub>14</sub>.

Table 4.3: Butadiene adsorption energies on P<sub>14</sub> and P<sub>24</sub>

| P <sub>14</sub>       | A     | B     | C     | D     |
|-----------------------|-------|-------|-------|-------|
| E <sub>ads</sub> (eV) | -1.59 | -1.53 | -1.26 | -1.31 |
| P <sub>24</sub>       | E     | F     | G     | H     |
| E <sub>ads</sub> (eV) | -1.90 | -1.75 | -1.75 | -1.67 |

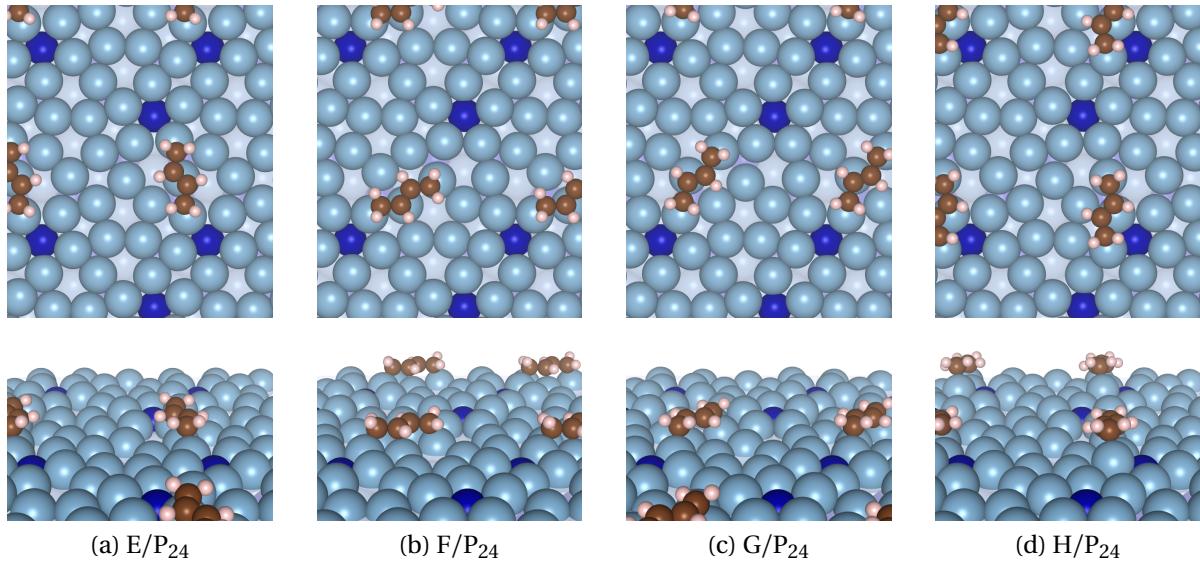


Figure 4.6: Adsorption sites of butadiene on  $\text{P}_{24}$  (top and perspective views). Al and Co atoms are drawn in light blue and dark blue, respectively.

#### 4.4.3 Adsorption of $\text{C}_4\text{H}_8$

Only four butene configurations are presented in Fig. 4.7a-d : two on  $\text{P}_{14}$  (a-b) and two on  $\text{P}_{24}$  (c-d). The different adsorption energies are presented in Tab. 4.4. The first configuration is a butene molecule that is chemisorbed ( $\chi$ ) with a  $\pi_{\text{Co}}$  mode (-1.13 eV). This simulates the hydrogenation of the  $\text{C}=\text{C}$  bond that was not adsorbed on the protruding Co atom.

The second configuration on  $\text{P}_{14}$  is a butene molecule that is physisorbed ( $\varphi$ ) with a  $\sigma_{\text{Co}}(\text{H})$  mode (-0.73 eV). This simulates the hydrogenation of the  $\text{C}=\text{C}$  bond that was adsorbed on the protruding Co atom. This configuration is less stable than the previous one.

On  $\text{P}_{24}$  the stable configurations are quite different. The two main configurations presented in Figs. 4.7c-d are butene molecules that are chemisorbed with a di- $\sigma_{\text{Al}}$  mode having adsorption energies of -1.23 eV and -1.32 eV.

The di- $\sigma_{\text{Al}}$  mode adsorption energies are rather similar to the one of the  $\pi_{\text{Co}}$  mode. In all cases, butene adsorption is found to be weaker than butadiene adsorption.

## 4.5 Nanostructuring under reaction conditions

The butadiene hydrogenation reaction is known to follow a Horiuti–Polanyi-type mechanism [172], which requires the presence of coadsorbed butadiene and hydrogen species.

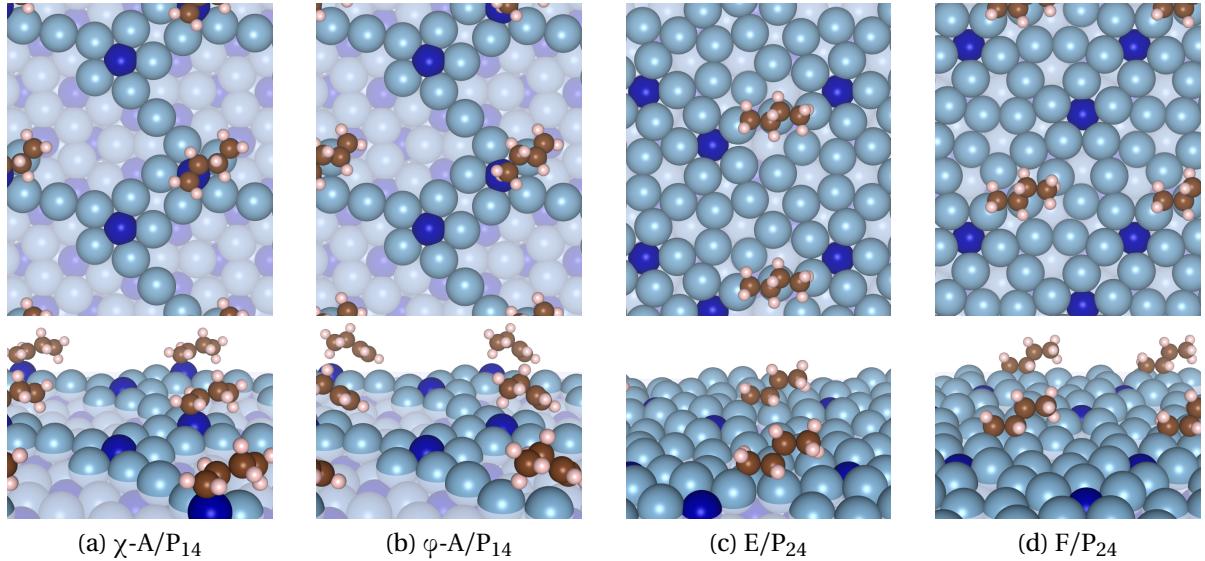

 Figure 4.7: Adsorption sites of but-1-ene on P<sub>14</sub> and P<sub>24</sub>

 Table 4.4: Butene adsorption energies on P<sub>14</sub> and P<sub>24</sub>

| Site                  | χ-A/P <sub>14</sub> | φ-A/P <sub>14</sub> | E/P <sub>24</sub> | F/P <sub>24</sub> |
|-----------------------|---------------------|---------------------|-------------------|-------------------|
| E <sub>ads</sub> (eV) | -1.13               | -0.73               | -1.23             | -1.32             |

These adsorbates may induce modifications of the surface structure. This is questioned here in the case of Al<sub>13</sub>Co<sub>4</sub>(100), using the previous surface models and adsorption energies as inputs for the thermodynamic model introduced before in Chapter 2 (section 2.2.3.3).

#### 4.5.1 Thermodynamic modelling and modification of the surface energy

As detailed in Chapter 2 section 2.2.3.1, the surface energy of a clean slab can be expressed as follow :

$$\gamma_{\text{clean}} = \frac{1}{2A} \left[ E^{\text{slab}} - \frac{\mu_{\text{Al13Co4}}^{\text{bulk}} N_{\text{Co}}^{\text{slab}}}{4} - \mu_{\text{Al}}^{\text{bulk}} \left( N_{\text{Al}}^{\text{slab}} - \frac{13 N_{\text{Co}}^{\text{slab}}}{4} \right) - (\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}) \left( N_{\text{Al}}^{\text{slab}} - \frac{13 N_{\text{Co}}^{\text{slab}}}{4} \right) \right]$$

where E<sup>slab</sup> is the total energy of the slab (determined through DFT calculations),  $\mu_X$  is the chemical potential of X in Al<sub>13</sub>Co<sub>4</sub>, G the free enthalpy of the system and N<sub>X</sub><sup>slab</sup> the number of X atom in the slab (X = Al, Co).

Possible modifications of the surface structure under operating conditions (pressure p

and temperature T) can be investigated by calculating the surface energies modified by adsorption  $\gamma_{\text{cov}}$  [173, 174, 175] defined as follow :

$$\gamma_{\text{cov}}(p, T, \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}) = \gamma_{\text{clean}}(\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}) + \gamma_{\text{ads}}(p, T) \quad (4.1)$$

where the part due to the adsorption is defined by :

$$\gamma_{\text{ads}}(p, T) = \frac{1}{A} \left[ E_{\text{coads}}^{\text{C}_4\text{H}_x + n_{\text{H}_2}\text{H}_2} + k_B T \sum_{i \in \mathcal{X}} \ln(z_{\text{trans}}^{*,i} \cdot z_{\text{rot}}^i)^{n_i} - k_B T \sum_{i \in \mathcal{X}} n_i \ln\left(\frac{p^i}{k_B T}\right) \right] \quad (4.2)$$

with  $\mathcal{X} = (n_{\text{H}_2}\text{H}_2, \text{C}_4\text{H}_x)$ . The quantities  $z_{\text{trans}}^{*,i}$  and  $z_{\text{rot}}^i$  are the translational and rotational partition functions (see section 2.2.3.3 of Chapter 2 for more information).

#### 4.5.2 Hydrogen optimum coverages

It is possible then to calculate the surface energy of each model as a function of  $(p, T, \mu_{\text{Al}})$  and, consequently, to compare between the two structures, which one is the most stable at a given  $(p, T, \mu_{\text{Al}})$  condition. To do so, the surface energies of a slab with  $i$  adsorbed H atoms ( $\forall i \in \llbracket 1, \dots, 12 \rrbracket$ ) are calculated for each surface model. The surface coverages are progressively increased with less and less stable adsorption sites (e.g. starting for  $P_{14}$  with  $t1$ , then  $t2$ , etc). Finally, the  $(\gamma_{\text{cov}}^{P_{14}} - \gamma_{\text{cov}}^{P_{24}})$  difference is calculated for every  $(p, T, \mu_{\text{Al}})$  condition : if the value is negative (respectively positive) then  $P_{14}$  (respectively  $P_{24}$ ) is the most stable one at this condition.

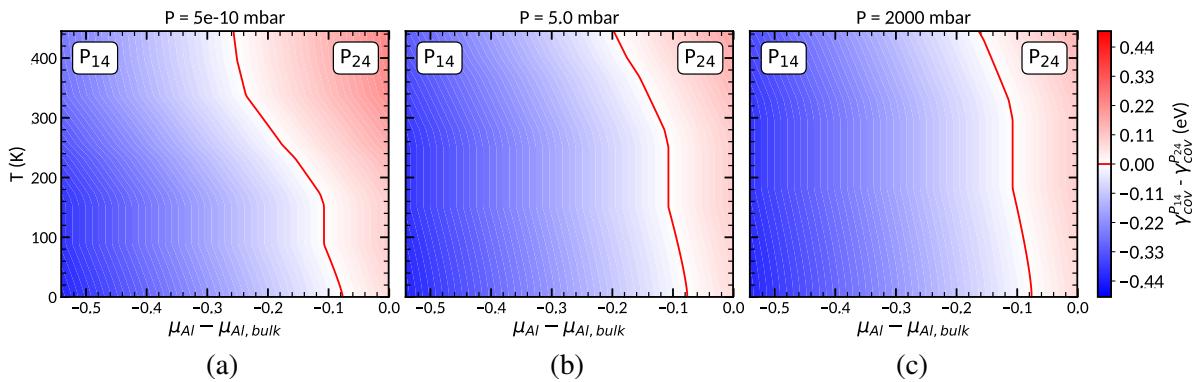


Figure 4.8: Hydrogen adsorption : surface energy differences  $(\gamma_{\text{cov}}^{P_{14}} - \gamma_{\text{cov}}^{P_{24}})$  for (a)  $p = 5 \times 10^{-10}$  mbar, (b)  $p = 5$  mbar and (c)  $p = 2$  bar. The red line corresponds to  $\gamma_{\text{cov}}^{P_{14}} = \gamma_{\text{cov}}^{P_{24}}$ .

The surface energy differences diagrams of hydrogenated surfaces are drawn in Fig. 4.8a-c for three different pressures, simulating UHV conditions ( $5 \times 10^{-10}$  mbar), the reaction

#### 4.5. Nanostructuring under reaction conditions

conditions of ref. [8] and the industrial catalysis (2 bar) [176]. The surface energy is clearly affected by the (p,T) conditions and the hydrogen coverage.

For each domain, it is possible to know which hydrogen coverage is the optimum one. The stability phase diagrams of hydrogenated surfaces are thus drawn in Fig. 4.9a–c for the same three different pressures as before. At 300 K and under UHV conditions, the corrugated surface model appears to have an optimum coverage of 6 hydrogen atoms per surface cell. This increases up to 8 hydrogen atoms when increasing the pressure even up to 2 bar. Overall, the higher the pressure and the lower the temperature, the higher the coverage.

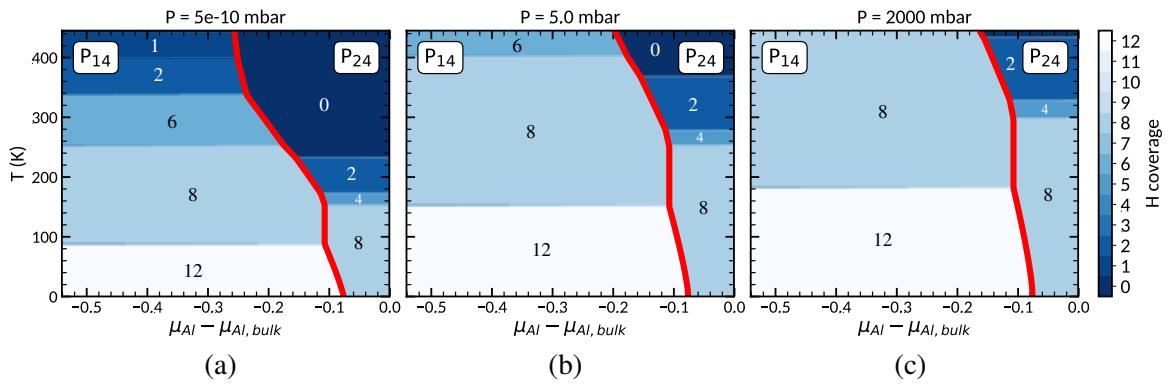


Figure 4.9: Hydrogen coverages for (a)  $P = 5 \times 10^{-10}$  mbar, (b)  $P = 5$  mbar and (c)  $p = 2$  bar. The red line corresponds to  $\gamma_{cov}^{P_{14}} = \gamma_{cov}^{P_{24}}$ .

The flat surface model behaves similarly. However, the optimum coverages are overall lower. Under UHV conditions and at 300 K, no hydrogen atom can get adsorbed. For  $p = 5$  mbar, only 2 atoms can be adsorbed. This increases up to 6 hydrogen atoms atom for  $p = 2$  bar.

In all cases, the corrugated surface model ( $P_{14}$ ) is the most stable one in the Co-rich limit while the flat surface model ( $P_{24}$ ) is the most stable one in the Al-rich limit. This is similar to what was found using standard DFT ( $T = 0$ ,  $p = 0$ ), and is in agreement with experimental observations [88, 18]. However, the reaction conditions significantly affect the region where the  $P_{24}$  model is more stable than the  $P_{14}$  ( $\gamma_{cov}^{P_{14}} \geq \gamma_{cov}^{P_{24}}$ ). Indeed, since atomic hydrogen adsorption is calculated to be more favorable for the  $P_{14}$  model, and since exothermic adsorption on solid surfaces contributes to reduce their surface energy, a narrowing of this region occurs, at a given  $T$  as the pressure increases, and at a given  $p$  as the temperature decreases.

### 4.5.3 Coadsorption of hydrogen and butadiene

Atomic hydrogen and  $\text{C}_4\text{H}_6$  need to be simultaneously adsorbed on the surface for the hydrogenation reaction to occur according to the Langmuir–Hinshelwood mechanism [172]. Coadsorption with one butadiene molecule adsorbed per surface unit cell is considered as well as a variable surface coverage of adsorbed hydrogen atoms. The most stable isolated adsorption structure is considered for butadiene and the gas phase contains  $\text{H}_2$  and  $\text{C}_4\text{H}_x$  ( $x \in \{6, 8\}$ ) in the ratio 10:1 as in ref. [8]. The same type of calculations as before were performed in order to draw the surface energy differences maps presented in Fig. 4.10a–c for the same three different pressures. The surface energy is clearly affected by the (p,T) conditions and the coadsorption of hydrogen and butadiene.

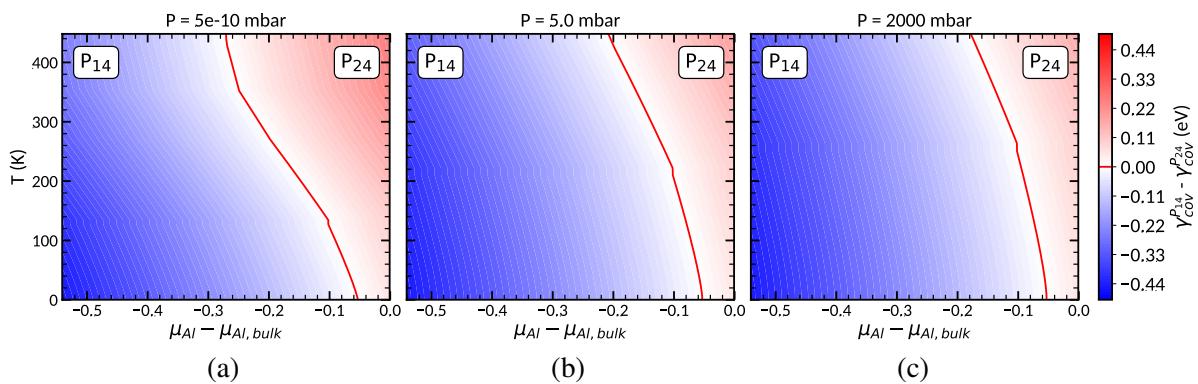


Figure 4.10: Coadsorption of hydrogen and butadiene : surface energy differences  $(\gamma_{\text{cov}}^{P_{14}} - \gamma_{\text{cov}}^{P_{24}})$  for (a)  $p = 5 \times 10^{-10} \text{ mbar}$ , (b)  $p = 5 \text{ mbar}$  and (c)  $p = 2 \text{ bar}$ . The red line corresponds to  $\gamma_{\text{cov}}^{P_{14}} = \gamma_{\text{cov}}^{P_{24}}$ .

For each domain, it is again possible to know which hydrogen coverage is the optimum one. The stability phase diagrams of hydrogenated surfaces coadsorbed with butadiene are thus drawn in Fig. 4.11a–c for the same three different pressures as before. At 300 K and under UHV conditions, the corrugated surface model appears to have an optimum coverage of 5 hydrogen atoms per surface cell. This increases up to 6 hydrogen atoms when increasing the pressure up to 5 mbar. Overall, the trends already observed for the hydrogenated surfaces are reproduced in the coadsorption phase diagrams. The optimal hydrogen coverage is however found to be lower, due to the steric hindrance caused by the presence of the adsorbed butadiene molecule.

At this temperature ( $T = 300 \text{ K}$ ), no hydrogen atom are adsorbed on the flat surface model for a pressure below 2 bar. The temperature has to be lowered in order to start adsorbing H atoms. This suggests that this surface model is not active for the (p,T) conditions

of ref. [8].

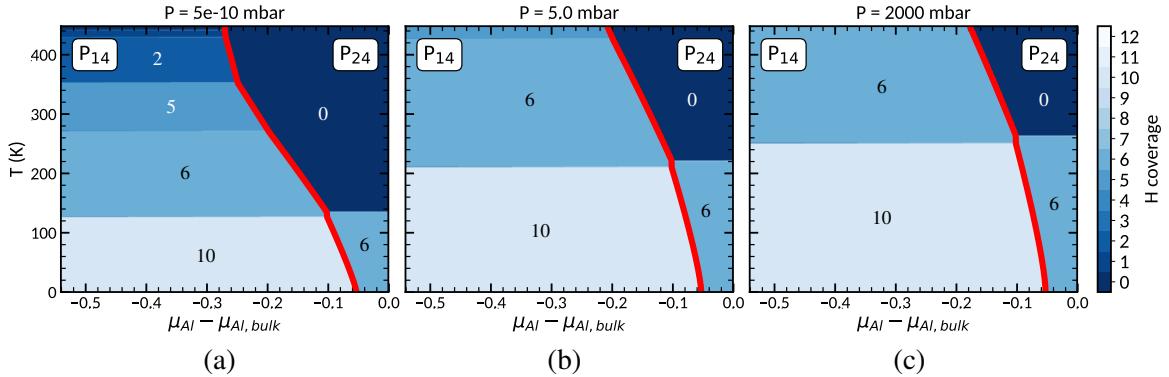


Figure 4.11: Coadsorption of hydrogen and butadiene : hydrogen coverages for (a)  $p = 5 \times 10^{-10}$  mbar, (b)  $p = 5$  mbar and (c)  $p = 2$  bar. The red line corresponds to  $\gamma_{\text{cov}}^{\text{P}_{14}} = \gamma_{\text{cov}}^{\text{P}_{24}}$ .

## 4.6 Catalytic activation and hydrogenation reaction

### 4.6.1 Catalytic activation

The previous results suggest the nanostructuring of the surface under hydrogenation conditions. They indeed confirm that, in order to have adsorption of hydrogen atoms at ( $T = 300$  K,  $p = 5$  mbar), *i.e.* the necessary reaction condition, only the  $\text{P}_{14}$  could be considered. On top of that this surface model is the most stable one – at these pressure and temperature – on a larger range of Al chemical potential. So the clusters already present in the bulk substructure are predicted to emerge at the surface under hydrogenation conditions.

Since the  $\text{P}_{24}$  surface model was identified under UHV conditions [88, 18], and since  $\text{Al}_{13}\text{Co}_4(100)$  was experimentally proved to be active and selective [8], the results predict the activation of  $\text{Al}_{13}\text{Co}_4(100)$  under reaction conditions through the transition  $\text{P}_{24}$  to  $\text{P}_{14}$ .

### 4.6.2 Coadsorption of hydrogen and but-1-ene

The next step is the comparison of the adsorption energies of butadiene and butene, under operating conditions. We focus here on the  $\text{P}_{14}$  model only. Indeed, the  $\text{P}_{24}$  model has been found unreactive in the case of  $\text{Al}_{13}\text{Co}_4(100)$ , no hydrogen atom being coadsorbed with butadiene under reaction conditions.

An optimal H coverage of 6 atoms per surface cell is chosen based on the previous calculations. Site A is chosen for the butadiene configuration as it is the most stable one. The

coadsorption (six hydrogen atoms and one butadiene molecule) free enthalpy as a function of pressure and temperature is plotted in Fig. 4.12a.  $\text{C}_4\text{H}_6$  appears to be well adsorbed ( $G_{\text{coads}} < 0$ ) on the surface for the reaction condition ( $T = 383 \text{ K}$ ,  $p = 5 \text{ mbar}$ ).

Similarly to the adsorption configuration of butadiene on  $\text{Al}_5\text{Co}_2(\overline{2}\overline{1}0)$  (see Chapter 3 for more details), there are two ways to hydrogenate  $\text{C}_4\text{H}_6$  that leads to two different adsorption modes of but-1-ene : chemisorption or physisorption. The coadsorption (six hydrogen atoms and one but-1-ene molecule) free enthalpy as a function of pressure and temperature are plotted in Fig. 4.12b (chemisorbed butene) and Fig. 4.12c (physisorbed butene).

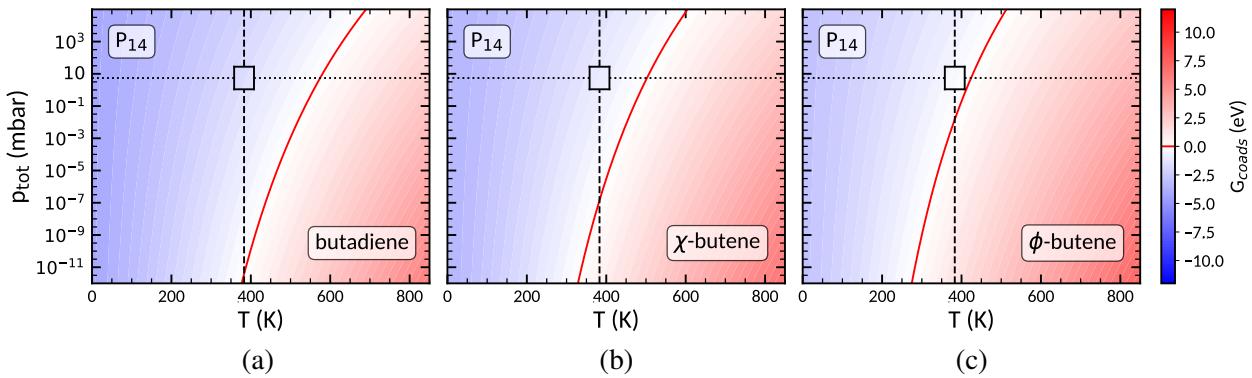


Figure 4.12: Adsorption free enthalpies ( $G_{\text{coads}}$  in eV) as a function of pressure and temperature for butadiene and butene (chemi- and physisorbed) on  $\text{P}_{14}$  for a H coverage of 6 atoms per surface cell.

In the case of chemisorbed butene, the spontaneous desorption is predicted for  $T \approx 502 \text{ K}$ , which is 119 K above the experimental temperature. This behavior, obtained with chemisorbed butene resulting from the hydrogenation of the  $\text{C}=\text{C}$  bond not bounded to the protruding surface Co atoms, is not consistent with the experimental observations showing 100% butene selectivity [8]. In contrast, the hydrogenation of the  $\text{C}=\text{C}$  fragment bounded to the protruding surface Co atoms leads to physisorbed butene, weakly coadsorbed on the surface. Here, the spontaneous desorption is predicted for  $T \approx 420 \text{ K}$ , *i.e.* only 37 K above the experimental temperature. Hence, these results favor the hypothesis of protruding Co atoms as active hydrogenation sites.

To summarize, the hydrogenation reaction is calculated to be thermodynamically possible with a high selectivity of Co centers thanks to the possible physisorption of but-1-ene.

## 4.7 Conclusion

In this study, the catalytic behavior of the  $\text{Al}_{13}\text{Co}_4(100)$  surface towards butadiene partial hydrogenation is rationalized. On the basis of surface phase diagrams determined through DFT calculations, it is showed that the  $(p, T, \mu_{\text{Al}})$  conditions where the  $\text{Al}_{13}\text{Co}_4(100)$  dense Al-rich flat termination is the most stable narrow as the pressure increases and the temperature decreases. Moreover, the dense Al-rich flat termination of  $\text{Al}_{13}\text{Co}_4(100)$  is unlikely under hydrogenation conditions, and the adsorption of butadiene inhibits any further adsorption of hydrogen at the surface, which prevents butadiene hydrogenation. According to atomistic thermodynamics, the reaction conditions promote a nanostructured surface termination, in the form of highly cohesive clusters emerging from the bulk lattice.

The comparison of butadiene and butene adsorption modes and energies under thermodynamic conditions give insights of the catalytic behavior of  $\text{Al}_{13}\text{Co}_4(100)$ . According to the calculations, butene may not desorb when chemisorbed through a  $\pi$  bonding involving a Co atom protruding at the surface. A better agreement with the experimental observation is obtained with the hydrogenation of the butadiene C=C fragment adsorbed on the protruding Co atom. Our calculations thus suggest the attack of the  $\pi$ -bonded C=C fragment on the protruding Co atom first. Reaction conditions are also expected to influence the kinetics, for which further investigation is required. Experimental verification of the predicted nanostructuring requires *in situ* characterization (*e.g.* surface X-ray diffraction) to identify the actual working surface under gas pressure conditions.



# Chapter 5

## Stabilization and activation of *o*-Al<sub>13</sub>Co<sub>4</sub>(010) through facetting

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## 5.1 Introduction

The surface structure as well as the adsorption properties of  $o\text{-Al}_{13}\text{Co}_4(010)$  is experimentally and theoretically investigated in this fifth chapter. This pseudo-twofold ( $\text{Al}_{13}\text{Co}_4$  being an approximant of  $d\text{-AlNiCo}$  quasicrystal) surface exhibits efficient catalytic properties towards the semi-hydrogenation of butadiene [8]. Its activity was found much larger than the one of the pseudo-tenfold orientation, and a 100% selectivity to butene was achieved [8]. While the pseudo-tenfold surface has been extensively studied these last years [87, 88, 177, 18, 171], very few is known about the  $o\text{-Al}_{13}\text{Co}_4(010)$  pseudo-twofold surface structure and properties. A combination of surface science techniques, including SXRD and STM, combined with DFT calculations, is used in this study.

Fig. 5.1 presents the bulk structure of  $\text{Al}_{13}\text{Co}_4$  (more details can be found in Chapter 1). Here an orthorhombic crystal of  $\text{Al}_{13}\text{Co}_4$  is used, whose structure belongs to the  $Pmn2_1$  space group (No. 31, Pearson symbol  $oP102$ ) and whose crystal cell is defined with the following lattice parameters:  $a_o = 8.158 \text{ \AA}$ ,  $b_o = 12.342 \text{ \AA}$ , and  $c_o = 14.452 \text{ \AA}$  (102 atoms per cell, Fig. 5.1a/c) [20, 12]. The  $o\text{-Al}_{13}\text{Co}_4$  compound is known to be unstable at low temperatures, but stabilized at higher temperatures by the entropy of Al vacancy hopping and low frequency vibrational modes [93]. Monoclinic  $m\text{-Al}_{13}\text{Co}_4$  crystallizes in the  $C2/m$  space group (No. 12, Pearson symbol  $mC102$ , Fig. 5.1b/d) with the lattice parameters:  $a_m = 15.173 \text{ \AA}$ ,  $b_m = 8.109 \text{ \AA}$ ,  $c_m = 12.349 \text{ \AA}$  and  $\beta = 107.84^\circ$  [16]. Because of the relatively close structures of orthorhombic and monoclinic  $\text{Al}_{13}\text{Co}_4$ , their coexistence is possible and can give rise to defects. Metadislocations, due to plastic deformations [97, 98, 99, 100], as well as twins [95], are frequent in complex intermetallic phases. Twins in  $\text{Al}_{13}\text{Co}_4$  are generally related to orthorhombic/monoclinic interfaces. In the following, ideal structures with full atomic occupations are considered.

## 5.2 Preliminary catalytic results

The clean annealed surface of  $\text{Al}_{13}\text{Co}_4(010)$  is exposed to a mixture of hydrogen (5 mbar) and butadiene (0.5 mbar) under batch conditions at 24°C (RT) and 110°C. Fig. 5.2 shows the results of the experiment (see also Fig. 1.26 in Chapter 1). The reaction kinetics is slow at RT, *i.e.* only a small fraction of butadiene was converted after ca. 30 min, so that the tests at 110°C are generally performed during the same reaction run. Fig. 5.2a shows that the selectivity of  $\text{Al}_{13}\text{Co}_4(010)$  to butenes is 100%, both at RT and 110°C, butane being formed only after complete butadiene conversion. Butene hydrogenation to butane is always slow both at 110°C.

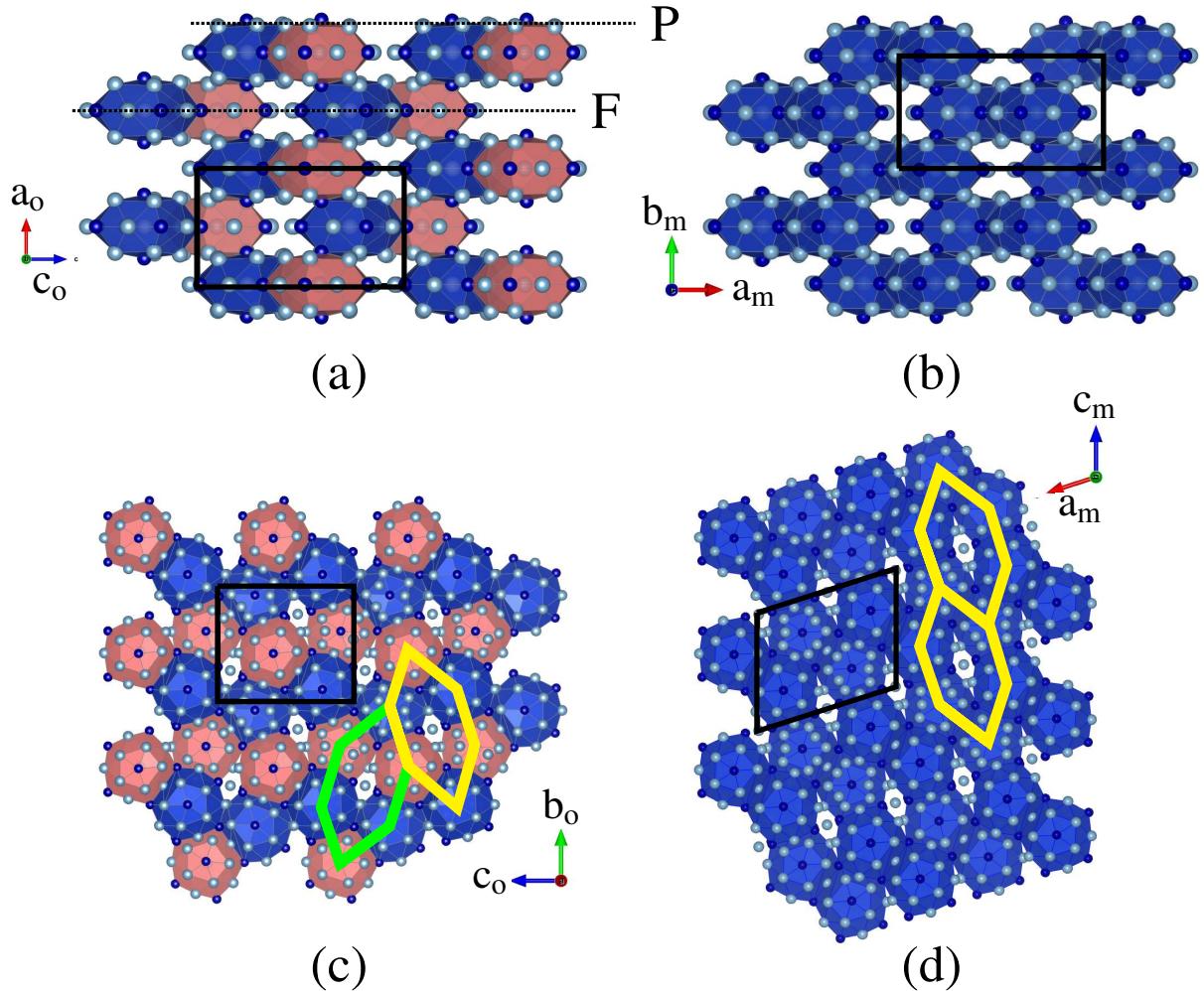


Figure 5.1: Bulk structure of (a)  $\text{o-Al}_{13}\text{Co}_4$  along the  $\text{o}-[\mathbf{0}10]$  direction showing the Henley-type clustering (in red and blue, both clusters are not crystallographically equivalent, the Al atoms in the center of the clusters do not have the same Wyckoff position, as detailed in the Appendices) as well as the stacking structure of  $\text{F}$ -type and  $\text{P}$ -type atomic planes, (b)  $\text{m-Al}_{13}\text{Co}_4$  along the  $\text{m}-[\mathbf{0}01]$  direction showing the Henley-type clustering (in blue, all the clusters are crystallographically equivalent, the Al atoms in the center of the clusters have the same Wyckoff position, as detailed in the Appendices), (c)  $\text{o-Al}_{13}\text{Co}_4$  along the pseudo-tenfold axis (the squashed-hexagonal tile, obtained by connecting together all Co atoms of the  $\text{P}$ -type plane, is highlighted in yellow and green) and (d)  $\text{m-Al}_{13}\text{Co}_4$  structure along the pseudo-tenfold axis. Unlike  $\text{o-Al}_{13}\text{Co}_4$  all hexagons of the hexagonal tile in the  $\text{P}$ -type plane have the same orientation in  $\text{m-Al}_{13}\text{Co}_4$ . The unit cells, Al and Co atoms are drawn in black, light blue and dark blue, respectively.

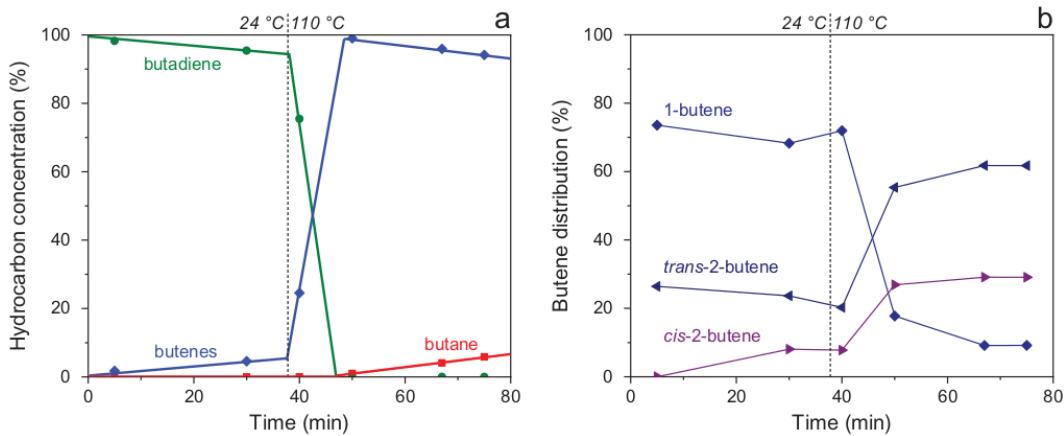


Figure 5.2: Hydrocarbon concentrations (a) and butene distribution (b) during butadiene hydrogenation over  $\text{Al}_{13}\text{Co}_4(010)$  at  $24^\circ\text{C}$ , then  $110^\circ\text{C}$  [8]. The data points were obtained from GC analysis in (b), whereas it was obtained from MS in (a).

In terms of initial butene distribution at  $24^\circ\text{C}$  (but-1-ene:trans-2-butene:cis-2-butene = 74:26:0%, Fig. 5.2b), cis-2-butene is not a primary product and its fraction remains lower than 10% throughout the reaction, as long as butadiene is present in the reactor, at both investigated temperatures. After butadiene conversion, the butenes distribution evolves towards a near-equilibrium value, 9:62:29%, through isomerization.

$\text{Al}_{13}\text{Co}_4(010)$  is much more active than  $\text{Al}_{13}\text{Co}_4(100)$  both at  $24^\circ\text{C}$  and  $110^\circ\text{C}$  [8]. Whereas in terms of activity at  $24^\circ\text{C}$   $\text{Al}_{13}\text{Fe}_4(010)$  has no rival,  $\text{Al}_{13}\text{Co}_4(010)$  appears as the most active system at  $110^\circ\text{C}$  while keeping a butene selectivity of 100% at any temperature [8]. The structure of  $\text{Al}_{13}\text{Co}_4(010)$  under UHV, if retained under reaction conditions, may play an important role in its good catalytic performance. Nevertheless  $\text{Al}_{13}\text{Co}_4(010)$  contains, after reaction, not only oxygen but also traces of carbon (determined through Auger electron spectroscopy).

## 5.3 Surface experiment results

### 5.3.1 LEED analysis

According to LEED measurements, a  $(1\times 1)$  surface structure is mainly observed (Fig. 5.3). Diffuse scattering resembling a  $(2\times 1)$  and a  $(3\times 1)$  surface reconstructions is also visible beside the  $(1\times 1)$  surface structure (Fig. 5.4a). When scanning the energy range 5–300 eV, secondary peaks appear and move away from the center in between the main diffraction spots. They are attributed to the presence of facets at the surface : the facets diffused rods, tilted

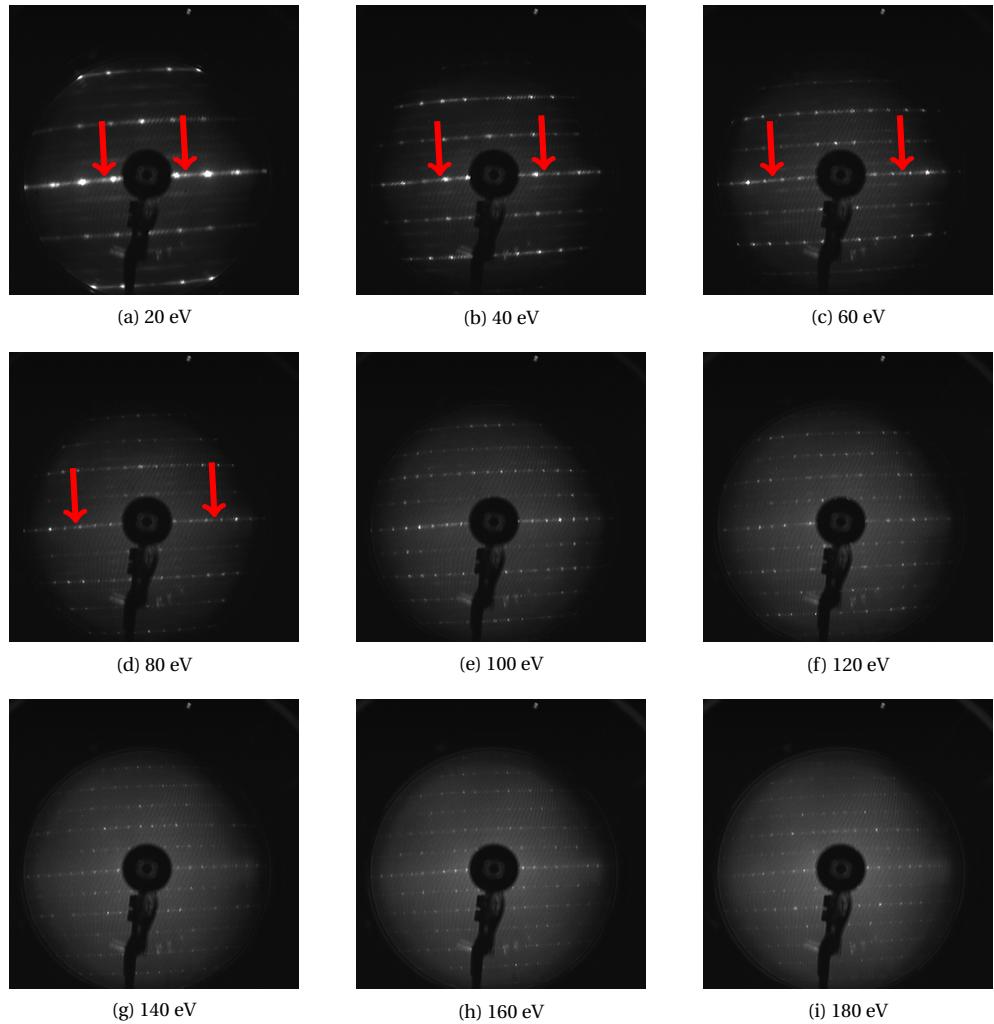
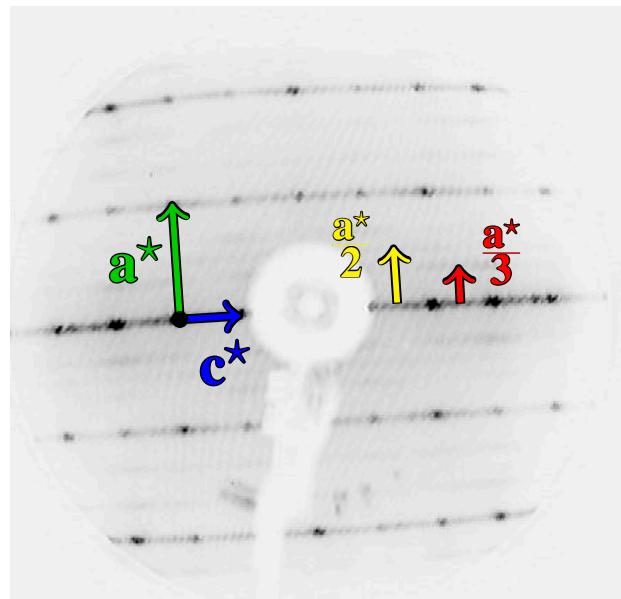


Figure 5.3: LEED patterns measured at (a) 20 eV, (b) 40 eV, (c) 60 eV, (d) 80 eV, (e) 100 eV, (f) 120 eV, (g) 140 eV, (h) 160 eV and (i) 180 eV. The facets spots are indicated using red arrows for (a), (b), (c) and (d).

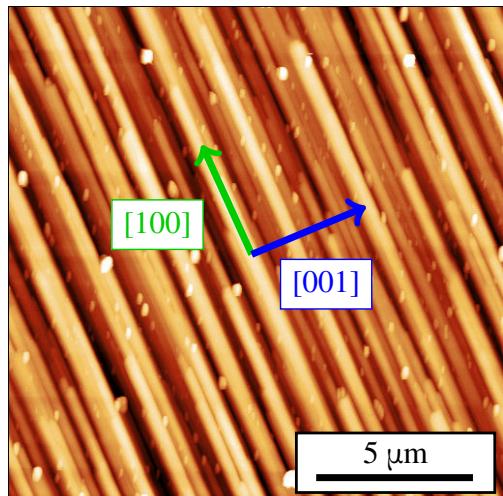
with respect to the average surface CTRs, intercept the Ewald sphere at different points when increasing/decreasing the energy, giving rise to points that "move" between the  $(1\times 1)$  structure points. Continuous intensity can also be seen in between the main diffraction spots. This signal could be linked to line or planar defects such as metadislocations or interfaces close to the surface.

### 5.3.2 AFM and STM measurements

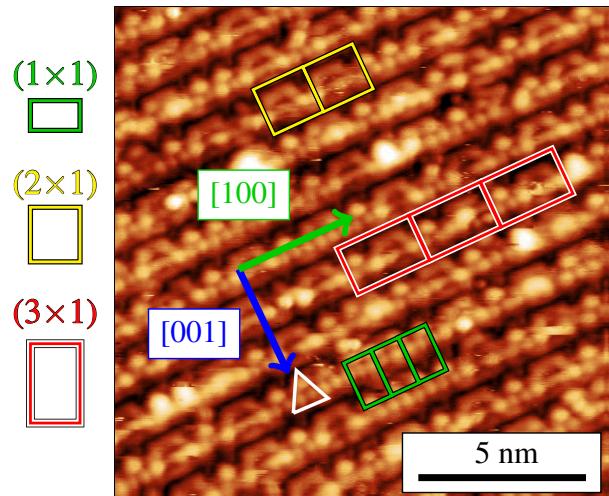
According to AFM, a columnar structure appears along the  $o\text{-}[100]$  direction as shown in Fig. 5.4(b). This feature is similar to that observed at the  $(12110)$  and  $(10000)$  two-fold  $d$ -Al-Ni-Co quasicrystalline surfaces [178], revealing faceting at the  $o\text{-Al}_3\text{Co}_4(010)$  surface. Ac-



(a) LEED pattern



(b) AFM image



(c) STM image

Figure 5.4: (a) LEED pattern measured at 30 eV. Diffuse scattering resembling a  $(2\times 1)$  and a  $(3\times 1)$  surface reconstructions are shown in yellow and red arrows, respectively. (b) AFM image of  $o\text{-Al}_{13}\text{Co}_4(010)$  ( $15\times 15 \mu\text{m}^2$ ) showing a columnar and faceted structure. (c) STM image of a flat terrace at the  $o\text{-Al}_{13}\text{Co}_4(010)$  surface ( $15\times 15 \text{ nm}^2$ ) at  $V_b = 0.6 \text{ V}$ . The  $(1\times 1)$ ,  $(2\times 1)$  and  $(3\times 1)$  cells are drawn in green, yellow and red, respectively. A triangular motif is highlighted in white.

cording to STM, terraces extend over a few hundreds of nanometers, separated by a single-step height equal to  $6.1 \pm 0.2 \text{ \AA}$ , *i.e.* corresponding to half of the lattice parameter ( $b_0/2 = 6.17 \text{ \AA}$ ). High-resolution images (Fig. 5.4c) show a mixture of three different surface reconstruction motifs, in agreement with the LEED pattern, randomly distributed on the sample surface : (1×1), (2×1) and (3×1). These reconstructions may be due to local vacancies stabilization. For the (1×1) surface structure, a triangular motif is observed (white triangle in Fig. 5.4(c)).

### 5.3.3 SXRD analysis

#### 5.3.3.1 In-plane and out-of-plane measurements

A large part of the reciprocal space was completely measured during the SXRD experiment:  $|H_{\max}| = 9.5$ ,  $|K_{\max}| = 5.5$  and  $L_{\max} = 4.8$ . The *o*-(010) surface orientation is confirmed by the in-plane reciprocal space map of *o*-Al<sub>13</sub>Co<sub>4</sub> (Fig. 5.5). A total of 34 inequivalent CTRs were extracted from the measured reciprocal space region and further compared to DFT-based simulations using different surface structure models (see section page 118). Fig. 5.6 presents two out-of-plane (H,L) reciprocal space maps of *o*-Al<sub>13</sub>Co<sub>4</sub>(010) at K = 2 and K = 3 (more data can be found in the Appendices Figs. D.1 and D.2). The facets' signal is clearly visible in the map at K = 2 : the facets' rods make an angle of 54.2° with the direction normal to the surface (indicated with green and red arrows in Fig. 5.6). The facet's rods do no intercept each other at the Bragg peaks of the orthorhombic structure (labeled with black circles), meaning that the facets do not present the *o*-Al<sub>13</sub>Co<sub>4</sub> structure. Facets are therefore related to the *m*-Al<sub>13</sub>Co<sub>4</sub> structure. Two types of twin domains are considered, the positions of their respective diffraction peaks are plotted in red and green circles (Fig. 5.6). The facets' rods intercept the *m*-(2̄21) diffraction peaks. The facets are therefore consistent with a *m*-(2̄01) orientation. Their signal is less clearly visible on the maps at an odd value of K but is still present. These conclusions are consistent with the fairly continuous intensity measured in between Bragg peaks along the H direction for integer values of K and L (similar observation as in LEED measurements, Figs. 5.4, 5.5 and 5.6). This kind of signal can be produced by planar defects such as interfaces between two phases. It is reasonable to assume these planar defects to be monoclinic/orthorhombic interfaces.

#### 5.3.3.2 Diffuse scattering

Additional information can be extracted from SXRD. Intensity is observed in between integer values of K (indicated by a yellow rectangle in Fig. 5.5 for K = 3.5). Complementary

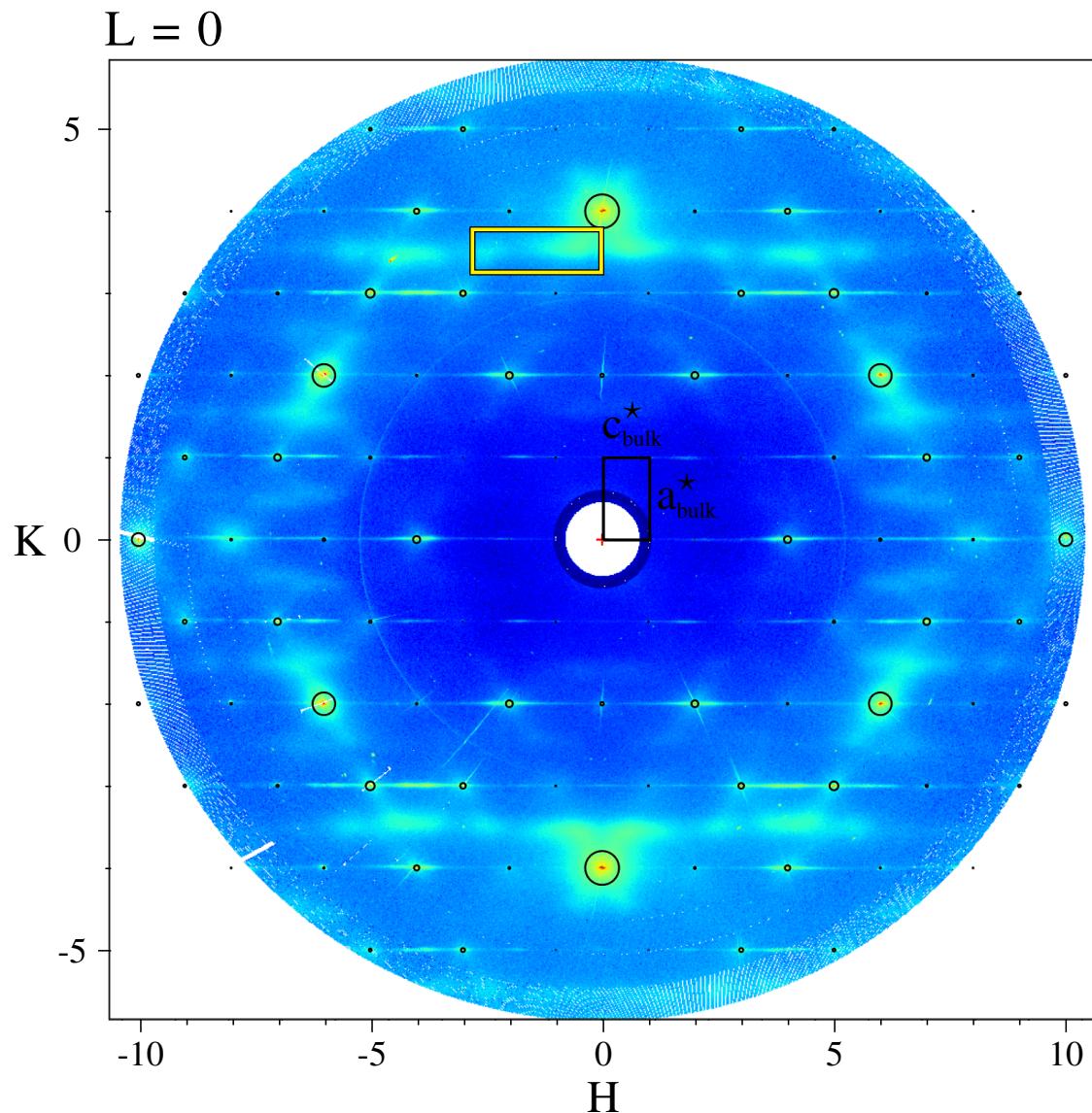


Figure 5.5: In-plane ( $H, K$ ) reciprocal space map of  $o\text{-Al}_{13}\text{Co}_4$ . The diffraction spots of the orthorhombic phase are indexed with black circles. The region presented in Fig. 5.7 is highlighted in yellow.

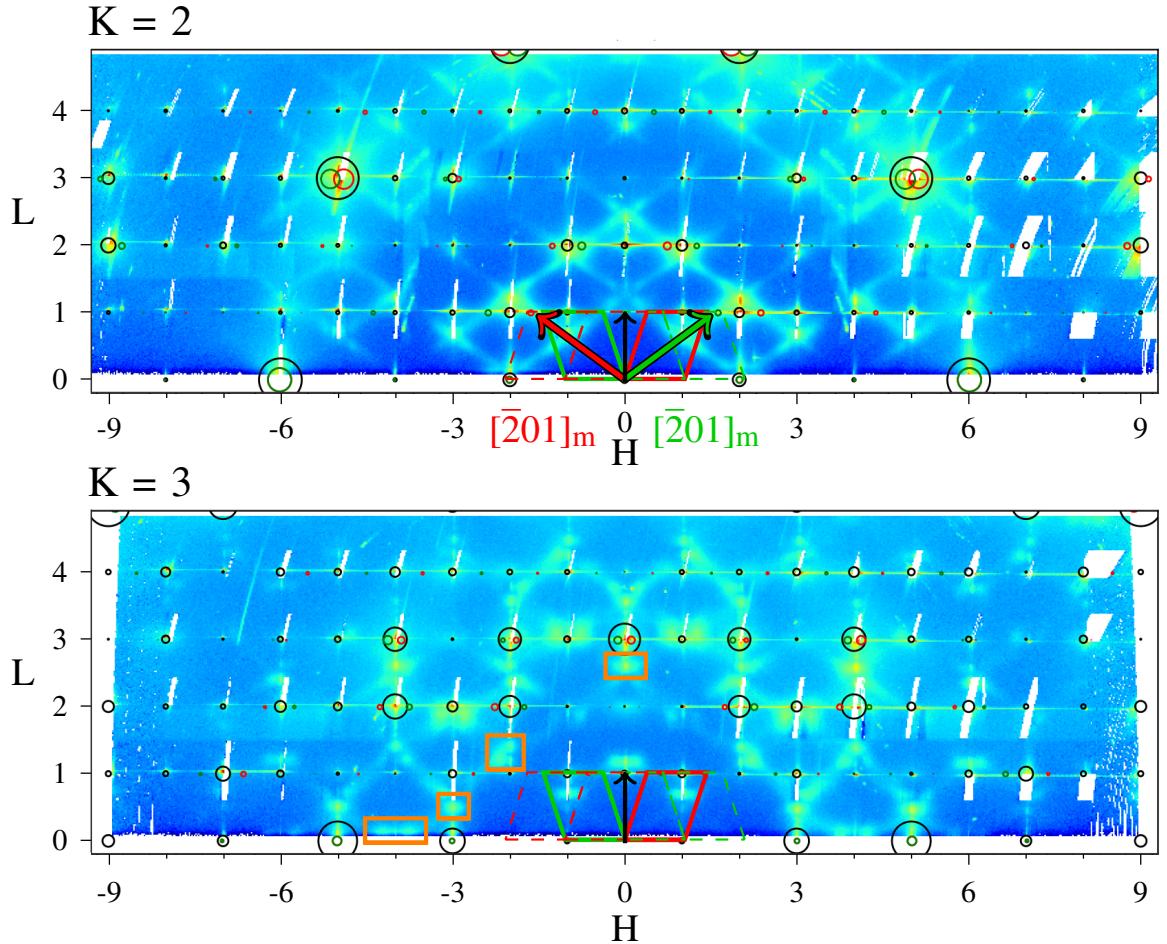


Figure 5.6: Out-of-plane (H,L) – for K=2 and K=3 respectively – reciprocal space maps of *o*-Al<sub>13</sub>Co<sub>4</sub>. The position of the monoclinic diffraction spots are represented in green and red circles (two different domains). Facets appear to present the  $m$ - $(\bar{2}01)$  orientation (red and green arrows). The monoclinic cells are drawn in red and green depending on the domain. Additional monoclinic cells are displayed using dashed lines for a better understanding of the  $m$ - $[\bar{2}21]$  directions. Blank regions are areas where the signal was too intense to be collected (too close to Bragg peaks).

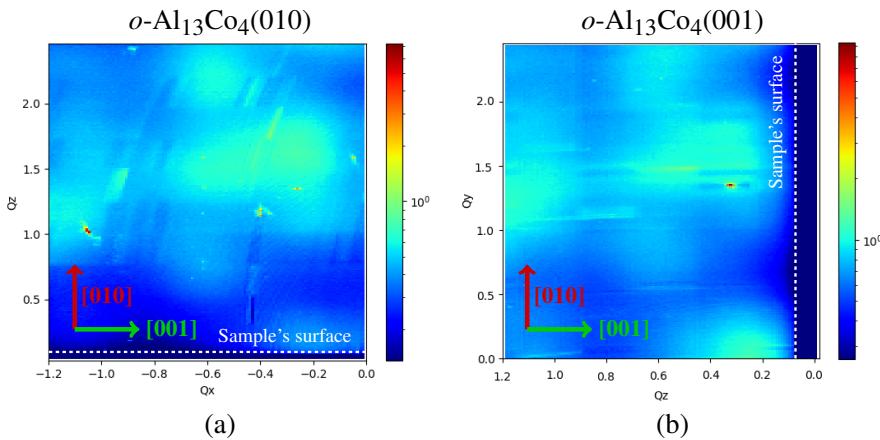


Figure 5.7: Portion of out-of-plane reciprocal space map along  $[100]$  at  $Q = 2.7 \text{ \AA}^{-1}$  for (a)  $o\text{-Al}_{13}\text{Co}_4(010)$  and (b) for  $o\text{-Al}_{13}\text{Co}_4(001)$ . These two area of the reciprocal space are the same for  $o\text{-Al}_{13}\text{Co}_4(010)$  and  $o\text{-Al}_{13}\text{Co}_4(001)$ .

SXRD analysis on another surface orientation –  $o\text{-Al}_{13}\text{Co}_4(001)$ , using a single crystal also grown by the Czochralski process, shows a similar diffuse scattering in between integer values of K. Fig. 5.7 displays the same reciprocal space area (yellow rectangle in Fig. 5.5) for (a)  $o\text{-Al}_{13}\text{Co}_4(010)$  and (b)  $o\text{-Al}_{13}\text{Co}_4(001)$ . This section is a plane along the  $[100]$  direction at  $Q = 2.7 \text{ \AA}^{-1}$ . The fact that the diffuse scattering is almost identical in both cases suggests that this signal mainly comes from the bulk material and not from the surface.

### 5.3.4 Simulation of the reciprocal space

Other distinct features can be seen in the  $(H,L)$  map for  $K = 2$  and a few examples of them are framed in orange in Fig. 5.6. They are neither part of the orthorhombic nor of the monoclinic (facets) lattices. The following hypothesis is made : these features are due to the defects induced by monoclinic/orthorhombic interfaces.

First of all, it is of interest to mention that the formation of monoclinic/orthorhombic interfaces is calculated to be exothermic ( $E_{\text{int}}^{\text{m/o}} = -4 \text{ mJ/m}^2$ ). Thus, they stabilize the structure, which may explain why they are naturally and profusely present in the bulk compound. For comparison purposes, the formation energy of monoclinic-monoclinic interfaces is endothermic ( $E_{\text{int}}^{\text{m/m}} = 50 \text{ mJ/m}^2$ ). The calculations are done using slabs of 2 unit cells : either 2 monoclinic cells forming a  $(20\bar{1})$  twin (Fig. 1.17 in Chapter 1), or one orthorhombic cell and one monoclinic cell thus forming an interface.

It was shown that the coexistence of monoclinic and orthorhombic structures can lead to the formation of metadislocations (see Chapter 1 for more details). Heidelmann *et al.* [100] have built and relaxed a system that fits well the experimental measurements of a metadis-

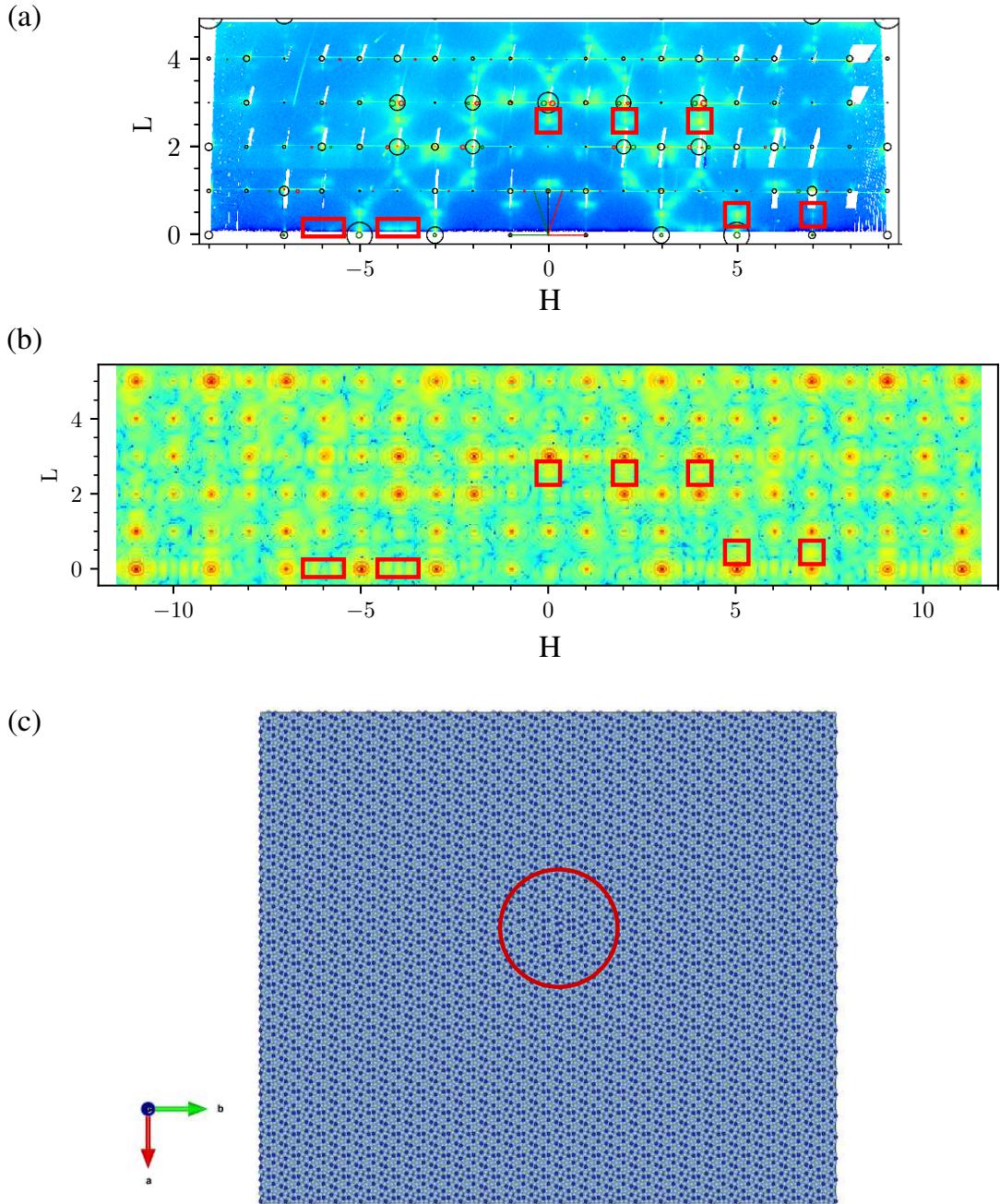


Figure 5.8: (a)  $(H,L)$  out-of-plane reciprocal space maps for  $K = 3$  of  $o\text{-Al}_{13}\text{Co}_4(010)$ . (b) Simulated out-of-plane maps for  $K=3$ : FT simulation of a  $23 \times 23 \times 1$  orthorhombic cell with a core dislocation in the center of the cell. Features that do not come from the orthorhombic structure are highlighted in red for both figures (a) and (b). Similarities between the measurements and the simulation are observed. (c) Atomic structure of the FT  $23 \times 23 \times 1$  simulation box : Al and Co atoms are drawn in light blue and dark blue, respectively; the metadislocation core is circled in red.

location in  $\text{Al}_{13}\text{Co}_4$ . A similar atomic structure – based on the dislocation model of Heidelmann *et al.* [100] – is displayed in Fig. 5.8c (see Fig. 1.20 in Chapter 1 for more details on the construction of the defect) and is used to simulate the reciprocal space of a metadislocation. A simulation of the Fourier transform of a  $\text{Al}_{13}\text{Co}_4$  metadislocation is therefore done and shown in Fig. 5.8b. The script of the simulation and more simulation results can be found in the Appendices (Figs. D.3 and D.4). The comparison with the experimental diffraction measurements (Fig. 5.8a) shows there are similarities between the simulation and the SXRD data (highlighted in red). This results proves that the features displayed in orange in Fig. 5.6 may therefore be generated by defects such as metadislocations. This kind of diffraction peaks and diffuse scattering might also be generated by periodically stacked phason planes (related to metadislocations) but the simulation was not performed in this work.

### 5.3.5 Experimental conclusion

To summarize, the SXRD analysis led to a macroscopic surface structure model for of  $o\text{-Al}_{13}\text{Co}_4(010)$ . It consists in a coexistence of orthorhombic (flat terraces) and monoclinic (facets) structures at the surface separated by monoclinic/orthorhombic interfaces, as well as the possible presence of metadislocations close to the surface (Fig. 5.9).

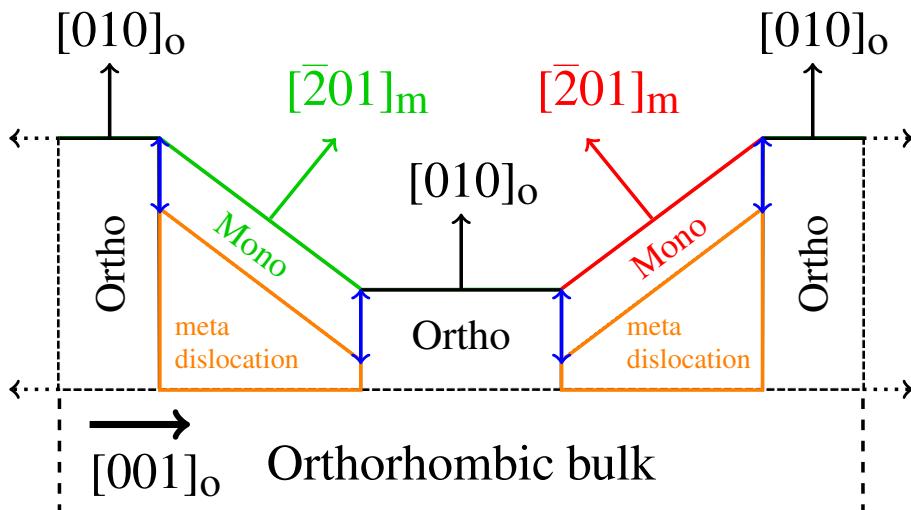


Figure 5.9: Schematic of the suggested surface model based on the experimental observations. Orthorhombic flat terraces and monoclinic facets coexist in this model and are drawn in black and red/green (two different twin domains), respectively. Monoclinic/orthorhombic interfaces are represented in blue. Metadislocations are displayed in orange.

## 5.4 DFT results : surface structures

### 5.4.1 $o\text{-Al}_{13}\text{Co}_4(010)$ – Flat terraces

Bulk  $o\text{-Al}_{13}\text{Co}_4$  cannot be described as a stacking of well-separated atomic layers along the  $o\text{-[010]}$  direction. The strategy to build surface models was then the following. First, eight bulk truncated models – almost regularly spaced along [010] – were considered (labeled O<sub>0</sub> to O<sub>5.7</sub> in Fig. 5.10, depending on the distance in Å to the center of the cell, *e.g.* 0.6 Å). Variations of models O<sub>0.6</sub> and O<sub>1.2</sub> (named O<sub>0.6</sub><sup>i</sup> and O<sub>1.2</sub><sup>i</sup>) were then considered, based on the refinement of the most stable models identified in the first step. Thus, a total of 17 models were investigated. Their surface atomic structures are drawn in Fig. 5.11. Because of the symmetry (glide mirror) along  $o\text{-[010]}$ , only half of a unit cell was investigated.

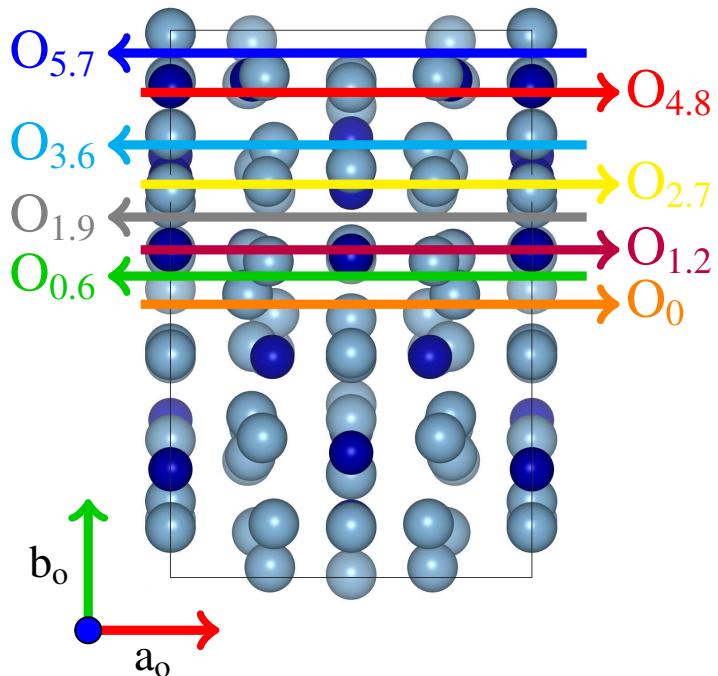


Figure 5.10:  $o\text{-Al}_{13}\text{Co}_4(010)$  bulk truncation models that were considered.

The surface energies of the considered models are summarized in Fig. 5.12. Less stable models, *i.e.* O<sub>1.2</sub><sup>3</sup> and O<sub>3.6</sub>, with the largest surface energies (between 2.2 and 2.38 J/m<sup>2</sup>), as well as O<sub>1.2</sub><sup>1</sup>, O<sub>1.2</sub><sup>2</sup>, O<sub>1.2</sub><sup>4</sup> and O<sub>2.7</sub>, with surface energies ranging from 1.86 to 2.24 J/m<sup>2</sup>, present low atomic density terminations combined with the presence of isolated Co. The surface energies for models with higher surface atomic density (O<sub>0.6</sub>, O<sub>0.6</sub><sup>i</sup>, O<sub>1.9</sub>, O<sub>4.8</sub> and O<sub>5.7</sub>) are smaller: they range from 1.72 to 2.02 J/m<sup>2</sup>. Several models keep intact at their sur-

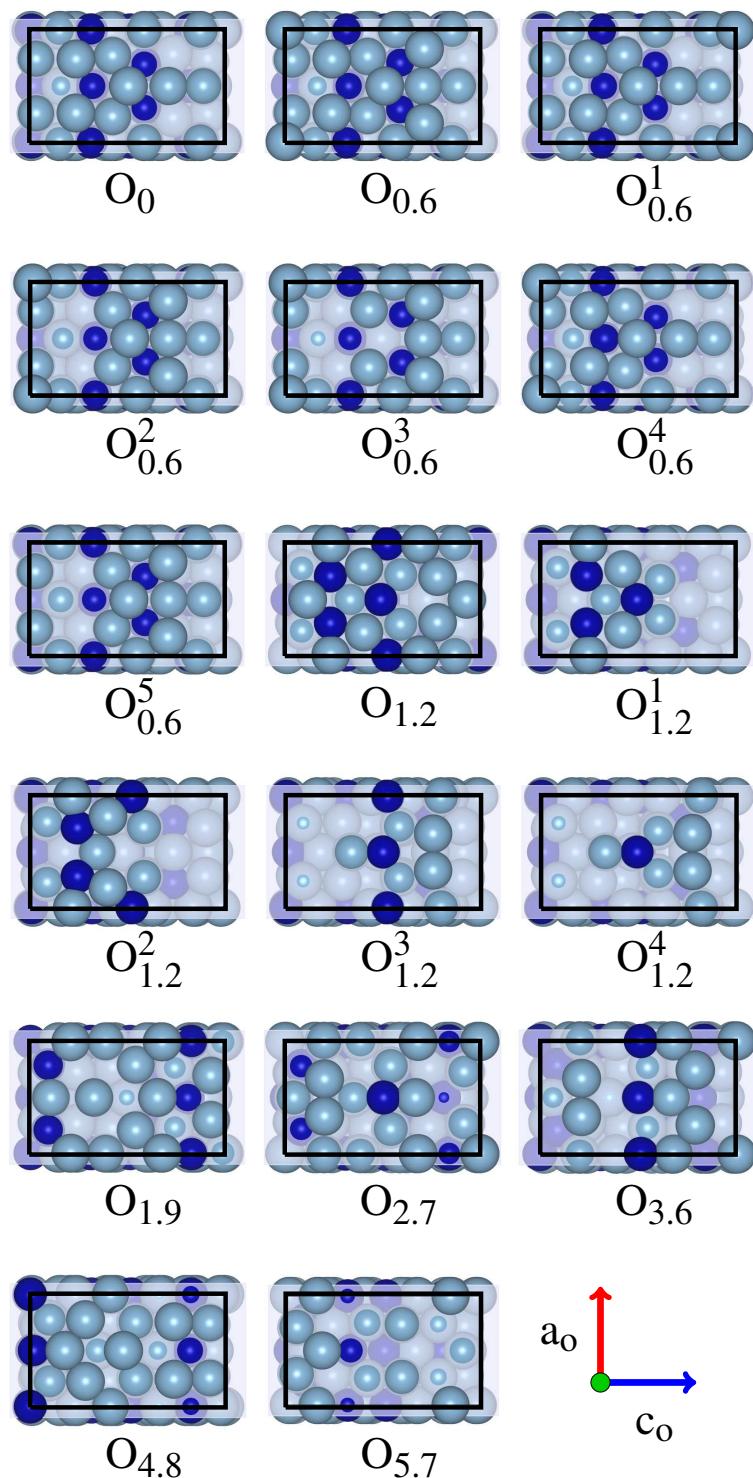


Figure 5.11: Surface structure models  $O_0$  to  $O_{5.7}$ . Unit cells, Al and Co atoms are drawn in black, light blue and dark blue, respectively.

face the strong covalent-like Co–Al–Co linear molecular groups :  $O_0$ ,  $O_{0.6}^1$ ,  $O_{0.6}^4$ ,  $O_{1.2}$ ,  $O_{1.2}^1$  and  $O_{1.2}^2$ . They are found to be amongst the 8 most stable surface models for the whole range of allowed chemical potentials. The surface energy of the most stable model ( $O_0$ ) is quite lower than the other considered models. It does not depend on the chemical potentials ( $1.66 \text{ J/m}^2$ ), since the stoichiometry of the slab used for the calculation is the one of the bulk crystal. It is described as a flat termination consisting of rows of protruding triangular motifs made of aluminum atoms separated by a  $6.5 \text{ \AA}$  gap, while maintaining intact the strongly bound Co–Al–Co molecular group at the surface.

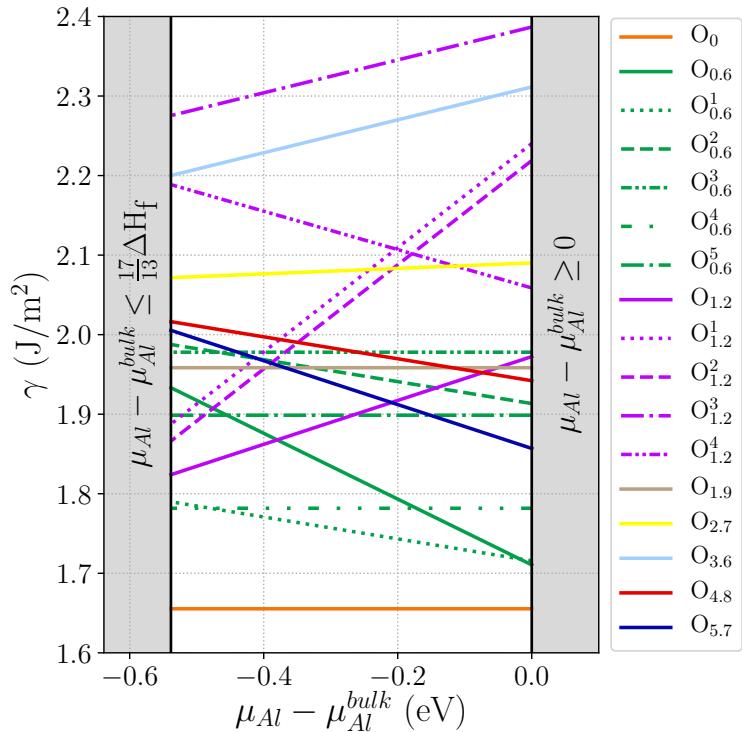


Figure 5.12: Surface energies of 17 surface models for  $o\text{-Al}_{13}\text{Co}_4(010)$  as a function of  $\mu_{Al} - \mu_{Al}^{bulk}$ .

Five models ( $O_0$ ,  $O_{0.6}^3$ ,  $O_{0.6}^5$ ,  $O_{1.2}^3$  and  $O_{1.2}^4$ ) present a similar three-atoms triangular motif (either three Al atoms or one Co and two Al atoms). Among them, the three  $O_0$ ,  $O_{0.6}^3$ ,  $O_{0.6}^5$  models are the most stable. The surface energy of the most stable model ( $O_0$ ) does not depend on the chemical potentials ( $1.66 \text{ J/m}^2$ ), since the stoichiometry of the slab used for the calculation is the one of the bulk crystal. All of the other models presents higher surface energies. Models  $O_{1.2}^3$  and  $O_{3.6}$  have the highest surface energies (between  $2.2$  and  $2.38 \text{ J/m}^2$ ) and present both a low atomic surface density as well as isolated Co atoms. This is also the case for models  $O_{1.2}^1$ ,  $O_{1.2}^2$ ,  $O_{1.2}^4$  and  $O_{2.7}$  which have low atomic surface densities and relatively isolated Co atoms. Their surface energies range from  $1.86$  to  $2.24 \text{ J/m}^2$ . Other models

which present higher atomic surface density (models  $O_0$ ,  $O_{0.6}^i$ ,  $O_{1.2}$ ,  $O_{4.8}$  and  $O_{5.7}$ ) have surface energies ranging from 1.72 to 2.02 J/m<sup>2</sup>. Overall, a low atomic surface density combined with the presence of isolated Co atoms give rise to high surface energies. However the surface energy of  $O_{1.2}^4$  is higher than the one of  $O_0$ . Complementary analysis is needed to discriminate between those two models.

As presented in Fig. 5.9, the twofold surface of  $\text{Al}_{13}\text{Co}_4$  consists in flat terraces of  $o\text{-Al}_{13}\text{Co}_4(010)$  and facets, identified as  $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$ . Focusing on the  $o\text{-Al}_{13}\text{Co}_4(010)$  surface, the  $O_0$  has been identified as a stable surface model with a rather low surface energy (1.66 J/m<sup>2</sup>). To complete the thermodynamic approach, STM images (Fig. 5.13) and SXRD rods (Fig. 5.14, Tab. 5.1) are simulated using stable surface models.

STM images of the most stable models ( $O_0$ ,  $O_{0.6}$ ,  $O_{0.6}^i$ ,  $O_{1.2}$ ,  $O_{1.2}^i$  and  $O_{5.7}$ ) have been simulated (Fig. 5.13) and compared to both the experimental measurements and their filtered (2D FFT) counterparts. On the 2D FFT filtered image, rows of triangular motifs separated by a 0.65 nm gap are observed. Only five models reproduce relatively well this feature :  $O_0$ ,  $O_{0.6}^3$ ,  $O_{0.6}^5$ ,  $O_{1.2}^3$  and  $O_{1.2}^4$ , because the atomic arrangement at the surface include a triplet made of either  $\text{Al}_3$  or  $\text{CoAl}_2$  atomic ensembles. In most cases – the  $O_0$ ,  $O_{0.6}^3$  and  $O_{0.6}^5$  are good examples – the bright/dark protrusions are due to atoms slightly above/below the mean position of the termination plane. So far, the STM image simulated using the  $O_0$  or  $O_{1.2}^4$  models show the best agreement with the experimental one.

The structure factors of the 34 inequivalent CTRs extracted from the X-ray diffraction measurements were simulated using the DFT-relaxed surface models. Four models were tested ( $O_0$ ,  $O_{0.6}$ ,  $O_{0.6}^i$  and  $O_{1.2}^4$ ) based on the surface energy calculations and the STM image simulations. As shown in Tab. 5.1,  $O_0$  is overall the best fitting surface model having the lowest  $\chi^2$  and R-factor values (Fig. 5.14) and reproduce quite well a lot of observed features. This model consists in a flat termination with rows along the [100] (pseudo-tenfold) direction, made of protruding triangular motifs of aluminum atoms separated by a 6.5 Å gap. The strong anisotropy in the surface plane may reflect the one observed on twofold surfaces of decagonal quasicrystals, spanned by perpendicular periodic and aperiodic axes.

Table 5.1: X-ray diffraction results – ROD analysis.

| Surface Model | <b><math>O_0</math></b> | $O_{0.6}$ | $O_{0.6}^i$ | $O_{1.2}^4$ |
|---------------|-------------------------|-----------|-------------|-------------|
| Scale factor  | 0.0197                  | 0.0199    | 0.0198      | 0.0189      |
| $\chi^2$      | <b>6.107</b>            | 6.137     | 7.145       | 7.269       |
| R-factor      | <b>0.466</b>            | 0.469     | 0.505       | 0.504       |

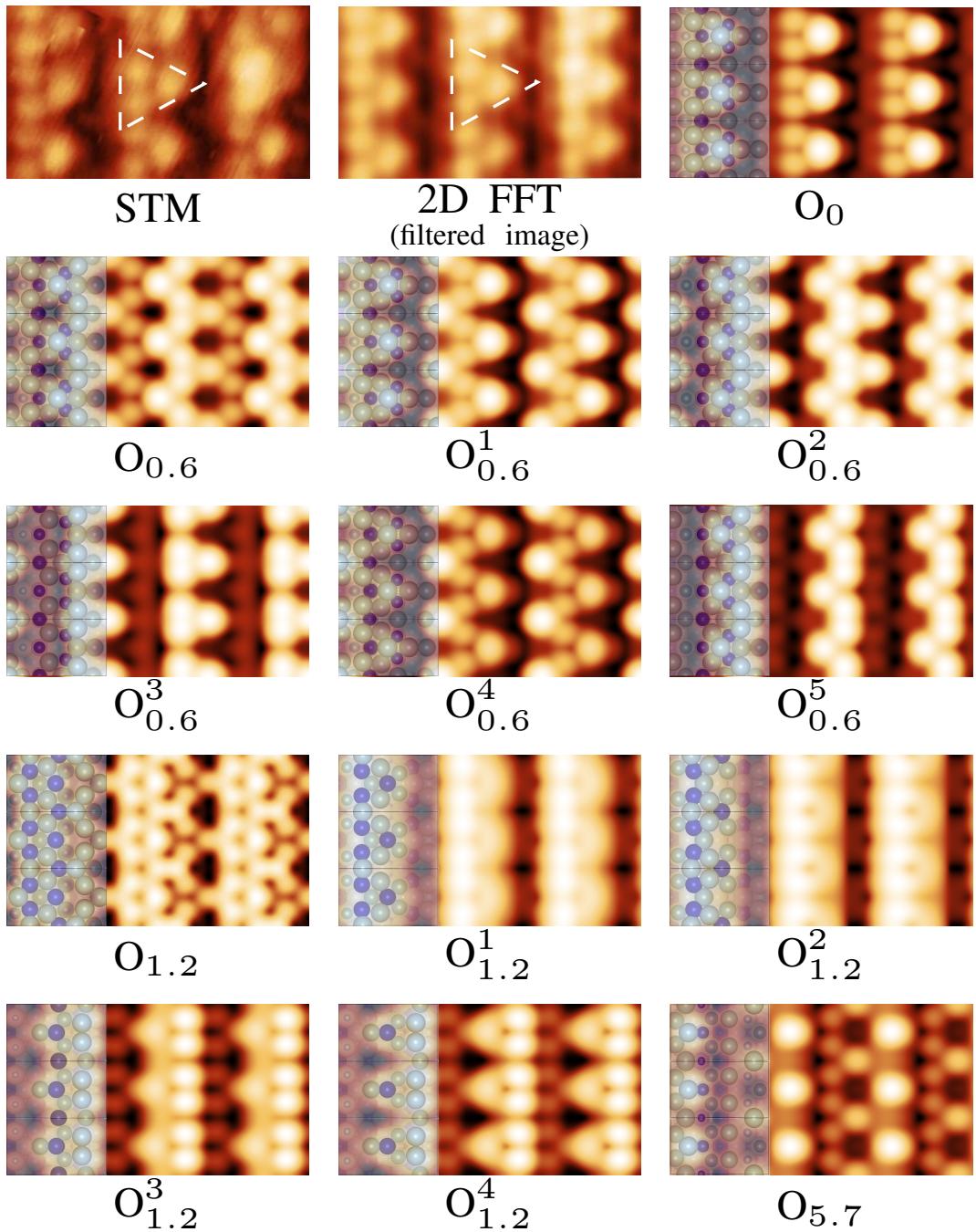


Figure 5.13: STM image simulations (models  $O_0$ ,  $O_{0.6}$ ,  $O_{1.2}$  and  $O_{5.7}$ ) and comparison to experimental images ( $V_b = 0.6$  V,  $4.3 \times 2.45$  nm $^2$  area, 3×3 surface cells).

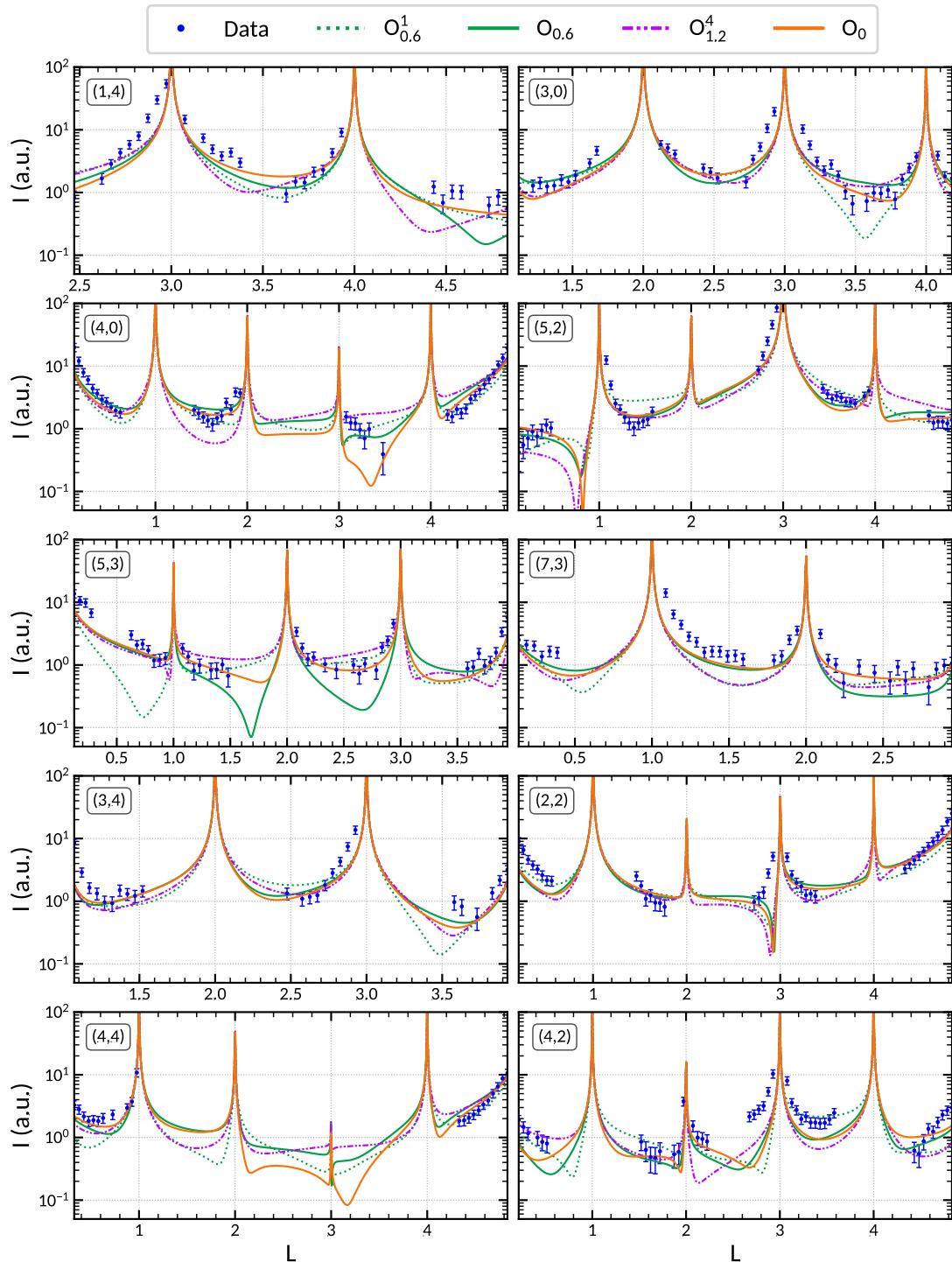


Figure 5.14: Example of six extracted CTRs of  $o\text{-Al}_{13}\text{Co}_4(010)$  and their related DFT-based ROD simulation.

### 5.4.2 $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$ – Facets

Experimental SXRD measurements identified facets as  $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$ . As previously, 17 bulk truncated models – Fig. 5.15 – were built, by progressively removing atoms at the surface (labeled M<sub>0</sub> to M<sub>7.2</sub> in Fig. 5.16, with a step of 0.45 Å, depending on the distance in Å to the center of the cell, e.g. 7.2 Å). Due to the symmetry of the monoclinic lattice, only half of the cell was considered. Models M<sub>0</sub> to M<sub>1.35</sub> are Al-rich surface models with a progressively increasing surface atomic density. Models M<sub>1.8</sub> to M<sub>3.15</sub>, as well as models M<sub>6.75</sub> and M<sub>7.2</sub> have dense Co-rich terminations, while models M<sub>3.6</sub> to M<sub>6.3</sub> are less dense with a few Co atoms in the surface plane. The strong Co-Al-Co covalent-like bond is kept intact at the surface in models M<sub>2.7</sub>, M<sub>3.15</sub>, M<sub>4.95</sub>, M<sub>5.4</sub>, M<sub>5.85</sub>.

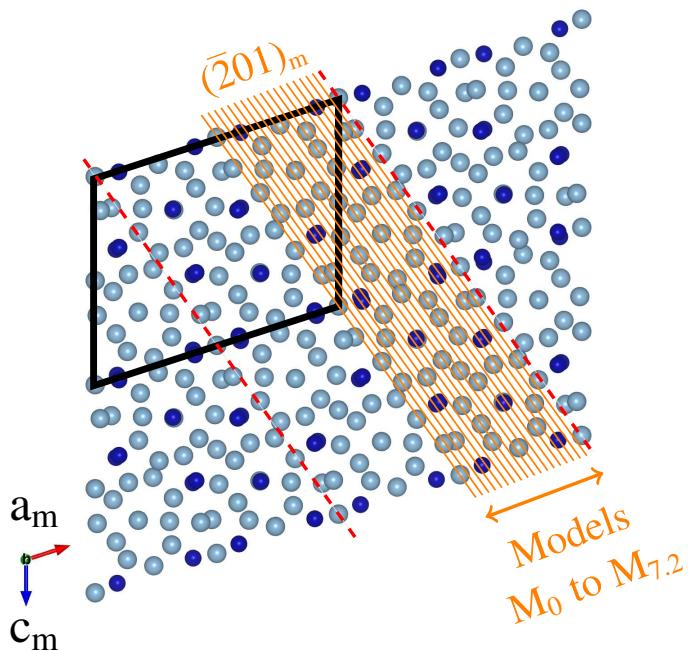


Figure 5.15: Bulk (monoclinic structure) truncation models considered in this work.

Surface energy calculations are summarized in Fig. 5.17. M<sub>1.8</sub> presents the highest surface energy (ranging from 1.91 to 2.39 J/m<sup>2</sup>) due to a low atomic surface density combined with a Co-rich composition. In the Co-rich limit, the model M<sub>3.15</sub>, which has a dense termination plane (highest surface atomic density 0.19 at/Å<sup>2</sup>) and keeps intact the strong Co-Al-Co covalent-like bond at the surface, clearly presents the lowest surface energy (1.19 J/m<sup>2</sup>). In the Al-rich limit, four models present rather low surface energies: models M<sub>5.85</sub>, M<sub>3.15</sub>, M<sub>1.35</sub> and M<sub>0.9</sub>. The most stable ones are the Al-rich models (M<sub>1.35</sub> and M<sub>0.9</sub>), with

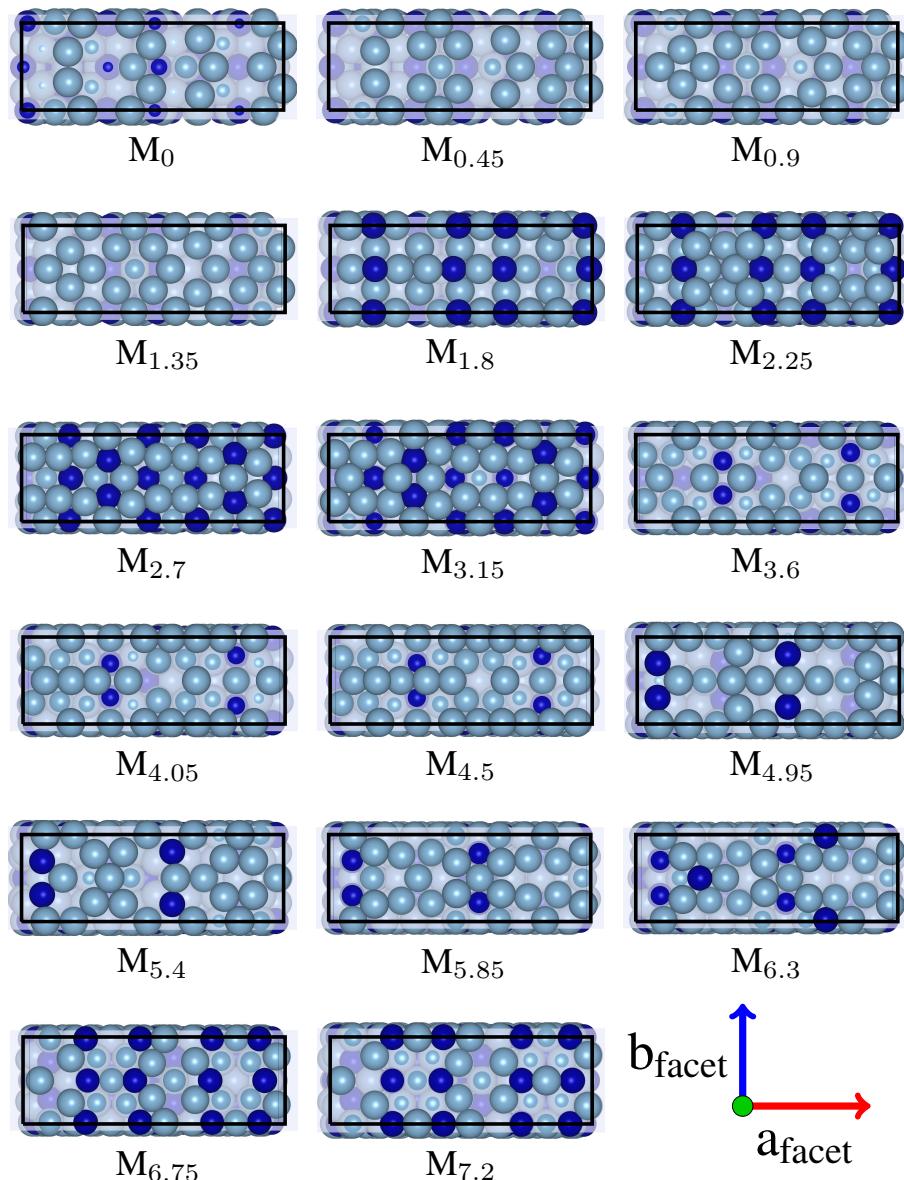


Figure 5.16: Surface structures of models  $M_0$  and  $M_{7.2}$ . Unit cells, Al and Co atoms are drawn in black, light blue and dark blue, respectively. Here,  $a_{\text{facet}} = 2c_m = 24.69 \text{ \AA}$  and  $b_{\text{facet}} = b_m = 8.11 \text{ \AA}$ .

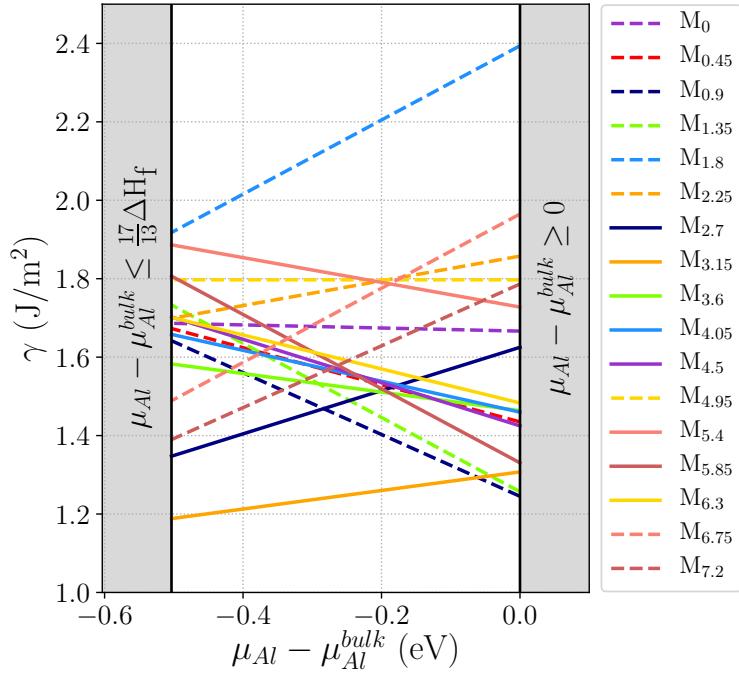


Figure 5.17: Surface energies of 17 surface models for  $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$  as a function of  $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$ .

a similar surface energy ( $1.25 \text{ J/m}^2$ ). The  $M_{3.15}$  surface model is the most stable one over the longest range of Al chemical potentials and therefore will be considered for further investigations.

## 5.5 Relative stability of $\text{Al}_{13}\text{Co}_4(010)$ and $\text{Al}_{13}\text{Co}_4(100)$

### 5.5.1 Surface energies

Now that the structure of the pseudo-twofold surface is known, it is of interest to compare its stability to the one of the pseudo-tenfold surface. Two surface models are available for the pseudo-tenfold surface: a dense Al-rich flat plane (Fig. 5.18a), identified by a combination of surface science studies and DFT calculations ( $P_{24}$ ) [88, 177, 18], and a highly corrugated model (Fig. 5.18b), keeping intact the Henley-type clusters at the surface ( $P_{14}$ ) [24, 34, 171], build by a theoretical cleavage. More details can be found in previous Chapters 1 and 4. Without considering the facets, the relative stabilities of the pseudo-twofold ( $O_0$  model) and pseudo-tenfold surfaces rely on the Al chemical potential (Fig. 5.19).

In the Al-rich region ( $-0.16 \text{ eV} < \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}} < 0$ ), the pseudo-tenfold surface ( $P_{24}$  model) is more stable than the pseudo-twofold one ( $O_0$  model). The situation is quite different

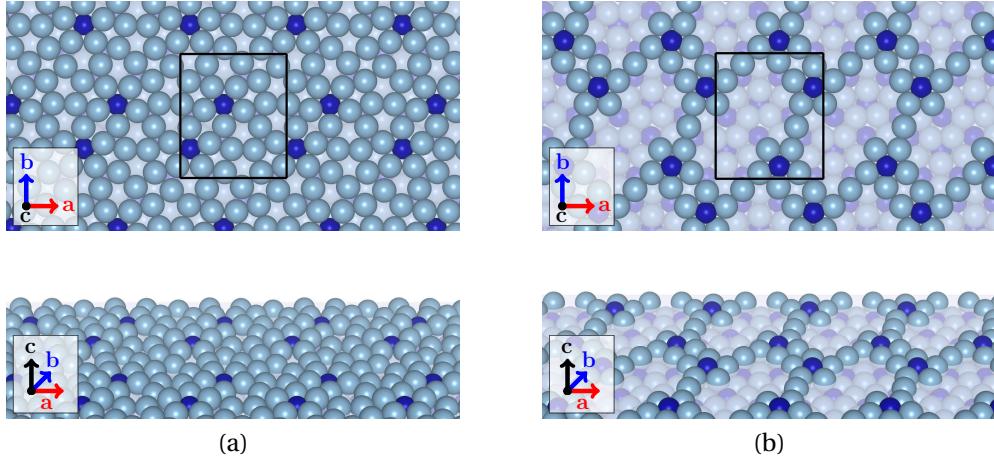


Figure 5.18: Surface structure of (a) model P<sub>24</sub> (flat surface) and (b) model P<sub>14</sub> (nanostructured surface). Al and Co atoms are drawn in light blue and dark blue, respectively.

in the Co-rich region, ( $\frac{17}{13}\Delta H_f < \mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}} < -0.16 \text{ eV}$ ), where the surface energies of the pseudo-twofold (O<sub>0</sub> model) and pseudo-tenfold (P<sub>14</sub> model) surfaces are very close, thus suggesting a similar stabilization. The surface energy anisotropy, without regarding the facets, is smaller than that of fcc Al and hcp Co ( $0.81 < \frac{\gamma_{\text{twofold}}}{\gamma_{\text{Al}_{13}\text{Co}_4}} < 1.11$ , while  $\frac{\gamma_{\text{Al}(100)}}{\gamma_{\text{Al}(111)}} = 1.12$  and  $\frac{\gamma_{\text{Co}(10\bar{1}0)}}{\gamma_{\text{Co}(0001)}} = 1.37$ ) [179, 180]. Focusing on the facets (M<sub>3.15</sub> model), they turn up to be the most stable in the full range of allowed chemical potentials, with surface energies ranging from 1.19 J/m<sup>2</sup> (Co-rich potentials) to 1.31 J/m<sup>2</sup> (Al-rich potentials). Thus, they substantially contribute to stabilize the pseudo-twofold orientation over the pseudo-tenfold one.

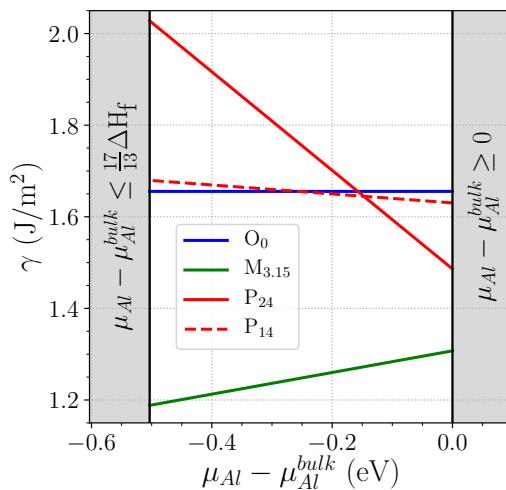


Figure 5.19: Comparison of  $o\text{-Al}_{13}\text{Co}_4(100)$  (models P<sub>24</sub> and P<sub>14</sub>),  $o\text{-Al}_{13}\text{Co}_4(010)$  (model O<sub>0</sub>) and  $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$  (model M<sub>3.15</sub>) surface energies as a function of  $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$ .

### 5.5.2 DOS

The contributions of s-, p- and d-states to the density of states (DOS) of  $o\text{-}Al_{13}Co_4(100)$  (models P<sub>24</sub> and P<sub>14</sub>),  $o\text{-}Al_{13}Co_4(010)$  (model O<sub>0</sub>) and  $m\text{-}Al_{13}Co_4(\bar{2}01)$  (model M<sub>3.15</sub>) are plotted in Fig. 5.20. Both the surface and subsurface contributions to the DOS are calculated and compared to their bulk-like layer equivalents, except for the subsurface contribution to the DOS of  $m\text{-}Al_{13}Co_4(\bar{2}01)$ . A gap is present at the Fermi level for both p-states contributions. However this gap appears to be deeper in the case of  $Al_{13}Co_4(100)$ , thus suggesting a higher electronic stabilization of P<sub>24</sub> compared to O<sub>0</sub>.

The electronic structure, exhibiting a free-electron like shape in the range [-11.0 eV, -3.5 eV] with a main contribution from sp-states, as well as a strong contribution from d-states in the range [-3.5 eV, 0 eV], is characterized by a pseudo-gap at the Fermi energy, similarly to what was observed for the twofold (1 0 0 0 0) and (1 2 1 1 0) surfaces of the decagonal quasicrystal  $d\text{-Al-Ni-Co}$ , which can be attributed to a combination of the Hume-Rothery stabilization mechanism and orbital hybridizations [181]. Focusing on the facets, the M<sub>3.15</sub> surface model is the most stable one over the longest range of Al chemical potentials. A deep pseudo-gap at the Fermi energy is also identified in the corresponding density of states, which shows similar features to that of the O<sub>0</sub> model.

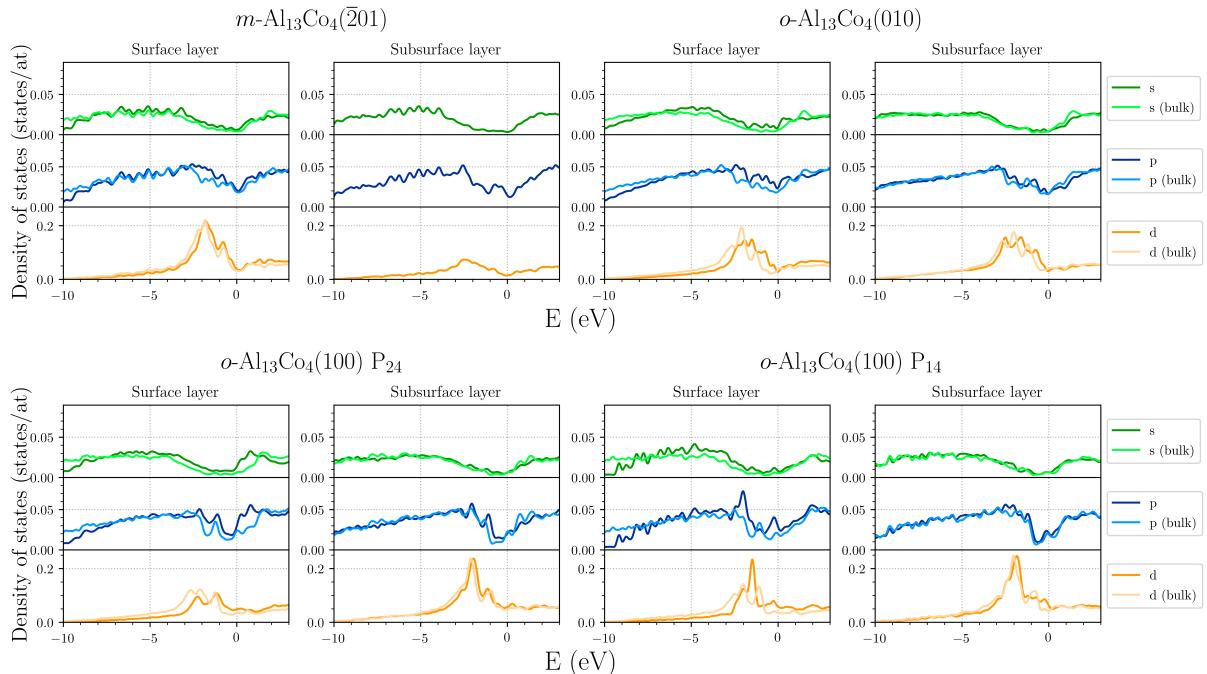


Figure 5.20: Comparaison of  $o\text{-}Al_{13}Co_4(100)$  (models P<sub>24</sub> and P<sub>14</sub>),  $o\text{-}Al_{13}Co_4(010)$  (model O<sub>0</sub>) and  $m\text{-}Al_{13}Co_4(\bar{2}01)$  (model M<sub>3.15</sub>) surface and subsurface density of states.

## 5.6 Hydrogen adsorption on $\text{Al}_{13}\text{Co}_4$

The gas-phase butadiene hydrogenation performances of the pseudo-twofold and pseudo-tenfold  $o\text{-Al}_{13}\text{Co}_4$  surfaces have been recently compared [8]. The pseudo-twofold orientation is found to be the most active at 110°C, and even more selective to butene (100%) than previously investigated  $\text{Al}_{13}\text{Fe}_4(010)$  [7, 9].

Weak hydrogen binding and easy  $\text{H}_2$  dissociation are key descriptors in hydrogenation catalysis. These factors are related to the atomic hydrogen adsorption properties of the different  $\text{Al}_{13}\text{Co}_4$  surfaces, an overview of which is obtained through potential energy surfaces (Fig. 5.21). The adsorption has been computed on specific points of regular grids covering the surfaces, using point densities of 1.0 pts. $\text{\AA}^{-2}$ , 2.3 pts. $\text{\AA}^{-2}$  and 1.6 pts. $\text{\AA}^{-2}$ , for the  $o\text{-Al}_{13}\text{Co}_4(010)$ ,  $o\text{-Al}_{13}\text{Co}_4(100)$  and  $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$ , respectively.

The energy landscape is quite contrasted on the different terminations considered here. Focusing on the pseudo-tenfold orientation – for which two surface models have been identified – the reaction conditions may indeed modify the Al-rich and relatively flat surface structure observed under ultra-high vacuum ( $P_{24}$  model), in the form of highly cohesive clusters emerging from the bulk lattice ( $P_{14}$  model)[171], to give rise to a nanostructured surface demonstrated to be more active [24, 171]. Hydrogen is calculated to be quite weakly bound on the  $P_{24}$  model ( $E_{\text{ads}} > -0.23$  eV), the most stable sites being located on Al-Al bridges above a subsurface Co atom, and slightly more strongly adsorbed on the  $P_{14}$  model ( $E_{\text{ads}} > -0.58$  eV), the more stable sites being located on top of the Al "glue" atoms that connects the bipentagonal Al motif, or in the vicinity of protruding Co atoms. On the  $P_{24}$  model, the absence of protruding surface Co atom also lead to the  $\text{H}_2$  dissociation with a rather high barrier (0.59 eV)[19], while a negligible barrier has been calculated on the  $P_{14}$  model (0.18 eV) [24]. On both surface models, favorable hydrogen adsorption sites remain poorly connected.

The picture is slightly different on the pseudo-twofold surface. Firstly, on both the flat terraces and the facets, a spontaneous dissociation of hydrogen occurs on top of Co atoms. Atomic hydrogen is found to be strongly bound to the surface, either on top of Al atoms located above subsurface Co atoms ( $O_0$  model,  $E_{\text{ads}} > -0.59$  eV) or on top of Co atoms ( $M_{3.15}$  model,  $E_{\text{ads}} > -0.53$  eV). This suggests that hydrogen dissociation is likely on this surface. Additional favorable adsorption sites are found on top or in the vicinity of surface Co atoms, but with weaker adsorption energies, *i.e.* likely active for hydrogenation steps. All these sites are well connected to one another and it is reasonable to assume that the diffusion of hydrogen atoms on this surface is facilitated.

Thus, the comparison of the hydrogen adsorption potential energy maps gives help-

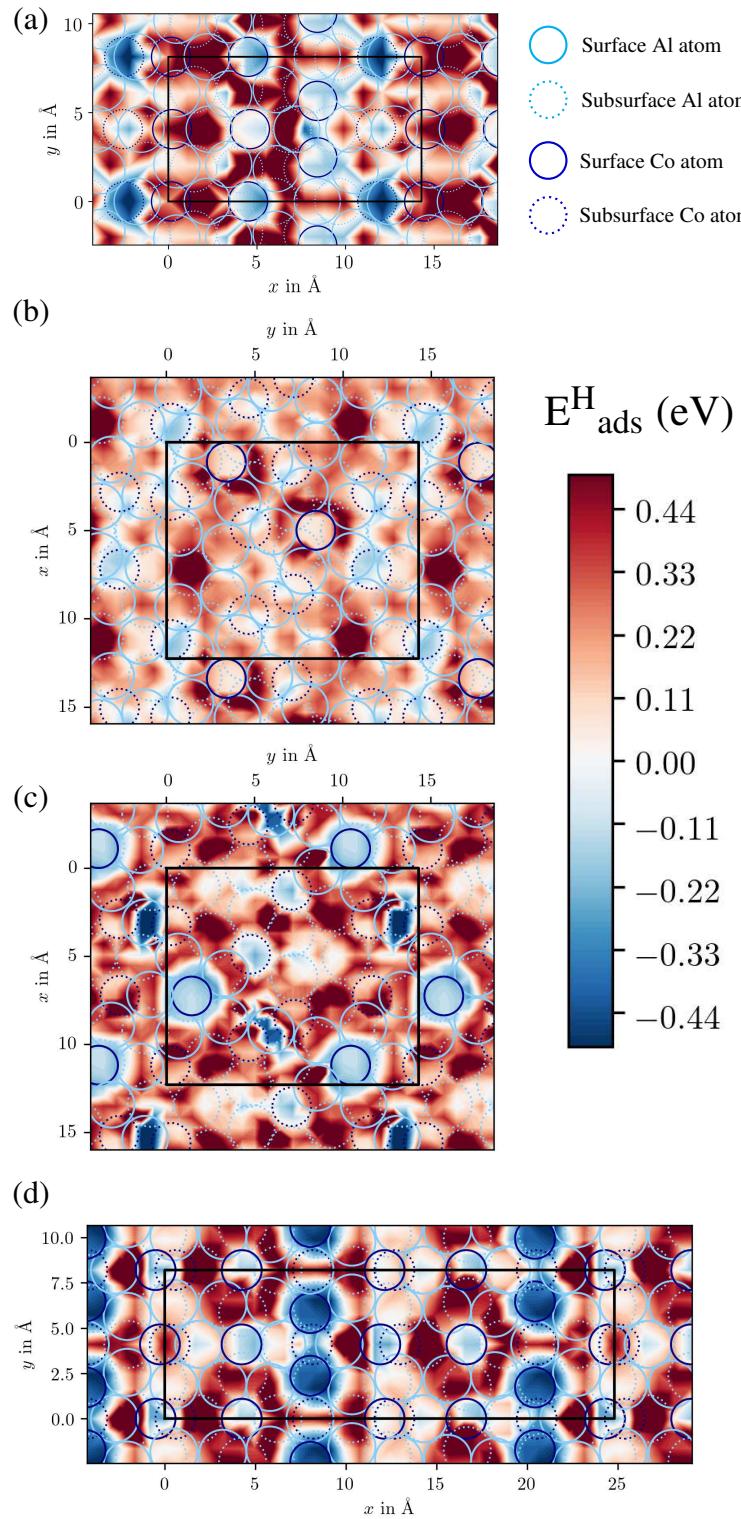


Figure 5.21: Adsorption energy maps of monoatomic hydrogen on (a)  $o\text{-Al}_{13}\text{Co}_4(010)$  model  $O_0$ , (b)  $o\text{-Al}_{13}\text{Co}_4(100)$  model  $P_{24}$ , (c)  $o\text{-Al}_{13}\text{Co}_4(100)$  model  $P_{14}$  and (d)  $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$  model  $M_{3.15}$ .

ful insights to understand the better catalytic performances of the pseudo-twofold surface compared to the pseudo-tenfold one.

## 5.7 Conclusions and perspective

The structure, stability and hydrogen adsorption properties of the  $\text{Al}_{13}\text{Co}_4$  pseudo-twofold surface have been investigated by a combination of experimental (LEED, AFM, STM and SXRD) and theoretical (DFT calculations) techniques. A highly faceted surface morphology has been identified (Fig. 5.22), similar to that of the  $d\text{-Al-Ni-Co}$  ( $1\ 2\ 1\ 1\ 0$ ) and ( $1\ 0\ 0\ 0\ 0$ ) twofold quasicrystalline surfaces.

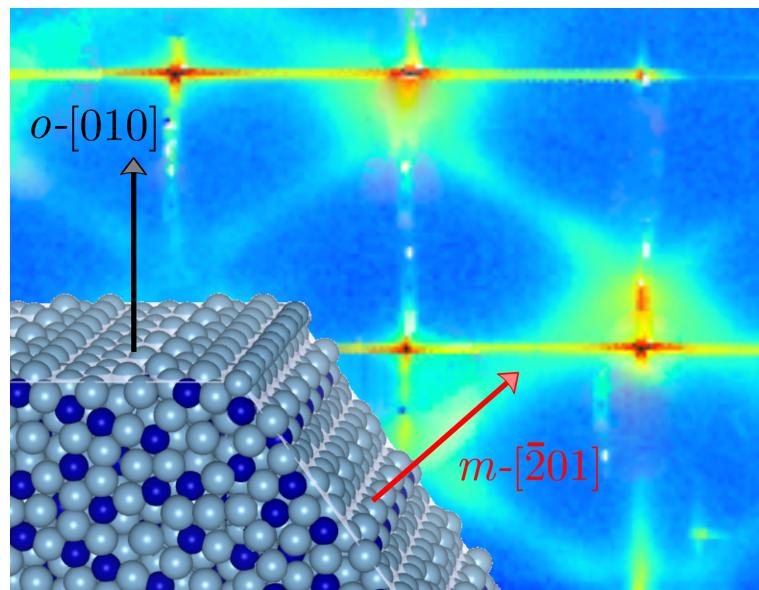


Figure 5.22: Artist's rendition of a flat terrace and a facet.

The surface model consists in a coexistence of both flat terraces ( $o\text{-Al}_{13}\text{Co}_4(010)$ ), made of rows of triangular  $\text{Al}_3$  motifs separated by a 0.65 nm gap (Fig. 5.23a), and facets ( $m\text{-Al}_{13}\text{Co}_4(\bar{2}01)$ ), with a fairly denser and Co-enriched atomic surface structure (Fig. 5.23b). The strong Co-Al-Co covalent-like molecular group identified in the bulk is kept intact at the surface in both the terraces and the facets.

The pseudo-twofold  $\text{Al}_{13}\text{Co}_4$  surface is calculated to be less stable than the pseudo-tenfold surface in the Al-rich limit ( $1.66 \text{ J/m}^2$  vs  $1.49 \text{ J/m}^2$  for  $\mu_{\text{Al}} = \mu_{\text{Al}}^{\text{bulk}}$ ), while both orientations present similar surface energies in the Co-rich region ( $1.66\text{--}1.68 \text{ J/m}^2$ ). Without considering the facets, the surface energy anisotropy is smaller than that of *fcc* Al and *hcp* Co ( $0.81 < \frac{\gamma_{\text{twofold}}}{\gamma_{\text{Al}_{13}\text{Co}_4}} < 1.11$ , while  $\frac{\gamma_{\text{Al}(100)}}{\gamma_{\text{Al}(111)}} = 1.12$  and  $\frac{\gamma_{\text{Co}(10\bar{1}0)}}{\gamma_{\text{Co}(0001)}} = 1.37$ ) [179, 180]. Facets

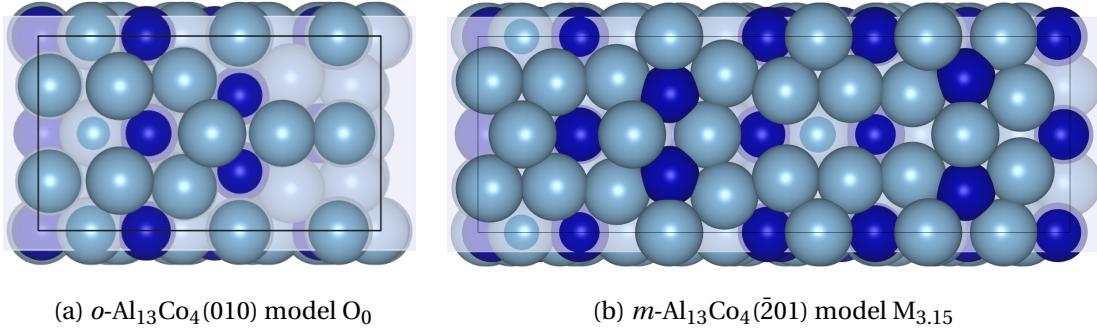


Figure 5.23: Surface structure of models (a)  $O_0$  and (b)  $M_{3.15}$ . Al and Co atoms are drawn in light blue and dark blue, respectively.

substantially stabilize the pseudo-twofold surface orientation. Their surface energies are indeed calculated to be much lower than the previous ones ( $[1.19 \text{ J/m}^2 : 1.31 \text{ J/m}^2]$ ).

Based on the surface model for the pseudo-twofold surface structure, potential energy maps for atomic hydrogen adsorption have been built. They show a wide diversity of favorable atomic hydrogen adsorption sites, some of them being quite strongly bound to the surface ( $-0.60 < E_{\text{ads}}(\text{H}) < -0.50 \text{ eV}$ ), thus likely promoting  $\text{H}_2$  dissociation, while others interact more weakly with the surface, and are possibly more active for hydrogenation steps. As already predicted for the pseudo-tenfold orientation [171], reaction conditions may modify the faceted structure of the pseudo-twofold surface identified under ultra-high vacuum conditions. Further experimental and theoretical works – under catalytic conditions – are therefore needed to fully understand the mechanism behind the catalytic properties of  $\text{Al}_{13}\text{Co}_4(010)$ .



## Chapter 6

# Surface structure and reactivity of *m*-Al<sub>13</sub>Fe<sub>4</sub>(010)

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## 6.1 Introduction

In this chapter, the (010) surface of *m*-Al<sub>13</sub>Fe<sub>4</sub> is theoretically and experimentally investigated under both UHV and reaction conditions through the means of DFT and SXRD. The surface structure has already been studied by a combination of DFT and surface science techniques under UHV and a model had been proposed [26]. This model consists in an incomplete puckered layer built from the preservation of the cluster building blocks at the surface. These clusters are preserved thanks to the strong covalent interactions present in this compound [34]. This surface model is very similar to the P<sub>14</sub> model of Al<sub>13</sub>Co<sub>4</sub>(100) that was previously discussed in Chapter 5. Al<sub>13</sub>Fe<sub>4</sub> and more particularly its (010) surface were shown to exhibit good performances – similar to Pd(100) – in the partial hydrogenation of acetylene [22] and butadiene [7, 9]. More details are to be found in Chapter 1 of this manuscript (*e.g.* crystallographic informations). The present work focuses on the butadiene hydrogenation reaction.

First, some preliminary experimental (LEED and STM analyses, as well as catalytic measurements) and theoretical (surface energy calculations) results will be presented. A detailed surface x-ray diffraction analysis will then give new insights on the surface structure under UHV conditions. Adsorption calculations of both hydrogen and alkenes will allow to establish a possible reaction path through the means of nudged elastic band (NEB) calculations. Finally, a microkinetic modelling of the reaction based on the NEB results will be presented and its results compared to experimental measurements.

## 6.2 Preliminary experimental and theoretical results

### 6.2.1 LEED measurements

The experiments were performed using Al<sub>13</sub>Fe<sub>4</sub> single crystals cut parallel to the (010) planes. The different samples were taken from ingots grown from an Al-rich solution by Czochralski method (see Chapter 1 for more details) and oriented using back-scattered x-ray Laue diffraction technique, polished down to 0.25 μm using diamond paste and mounted onto a Ta plate. Because of its propensity to develop twins, it is difficult to obtain monodomain (no twin) single crystals of Al<sub>13</sub>Fe<sub>4</sub> (as explained in Chapter 1).

The samples were prepared by cycles of 30 min 2 keV Ar<sup>+</sup> sputtering followed by 1 hour annealing at 873 K under UHV conditions. Neither oxygen, nor carbon surface contaminations were observed by AES prior to LEED analysis. Fig. 6.1a presents a LEED pattern (25 eV) taken in 2015 [26], showing a (1×1) surface and two twin domains (blue and red).

Fig. 6.1b is a LEED pattern (64 eV) obtained at SixS. The white dashed circle represents the same area of Fig. 6.1a for comparison. The same two domains (blue and red) are visible but another set of diffraction peaks can also be observed (green circles) which were previously absent. This new phase appears to be in epitaxy with the Al<sub>13</sub>Fe<sub>4</sub> structure. Basic analysis would suggest this phase to be Al<sub>5</sub>Fe<sub>2</sub> and more precisely its (001) surface. This will be ultimately confirmed by SXRD.

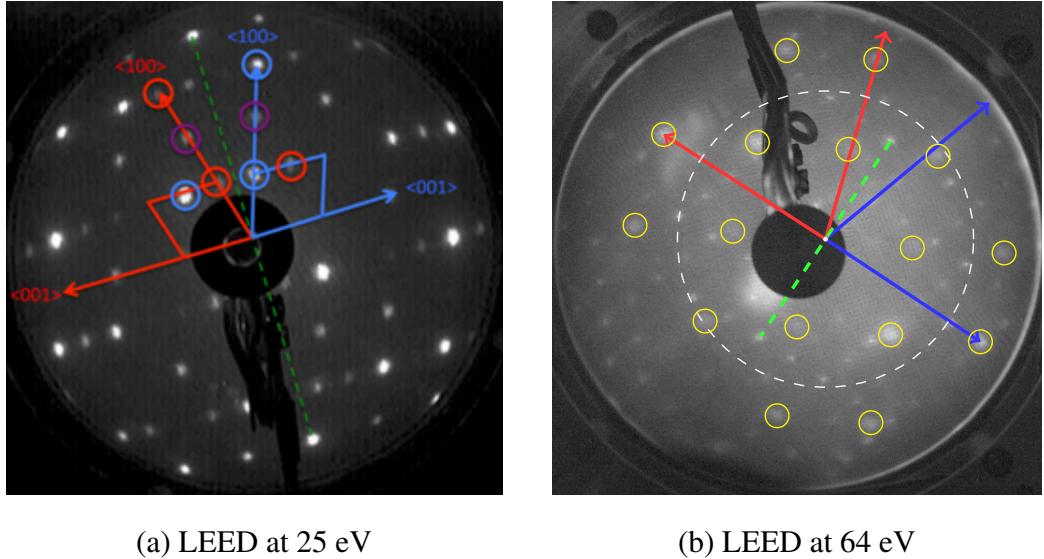


Figure 6.1: LEED patterns at (a) 25 eV [26] and (b) at 64 eV. The two twin domains are represented in blue and red. The Al<sub>5</sub>Fe<sub>2</sub> diffraction peaks are circled in green. The white dashed circle in (b) represents the same area displayed in (a).

### 6.2.2 STM images

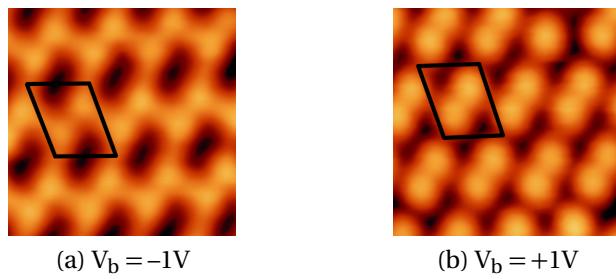


Figure 6.2: STM images ( $5 \times 5 \text{ nm}^2$ ) of Al<sub>13</sub>Fe<sub>4</sub>(010) [26].

STM analysis [26] shows the presence of terraces separated by a unique step height of  $\frac{b}{2}$  meaning that only a flat or puckered termination is present at the surface. High resolution STM images (Fig. 6.2) show a bias-dependent surface, where pairs of bright spots dominate the unit cell. These high quality images will later be compared to DFT-based image simulation.

### 6.2.3 Surface energy calculations

The previous STM analysis suggest that the surface consists in a puckered termination. Based on this hypothesis, five surface models are considered : a complete puckered layer (model P, Fig. 6.3a), an incomplete puckered layer built from the preservation of the cluster building blocks which also have 2 Al glue atoms connecting the bipentagonal motifs (model  $P_2^{\text{inc}}$ , Fig. 6.3b), two models where only 1 Al glue is missing ( $P_{1_a}^{\text{inc}}$  and  $P_{1_b}^{\text{inc}}$ , Fig. 6.3c–d) and finally one model where no Al glue is present ( $P_0^{\text{inc}}$ , Fig. 6.3e).

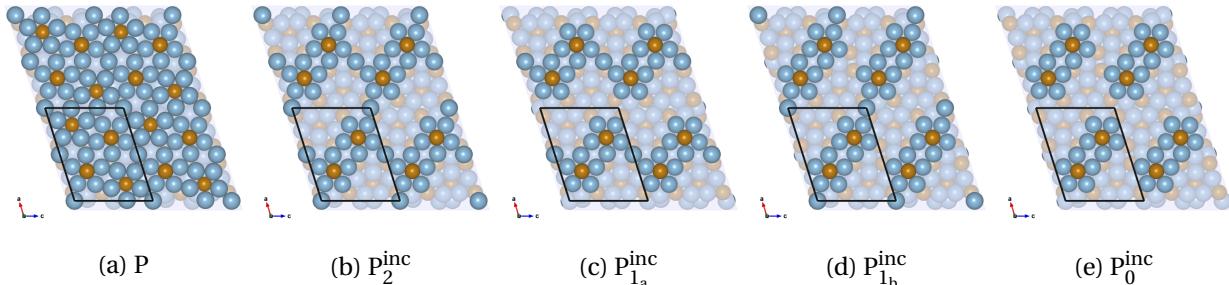


Figure 6.3: Considered surface models of  $\text{Al}_{13}\text{Fe}_4(010)$ . The surface unit cells, Al and Fe atoms are respectively drawn in black, light blue and brown.

Surface energies of the five considered models have been calculated (see Chapter 2 for more details). Here again, the DFT-D3 functional is used. The results are presented in Fig. 6.4 : in the Al chemical potential range  $[-0.46 \text{ eV}; -0.05 \text{ eV}]$ ,  $P_2^{\text{inc}}$  is the most stable surface model with a surface energy ranging from  $1.69 \text{ J/m}^2$  to  $1.73 \text{ J/m}^2$ . In the range  $[-0.05 \text{ eV}; 0 \text{ eV}]$ , model P is the most stable one with a surface energy ranging from  $1.67 \text{ J/m}^2$  to  $1.69 \text{ J/m}^2$ . The three other models ( $P_{1_a}^{\text{inc}}$ ,  $P_{1_b}^{\text{inc}}$  and  $P_0^{\text{inc}}$ ) appear to be less stable in the full range of chemical potentials. The models with only one Al glue atom –  $P_{1_a}^{\text{inc}}$  and  $P_{1_b}^{\text{inc}}$  – present almost the same surface energy in the full range of  $\mu_{\text{Al}} - \mu_{\text{Al}}^{\text{bulk}}$ .  $P_0^{\text{inc}}$  (no Al glue atom) is even less stable over the same range of chemical potential.

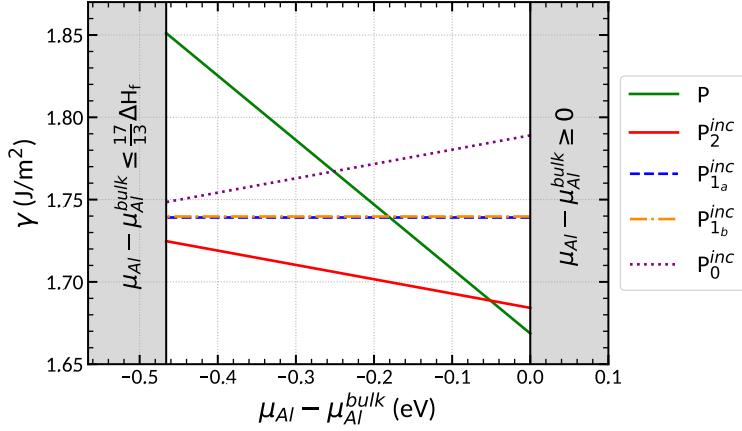


Figure 6.4: Calculated surface energies of  $\text{Al}_{13}\text{Fe}_4(010)$  as a function of the Al chemical potential.

#### 6.2.4 STM image simulation

Fig. 6.5a–b shows the STM image simulations of models P and  $P_2^{\text{inc}}$  for a bias of  $-1 \text{ V}$  [26]. The simulation based on model  $P_2^{\text{inc}}$  is in good agreement with the experimental measurements. In Fig. 6.5a, the bright spots are due to the presence of protruding Fe atoms and Al pentagons. In Fig. 6.5b, the bright spots are also due to the protruding Fe atoms as well as the Al glue atoms.

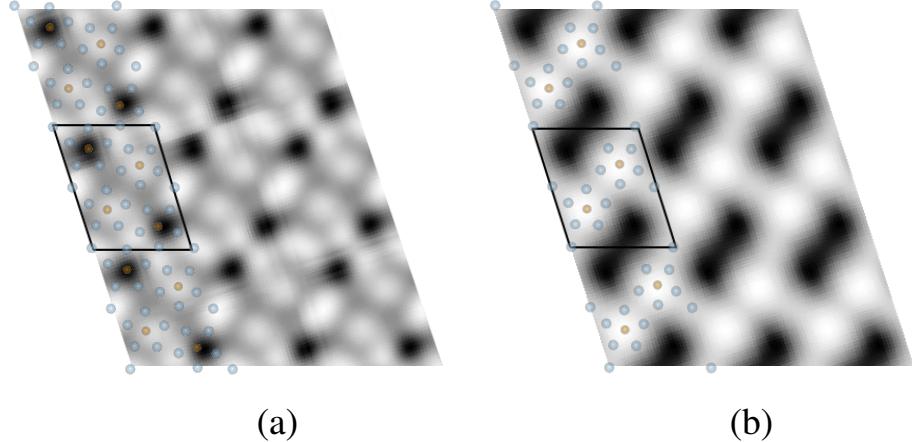


Figure 6.5: STM image simulations ( $3\text{a} \times 3\text{c}$ ) : (a) model P,  $V_b = -1\text{V}$  and (b) model  $P_2^{\text{inc}}$ ,  $V_b = -1\text{V}$  [26]. The unit cell, Al and Fe atoms are drawn in black, light blue and brown, respectively.

### 6.2.5 Catalytic measurements

The reaction was performed in a dedicated static catalytic reactor coupled with UHV preparation and analysis chambers (LEED and AES). The sample was heated from the back by an infrared laser beam. The surface temperature was controlled using an infrared pyrometer (surface emissivity set to 0.3). A mixture of ultra pure gases (5 mbar hydrogen, 0.5 mbar butadiene and 0.5 mbar Ar for internal calibration) was prepared in a separate chamber before injection into the reactor. The reactants and products were monitored by mass spectroscopy and gas chromatography.

Fig. 6.6a shows the hydrocarbon partial pressures during butadiene hydrogenation on Pd(100) and  $\text{Al}_{13}\text{Fe}_4(010)$  at room temperature [7]. Butadiene is fully converted into butane in less than 50 minutes with  $\text{Al}_{13}\text{Fe}_4(010)$ , which is also the time needed to do the conversion on Pd(100).  $\text{Al}_{13}\text{Fe}_4(010)$  appears to be more selective than Pd(100) towards the production of butene. An initial selectivity of 100% is observed (89% on average). The butane formation rate increases greatly after butadiene is fully converted.

Fig. 6.6b presents a comparison of the butene production of different intermetallic catalysts at room temperature.  $\text{Al}_{13}\text{Fe}_4(010)$  is the most active considered Al-based intermetallic catalysts. Only  $\text{Al}_{13}\text{Co}_4(010)$  and  $\text{Al}_{13}\text{Ru}_4(010)$  can also be considered as actives at room temperature.

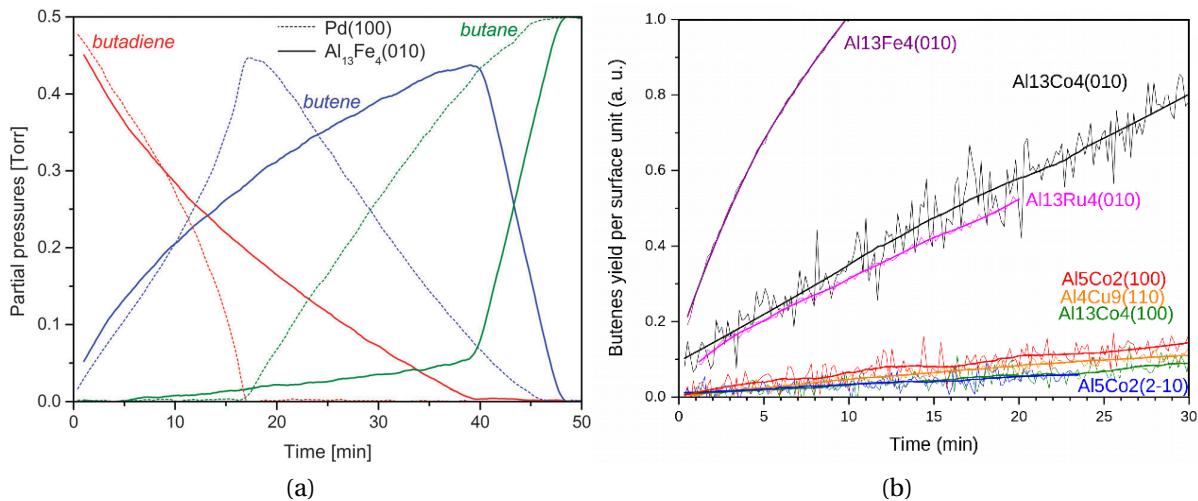


Figure 6.6: (a) Hydrocarbon partial pressures during butadiene hydrogenation on  $\text{Al}_{13}\text{Fe}_4(010)$  and Pd(100) at room temperature [7] and (b) butene production of different Al-based intermetallic catalysts at room temperature.

## 6.3 SXRD analysis

Before doing extensive DFT calculations in order to determine the adsorption and catalytic properties of  $\text{Al}_{13}\text{Fe}_4(010)$ , it is necessary to ascertain its surface model. Surface x-ray diffraction is the best tool to discriminate between the possible surface structures.

### 6.3.1 In-plane measurements

Fig. 6.7 shows the in-plane measurement (raw data) of the reciprocal space of  $\text{Al}_{13}\text{Fe}_4(010)$ . As expected (thanks to the LEED analysis) the complexity of the reciprocal space is quite high. A careful analysis is therefore needed to understand the different phases that are present in this sample. Miller indices will further be referred to as H, K, and L, given with respect to the  $(1 \times 1)$  surface unit cell ( $a_{\text{surf}} = 12.47 \text{ \AA}$ ,  $b_{\text{surf}} = 15.49 \text{ \AA}$ ,  $c_{\text{surf}} = 8.08 \text{ \AA}$  and  $\beta = 107.7^\circ$ ).

Fig. 6.8a shows the in-plane measurement of the reciprocal space of  $\text{Al}_{13}\text{Fe}_4(010)$  where the diffraction spots positions of the two mirror domains (red and black) are indicated (red and black circles). Additional in-plane SXRD data can be found in the Appendices (Figs. D.5 and D.6). The radii of the circles are proportional to the calculated intensity of the peaks. A script – that takes as inputs the lattice parameters and the atomic positions – allows for the determination of the peak positions and intensities. The observed pseudo 10-fold symmetry confirms the  $(010)$  surface orientation. The surface lattice parameters are consistent with the ones of  $\text{Al}_{13}\text{Fe}_4(010)$  ( $a_{\text{lit}} = 12.471 \text{ \AA}$ ,  $b_{\text{lit}} = 15.492 \text{ \AA}$ ,  $c_{\text{lit}} = 8.078 \text{ \AA}$  [91] and  $a_{\text{SXRD}} = 12.48(6) \text{ \AA}$ ,  $b_{\text{SXRD}} = 15.53(3) \text{ \AA}$ ,  $c_{\text{SXRD}} = 8.10(3) \text{ \AA}$ ). Extinction conditions are  $K+L=2n+1$  with  $n \in \mathbb{N}$ . For even values of K ( $K=2$ , *i.e.*  $Q_y \simeq 0.8 \text{ \AA}^{-1}$ ;  $K=4$ , *i.e.*  $Q_y \simeq 1.6 \text{ \AA}^{-1}$ , *etc*), the peaks of both twin domains are superimposed. This is an issue for the measurements of CTRs because of the difficult deconvolution of the two superimposed CTRs. In the case where K is odd, the CTRs are distincts (not superimposed). Therefore, only these CTRs are measured.

To sum up, the expected pseudo 10-fold symmetry is observed and the presence of two mirrors domains is confirmed. However, another phase is present. The in-plane lattice parameters of the latter are consistent with the ones of  $\text{Al}_5\text{Fe}_2(001)$  ( $a_{\text{lit}} = 7.65(6) \text{ \AA}$ ,  $b_{\text{lit}} = 6.41(5) \text{ \AA}$  [82] and  $a_{\text{SXRD}} = 7.66(1) \text{ \AA}$ ,  $b_{\text{SXRD}} = 6.44(2) \text{ \AA}$ ). Fig. 6.8b shows the in-plane map with the indication of the  $\text{Al}_5\text{Fe}_2(001)$  peaks (using a similar script as before). For each  $\text{Al}_{13}\text{Fe}_4(010)$  twin domain, there is also a  $\text{Al}_5\text{Fe}_2(001)$  related domain who appears to have an epitaxial relationship : the green (respectively yellow)  $\text{Al}_5\text{Fe}_2(001)$  domain is related to the black (respectively red)  $\text{Al}_{13}\text{Fe}_4(010)$  domain.

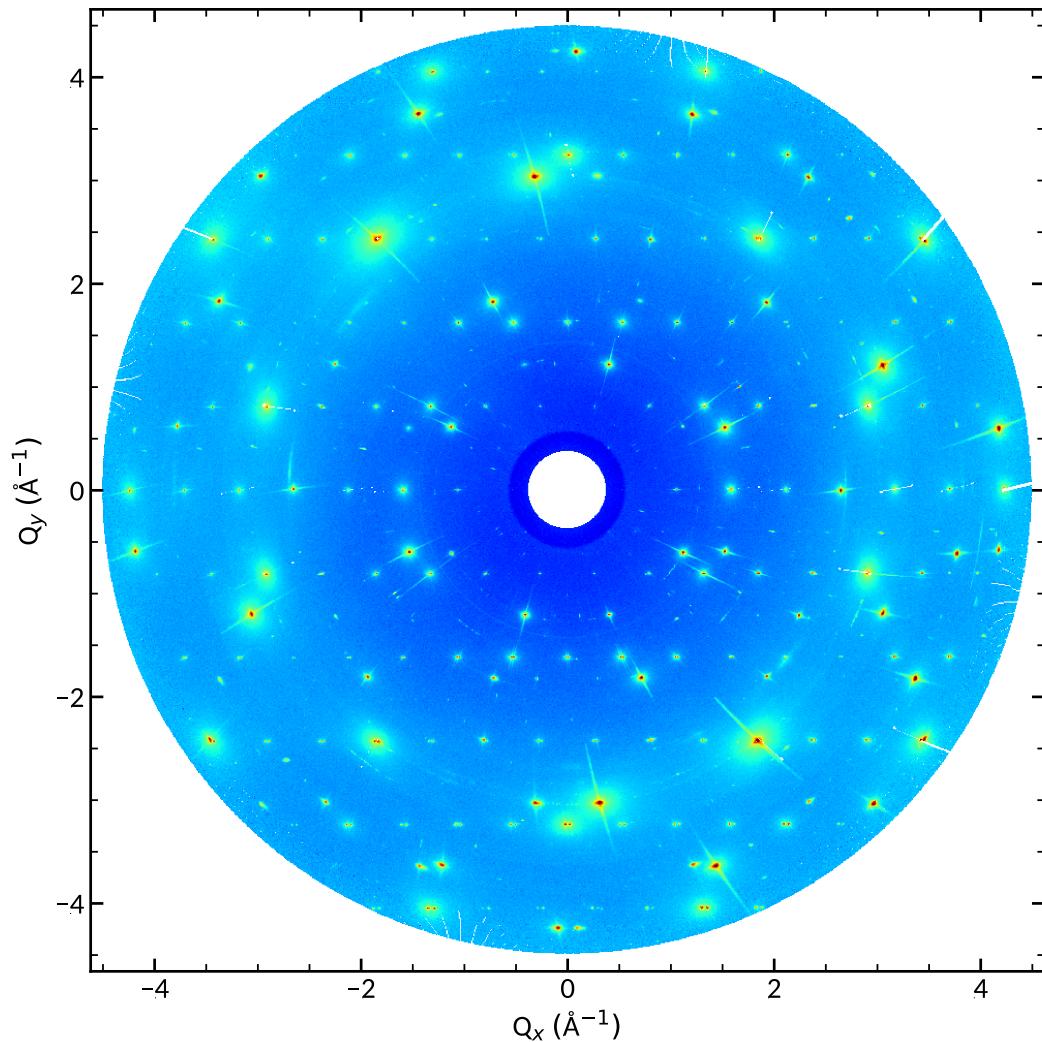


Figure 6.7: In-plane reciprocal space map (raw data) of  $\text{Al}_{13}\text{Fe}_4(010)$

Fig. 6.9 shows reciprocal space maps (in-plane and at  $L_{\text{Al}_5\text{Fe}_2} = 1$ ) where the  $\text{Al}_5\text{Fe}_2(001)$  peaks are indexed (positions and intensities). This confirms the epitaxial relationship between  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$ :  $[010]_{\text{Al}_{13}\text{Fe}_4}$  is equivalent to  $[001]_{\text{Al}_5\text{Fe}_2}$ . Fig. 6.10 presents four CTRs of  $\text{Al}_5\text{Fe}_2(001)$  with one of them –  $(1,1)_{\text{Al}_5\text{Fe}_2}$  – superimposed to one of  $\text{Al}_{13}\text{Fe}_4(010)$  CTR (5,3). The out-of-plane lattice parameter is also consistent with the one of  $\text{Al}_5\text{Fe}_2(001)$  ( $c_{\text{lit}} = 4.21(8)$  Å [82] and  $c_{\text{SXRD}} = 4.23(1)$  Å). Satellite peaks are present around integer values of  $L_{\text{Al}_5\text{Fe}_2}$  ( $\pm 0.16$ ) and are supposedly correlated to the partially occupied Al sites that form a disordered chain confined within the pentagonal Al channels (see Chapter 1 for more details on the crystal structure). On top of that, a splitting of the principal peaks occurs (e.g.  $L_{\text{Al}_5\text{Fe}_2} = 2$  and  $L_{\text{Al}_5\text{Fe}_2} = 2.03$ , corresponding to a lattice parameter of 4.16(1) Å). This could also be linked to this disordered chains.

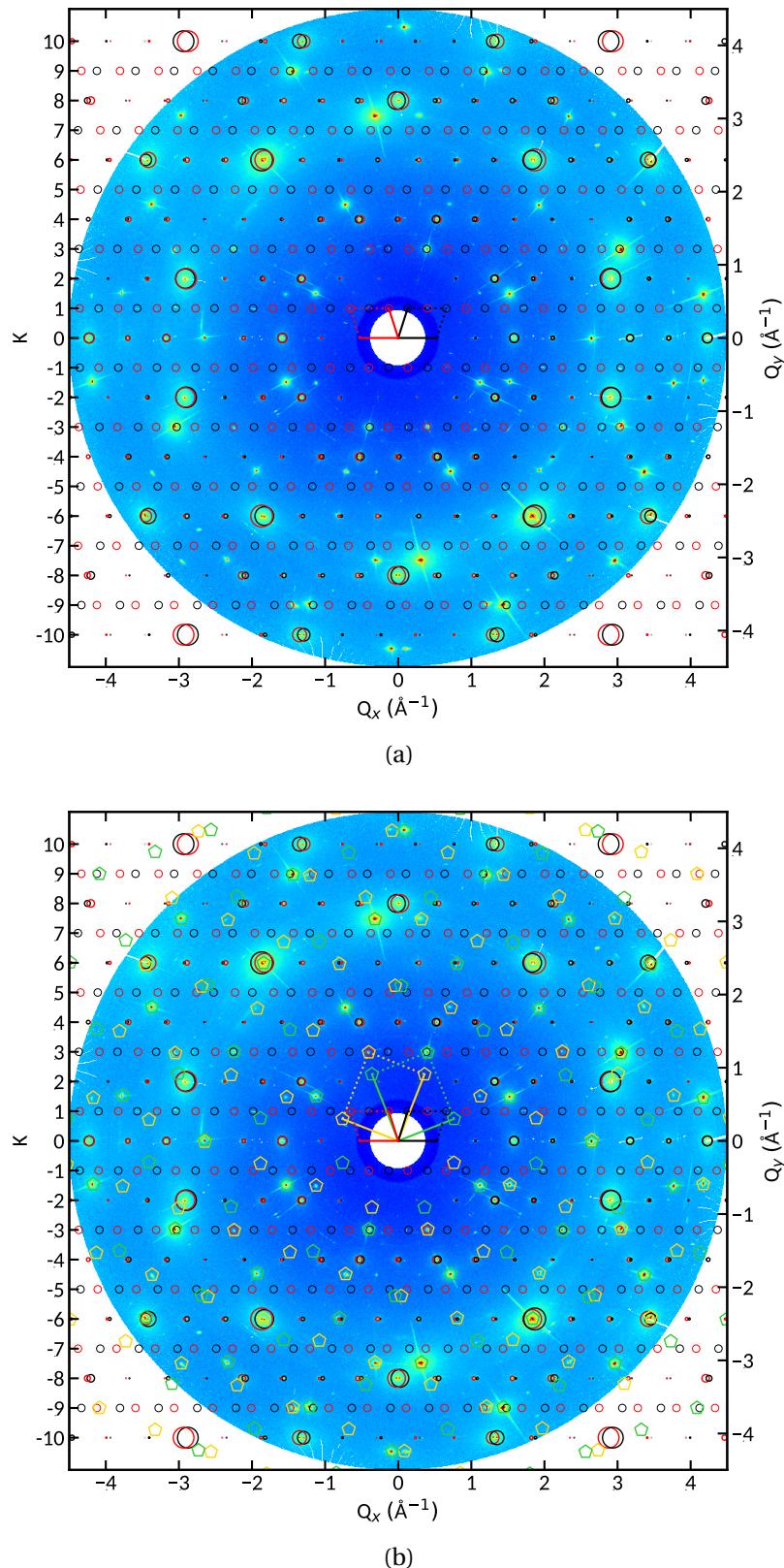


Figure 6.8: In-plane reciprocal space maps of  $\text{Al}_{13}\text{Fe}_4(010)$ : (a) the position of the  $\text{Al}_{13}\text{Fe}_4(010)$  in-plane spots are highlighted in red and black circles ((001) twin). The radii of the circles are proportional to the calculated intensity of the peaks, and (b) the position of the  $\text{Al}_5\text{Fe}_2(001)$  spots are highlighted in yellow and green pentagons.

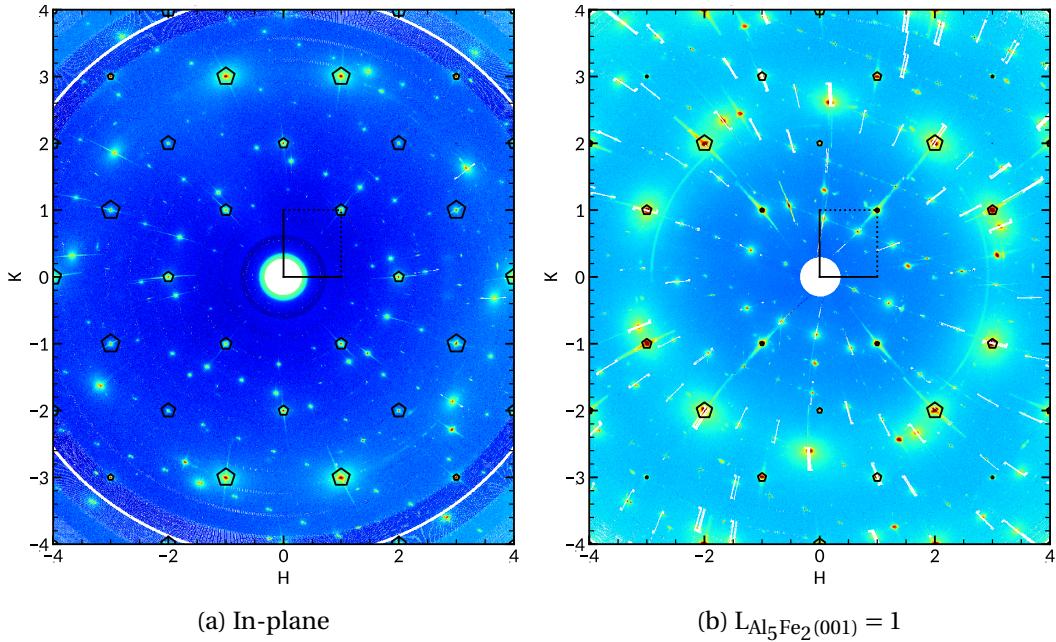


Figure 6.9: Reciprocal space maps : (a) in-plane and (b)  $L_{\text{Al}_5\text{Fe}_2(001)} = 1$  where the diffraction peaks of  $\text{Al}_5\text{Fe}_2(001)$  are indexed (positions and intensities). H, K and L are given with respect to the surface lattice parameters of  $\text{Al}_5\text{Fe}_2(001)$ .

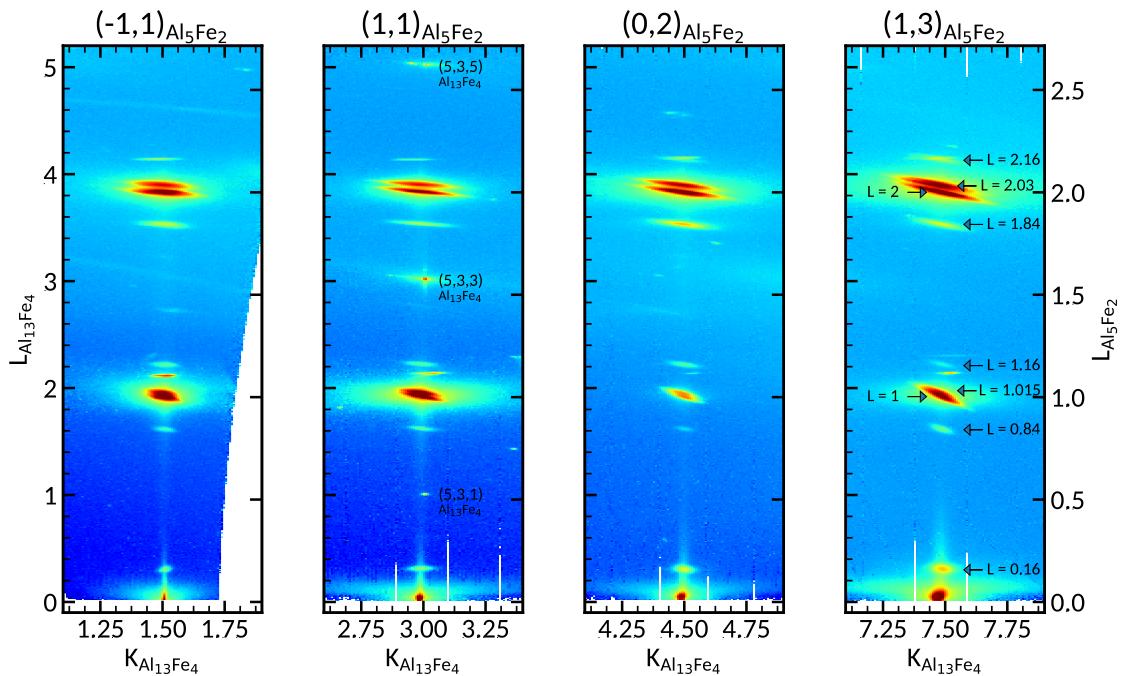


Figure 6.10: Examples of 4 CTRs of  $\text{Al}_5\text{Fe}_2(001)$ .  $(1,1)_{\text{Al}_5\text{Fe}_2}$  and  $(5,3)_{\text{Al}_5\text{Fe}_4}$  are superimposed.

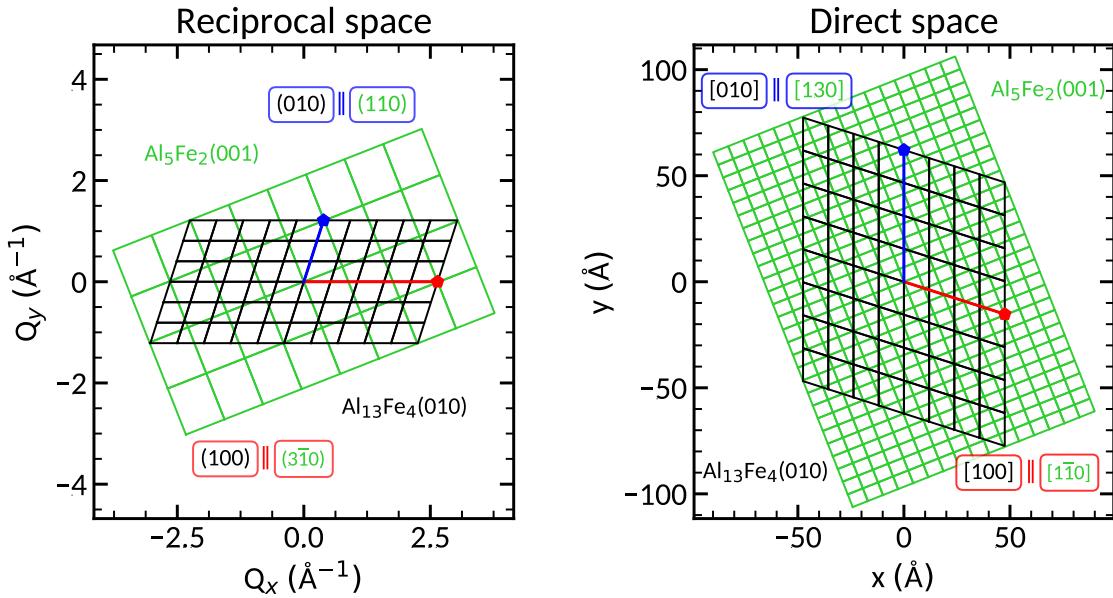


Figure 6.11: Epitaxial relationship between  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$  in both the reciprocal space and the direct space.

All the peaks are therefore indexed, no other phase is present. Nevertheless, it is of interest to understand properly the epitaxial relationship of the two phases, in order to select the right CTRs to measure. Fig. 6.11 shows the epitaxial relationship between  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$  in both the reciprocal space and the direct space. In the reciprocal space the common  $(hkl)$  planes are the following :  $(110)_{\text{Al}_5\text{Fe}_2} \parallel (010)_{\text{Al}_{13}\text{Fe}_4}$  and  $(3\bar{1}0)_{\text{Al}_5\text{Fe}_2} \parallel (100)_{\text{Al}_{13}\text{Fe}_4}$ . This can be written using a transformation matrix between the primary (P) phase  $\text{Al}_{13}\text{Fe}_4$  and the secondary (S) phase  $\text{Al}_5\text{Fe}_2$  :

$$\begin{cases} 5\mathbf{p}_1^* = 3\mathbf{s}_1^* - 1\mathbf{s}_2^* \\ 3\mathbf{p}_2^* = \mathbf{s}_1^* + \mathbf{s}_2^* \end{cases} \iff \begin{pmatrix} \mathbf{p}_1^* \\ \mathbf{p}_2^* \end{pmatrix} = \begin{pmatrix} \frac{3}{5} & -\frac{1}{5} \\ \frac{1}{3} & \frac{1}{3} \end{pmatrix} \begin{pmatrix} \mathbf{s}_1^* \\ \mathbf{s}_2^* \end{pmatrix} \iff \mathbf{P}^* = \mathbf{M}\mathbf{S}^*$$

which can be inverted in order to describe the relationship in the direct space :

$$\mathbf{P} = {}^t\mathbf{M}^{-1}\mathbf{S} \iff \begin{pmatrix} \mathbf{p}_1 \\ \mathbf{p}_2 \end{pmatrix} = \begin{pmatrix} \frac{5}{4} & -\frac{5}{4} \\ \frac{3}{4} & \frac{9}{4} \end{pmatrix} \begin{pmatrix} \mathbf{s}_1 \\ \mathbf{s}_2 \end{pmatrix} \iff \begin{cases} 4\mathbf{p}_1 = 5\mathbf{s}_1 - 5\mathbf{s}_2 \\ 4\mathbf{p}_2 = 3\mathbf{s}_1 + 9\mathbf{s}_2 \end{cases}$$

In the direct space, the common  $[abc]$  directions are therefore the following :

$$[130]_{\text{Al}_5\text{Fe}_2} \parallel [010]_{\text{Al}_{13}\text{Fe}_4} \quad \text{and} \quad [1\bar{1}0]_{\text{Al}_5\text{Fe}_2} \parallel [100]_{\text{Al}_{13}\text{Fe}_4}$$

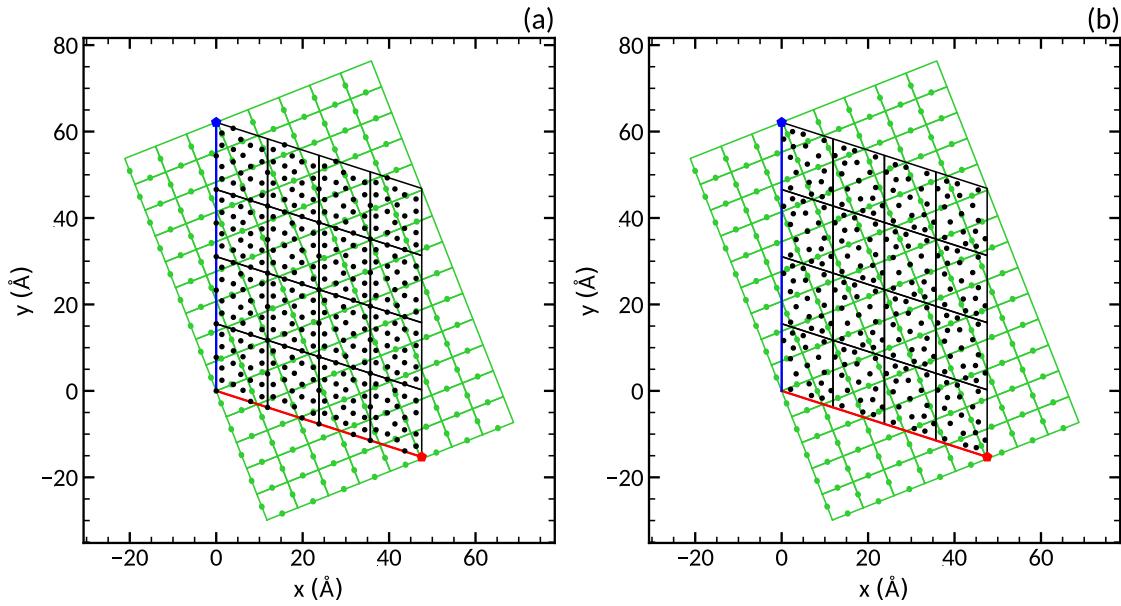


Figure 6.12: Possible superposition between  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$  highlighting a preferential coincidence with the Al atoms of the disordered channels : relationships between (a) a P layer of  $\text{Al}_{13}\text{Fe}_4$  and  $\text{Al}_5\text{Fe}_2$  and (b) a F layer of  $\text{Al}_{13}\text{Fe}_4$  and  $\text{Al}_5\text{Fe}_2$ . Al atoms are drawn in black for  $\text{Al}_{13}\text{Fe}_4$  and green for  $\text{Al}_5\text{Fe}_2$ .

Fig. 6.12a presents a possible superposition of a P layer of  $\text{Al}_{13}\text{Fe}_4$  on the Al disordered channels of  $\text{Al}_5\text{Fe}_2$ . Some of the Al atoms of the P layer coincide with the Al atoms of  $\text{Al}_5\text{Fe}_2$  along the  $[100]_{\text{Al}_{13}\text{Fe}_4}$ . The same situation occurs with a F layer (Fig. 6.12b). This may thus explain why this epitaxial relationship is favorable. Further DFT calculations (interfacial energy) are needed to better understand the structure of the interface. This could be of interest for surface coating applications.

On a more practical level, the  $\text{Al}_5\text{Fe}_2$  peaks width along the K direction (Fig. 6.10) suggests small domains such as particles embedded in the  $\text{Al}_{13}\text{Fe}_4$  matrix or near-2D objects that were formed at the surface. One possible explanation could be a phase transformation that occurred during the sputtering/annealing cycles. Looking at the phase diagram (in Chapter 1), one can notice a coexistence region of  $\text{Al}_{13}\text{Fe}_4$  and  $\text{Al}_5\text{Fe}_2$ .

In summary, when extracting the relevant CTRs, one has to be careful not to select a rod close to any  $\text{Al}_5\text{Fe}_2$  peak in order to have a clean  $\text{Al}_{13}\text{Fe}_4(010)$  signal. Knowing the relationship between the two helps finding out the best CTR candidates.

### 6.3.2 CTRs extraction

As mentionned before, only (H,K) CTRs with odd value of K have to be measured to make sure only one domain is investigated. The structure factors of eight inequivalent CTRs were measured for L ranging from 0 to 4.8. The structure factors of the five considered models were simulated using their DFT-relaxed surface structure and the ROD program and compared to the experimental data.

This is shown in Fig. 6.13. Some of the simulations reproduce very well most of the observed features (for example the (1,1) CTR for L ranging from 0 to 2 is strikingly well simulated using the  $P^{inc}$  models). Overall, the  $P^{inc}$  models are visually better fitting the experimental measurements. A  $\chi^2$  analysis is yet necessary to discriminate between all the models.

Tab. 6.1 sums up the ROD fitting results (only one fitting parameter, the scale factor).  $P$  and  $P_{1b}^{inc}$  models appear to be the worst fitting ones.  $P_{1a}^{inc}$  seems to be overall the best model with a  $\chi^2$  value of 4.445 and a R-factor of 0.460. Nevertheless, the discrepancy between  $P_{1a}^{inc}$  and  $P_2^{inc}/P_0^{inc}$  is quite low (around 5% for the  $\chi^2$  value and 2% for the R-factor) and therefore maybe not significant.

A combination of these three models might also lead to a good agreement. This could lead to a partial desorption of Al glue atoms due to a too high annealing temperature. It was indeed previously observed that above 873 K, Al glue atoms were starting to desorb leading progressively to a  $P_0^{inc}$  surface structure [26] despite its higher surface energy.

Table 6.1: X-ray diffraction results – ROD analysis.

| Surface Model | P      | $P_2^{inc}$ | $P_{1a}^{inc}$ | $P_{1b}^{inc}$ | $P_0^{inc}$ |
|---------------|--------|-------------|----------------|----------------|-------------|
| Scale factor  | 0.0126 | 0.00943     | 0.00954        | 0.00913        | 0.00969     |
| $\chi^2$      | 5.735  | 4.669       | 4.445          | 5.168          | 4.543       |
| R-factor      | 0.485  | 0.469       | 0.460          | 0.496          | 0.463       |

The  $P_2^{inc}$  model will be kept as the main surface model in the following theoretical study since it was mainly observed using STM, having the lowest surface energy and fitting fairly well the SXRD data.

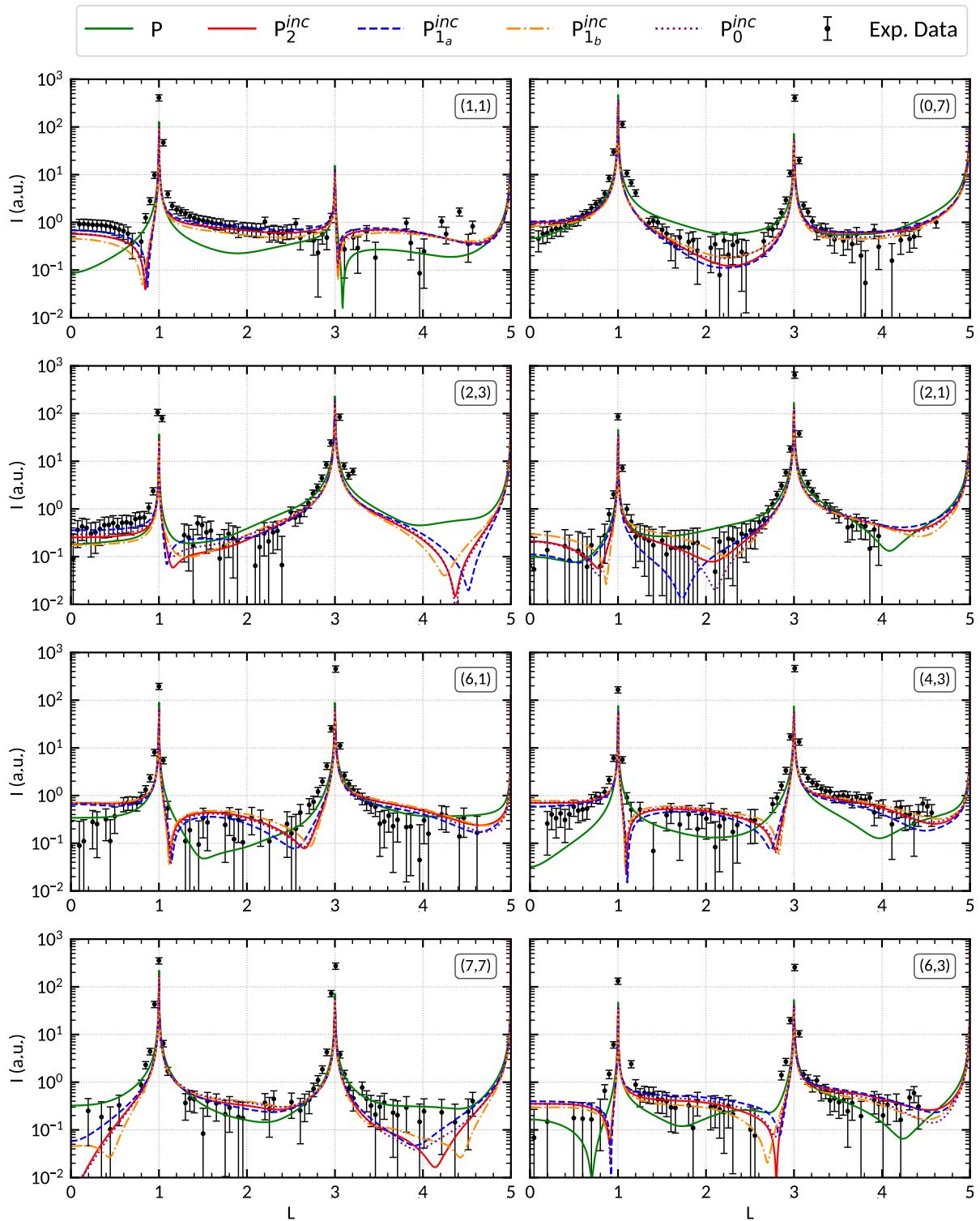


Figure 6.13: CTRs of  $m\text{-Al}_{13}\text{Fe}_4(010)$  and their related DFT-based ROD simulation.

## 6.4 Adsorption calculations

Using the  $P_2^{\text{inc}}$  surface model for  $\text{Al}_{13}\text{Fe}_4(010)$ , one can move on to adsorption calculations of hydrogen, butadiene, and butene molecules. The DFT results will then allow for the determination of a possible hydrogenation reaction path.

### 6.4.1 Hydrogen adsorption

As stated in previous Chapters, weak hydrogen binding and easy dissociation are key descriptors in hydrogenation catalysis. These factors are related to the atomic hydrogen adsorption properties of  $\text{Al}_{13}\text{Fe}_4(010)$ . The right-hand map of Fig. 6.14 presents the potential energy surface of hydrogen adsorption energies on  $\text{Al}_{13}\text{Fe}_4(010) P_2^{\text{inc}}$ . The adsorption has been computed on specific points of a regular grid covering the surface using a point density of 2.17 pts/ $\text{\AA}^2$  (total of 400 adsorption positions).

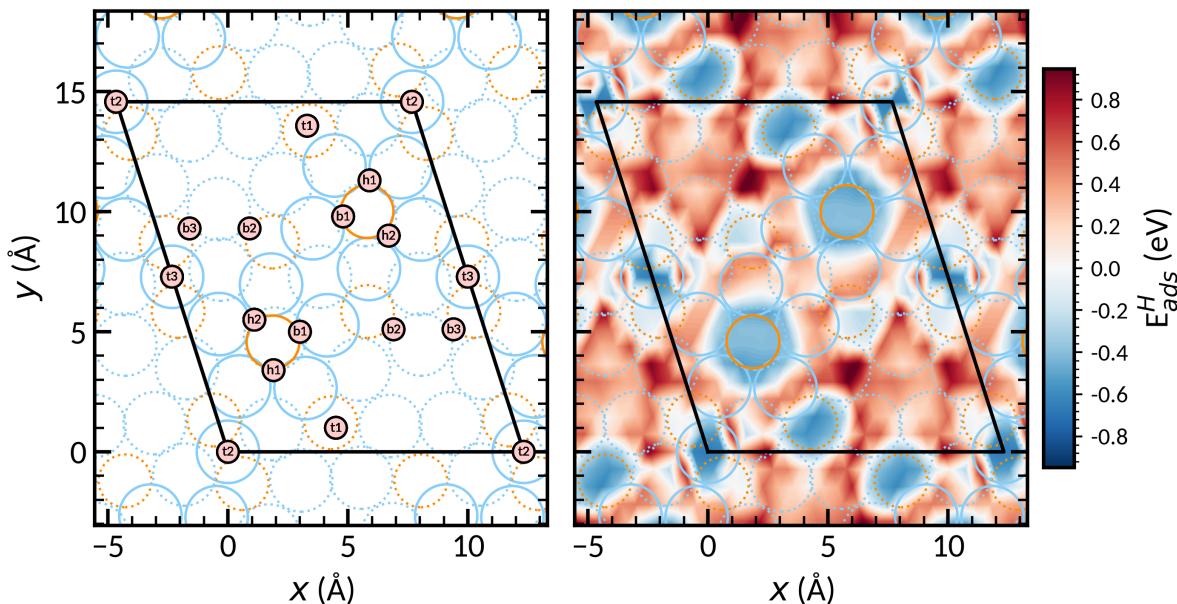


Figure 6.14: Hydrogen adsorption energy maps on  $P_2^{\text{inc}}$ . Al and Fe atoms are drawn in light blue and orange, respectively.

The left-hand map of Fig. 6.14 shows particular and stable adsorption sites (top, bridge and hollow sites) for which the adsorption energies are displayed in Tab. 6.2.

In total, 14 sites are considered to be stable (considering symmetry and steric hindrance). They are labelled  $t1$  to  $t3$  for top sites,  $b1$  to  $b3$  for bridge sites and  $h1/h2$  for hollow sites. As for  $\text{Al}_{13}\text{Co}_4(100) P^{14}$ , the most stable adsorption sites appear to be one of the subsurface

Table 6.2: Hydrogen adsorption energies on  $\text{P}_2^{\text{inc}}$ 

|    | Adsorption energies (eV) |          |          |
|----|--------------------------|----------|----------|
|    | top                      | bridge   | hollow   |
| t1 | -0.61                    | b1 -0.44 | h1 -0.53 |
| t2 | -0.57                    | b2 -0.28 | h2 -0.47 |
| t3 | -0.55                    | b3 -0.19 | - -      |

Fe atom (site *t1*) and the Al glue atoms (sites *t2* and *t3*) that link the bipentagonal Al motifs ( $E_{\text{ads}}^{\text{H}} = [-0.61 \text{ eV}; -0.55 \text{ eV}]$ ). The second most stable sites are the protruding Fe atoms (hollow sites *h1* and *h2* with two Al atoms).

These results are further used as input to build hydrogenated surface models with hydrogen coverage ranging from 1 to 12 hydrogen atoms per surface unit cell. A maximum of 12 atoms will be adsorbed because of the coadsorption with an alkene molecule.

### 6.4.2 Butadiene and but-1-ene adsorptions

Following the same method that was applied to  $\text{Al}_{13}\text{Co}_4$  the next step is to find the stable adsorption sites of butadiene and but-1-ene on  $\text{Al}_{13}\text{Fe}_4(010)$ . As previously stated, the *trans* configuration of butadiene is always preferred.

Fig. 6.15 displays the most stable adsorption sites for butadiene and butene and Tab. 6.3 their adsorption energies. Other sites are presented in reference [171]. Butadiene adsorption site involves primarily a protruding surface Fe atom and neighboring Al atoms (Fig. 6.15a,  $E_{\text{ads}}^{\text{H}} = -1.90 \text{ eV}$ ). Butadiene is adsorbed within a  $\pi_{\text{Fe}}(\text{C}=\text{C})\sigma_{\text{Al}}(\text{C})$  mode.

There are two ways to hydrogenate butadiene into butene. The first one consists in the hydrogenation of the  $\text{C}=\text{C}$  bond that is adsorbed within a  $\sigma_{\text{Al}}$  mode (Fig. 6.15b,  $E_{\text{ads}}^{\text{H}} = -1.38 \text{ eV}$ ). Butene is therefore adsorbed within a  $\pi_{\text{Fe}}$  mode. The second way consists in the hydrogenation of the  $\text{C}=\text{C}$  binded to the protruding Fe atom (Fig. 6.15c,  $E_{\text{ads}}^{\text{H}} = -1.31 \text{ eV}$ ). In this case, butene is adsorbed within a  $\sigma_{\text{Fe}}\sigma_{\text{Al}}$  mode, which weakens the adsorption.

 Table 6.3: Butadiene and but-1-ene adsorption energies on  $\text{P}_2^{\text{inc}}$ .

| Molecule              | $\text{C}_4\text{H}_6$ ( $\pi_{\text{Fe}}\sigma_{\text{Al}}$ mode) | $\text{C}_4\text{H}_8$ ( $\pi_{\text{Fe}}$ mode) | $\text{C}_4\text{H}_8$ ( $\sigma_{\text{Fe}}\sigma_{\text{Al}}$ mode) |
|-----------------------|--|--|---|
| $E_{\text{ads}}$ (eV) | -1.90  | -1.38  | -1.31   |

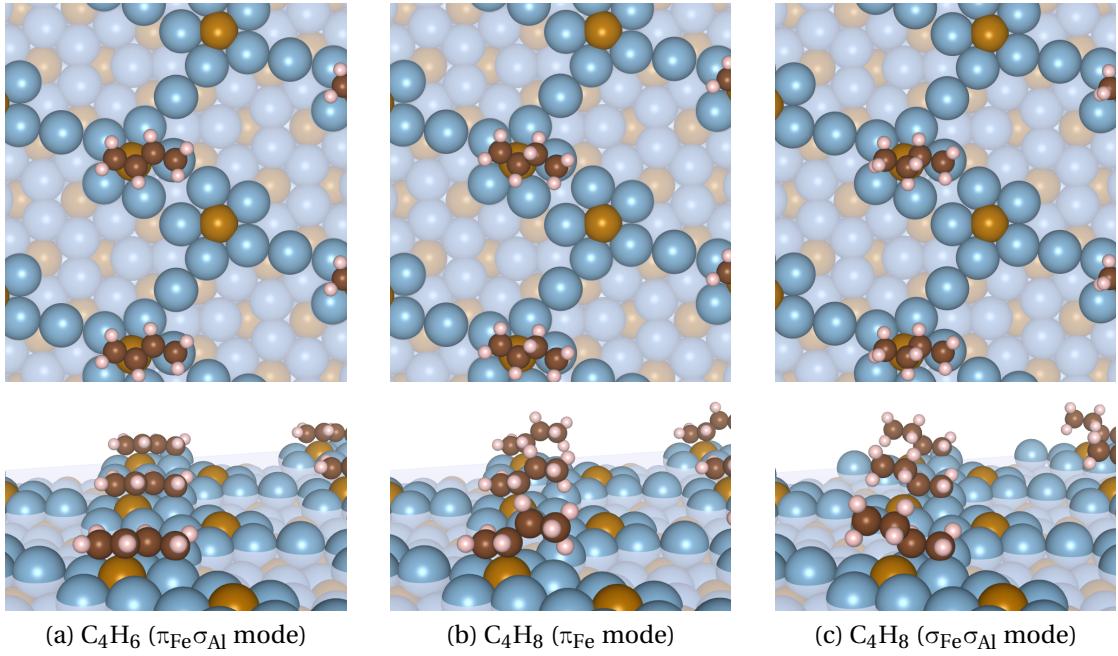


Figure 6.15: Adsorption sites of butadiene and but-1-ene on  $\text{P}_2^{\text{inc}}$  (top and perspective views). Al and Fe atoms are drawn in light blue and orange, respectively.

### 6.4.3 Coadsorption of hydrogen and butadiene/but-1-ene

It was shown that the optimum hydrogen coverage on  $\text{Al}_{13}\text{Fe}_4(010)$  was eight hydrogen atoms per surface unit cell [171]. The next step is then the comparison between the adsorption energies of butadiene and butene under operating conditions. The thermodynamic model that was presented in Chapter 2 is therefore used.

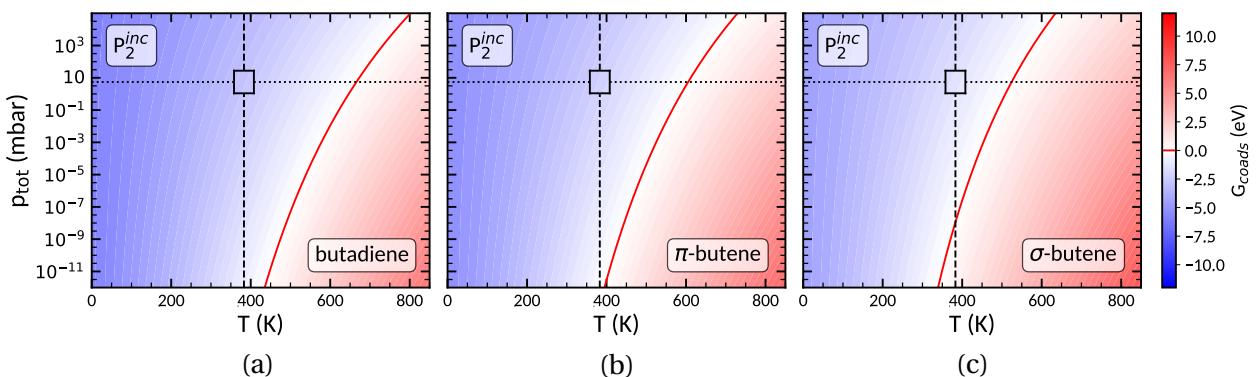


Figure 6.16: Adsorption free enthalpies ( $G_{\text{coads}}$  in eV) as a function of  $p$  and  $T$  for butadiene and butene ( $\pi$  and  $\sigma$  modes) on  $\text{P}_2^{\text{inc}}$  for a H coverage of 8.

The coadsorption (eight hydrogen atoms and one butadiene molecule) free enthalpy as a function of pressure and temperature (ratio 10:1) is plotted in Fig. 6.16a. Butadiene appears to be adsorbed on the surface for the reaction conditions ( $T = 297 \text{ K}$  or  $T = 383 \text{ K}$ ,  $p = 5 \text{ mbar}$ ). The coadsorption free enthalpy as a function of pressure and temperature is plotted in Fig. 6.16b and Fig. 6.16c for butene molecules adsorbed in  $\pi$  mode and  $\sigma$  mode, respectively. Both molecules appear to be adsorbed on the surface for the reaction conditions ( $T = 297 \text{ K}$  or  $T = 383 \text{ K}$ ,  $p = 5 \text{ mbar}$ ). However, as one could have expected,  $\sigma$ -butene is less strongly adsorbed than  $\pi$ -butene.

To summarize, the hydrogenation reaction is calculated to be thermodynamically feasible. Nevertheless, unlike  $\text{Al}_{13}\text{Co}_4(100)$ , it is not possible to state that the Fe centers are highly selective sites because of the close adsorption properties of  $\sigma$ -butene and  $\pi$ -butene. In both cases, but-1-ene is well adsorbed and could further be hydrogenated into butane.

## 6.5 Hydrogenation of butadiene : reaction pathways and kinetics

At this point, the surface structure is known and the adsorption properties (sites, energies, reaction feasibility) are determined for both hydrogen and alkene molecules on the pseudo 10-fold surface of  $\text{Al}_{13}\text{Fe}_4$ . As developed in Chapter 2, the Nudged Elastic Band method is a good one for the calculation of a possible reaction path. The results of the NEB calculations will be taken as inputs of a microkinetic model that aims to predict the activity of the catalyst as a function of the reaction conditions ( $p, T$ ).

### 6.5.1 NEB calculations

The reaction path could be divided into three main parts following the adsorption of hydrogen and butadiene : the hydrogen molecule dissociation into two adsorbed hydrogen atoms, the partial hydrogenation of butadiene into butene, and finally the complete hydrogenation of butene into butane. All the calculations were done at the  $\Gamma$  point ( $1 \times 1 \times 1$  k-points grid) only to speed up the investigation.

#### 6.5.1.1 $\text{H}_2$ dissociation

All the stable adsorption sites of hydrogen were considered for the  $\text{H}_2$  dissociation. However, only the protruding Fe atoms allows for the dissociation. Neither the Al glue atoms

nor the subsurface Fe atoms were able to adsorb H<sub>2</sub> and dissociate it. The calculated barrier is low for Al<sub>13</sub>Fe<sub>4</sub>(010) with an activation energy of 0.06 eV (three times lower than Al<sub>13</sub>Co<sub>4</sub>(100), E<sub>a</sub> = 0.18 eV [24]). For this step only, the barrier was also calculated using a 9 × 7 × 1 k-points mesh. The results (0.06 eV) is similar to the one calculated at the  $\Gamma$  point.

### 6.5.1.2 Partial hydrogenation

A possible partial hydrogenation reaction path is presented in Fig. 6.17. After the dissociation of H<sub>2</sub>, one hydrogen atom can react with the first C=C bond that is adsorbed on an Al atom. It was chosen to aim for the formation of  $\pi$ -butene rather than  $\sigma$ -butene, because of their adsorption energies.

The barrier of this first step is quite low (E<sub>a</sub> = 0.53 eV), it is not the limiting step of the partial hydrogenation. In order to complete the hydrogenation of this first bond, the remaining H atom has to be migrate onto an Al glue atom with a migration barrier of 0.75 eV. This higher value suggest that this step is the limiting one. Finally, this hydrogen atom can react with the second carbon of the bond to form butene. This last step has a rather low activation energy of 0.21 eV (not a limiting step).

The newly form butene molecules can either desorb (E<sub>des</sub> = 1.38 eV) or stay adsorbed at the surface for further hydrogenation reactions.

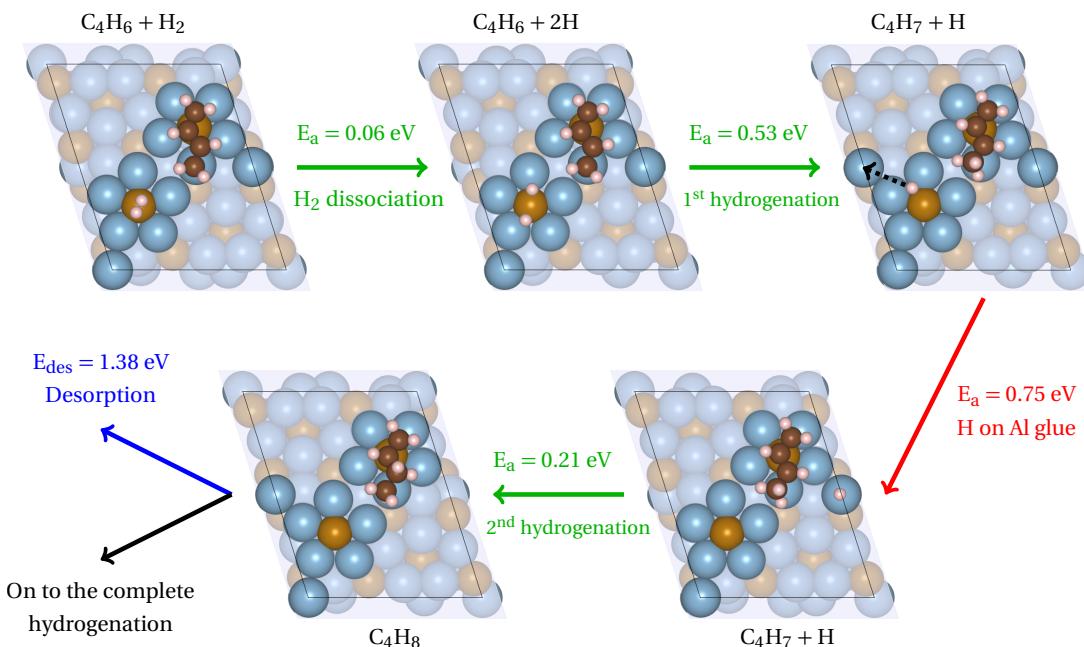


Figure 6.17: A possible reaction pathway on Al<sub>13</sub>Fe<sub>4</sub>(010) for the partial hydrogenation of butadiene into butene.

### 6.5.1.3 Complete hydrogenation

A possible complete hydrogenation reaction path is presented in Fig. 6.18. After the dissociation of  $\text{H}_2$ , one hydrogen atom can react with the last  $\text{C}=\text{C}$  bond that is adsorbed on an Fe atom. To do so, the hydrogen atom has to migrate to an Al glue atom with a migration barrier of 0.78 eV. Only then the third hydrogenation can occur (hydrogenation of the outer carbon atom). This intermediate step has the highest energy barrier of 0.86 eV. This could explain the good selectivity of  $\text{Al}_{13}\text{Fe}_4(010)$  towards the production of butene rather than butane.

Before completing the hydrogenation into butane, the remaining hydrogen atom has also to migrate towards a closer site to the molecule, *i.e.* a subsurface Fe atom, with an energy barrier of 0.86 eV. Finally, the fourth and last hydrogenation forms butane with an activation energy of 0.31 eV.

The newly formed butane molecules can either desorb ( $E_{\text{des}} = 0.42 \text{ eV}$ ) or stay physisorbed at the surface (depending on the pressure and temperature conditions).

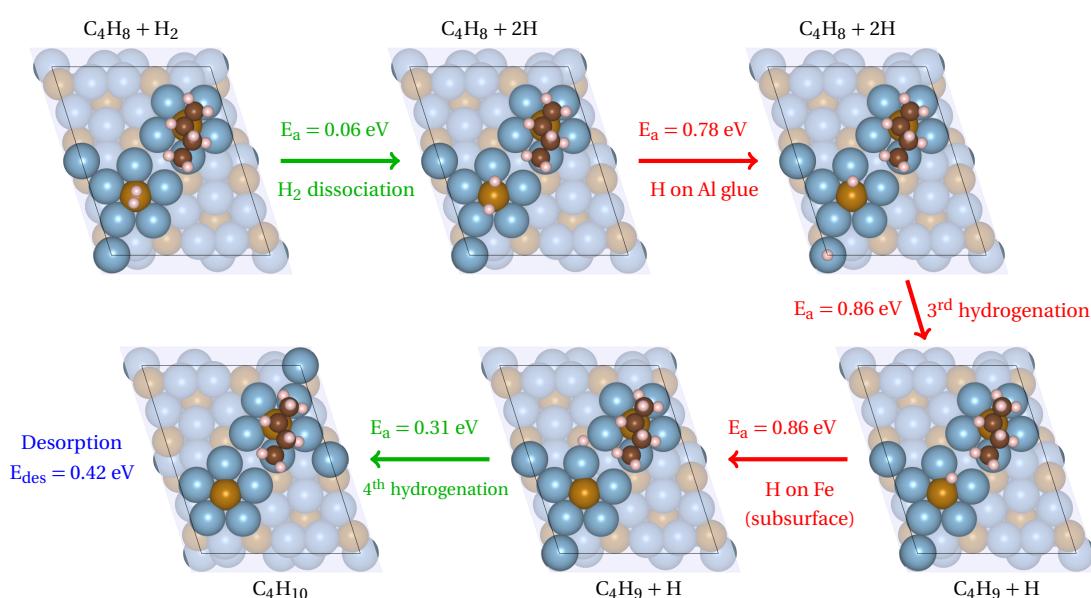


Figure 6.18: A possible reaction pathway on  $\text{Al}_{13}\text{Fe}_4(010)$  for the complete hydrogenation of butene into butane.

### 6.5.1.4 Rate constants calculations

Using the Transition State Theory developed in Chapter 2, one can estimate the rate constants  $k$  for each elementary reaction steps of the reaction process (also detailed in Chapter

## 6.5. Hydrogenation of butadiene : reaction pathways and kinetics

2). The NEB calculations coupled with vibrational calculations provide all the necessary input for the determination of  $k$  (activation energies and vibration frequencies). Table 6.4 presents the different values of the pre-exponential factors and activation energies for each elementary steps at 300K.

Table 6.4: Pre-exponential factors and activation energies of the different elementary steps.

| Elementary step                              | $A_0$ ( $s^{-1}$ ) at 300K | $E_a$ (kJ/mol) |
|--|----------------------------|----------------|
| $H_2^* \rightarrow 2 H^*$                    | $1.7 \times 10^{15}$       | 5.4            |
| $2 H^* \rightarrow H_2^*$                    | $4.8 \times 10^{12}$       | 13.6           |
| $C_4H_6^* + H^* \rightarrow C_4H_7^* + *$    | $1.1 \times 10^9$          | 51.6           |
| $C_4H_7^* + * \rightarrow C_4H_6^* + H^*$    | $3.8 \times 10^{12}$       | 37.5           |
| $C_4H_7^* + H^* \rightarrow C_4H_8^* + *$    | $1.3 \times 10^8$          | 20.7           |
| $C_4H_8^* + * \rightarrow C_4H_7^* + H^*$    | $4.1 \times 10^{11}$       | 0.3            |
| $C_4H_8^* + H^* \rightarrow C_4H_9^* + *$    | $4.8 \times 10^7$          | 83             |
| $C_4H_9^* + * \rightarrow C_4H_8^* + H^*$    | $2 \times 10^{11}$         | 0.1            |
| $C_4H_9^* + H^* \rightarrow C_4H_{10}^* + *$ | $3 \times 10^7$            | 29.9           |
| $C_4H_{10}^* + * \rightarrow C_4H_9^* + H^*$ | $4.2 \times 10^{10}$       | 90.1           |

### 6.5.1.5 Summary of the reaction path

Fig. 6.19 presents a summary of a possible reaction path. It is clear that the partial hydrogenation is easier to do (only one barrier higher than 0.7 eV) than the complete hydrogenation into butane (3 barriers higher than 0.7 eV). This suggests a high selectivity towards the formation of butene as observed experimentally.

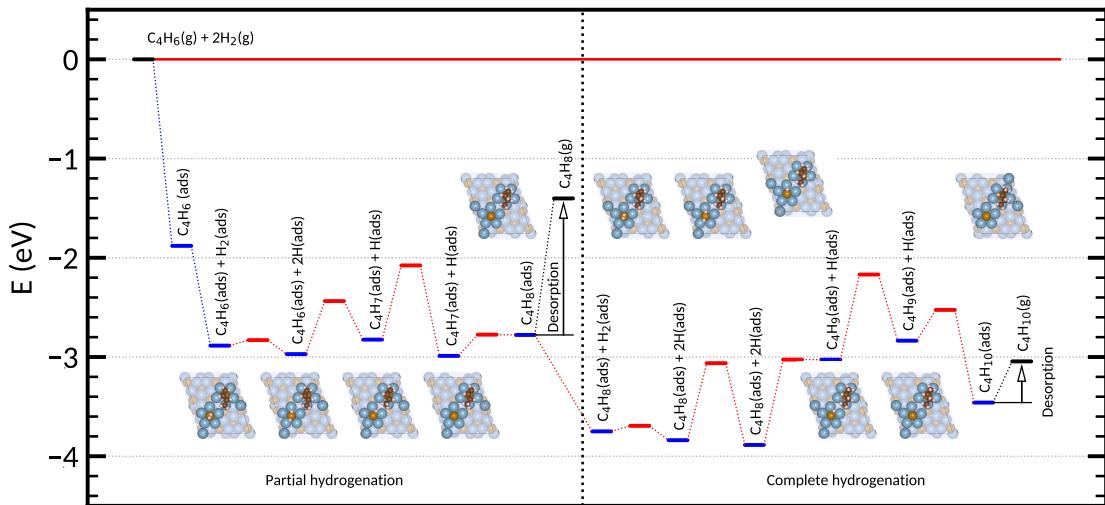


Figure 6.19: Summary of a possible reaction path of butadiene hydrogenation on  $\text{Al}_{13}\text{Fe}_4(010)$ .

### 6.5.2 Microkinetic modelling

The following section presents the results of the microkinetic modelling of the hydrogenation reaction of butadiene on Al<sub>13</sub>Fe<sub>4</sub>(010). The complete physical and mathematical descriptions of the microkinetic model are presented in Chapter 2.

The overall modelling is based on the reaction pathways that was previously determined (mechanism, activation energies, pre-exponential factors values). However, a few hypotheses and adjustments had to be made in order to reach convergence for the resolution of the ordinary differential equations (ODE) system and to fit the experimental measurements. They are detailed as follow :

1. The intermediate steps where hydrogen atoms migrate from one site to another are not considered in the microkinetic modelling. This decision had been made for two main reasons. First, the mathematical implementation of the diffusion mechanism is too demanding for the simple purpose of that model. It is therefore a matter of space and time coupling, bringing out partial differential equations (PDE) that need more advanced tools to get solved. Second, the diffusion of hydrogen atoms is considered to be easier than any other intermediate steps – despite high energy barriers – because of high pre-exponential factor values (higher than 10<sup>12</sup> [182]).
2. The activation energies were determined through the means of NEB calculations using the DFT-D3 functional at the  $\Gamma$  point ( $1 \times 1 \times 1$  k-points grid). In order to reach convergence and fit the experimental data, a multiplicative coefficient of **0.667** was applied to all the energy barriers. This coefficient allows for the adjustment of the temperature in the model (thermodynamic adjustment). This value tends to correct the DFT approximations.
3. The pre-exponential factors were determined thanks to the transition state theory (described in Chapter 2). In order to reach convergence and fit the experimental data, a coefficient of **0.475** was applied to all the factors : in the case of a dissociation (H<sub>2</sub> dissociation or C<sub>4</sub>H<sub>n</sub> losing a H atom), the factor 10<sup>x</sup> becomes the factor 10<sup>x-0.475</sup>; in the case of a recombination or hydrogenation, the factor 10<sup>x</sup> becomes the factor 10<sup>x+0.475</sup>. This coefficient allows for the adjustment of the production rates of the different considered species (kinetic adjustment).

The script of the microkinetic modelling is detailed in the Appendices of the present manuscript. It includes the definition of the different ordinary differential equations that model the hydrogenation reaction as well as the numerical resolution of the aforementioned ODE system.

## 6.5. Hydrogenation of butadiene : reaction pathways and kinetics

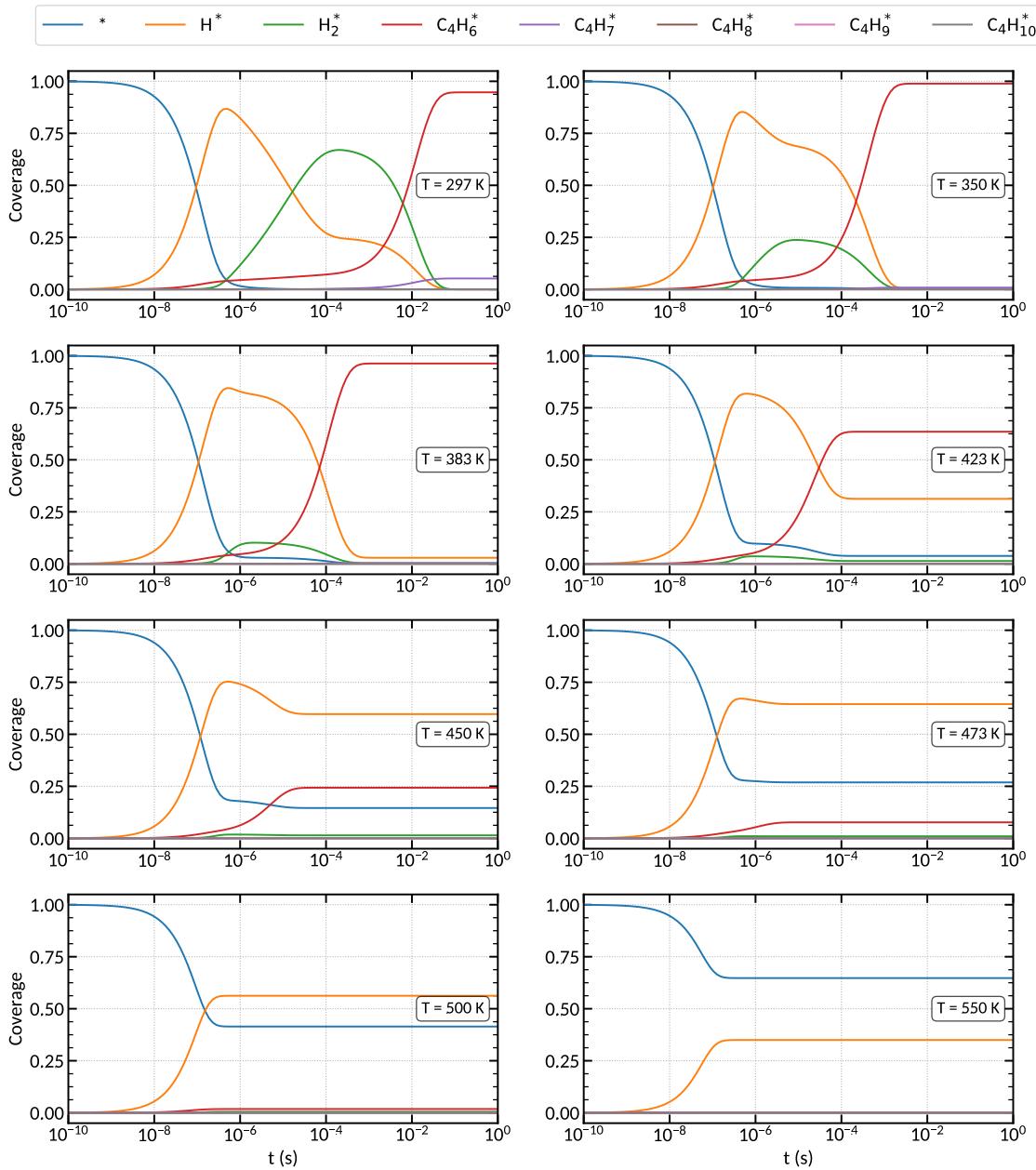


Figure 6.20: Coverage over time of different adsorbed molecules at given temperatures.

Given a set of activation energies, pre-exponential factors, as well as initial conditions (hydrogen and butadiene partial pressures – here is kept the previously studied 10:1 ratio for  $H_2:C_4H_6$  – and reaction temperature), the model predicts the adsorption coverage over time of the different adsorbed species (indicated with a  $\star$  symbol :  $H_2^*$ ,  $H^*$ ,  $C_4H_6^*$ ,  $C_4H_7^*$ ,  $C_4H_8^*$ ,  $C_4H_9^*$ ,  $C_4H_{10}^*$ ). Fig. 6.20 presents the results of the microkinetic modelling for eight different temperatures (297–550 K). In the considered range of temperatures, the system

always converges and reaches a stationary state (no more evolution of the chemical reaction). As expected, one can notice that the higher the temperature, the faster the reaction kinetic (shorter time to reach a stationary state).

Looking at the first few nanoseconds ( $t < 10^{-7}$  s), the main reaction appears to be the dissociation of hydrogen on top of Fe protruding atoms, followed by the low adsorption of butadiene and hydrogen molecules. This first step seems to be independant of the reaction temperature (for the considered temperature range). In the range 297–350K, the stationary coverage of butadiene increases, while all the other species get less adsorbed. In the range 350–473K it is the opposite scenario : the butadiene stationary coverage decreases progressively while the one of hydrogen increases. Above 473K, all the species start to get less and less adsorbed (more and more unoccupied sites).

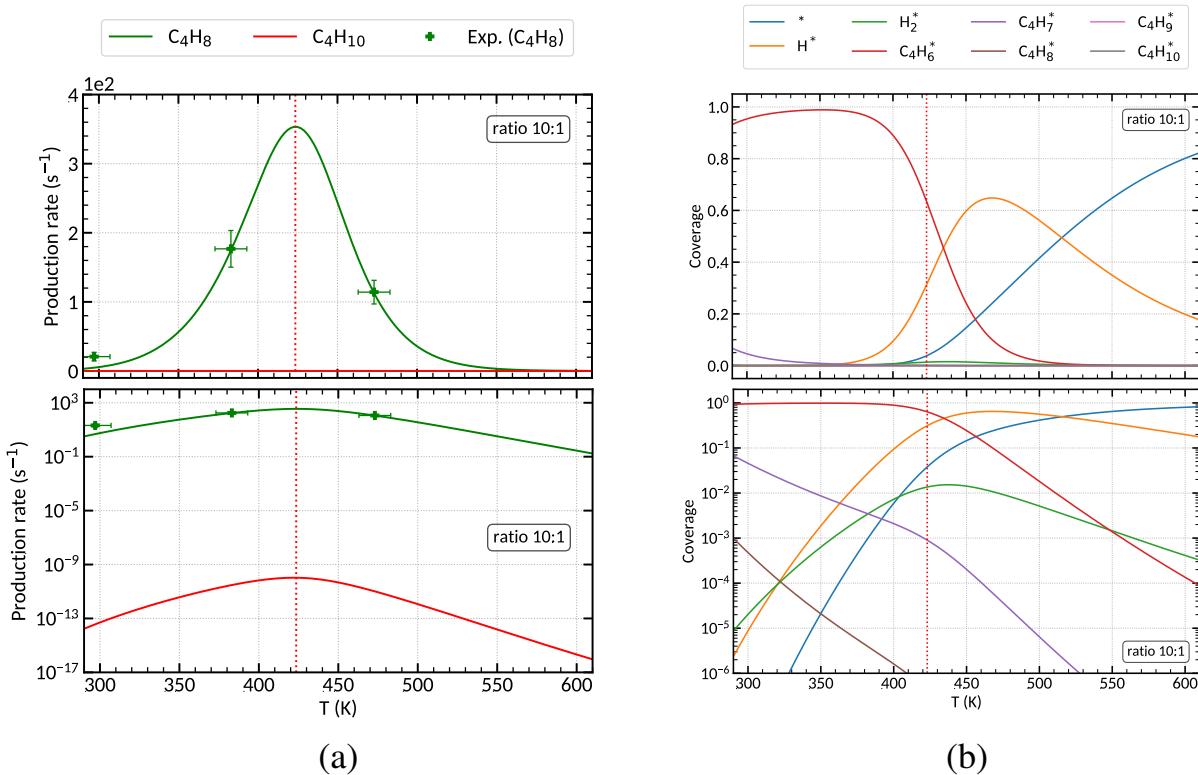


Figure 6.21: (a) Experimental and simulated production rates of butene and butane as a function of temperature. (b) Coverage (stationary state) of the different adsorbed molecules as a function of temperature. The red dotted line indicates the temperature of maximum butene production rate ( $T = 423 \text{ K} \simeq 150^\circ\text{C}$ ).

Knowing all the stationary-state coverages of each adsorbed species, it is possible to determine the production rates of but-1-ene and butane as a function of temperature (see Chapter 2 for more details). The results are presented in Fig. 6.21a. The experimental data

points come from [7]. Although only three data points are available, the simulation shows a rather good agreement with the experiment. A maximum but-1-ene production rate ( $r_{\max} = 353$  molecules/site/s) is calculated for  $T = 423$  K. The butane production is insignificant (below  $10^{-7}$  molecules/site/s) compared to the one of but-1-ene. This result is in agreement with the high selectivity towards butenes that has been experimentally observed. This is due to the fact that butene cannot stay adsorbed and be further hydrogenated into  $C_4H_9^*$ .

Fig. 6.21b shows the stationary-state coverages of the different adsorbed molecules as a function of temperature. At low temperatures (below 350 K), the two main adsorbed species are  $C_4H_6^*$  and  $C_4H_7^*$ . This explains the rather low production rate of butene on this temperature range : not enough hydrogen atoms can react with  $C_4H_7^*$  to produce  $C_4H_8$ . In the range 350–423 K,  $C_4H_6^*$  and  $H^*$  are the two dominant adsorbates and a increase of the production rate of butene is observed.

At 423 K (maximum production rate of butene), the  $H^*:C_4H_6^*$  ratio is 0.31:0.62. This means that a maximum production rate of butene is reached out only when there are twice as many adsorbed butadiene molecules than hydrogen atoms at the surface. Based on the NEB calculations, the most difficult step of the partial hydrogenation is the first hydrogenation of  $C_4H_6^*$  into  $C_4H_7^*$ . Therefore, this particular 1:2 ratio might be favorable because it would statistically allow to maximize the production of this intermediate state  $C_4H_7$ .

In the range 423–520 K, only the coverages of hydrogen atoms and hydrogen molecules increase. Above 520 K, all the adsorbates start to desorb giving rise to a progressively insignificant butene production rate.

Additional experimental measurements (different pressure ratios and reaction temperatures) could improve the simulation tunings and the model implementation.

## 6.6 Conclusion

The study presented in this Chapter sums up the entire approach that was undertaken in this research project : going from UHV surface characterization and *ab initio* calculations to catalytic performances and reaction kinetic simulations.

Surface X-ray diffraction analysis confirms the surface structure that was previously reported, *i.e.* an incomplete puckered layer built from the preservation of the clusters building blocks. The presence of Al glue atoms that connect the clusters together is still controversial and certainly dependant on the annealing temperature and sputtering conditions. SXRD measurements also bring new light on a possible epitaxial relationship be-

tween  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$ . This result could be of interest for surface coating applications.

Adsorption calculations allow for the mapping of the hydrogen potential energy landscape as well as for the evaluation of stable butadiene and butene adsorption sites. The hydrogenation reaction of butadiene into butene is calculated to be thermodynamically feasible but no clear selectivity towards the semi-hydrogenation reaction can be deduced from this basic modelling.

All the previous results provide enough insights in order to build a microkinetic modelling of the hydrogenation of butadiene on  $\text{Al}_{13}\text{Fe}_4(010)$ . A good agreement is found between the simulated and measured butene production rate as a function of the temperature. For a 10:1 ratio (5 Torr of  $\text{H}_2$ , 0.5 Torr of butadiene), a maximum production rate occurs at 423 K. The model predicts a 100% selectivity towards butene. This result is also in agreement with the catalytic performances of  $\text{Al}_{13}\text{Fe}_4(010)$ .

Further theoretical (change of functional, increased precision of k-points grids, more accurate vibration calculations) and experimental (different pressure ratios and reaction temperatures) investigations are needed to finely tune the microkinetic modelling of the hydrogenation of butadiene on  $\text{Al}_{13}\text{Fe}_4(010)$ .

# Conclusions and Future Works

## Conclusions

Through the different analyses and results presented in this manuscript, it is shown that the experimental-theoretical coupling (STM, SXRD, catalytic measurements and DFT calculations) is a powerful tool to get the full picture, from the surface atomic structure to the catalytic performances, vis-a-vis the butadiene hydrogenation reaction. Only then one can get a detailed picture of the surface structures of Al-based complex intermetallics, where are the active sites, what are the possible reaction conditions and mechanisms, and finally what are the reaction kinetics.

The surface structure and adsorption properties of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  have been investigated in Chapter 3. This compound presents catalytic properties, attributed to protruding Co atoms at the surface. The analysis leads to the conclusion that surface Co atoms are well isolated from each other at the surface, the distance between two closest Co atoms being 7.6 Å. The consideration of atomic hydrogen and butadiene co-adsorption, in agreement with the Langmuir-Hinshelwood mechanism, as well as the influence of operating conditions ( $p, T$ ), weaken the adsorption energies of butadiene and butene. Both chemisorbed and physisorbed butene molecules are adsorbed at reaction conditions, but less strongly than butadiene. The hydrogenation leading to physisorption of butene seems more realistic when comparing to experimental results. The study clearly show that although  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  present similar surface structures under UHV, with the presence of well isolated transition metal atoms protruding at the surface, and show analogous adsorption properties, their catalytic performances are rather different. Further theoretical and experimental investigations, including the determination of the surface structure under reaction condition, and of a possible reaction path, have to be conducted to fully get the catalytic properties of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ .

## *Conclusions and Future Works*

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In Chapter 4, the catalytic behavior of the  $\text{Al}_{13}\text{Co}_4(100)$  surface towards butadiene partial hydrogenation was rationalized. On the basis of surface phase diagrams determined through DFT calculations, it is showed that the  $(p, T, \mu_{\text{Al}})$  conditions where the  $\text{Al}_{13}\text{Co}_4(100)$  dense Al-rich flat termination is the most stable narrow as the pressure increases and the temperature decreases. The dense Al-rich flat termination of  $\text{Al}_{13}\text{Co}_4(100)$  is unlikely under hydrogenation conditions, and the adsorption of butadiene inhibits any further adsorption of hydrogen at the surface, which prevents butadiene hydrogenation. According to atomistic thermodynamics, the reaction conditions promote a nanostructured surface termination, in the form of highly cohesive clusters emerging from the bulk lattice. The comparison of butadiene and butene adsorption modes and energies under thermodynamic conditions give insights of the catalytic behavior of  $\text{Al}_{13}\text{Co}_4(100)$ . According to the calculations, butene may not desorb when chemisorbed through a  $\pi$  bonding involving a Co atom protruding at the surface. A better agreement with the experimental observation is obtained with the hydrogenation of the butadiene  $\text{C}=\text{C}$  fragment adsorbed on the protruding Co atom. The calculations thus suggest the hydrogenation of the  $\pi$ -bonded  $\text{C}=\text{C}$  fragment on the protruding Co atom first. Reaction conditions are also expected to influence the kinetics, for which further investigation is required. Experimental verification of the predicted nanostructuring requires *in situ/operando* characterization (e.g. surface X-ray diffraction) to identify the actual working surface under gas pressure conditions.

The surface structure of the pseudo 2-fold surface of  $\text{Al}_{13}\text{Co}_4$  was investigated in Chapter 5. Based on a combination of experimental (LEED, AFM, STM, SXRD) and theoretical (DFT calculations) results, *o*- $\text{Al}_{13}\text{Co}_4(010)$  was shown to present a highly faceted surface structure similar to its quasicrystal counterpart *d*- $\text{AlNiCo}$  2-fold surface. The surface model consists of a coexistence of both flat terraces (orthorhombic structure) made of triangular Al motifs, and facets (*m*- $\text{Al}_{13}\text{Co}_4(\bar{2}01)$ ) with a fairly denser and Co-enriched atomic surface structure. Facets substantially stabilize the pseudo-2-fold surface orientation. Their surface energies are indeed calculated to be much lower than the flat terraces. The hydrogen adsorption investigation shows a wide diversity of favorable atomic hydrogen adsorption sites, some of them being quite strongly bound to the surface ( $E_{\text{ads}} \approx -0.5$  eV). This promotes the dissociation of  $\text{H}_2$ , whereas others interact more weakly with the surface and are possibly more active for hydrogenation steps. Further experimental and theoretical works, under catalytic conditions, are therefore needed to fully understand the mechanism behind the catalytic properties of *o*- $\text{Al}_{13}\text{Co}_4(010)$ .

In Chapter 6, surface X-ray diffraction analysis confirmed the surface structure that was

---

previously reported, *i.e.* an incomplete puckered layer built from the preservation of the clusters building blocks. The presence of Al glue atoms that connect the clusters together is still controversial and certainly dependant on the annealing temperature and sputtering conditions. SXRD measurements also bring new light on a possible epitaxial relationship between  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$ . This result could be of interest for surface coating applications. On a theoretical point of view, the hydrogenation reaction of butadiene into butene is calculated to be thermodynamically feasible but no clear selectivity towards the semi-hydrogenation reaction can be deduced from this basic modelling. All the previous results provide enough insights in order to build a microkinetic modelling of the hydrogenation of butadiene. A good agreement is found between the simulated and measured butene production rate as a function of the temperature. For a 10:1 ratio (5 Torr of  $\text{H}_2$ , 0.5 Torr of butadiene), a maximum production rate occurs at 423 K. The model predicts a 100% selectivity towards butene which is in agreement with the catalytic performances of  $\text{Al}_{13}\text{Fe}_4(010)$ . Further theoretical (change of functional, increased precision of k-points grids) and experimental (different pressure ratios and reaction temperatures) investigations are needed to finely tune the microkinetic modelling of the hydrogenation of butadiene on  $\text{Al}_{13}\text{Fe}_4(010)$ .

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Several surfaces of Al-based approximants to decagonal quasicrystals have been identified as possible catalysts for butadiene hydrogenation. It is the case for  $\text{Al}_{13}\text{Co}_4(100)$ ,  $\text{Al}_{13}\text{Co}_4(010)$ ,  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  as developed previously. While these surfaces present structural similarities (apart from  $\text{Al}_{13}\text{Co}_4(010)$ ) – pentagonal arrangement of Al atoms embedding a transition metal atom – their adsorption properties and catalytic performances are quite different. The adsorption energies of butadiene and butene increase when considering successively the  $\text{Al}_{13}\text{Co}_4(100)$ ,  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  surfaces, with values ranging from  $-1.59$  eV,  $-1.80$  eV to  $-1.92$  eV for butadiene and from  $-1.13$  eV,  $-1.38$  eV to  $-1.52$  eV for butene. Hydrogen adsorption energies are similar on  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  – they are  $-0.41$  eV and  $-0.39$  eV, respectively – and slightly differ from the ones and  $\text{Al}_{13}\text{Co}_4(100)$  ( $-0.24$  eV). Focusing on catalytic performances,  $\text{Al}_5\text{Co}_2(2\bar{1}0)$ , like  $\text{Al}_{13}\text{Co}_4(100)$ , presents a lower activity at 383 K than  $\text{Al}_{13}\text{Fe}_4(010)$ , which is very active even at room temperature. Nevertheless,  $\text{Al}_{13}\text{Co}_4(010)$  remains the most active one at 383 K.

Adsorption energies are a key element of any theoretical search for new catalyst materials, the Sabatier principle setting a correlation between the catalytic activity and the catalyst/molecular interactions. Here it was demonstrated that although they are similarities between the  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  surface structures investigated under

## *Conclusions and Future Works*

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ultra-high vacuum and between their corresponding adsorption properties calculated using DFT, their catalytic performances are rather different. This different catalytic behaviour may come from kinetic factors, or from the emergence of a different surface structure under reaction conditions.

In the world of catalysis, conventional catalysts tend to follow a thermochemical linear scaling, the Brønsted-Evans-Polanyi relationship (linear correlation between the activation energy and the adsorption energy). It is the case for catalytic metals as well as alloys. However, it has recently been shown that single-atom alloys (highly dilute bimetallic alloys) have the ability to deviate from that linear scaling while exhibiting excellent catalytic properties [183, 184]. The Al-based intermetallics that were studied in this work seem to also break this conventional linear scaling (based on the calculated adsorption energies of both butadiene and butene, and their catalytic activities). Nevertheless, just a few of these complex intermetallics were investigated. This class of materials deserves then to be more in depth studied.

## **Perspectives**

In this work, the surface structures (under ultra-high vacuum and reaction conditions) and the reaction properties of Al-based intermetallics were determined. New insights were then provided on the surface structures of such compounds as well as on their catalytic (adsorption and reactivity) properties. This work is far to be entirely completed, but it was brought a step further.

A few perspectives can be considered in order to improve the systematic study of Al-based complex intermetallics as potential catalysts for hydrogenation reactions. Considering the Al–Fe system for example, a few surface orientations of  $\text{Al}_5\text{Fe}_2$  (that have yet to be studied) could be of interest. The (001) and (100) surfaces might present interesting active and selective sites. The (02 $\bar{1}$ ) surface might also present interesting features – close to the one of  $\text{Al}_5\text{Co}_2(2\bar{1}0)$  – and catalytic properties. On the other hand, one has to keep in mind that the Al-based intermetallics have one drawback that could be a problem under industrial conditions : they have a propensity to deactivate because of oxygen contamination [9], which is the main issue for practical use of non-noble metal catalysts. Others materials could be less sensitive to oxygen contamination such as Ga-based intermetallics. Replacing aluminium by its gallium counterpart may be – though expensive – a solution. Nevertheless, changing the materials is one thing. Another one would be to adapt the synthesis of the materials and go from centimeter-sized single-crystal to thin films [29] or even nanoparticles of complex intermetallics. The latter could benefit from the recent advances

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of *in situ* and *operando* imaging using for example coherent x-ray diffraction imaging [30].

On a more theoretical side, thermodynamic and kinetic models could be improved by a systematic investigation of the impact of different functionals and *ab initio* parameters such as the discretization of the reciprocal space (k-points mesh). This approach could help to determine what kind of input parameters are the most suited to model particular chemical reactions. Moreover, the coupling between DFT calculations and SXRD measurements is the foundation of the work that was presented in this thesis. The surface models that are determined thanks to this powerful combination are further used to predict the thermodynamic feasibility and the kinetic of chemical reactions. The scientific community would greatly benefit from a computational tool that can couple SXRD data refinement and DFT structural relaxation. Such tool already exists for the refinement of bulk structures. This kind of tools uses for example machine learning to predict reaction barriers and first-principles-assisted genetic algorithms to automatically determine crystal structures from powder diffraction data [27, 28]. The transfer to the surface science field is just a step further.

*Conclusions and Future Works*

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# **Appendix A**

# **Publications**

The publications and communications that are associated with the present thesis can be found (among others) on the following *Google Scholar* account (regularly updated) :

[https://scholar.google.fr/citations?hl=en&user=JMdqN-sAAAAJ&view\\_op=list\\_works&sortby=pubdate](https://scholar.google.fr/citations?hl=en&user=JMdqN-sAAAAJ&view_op=list_works&sortby=pubdate)

*Appendix A. Publications*

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# Appendix B

## Crystallographic data

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The crystallographic data (equivalent positions and Wyckoff numbers) are presented in the following tables.

## B.1 Al<sub>5</sub>Co<sub>2</sub>

| Al <sub>5</sub> Co <sub>2</sub> (Space group <i>P6<sub>3</sub>/mmc</i> , group number 194) [11] |         |         |                |      |       |
|---|---------|---------|----------------|------|-------|
| Lattice parameters  |         |         |                |      |       |
| a (Å)   | b(Å)    | c(Å)    | alpha          | beta | gamma |
| 7.656   | 7.656   | 7.539   | 90             | 90   | 120   |
| x   | y       | z       | Wyckoff number |      |       |
| Al1   | 0.00000 | 0.00000 | 0.00000        |      | 2a    |
| Al2   | 0.93400 | 0.46700 | 0.75000        |      | 6h    |
| Al3   | 0.39200 | 0.19600 | 0.06100        |      | 12k   |
| Co1   | 0.66667 | 0.33333 | 0.25000        |      | 2d    |
| Co2   | 0.25600 | 0.12800 | 0.75000        |      | 6h    |

## B.2 Al<sub>5</sub>Fe<sub>2</sub>

| Al <sub>5</sub> Fe <sub>2</sub> (Space group <i>Cmcm</i> , group number 63) [82] |        |        |                |      |       |
|--|--------|--------|----------------|------|-------|
| Lattice parameters   |        |        |                |      |       |
| a (Å)  | b(Å)   | c(Å)   | alpha          | beta | gamma |
| 7.6559   | 6.4154 | 4.2184 | 90             | 90   | 90    |
| x  | y      | z      | Wyckoff number |      |       |
| Al1  | 0.1880 | 0.1467 | 0.2500         |      | 8g    |
| Al2  | 0.0000 | 0.5000 | 0.0000         |      | 4b    |
| Al3  | 0.5000 | 0.0340 | 0.8300         |      | 8f    |
| Fe   | 0.0000 | 0.8277 | 0.2500         |      | 4c    |

### B.3 *o-Al<sub>13</sub>Co<sub>4</sub>*

The Al atoms that are present in the center of the Henley clusters are **Al16** and **Al17** for *o-Al<sub>13</sub>Co<sub>4</sub>*.

| <i>o-Al<sub>13</sub>Co<sub>4</sub></i> (Space group <i>Pmn2</i> <sub>1</sub> , group number 31) [20] |                |                |                |      |       |                |
|--|----------------|----------------|----------------|------|-------|----------------|
| Lattice parameters   |                |                |                |      |       |                |
| a (Å)  | b (Å)          | c (Å)          | alpha          | beta | gamma |                |
| 8.15800  | 12.34200       | 14.45200       | 90             | 90   | 90    |                |
|  | x              | y              | z              |      |       | Wyckoff number |
| Al25   | 0.22440        | 0.29460        | 0.73520        |      |       | 4b             |
| Co4  | 0.00000        | 0.77200        | 0.31670        |      |       | 2a             |
| <b>Al16</b>  | <b>0.00000</b> | <b>0.10470</b> | <b>0.23800</b> |      |       | <b>2a</b>      |
| Al14   | 0.00000        | 0.59860        | 0.21490        |      |       | 2a             |
| <b>Al17</b>  | <b>0.00000</b> | <b>0.41790</b> | <b>0.58810</b> |      |       | <b>2a</b>      |
| Al6  | 0.00000        | 0.81130        | 0.15640        |      |       | 2a             |
| Co9  | 0.22570        | 0.90990        | 0.73460        |      |       | 4b             |
| Al22   | 0.22510        | 0.98100        | 0.09350        |      |       | 4b             |
| Al4  | 0.00000        | 0.90850        | 0.44310        |      |       | 2a             |
| Al24   | 0.22590        | 0.58850        | 0.54220        |      |       | 4b             |
| Al19   | 0.21380        | 0.90200        | 0.28310        |      |       | 4b             |
| Co3  | 0.00000        | 0.19770        | 0.82500        |      |       | 2a             |
| Al7  | 0.00000        | 0.80920        | 0.83600        |      |       | 2a             |
| Al3  | 0.00000        | 0.90530        | 0.62260        |      |       | 2a             |
| Co2  | 0.00000        | 0.09010        | 0.51140        |      |       | 2a             |
| Co1  | 0.00000        | 0.89780        | 0.00000        |      |       | 2a             |
| Al18   | 0.21350        | 0.21380        | 0.09960        |      |       | 4b             |
| Co5  | 0.00000        | 0.59860        | 0.82480        |      |       | 2a             |
| Al15   | 0.00000        | 0.71110        | 0.96680        |      |       | 2a             |
| Al9  | 0.00000        | 0.40700        | 0.85200        |      |       | 2a             |
| Co7  | 0.00000        | 0.73090        | 0.51800        |      |       | 2a             |
| Al26   | 0.22910        | 0.42200        | 0.42520        |      |       | 4b             |
| Al21   | 0.25340        | 0.25500        | 0.91720        |      |       | 4b             |
| Al13   | 0.00000        | 0.52710        | 0.00540        |      |       | 2a             |
| Al10   | 0.00000        | 0.40100        | 0.14910        |      |       | 2a             |
| Al20   | 0.24010        | 0.08290        | 0.40880        |      |       | 4b             |
| Al1  | 0.00000        | 0.99450        | 0.81510        |      |       | 2a             |
| Al2  | 0.00000        | 0.09140        | 0.95690        |      |       | 2a             |
| Al5  | 0.00000        | 0.13940        | 0.67280        |      |       | 2a             |
| Co8  | 0.00000        | 0.28770        | 0.00760        |      |       | 2a             |
| Al11   | 0.00000        | 0.68370        | 0.67490        |      |       | 2a             |
| Al27   | 0.21330        | 0.51740        | 0.73380        |      |       | 4b             |
| Al12   | 0.00000        | 0.59000        | 0.39600        |      |       | 2a             |
| Co6  | 0.00000        | 0.41190        | 0.31370        |      |       | 2a             |
| Al28   | 0.23190        | 0.27630        | 0.28490        |      |       | 4b             |
| Co10   | 0.21810        | 0.59690        | 0.09840        |      |       | 4b             |
| Al8  | 0.00000        | 0.25200        | 0.41400        |      |       | 2a             |
| Al23   | 0.22140        | 0.22290        | 0.55000        |      |       | 4b             |

## B.4 $m\text{-Al}_{13}\text{Co}_4$

The Al atoms that are present in the center of the Henley clusters are **Al15** for  $m\text{-Al}_{13}\text{Co}_4$ .

| $m\text{-Al}_{13}\text{Co}_4$ (Space group $C2/m$ , group number 12) [16] |                    |                |                |       |                |           |
|---|--------------------|----------------|----------------|-------|----------------|-----------|
|   | Lattice parameters |                |                |       |                |           |
|   | a (Å)              | b (Å)          | c (Å)          | alpha | beta           |           |
|   | 15.18300           | 8.12200        | 12.34000       | 90    | 107.9          |           |
|   |                    |                |                |       | gamma          |           |
|   |                    | x              | y              | z     | Wyckoff number |           |
| Al11  | 0.13671            | 0.21951        | 0.52149        |       |                | 8j        |
| Al10  | 0.17829            | 0.27988        | 0.33435        |       |                | 8j        |
| Al7   | 0.18555            | 0.28240        | 0.11092        |       |                | 8j        |
| Co5   | 0.31973            | 0.20658        | 0.27779        |       |                | 8j        |
| Al13  | 0.36793            | 0.28805        | 0.10985        |       |                | 8j        |
| Al14  | 0.49182            | 0.26717        | 0.32987        |       |                | 8j        |
| Al4   | 0.02071            | 0.00000        | 0.17091        |       |                | 4i        |
| Co4   | 0.09742            | 0.00000        | 0.01426        |       |                | 4i        |
| Co2   | 0.09808            | 0.00000        | 0.37654        |       |                | 4i        |
| <b>Al15</b>   | <b>0.17695</b>     | <b>0.00000</b> | <b>0.71842</b> |       |                | <b>4i</b> |
| Al12  | 0.19447            | 0.00000        | 0.22725        |       |                | 4i        |
| Al3   | 0.25909            | 0.00000        | 0.03874        |       |                | 4i        |
| Al5   | 0.26256            | 0.00000        | 0.46521        |       |                | 4i        |
| Co3   | 0.40945            | 0.00000        | 0.01148        |       |                | 4i        |
| Al6   | 0.41360            | 0.00000        | 0.21180        |       |                | 4i        |
| Al2   | 0.42642            | 0.00000        | 0.41972        |       |                | 4i        |
| Al1   | 0.56605            | 0.00000        | 0.17393        |       |                | 4i        |
| Co1   | 0.58547            | 0.00000        | 0.38244        |       |                | 4i        |
| Al9   | 0.00000            | 0.24950        | 0.00000        |       |                | 4g        |
| Al8   | 0.00000            | 0.00000        | 0.50000        |       |                | 2c        |

**B.5 *m-Al<sub>13</sub>Fe<sub>4</sub>***

| <i>m-Al<sub>13</sub>Fe<sub>4</sub></i> (Space group <i>C2/m</i> , group number 12) [91] |         |         |         |                |       |
|---|---------|---------|---------|----------------|-------|
| Lattice parameters  |         |         |         |                |       |
| a (Å)   | b (Å)   | c (Å)   | alpha   | beta           | gamma |
| 15.492  | 8.078   | 12.471  | 90      | 107.69         | 90    |
|   | x       | y       | z       | Wyckoff number |       |
| Al11  | 0.13671 | 0.21951 | 0.52149 | 8j             |       |
| Al10  | 0.17829 | 0.27988 | 0.33435 | 8j             |       |
| Al7   | 0.18555 | 0.28240 | 0.11092 | 8j             |       |
| Fe5   | 0.31973 | 0.20658 | 0.27779 | 8j             |       |
| Al13  | 0.36793 | 0.28805 | 0.10985 | 8j             |       |
| Al14  | 0.49182 | 0.26717 | 0.32987 | 8j             |       |
| Al4   | 0.02071 | 0.00000 | 0.17091 | 4i             |       |
| Fe4   | 0.09742 | 0.00000 | 0.01426 | 4i             |       |
| Fe2   | 0.09808 | 0.00000 | 0.37654 | 4i             |       |
| Al15  | 0.17695 | 0.00000 | 0.71842 | 4i             |       |
| Al12  | 0.19447 | 0.00000 | 0.22725 | 4i             |       |
| Al3   | 0.25909 | 0.00000 | 0.03874 | 4i             |       |
| Al5   | 0.26256 | 0.00000 | 0.46521 | 4i             |       |
| Fe3   | 0.40945 | 0.00000 | 0.01148 | 4i             |       |
| Al6   | 0.41360 | 0.00000 | 0.21180 | 4i             |       |
| Al2   | 0.42642 | 0.00000 | 0.41972 | 4i             |       |
| Al1   | 0.56605 | 0.00000 | 0.17393 | 4i             |       |
| Fe1   | 0.58547 | 0.00000 | 0.38244 | 4i             |       |
| Al9   | 0.00000 | 0.24950 | 0.00000 | 4g             |       |
| Al8   | 0.00000 | 0.00000 | 0.50000 | 2c             |       |

*Appendix B. Crystallographic data*

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# Appendix C

## Python scripts

### Contents

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### C.1 Thermodynamic modelling

```
1 #!/usr/bin/env python3
2 # -*- coding: utf-8 -*-
3 """
4 @author: Corentin Chatelier, PhD Student, IJL-SOLEIL-CNRS
5 Thermodynamic modelling
6 """
7 import numpy as np
8
9 def Gads(T, logPsurP0, Etot, Eslab, EH2, Emol, nH2, molecule):
10    GadsTP = Etot - Eslab - nH2*EH2 - Emol
11
12    mH = 1.6737210e-27; # kg
13    kB = 1.38064852e-23; # m2 kg s-2 K-1
14    h = 6.62607e-34; # m2 kg s-1
15    z_trans_star_H2 = ((2.0*np.pi*2.0*mH*kB*T)/(h**2))**((3.0/2.0));
16
17    P0 = 1.0e5; #
18    sigma_r = 2.0;
19    R = 0.739e-10;
20    I = mH*R**2.0/2.0;
21    z_rot_H2 = (1.0/sigma_r)*(8.0*(np.pi**2)*I*kB*T)/(h**2);
22
23    GadsTP += nH2*kB*T*np.log(z_trans_star_H2*z_rot_H2)/(1.60218e-19)
24
25    GadsTP += -kB*T*nH2*(logPsurP0 + np.log(P0/(kB*T)))/(1.60218e-19)
26
27    if molecule == 'butadiene':
```

## Appendix C. Python scripts

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```
29     amu = 1.660539e-27
30     Ia = amu*12.12444e-20 # en kg m2
31     Ib = amu*113.99086e-20
32     Ic = amu*126.09124e-20
33     sigma_butadiene = 2.0 # C2h
34     nC4H6 = 1
35     mC4H6 = 4*12*amu + 6*mH
36
37     z_trans_star_butadiene = ((2.0*np.pi*mC4H6*kB*T)/(h**2))**(3.0/2.0);
38
39     z_rot_butadiene = np.pi**((1.0/2.0)/sigma_butadiene*T**((3.0/2.0)
40     *8**((3.0/2.0)*np.pi**3*kB**((3.0/2.0)*(Ia*Ib*Ic)**(1.0/2.0)/h**3
41
42     GadsTP += nC4H6*kB*T*np.log(z_trans_star_butadiene*z_rot_butadiene)
43     /(1.60218e-19)
44
45     GadsTP += -kB*T*nC4H6*(logPsurPO - 0 + np.log(P0/(kB*T)))
46     /(1.60218e-19)
47
48 if molecule == 'butene':
49     amu = 1.660539e-27
50     Ia = amu*33.3615745178189e-20
51     Ib = amu*92.8651038861518e-20
52     Ic = amu*119.995841513786e-20
53     sigma_butene = 1.0
54     nC4H8 = 1
55     mC4H8 = 4*12*amu + 8*mH
56
57     z_trans_star_butene = ((2.0*np.pi*mC4H8*kB*T)/(h**2))**(3.0/2.0);
58
59     z_rot_butene = np.pi**((1.0/2.0)/sigma_butene*T**((3.0/2.0)
60     *8**((3.0/2.0)*np.pi**3*kB**((3.0/2.0)*(Ia*Ib*Ic)**(1.0/2.0)/h**3
61
62     GadsTP += nC4H8*kB*T*np.log(z_trans_star_butene*z_rot_butene)
63     /(1.60218e-19)
64
65     GadsTP += -kB*T*nC4H8*(logPsurPO - 0 + np.log(P0/(kB*T)))
66     /(1.60218e-19)
67
68 return GadsTP
```

## C.2 Pre-exponential factors

```
1 #!/usr/bin/env python3
2 # -*- coding: utf-8 -*-
3 """
4 @author: Corentin Chatelier, PhD Student, IJL-SOLEIL-CNRS
5 Preexponential factor calculations
6 """
7 import numpy as np
8
9 # lecture frequences de vibration
10
11 dataraw = open('freq_vibrations','r')
12 dataraw.readline() #ligne de commentaire
13 rawdata = dataraw.readlines()
14
15 c1 = 0 #compteur d'etapes
16 for i in range(len(rawdata)):
17     rawdata[i] = rawdata[i].split(' ')
18     if '=' in rawdata[i]:
19         rawdata[i].remove('=')
20     while '' in rawdata[i]:
21         rawdata[i].remove('')
```

```

23     if rawdata[i][0] == '#':
24         c1 += 1
25
26     if rawdata[i][0] != '#':
27         rawdata[i] = float(rawdata[i][2]) # THz
28
29 steps = dict()
30 for i in range(1,c1+1):
31     steps[str(i)] = []
32
33 c2 = 0
34 for i in range(len(rawdata)):
35     if type(rawdata[i]) != float:
36         c2 += 1
37         j = 1
38         while type(rawdata[i+j]) == float:
39             steps[str(c2)].append(rawdata[i+j])
40             j += 1
41         if i+j == len(rawdata):
42             break
43
44 # Calcul du preexp factor
45
46 def preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM):
47     # volume boite pour une molecule
48     a = 12.32537e-10
49     b = 15.29928e-10
50     c = 36.88369*0.78e-10
51     alpha = np.deg2rad(90.0000)
52     beta = np.deg2rad(90.0000)
53     gamma = np.deg2rad(107.7025)
54     V = a*b*c*np.sqrt(1-np.cos(alpha)**2-np.cos(beta)**2-np.cos(gamma)**2
55                             +2*np.cos(alpha)*np.cos(beta)*np.cos(gamma)) # m3
56
57     # constantes
58     amu = 1.660539e-27 # kg
59     kB = 1.38064852e-23; # m2 kg s-2 K-1
60     h = 6.62607e-34; # m2 kg s-1
61     hbar = h/(2*np.pi)
62
63     # H
64     mH = 1.6737210e-27; # kg
65
66     r = 53e-12
67     I_H = 2*mH*r**2/3
68     sigma_H = 3.0;
69
70     R = 0.739e-10;
71     I_H2 = 2*mH*R**2.0/2.0;
72     sigma_H2 = 2.0;
73
74     mC4H6 = 4*12*amu + 6*mH
75     Ia_C4H6 = amu*12.12444e-20 # en kg m2
76     Ib_C4H6 = amu*113.99086e-20
77     Ic_C4H6 = amu*126.09124e-20
78     sigma_C4H6 = 2.0
79
80     mC4H7 = 4*12*amu + 7*mH
81     Ia_C4H7 = amu*13.7951139000101e-20 # en kg m2
82     Ib_C4H7 = amu*123.048388217608e-20
83     Ic_C4H7 = amu*133.790707823907e-20
84     sigma_C4H7 = 1.0 # C2h
85
86     mC4H8 = 4*12*amu + 8*mH
87     Ia_C4H8 = amu*33.3615745178189e-20 # en kg m2
88     Ib_C4H8 = amu*92.8651038861518e-20
89     Ic_C4H8 = amu*119.995841513786e-20
90     sigma_C4H8 = 1.0 # C2h

```

## Appendix C. Python scripts

---

```

91     mC4H9 = 4*12*amu + 9*mH
92     Ia_C4H9 = amu*18.835339872416e-20 # en kg m2
93     Ib_C4H9 = amu*133.790707823907e-20
94     Ic_C4H9 = amu*144.082300733439e-20
95     sigma_C4H9 = 1.0 # C2h
96
97     mC4H10 = 4*12*amu + 10*mH
98     Ia_C4H10 = amu*22.2103151328226e-20 # en kg m2
99     Ib_C4H10 = amu*134.861033486499e-20
100    Ic_C4H10 = amu*144.082300733439e-20
101    sigma_C4H10 = 1.0 # C2h
102
103
104    # https://cccbdb.nist.gov/rotcalc2.asp
105
106    z_trans_H = ((2.0*np.pi*mH*kB*T)/(h**2))**((3.0/2.0)*V;
107    z_rot_H = (1.0/sigma_H)*(8.0*(np.pi**2)*I_H*kB*T)/(h**2);
108
109    z_trans_H2 = ((2.0*np.pi*2.0*mH*kB*T)/(h**2))**((3.0/2.0)*V;
110    z_rot_H2 = (1.0/sigma_H2)*(8.0*(np.pi**2)*I_H2*kB*T)/(h**2);
111
112    z_trans_C4H6 = ((2.0*np.pi*mC4H6*kB*T)/(h**2))**((3.0/2.0)*V;
113    z_rot_C4H6 = np.pi**((1.0/2.0)/sigma_C4H6*T**((3.0/2.0)*8**((3.0/2.0)
114        *np.pi**3*kB**((3.0/2.0)*(Ia_C4H6*Ib_C4H6*Ic_C4H6)**(1.0/2.0)/h**3
115
116    z_trans_C4H7 = ((2.0*np.pi*mC4H7*kB*T)/(h**2))**((3.0/2.0)*V;
117    z_rot_C4H7 = np.pi**((1.0/2.0)/sigma_C4H7*T**((3.0/2.0)*8**((3.0/2.0)
118        *np.pi**3*kB**((3.0/2.0)*(Ia_C4H7*Ib_C4H7*Ic_C4H7)**(1.0/2.0)/h**3
119
120    z_trans_C4H8 = ((2.0*np.pi*mC4H8*kB*T)/(h**2))**((3.0/2.0)*V;
121    z_rot_C4H8 = np.pi**((1.0/2.0)/sigma_C4H8*T**((3.0/2.0)*8**((3.0/2.0)
122        *np.pi**3*kB**((3.0/2.0)*(Ia_C4H8*Ib_C4H8*Ic_C4H8)**(1.0/2.0)/h**3
123
124    z_trans_C4H9 = ((2.0*np.pi*mC4H9*kB*T)/(h**2))**((3.0/2.0)*V;
125    z_rot_C4H9 = np.pi**((1.0/2.0)/sigma_C4H9*T**((3.0/2.0)*8**((3.0/2.0)
126        *np.pi**3*kB**((3.0/2.0)*(Ia_C4H9*Ib_C4H9*Ic_C4H9)**(1.0/2.0)/h**3
127
128    z_trans_C4H10 = ((2.0*np.pi*mC4H10*kB*T)/(h**2))**((3.0/2.0)*V;
129    z_rot_C4H10 = np.pi**((1.0/2.0)/sigma_C4H10*T**((3.0/2.0)*8**((3.0/2.0)
130        *np.pi**3*kB**((3.0/2.0)*(Ia_C4H10*Ib_C4H10*Ic_C4H10)**(1.0/2.0)/h**3
131
132    z_vib_TS = 1
133    for i in range(len(f_TS)):
134        z_vib_TS = z_vib_TS/(1-np.exp(-hbar*f_TS[i]*1e12/kB/T))
135
136    z_vib_LM = 1
137    for i in range(len(f_LM)):
138        z_vib_LM = z_vib_LM/(1-np.exp(-hbar*f_LM[i]*1e12/kB/T))
139
140    A = kB*T/h \
141    * (z_vib_TS)/(z_vib_LM) \
142    * (z_trans_H*z_rot_H)**nH \
143    * (z_trans_H2*z_rot_H2)**nH2 \
144    * (z_trans_C4H6*z_rot_C4H6)**nC4H6 \
145    * (z_trans_C4H7*z_rot_C4H7)**nC4H7 \
146    * (z_trans_C4H8*z_rot_C4H8)**nC4H8 \
147    * (z_trans_C4H9*z_rot_C4H9)**nC4H9 \
148    * (z_trans_C4H10*z_rot_C4H10)**nC4H10
149
150    return A
151
152 T = 300
153 ##### Dissociation H2
154 f_TS,f_LM = steps['4'], steps['3']
155 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 2, -1, 1-1, 0, 0, 0, 0
156 A_3_4 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
157
158 f_TS,f_LM = steps['4'], steps['5']

```

```

159 | nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 2-2, 0, 1-1, 0, 0, 0, 0
160 | A_5_4 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
161 |
162 ##### 1ere hydrogenation
163 f_TS,f_LM = steps['6'], steps['5']
164 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 1-2, 0, -1, 1, 0, 0, 0
165 A_5_6 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
166 |
167 f_TS,f_LM = steps['6'], steps['7']
168 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 1-1, 0, 0, 1-1, 0, 0, 0
169 A_7_6 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
170 |
171 ##### 2eme hydrogenation
172 f_TS,f_LM = steps['10'], steps['9']
173 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = -1, 0, 0, -1, 1, 0, 0
174 A_9_10 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
175 |
176 f_TS,f_LM = steps['10'], steps['11']
177 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 0, 0, 0, 0, 1-1, 0, 0
178 A_11_10 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
179 |
180 ##### Dissociation H2
181 f_TS,f_LM = steps['14'], steps['13']
182 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 2, -1, 0, 0, 1-1, 0, 0
183 A_13_14 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
184 |
185 f_TS,f_LM = steps['14'], steps['15']
186 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 2-2, 0, 0, 0, 1-1, 0, 0
187 A_15_14 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
188 |
189 ##### 3eme hydrogenation
190 f_TS,f_LM = steps['18'], steps['17']
191 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 1-2, 0, 0, 0, -1, 1, 0
192 A_17_18 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
193 |
194 f_TS,f_LM = steps['18'], steps['19']
195 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 1-1, 0, 0, 0, 0, 1-1, 0
196 A_19_18 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
197 |
198 ##### 4eme hydrogenation
199 f_TS,f_LM = steps['22'], steps['21']
200 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = -1, 0, 0, 0, 0, -1, 1
201 A_21_22 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)
202 |
203 f_TS,f_LM = steps['22'], steps['23']
204 nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10 = 0, 0, 0, 0, 0, 0, 1-1
205 A_23_22 = preexp(T,nH,nH2,nC4H6,nC4H7,nC4H8,nC4H9,nC4H10,f_TS,f_LM)

1 | # Vibration frequencies - C4H6 hydrogenation on Al13Fe4(010)
2 | # 3 C4H6 ads H2 ads H2 g # C4H6 et H2 ads
3 |   1 f = 94.290942 THz 592.447463 2PiTHz 3145.207188 cm-1 389.956132 meV
4 |   2 f = 93.845580 THz 589.649171 2PiTHz 3130.351510 cm-1 388.114262 meV
5 |   3 f = 93.405550 THz 586.884378 2PiTHz 3115.673675 cm-1 386.294442 meV
6 |   4 f = 92.702874 THz 582.469337 2PiTHz 3092.234941 cm-1 383.388408 meV
7 |   5 f = 91.856217 THz 577.149631 2PiTHz 3063.993488 cm-1 379.886913 meV
8 |   6 f = 90.618984 THz 569.375870 2PiTHz 3022.723854 cm-1 374.770129 meV
9 |   7 f = 67.849179 THz 426.308963 2PiTHz 2263.204925 cm-1 280.601749 meV
10 |   8 f = 56.543566 THz 355.273706 2PiTHz 1886.090300 cm-1 233.845478 meV
11 |   9 f = 44.013691 THz 276.546178 2PiTHz 1468.138665 cm-1 182.026061 meV
12 |  10 f = 42.280453 THz 265.655922 2PiTHz 1410.324069 cm-1 174.857962 meV
13 |  11 f = 40.899486 THz 256.979050 2PiTHz 1364.259963 cm-1 169.146739 meV
14 |  12 f = 37.852633 THz 237.835109 2PiTHz 1262.627896 cm-1 156.545964 meV
15 |  13 f = 36.215380 THz 227.547943 2PiTHz 1208.015007 cm-1 149.774826 meV
16 |  14 f = 34.929480 THz 219.468394 2PiTHz 1165.121994 cm-1 144.456768 meV
17 |  15 f = 33.427655 THz 210.032150 2PiTHz 1115.026513 cm-1 138.245718 meV
18 |  16 f = 31.537080 THz 198.153318 2PiTHz 1051.963727 cm-1 130.426926 meV
19 |  17 f = 29.252469 THz 183.798685 2PiTHz 975.757315 cm-1 120.978532 meV
20 |  18 f = 28.473774 THz 178.905996 2PiTHz 949.782825 cm-1 117.758104 meV

```

## Appendix C. Python scripts

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21 | 19 f = 26.885329 THz 168.925507 2PiTHz 896.798033 cm-1 111.188825 meV
22 | 20 f = 26.422400 THz 166.016837 2PiTHz 881.356376 cm-1 109.274303 meV
23 | 21 f = 25.181121 THz 158.217649 2PiTHz 839.951755 cm-1 104.140782 meV
24 | 22 f = 24.324596 THz 152.835944 2PiTHz 811.381161 cm-1 100.598479 meV
25 | 23 f = 22.634312 THz 142.215579 2PiTHz 754.999371 cm-1 93.608025 meV
26 | 24 f = 17.010314 THz 106.878953 2PiTHz 567.402972 cm-1 70.349028 meV
27 | 25 f = 16.234530 THz 102.004561 2PiTHz 541.525618 cm-1 67.140644 meV
28 | 26 f = 14.424595 THz 90.632400 2PiTHz 481.152667 cm-1 59.655349 meV
29 | 27 f = 13.268532 THz 83.368647 2PiTHz 442.590581 cm-1 54.874258 meV
30 | 28 f = 11.757172 THz 73.872487 2PiTHz 392.177016 cm-1 48.623770 meV
31 | 29 f = 10.131382 THz 63.6577351 2PiTHz 337.946518 cm-1 41.900043 meV
32 | 30 f = 9.849511 THz 61.886301 2PiTHz 328.544303 cm-1 40.734317 meV
33 | 31 f = 9.375066 THz 58.905279 2PiTHz 312.718542 cm-1 38.772172 meV
34 | 32 f = 7.496626 THz 47.102692 2PiTHz 250.060527 cm-1 31.003565 meV
35 | 33 f = 6.472312 THz 40.666739 2PiTHz 215.893099 cm-1 26.767342 meV
36 | # 4 C4H6 ads H ads H ads H2 g # TS disso H2
37 | 1 f = 94.273784 THz 592.339652 2PiTHz 3144.634836 cm-1 389.885169 meV
38 | 2 f = 93.839690 THz 589.612164 2PiTHz 3130.155044 cm-1 388.089903 meV
39 | 3 f = 93.440526 THz 587.104141 2PiTHz 3116.840359 cm-1 386.439092 meV
40 | 4 f = 92.705810 THz 582.487782 2PiTHz 3092.332860 cm-1 383.400548 meV
41 | 5 f = 91.834176 THz 577.011147 2PiTHz 3063.258298 cm-1 379.795761 meV
42 | 6 f = 90.611138 THz 569.326571 2PiTHz 3022.462134 cm-1 374.737679 meV
43 | 7 f = 59.382913 THz 373.113846 2PiTHz 1980.800700 cm-1 245.588075 meV
44 | 8 f = 56.103842 THz 352.510834 2PiTHz 1871.422665 cm-1 232.026922 meV
45 | 9 f = 44.012710 THz 276.540014 2PiTHz 1468.105941 cm-1 182.022004 meV
46 | 10 f = 42.270417 THz 265.592862 2PiTHz 1409.989292 cm-1 174.816455 meV
47 | 11 f = 40.901418 THz 256.991188 2PiTHz 1364.324402 cm-1 169.154728 meV
48 | 12 f = 37.842573 THz 237.771901 2PiTHz 1262.292335 cm-1 156.504359 meV
49 | 13 f = 36.213400 THz 227.535504 2PiTHz 1207.948969 cm-1 149.766638 meV
50 | 14 f = 34.914535 THz 219.374495 2PiTHz 1164.623503 cm-1 144.394963 meV
51 | 15 f = 33.424291 THz 210.011012 2PiTHz 1114.914295 cm-1 138.231805 meV
52 | 16 f = 29.248976 THz 183.776737 2PiTHz 975.640793 cm-1 120.964085 meV
53 | 17 f = 28.484409 THz 178.972820 2PiTHz 950.137584 cm-1 117.802089 meV
54 | 18 f = 26.928781 THz 169.198519 2PiTHz 898.247409 cm-1 111.368525 meV
55 | 19 f = 26.409149 THz 165.933578 2PiTHz 880.914366 cm-1 109.219501 meV
56 | 20 f = 25.192642 THz 158.290037 2PiTHz 840.336051 cm-1 104.188429 meV
57 | 21 f = 24.373493 THz 153.143174 2PiTHz 813.012192 cm-1 100.800701 meV
58 | 22 f = 22.612457 THz 142.078259 2PiTHz 754.270360 cm-1 93.517640 meV
59 | 23 f = 17.025783 THz 106.976149 2PiTHz 567.918972 cm-1 70.413004 meV
60 | 24 f = 16.232443 THz 101.991449 2PiTHz 541.456006 cm-1 67.132013 meV
61 | 25 f = 14.443488 THz 90.751114 2PiTHz 481.782898 cm-1 59.733488 meV
62 | 26 f = 13.259419 THz 83.311389 2PiTHz 442.286609 cm-1 54.836570 meV
63 | 27 f = 11.088066 THz 69.668371 2PiTHz 369.858044 cm-1 45.856570 meV
64 | 28 f = 10.134324 THz 63.675835 2PiTHz 338.044649 cm-1 41.912210 meV
65 | 29 f = 9.396413 THz 59.039403 2PiTHz 313.430583 cm-1 38.860454 meV
66 | 30 f = 7.747342 THz 48.677985 2PiTHz 258.423501 cm-1 32.040442 meV
67 | 31 f = 7.502516 THz 47.139696 2PiTHz 250.256975 cm-1 31.027922 meV
68 | 32 f = 5.737314 THz 36.048607 2PiTHz 191.376188 cm-1 23.727632 meV
69 | # 5 C4H6 ads H ads H ads H2 g # C4H6 et 2H ads
70 | 1 f = 94.230900 THz 592.070204 2PiTHz 3143.204380 cm-1 389.707815 meV
71 | 2 f = 93.823035 THz 589.507517 2PiTHz 3129.599494 cm-1 388.021024 meV
72 | 3 f = 93.529630 THz 587.664000 2PiTHz 3119.812561 cm-1 386.807598 meV
73 | 4 f = 92.750790 THz 582.770401 2PiTHz 3093.833242 cm-1 383.586572 meV
74 | 5 f = 91.789829 THz 576.732506 2PiTHz 3061.779039 cm-1 379.612356 meV
75 | 6 f = 90.644475 THz 569.536031 2PiTHz 3023.574125 cm-1 374.875549 meV
76 | 7 f = 50.387388 THz 316.593299 2PiTHz 1680.742312 cm-1 208.385563 meV
77 | 8 f = 48.220747 THz 302.979891 2PiTHz 1608.470948 cm-1 199.425053 meV
78 | 9 f = 44.015731 THz 276.558991 2PiTHz 1468.206687 cm-1 182.034495 meV
79 | 10 f = 42.254466 THz 265.492639 2PiTHz 1409.457225 cm-1 174.750487 meV
80 | 11 f = 40.905914 THz 257.019439 2PiTHz 1364.474385 cm-1 169.173324 meV
81 | 12 f = 37.827959 THz 237.680079 2PiTHz 1261.804869 cm-1 156.443921 meV
82 | 13 f = 36.208863 THz 227.506999 2PiTHz 1207.797640 cm-1 149.747876 meV
83 | 14 f = 34.903013 THz 219.302098 2PiTHz 1164.239157 cm-1 144.347310 meV
84 | 15 f = 33.444977 THz 210.140988 2PiTHz 1115.604315 cm-1 138.317356 meV
85 | 16 f = 29.241919 THz 183.732398 2PiTHz 975.405407 cm-1 120.934901 meV
86 | 17 f = 28.480908 THz 178.950820 2PiTHz 950.020789 cm-1 117.787608 meV
87 | 18 f = 26.969958 THz 169.457243 2PiTHz 899.620933 cm-1 111.538820 meV
88 | 19 f = 26.382667 THz 165.767188 2PiTHz 880.031031 cm-1 109.109981 meV

```

## C.2. Pre-exponential factors

---

|     |          |     |   |           |     |            |        |             |      |            |     |
|-----|----------|-----|---|-----------|-----|------------|--------|-------------|------|------------|-----|
| 89  | 20       | f   | = | 25.222757 | THz | 158.479259 | 2PiTHz | 841.340602  | cm-1 | 104.312978 | meV |
| 90  | 21       | f   | = | 24.458106 | THz | 153.674815 | 2PiTHz | 815.834589  | cm-1 | 101.150634 | meV |
| 91  | 22       | f   | = | 22.558450 | THz | 141.738919 | 2PiTHz | 752.468859  | cm-1 | 93.294282  | meV |
| 92  | 23       | f   | = | 18.508930 | THz | 116.295036 | 2PiTHz | 617.391423  | cm-1 | 76.546808  | meV |
| 93  | 24       | f   | = | 17.075429 | THz | 107.288087 | 2PiTHz | 569.574996  | cm-1 | 70.618325  | meV |
| 94  | 25       | f   | = | 16.239784 | THz | 102.037572 | 2PiTHz | 541.700870  | cm-1 | 67.162372  | meV |
| 95  | 26       | f   | = | 15.100773 | THz | 94.880955  | 2PiTHz | 503.707554  | cm-1 | 62.451800  | meV |
| 96  | 27       | f   | = | 14.472818 | THz | 90.935400  | 2PiTHz | 482.761242  | cm-1 | 59.854787  | meV |
| 97  | 28       | f   | = | 13.259654 | THz | 83.312865  | 2PiTHz | 442.294443  | cm-1 | 54.837542  | meV |
| 98  | 29       | f   | = | 10.706330 | THz | 67.269857  | 2PiTHz | 357.124725  | cm-1 | 44.277839  | meV |
| 99  | 30       | f   | = | 10.148228 | THz | 63.763199  | 2PiTHz | 338.508451  | cm-1 | 41.969714  | meV |
| 100 | 31       | f   | = | 9.409100  | THz | 59.119121  | 2PiTHz | 313.853793  | cm-1 | 38.912925  | meV |
| 101 | 32       | f   | = | 8.016183  | THz | 50.367163  | 2PiTHz | 267.391072  | cm-1 | 33.152280  | meV |
| 102 | 33       | f   | = | 7.502999  | THz | 47.142731  | 2PiTHz | 250.273088  | cm-1 | 31.029919  | meV |
| 103 | # 6 C4H6 | ads | H | ads       | H2  | g          | # TS   | C4H7        | et   | HadsFe     |     |
| 104 | 1        | f   | = | 94.611742 | THz | 594.463108 | 2PiTHz | 3155.907920 | cm-1 | 391.282854 | meV |
| 105 | 2        | f   | = | 93.933325 | THz | 590.200485 | 2PiTHz | 3133.278350 | cm-1 | 388.477144 | meV |
| 106 | 3        | f   | = | 93.577600 | THz | 587.965402 | 2PiTHz | 3121.412657 | cm-1 | 387.005985 | meV |
| 107 | 4        | f   | = | 93.069318 | THz | 584.771770 | 2PiTHz | 3104.458184 | cm-1 | 384.903898 | meV |
| 108 | 5        | f   | = | 91.777355 | THz | 576.654129 | 2PiTHz | 3061.362947 | cm-1 | 379.560767 | meV |
| 109 | 6        | f   | = | 91.006064 | THz | 571.807965 | 2PiTHz | 3035.635450 | cm-1 | 376.370963 | meV |
| 110 | 7        | f   | = | 46.632607 | THz | 293.001311 | 2PiTHz | 1555.496285 | cm-1 | 192.857029 | meV |
| 111 | 8        | f   | = | 43.748415 | THz | 274.879398 | 2PiTHz | 1459.290003 | cm-1 | 180.928966 | meV |
| 112 | 9        | f   | = | 42.645797 | THz | 267.951447 | 2PiTHz | 1422.510636 | cm-1 | 176.368904 | meV |
| 113 | 10       | f   | = | 41.186156 | THz | 258.780252 | 2PiTHz | 1373.822254 | cm-1 | 170.332312 | meV |
| 114 | 11       | f   | = | 38.103705 | THz | 239.412642 | 2PiTHz | 1271.002763 | cm-1 | 157.584315 | meV |
| 115 | 12       | f   | = | 36.303383 | THz | 228.100882 | 2PiTHz | 1210.950472 | cm-1 | 150.138777 | meV |
| 116 | 13       | f   | = | 36.138706 | THz | 227.066184 | 2PiTHz | 1205.457425 | cm-1 | 149.457726 | meV |
| 117 | 14       | f   | = | 34.636360 | THz | 217.626666 | 2PiTHz | 1155.344562 | cm-1 | 143.244521 | meV |
| 118 | 15       | f   | = | 33.447573 | THz | 210.157298 | 2PiTHz | 1115.690900 | cm-1 | 138.328092 | meV |
| 119 | 16       | f   | = | 29.555583 | THz | 185.703205 | 2PiTHz | 985.868103  | cm-1 | 122.232110 | meV |
| 120 | 17       | f   | = | 28.726824 | THz | 180.495956 | 2PiTHz | 958.223666  | cm-1 | 118.804636 | meV |
| 121 | 18       | f   | = | 26.819644 | THz | 168.512791 | 2PiTHz | 894.606988  | cm-1 | 110.917170 | meV |
| 122 | 19       | f   | = | 26.598648 | THz | 167.124234 | 2PiTHz | 887.235367  | cm-1 | 110.003205 | meV |
| 123 | 20       | f   | = | 25.544885 | THz | 160.503247 | 2PiTHz | 852.085622  | cm-1 | 105.645191 | meV |
| 124 | 21       | f   | = | 25.290164 | THz | 158.902789 | 2PiTHz | 843.589051  | cm-1 | 104.591750 | meV |
| 125 | 22       | f   | = | 23.887231 | THz | 150.087900 | 2PiTHz | 796.792240  | cm-1 | 98.789683  | meV |
| 126 | 23       | f   | = | 23.220675 | THz | 145.899803 | 2PiTHz | 774.558318  | cm-1 | 96.033027  | meV |
| 127 | 24       | f   | = | 21.533860 | THz | 135.301235 | 2PiTHz | 718.292244  | cm-1 | 89.056920  | meV |
| 128 | 25       | f   | = | 17.688846 | THz | 111.142300 | 2PiTHz | 590.036388  | cm-1 | 73.155215  | meV |
| 129 | 26       | f   | = | 16.544521 | THz | 103.952292 | 2PiTHz | 551.865805  | cm-1 | 68.422664  | meV |
| 130 | 27       | f   | = | 16.373353 | THz | 102.876810 | 2PiTHz | 546.156247  | cm-1 | 67.714769  | meV |
| 131 | 28       | f   | = | 13.868950 | THz | 87.141180  | 2PiTHz | 462.618348  | cm-1 | 57.357386  | meV |
| 132 | 29       | f   | = | 13.831375 | THz | 86.905093  | 2PiTHz | 461.364999  | cm-1 | 57.201990  | meV |
| 133 | 30       | f   | = | 13.591519 | THz | 85.398034  | 2PiTHz | 453.364266  | cm-1 | 56.210025  | meV |
| 134 | 31       | f   | = | 10.304079 | THz | 64.742438  | 2PiTHz | 343.707071  | cm-1 | 42.614261  | meV |
| 135 | 32       | f   | = | 9.130889  | THz | 57.371066  | 2PiTHz | 304.573655  | cm-1 | 37.762334  | meV |
| 136 | # 7 C4H7 | ads | H | ads       | H2  | g          | # C4H7 | HadsFe      |      |            |     |
| 137 | 1        | f   | = | 94.304570 | THz | 592.533090 | 2PiTHz | 3145.661769 | cm-1 | 390.012493 | meV |
| 138 | 2        | f   | = | 93.646720 | THz | 588.399697 | 2PiTHz | 3123.718257 | cm-1 | 387.291843 | meV |
| 139 | 3        | f   | = | 93.187313 | THz | 585.513159 | 2PiTHz | 3108.394094 | cm-1 | 385.391888 | meV |
| 140 | 4        | f   | = | 92.560721 | THz | 581.576163 | 2PiTHz | 3087.493227 | cm-1 | 382.800510 | meV |
| 141 | 5        | f   | = | 91.485044 | THz | 574.817482 | 2PiTHz | 3051.612489 | cm-1 | 378.351864 | meV |
| 142 | 6        | f   | = | 91.278717 | THz | 573.521091 | 2PiTHz | 3044.730160 | cm-1 | 377.498564 | meV |
| 143 | 7        | f   | = | 89.075101 | THz | 559.675368 | 2PiTHz | 2971.225467 | cm-1 | 368.385140 | meV |
| 144 | 8        | f   | = | 44.677875 | THz | 280.719369 | 2PiTHz | 1490.293455 | cm-1 | 184.772906 | meV |
| 145 | 9        | f   | = | 43.957707 | THz | 276.194420 | 2PiTHz | 1466.271240 | cm-1 | 181.794530 | meV |
| 146 | 10       | f   | = | 42.885913 | THz | 269.460139 | 2PiTHz | 1430.520034 | cm-1 | 177.361943 | meV |
| 147 | 11       | f   | = | 42.570107 | THz | 267.475872 | 2PiTHz | 1419.985885 | cm-1 | 176.055875 | meV |
| 148 | 12       | f   | = | 42.329753 | THz | 265.965682 | 2PiTHz | 1411.968533 | cm-1 | 175.061850 | meV |
| 149 | 13       | f   | = | 40.471105 | THz | 254.287453 | 2PiTHz | 1349.970716 | cm-1 | 167.375097 | meV |
| 150 | 14       | f   | = | 38.280235 | THz | 240.521813 | 2PiTHz | 1276.891169 | cm-1 | 158.314385 | meV |
| 151 | 15       | f   | = | 36.223578 | THz | 227.599453 | 2PiTHz | 1208.288463 | cm-1 | 149.808730 | meV |
| 152 | 16       | f   | = | 34.663121 | THz | 217.794812 | 2PiTHz | 1156.237220 | cm-1 | 143.355196 | meV |
| 153 | 17       | f   | = | 32.706550 | THz | 205.501314 | 2PiTHz | 1090.973039 | cm-1 | 135.263466 | meV |
| 154 | 18       | f   | = | 30.039441 | THz | 188.743371 | 2PiTHz | 1002.007850 | cm-1 | 124.233185 | meV |
| 155 | 19       | f   | = | 29.188354 | THz | 183.395836 | 2PiTHz | 973.618653  | cm-1 | 120.713372 | meV |
| 156 | 20       | f   | = | 28.615795 | THz | 179.798340 | 2PiTHz | 954.520132  | cm-1 | 118.345456 | meV |

## Appendix C. Python scripts

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157 | 21 f = 27.094918 THz 170.242391 2PiTHz 903.789155 cm-1 112.055614 meV
158 | 22 f = 25.352565 THz 159.294862 2PiTHz 845.670507 cm-1 104.849818 meV
159 | 23 f = 24.043105 THz 151.067286 2PiTHz 801.991641 cm-1 99.434326 meV
160 | 24 f = 23.354001 THz 146.737519 2PiTHz 779.005612 cm-1 96.584421 meV
161 | 25 f = 20.504323 THz 128.832463 2PiTHz 683.950585 cm-1 84.799095 meV
162 | 26 f = 17.021664 THz 106.950272 2PiTHz 567.781594 cm-1 70.395971 meV
163 | 27 f = 13.696721 THz 86.059039 2PiTHz 456.873434 cm-1 56.645107 meV
164 | 28 f = 10.533054 THz 66.181132 2PiTHz 351.344863 cm-1 43.561227 meV
165 | 29 f = 10.329796 THz 64.904020 2PiTHz 344.564883 cm-1 42.720616 meV
166 | 30 f = 9.579210 THz 60.187949 2PiTHz 319.528031 cm-1 39.616441 meV
167 | 31 f = 7.765459 THz 48.791815 2PiTHz 259.027808 cm-1 32.115367 meV
168 | 32 f = 6.559253 THz 41.213000 2PiTHz 218.793113 cm-1 27.126898 meV
169 | 33 f = 5.240873 THz 32.929377 2PiTHz 174.816705 cm-1 21.674517 meV
170 # 8 C4H7 ads H ads H2 g # TS C4H7 HadsAlglue
171 | 1 f = 94.301508 THz 592.513848 2PiTHz 3145.559615 cm-1 389.999827 meV
172 | 2 f = 93.612689 THz 588.185869 2PiTHz 3122.583080 cm-1 387.151099 meV
173 | 3 f = 93.173758 THz 585.427984 2PiTHz 3107.941918 cm-1 385.335826 meV
174 | 4 f = 92.549828 THz 581.507720 2PiTHz 3087.129873 cm-1 382.755460 meV
175 | 5 f = 91.434142 THz 574.497658 2PiTHz 3049.914594 cm-1 378.141352 meV
176 | 6 f = 91.270514 THz 573.469554 2PiTHz 3044.456559 cm-1 377.464642 meV
177 | 7 f = 89.175232 THz 560.304510 2PiTHz 2974.565479 cm-1 368.799249 meV
178 | 8 f = 43.944236 THz 276.109778 2PiTHz 1465.821888 cm-1 181.738817 meV
179 | 9 f = 42.894740 THz 269.515598 2PiTHz 1430.814457 cm-1 177.398447 meV
180 | 10 f = 42.518855 THz 267.153844 2PiTHz 1418.276292 cm-1 175.843912 meV
181 | 11 f = 42.320047 THz 265.904698 2PiTHz 1411.644779 cm-1 175.021709 meV
182 | 12 f = 40.506580 THz 254.510345 2PiTHz 1351.154014 cm-1 167.521807 meV
183 | 13 f = 38.268973 THz 240.451052 2PiTHz 1276.515510 cm-1 158.267809 meV
184 | 14 f = 36.218434 THz 227.567131 2PiTHz 1208.116871 cm-1 149.787456 meV
185 | 15 f = 34.662745 THz 217.792450 2PiTHz 1156.224683 cm-1 143.353642 meV
186 | 16 f = 32.715960 THz 205.560442 2PiTHz 1091.286941 cm-1 135.302385 meV
187 | 17 f = 31.260067 THz 196.412796 2PiTHz 1042.723578 cm-1 129.281293 meV
188 | 18 f = 30.021637 THz 188.631511 2PiTHz 1001.413998 cm-1 124.159556 meV
189 | 19 f = 29.192524 THz 183.422038 2PiTHz 973.757759 cm-1 120.730618 meV
190 | 20 f = 28.624466 THz 179.852823 2PiTHz 954.809373 cm-1 118.381317 meV
191 | 21 f = 27.116415 THz 170.377459 2PiTHz 904.506208 cm-1 112.144517 meV
192 | 22 f = 25.343343 THz 159.236922 2PiTHz 845.362912 cm-1 104.811681 meV
193 | 23 f = 24.092800 THz 151.379527 2PiTHz 803.649279 cm-1 99.639847 meV
194 | 24 f = 23.398528 THz 147.017289 2PiTHz 780.490867 cm-1 96.768569 meV
195 | 25 f = 23.347415 THz 146.696137 2PiTHz 778.785923 cm-1 96.557183 meV
196 | 26 f = 17.033439 THz 107.024255 2PiTHz 568.174355 cm-1 70.444667 meV
197 | 27 f = 13.700520 THz 86.082906 2PiTHz 457.000143 cm-1 56.660817 meV
198 | 28 f = 10.335951 THz 64.942698 2PiTHz 344.770217 cm-1 42.746074 meV
199 | 29 f = 9.575806 THz 60.166565 2PiTHz 319.414506 cm-1 39.602366 meV
200 | 30 f = 7.769896 THz 48.819695 2PiTHz 259.175815 cm-1 32.133717 meV
201 | 31 f = 6.443257 THz 40.484175 2PiTHz 214.923896 cm-1 26.647177 meV
202 | 32 f = 5.241215 THz 32.931523 2PiTHz 174.828100 cm-1 21.675930 meV
203 # 9 C4H7 ads H ads H2 g # C4H7 HadsAlglue
204 | 1 f = 94.137330 THz 591.482287 2PiTHz 3140.083226 cm-1 389.320841 meV
205 | 2 f = 93.591903 THz 588.055269 2PiTHz 3121.889746 cm-1 387.065137 meV
206 | 3 f = 93.353543 THz 586.557607 2PiTHz 3113.938902 cm-1 386.079357 meV
207 | 4 f = 92.572083 THz 581.647552 2PiTHz 3087.872220 cm-1 382.847499 meV
208 | 5 f = 91.420548 THz 574.412242 2PiTHz 3049.461137 cm-1 378.085130 meV
209 | 6 f = 91.382304 THz 574.171953 2PiTHz 3048.185478 cm-1 377.926969 meV
210 | 7 f = 89.424164 THz 561.868594 2PiTHz 2982.868947 cm-1 369.828748 meV
211 | 8 f = 53.451660 THz 335.846688 2PiTHz 1782.955422 cm-1 221.058378 meV
212 | 9 f = 43.881608 THz 275.716275 2PiTHz 1463.732846 cm-1 181.479809 meV
213 | 10 f = 42.910981 THz 269.617645 2PiTHz 1431.356208 cm-1 177.465616 meV
214 | 11 f = 42.549302 THz 267.345150 2PiTHz 1419.291903 cm-1 175.969832 meV
215 | 12 f = 42.307393 THz 265.825193 2PiTHz 1411.222697 cm-1 174.969378 meV
216 | 13 f = 40.525410 THz 254.628663 2PiTHz 1351.782145 cm-1 167.599686 meV
217 | 14 f = 38.265762 THz 240.430872 2PiTHz 1276.408379 cm-1 158.254527 meV
218 | 15 f = 36.190437 THz 227.391220 2PiTHz 1207.182988 cm-1 149.671669 meV
219 | 16 f = 34.696160 THz 218.002405 2PiTHz 1157.339298 cm-1 143.491837 meV
220 | 17 f = 32.678136 THz 205.322783 2PiTHz 1090.025246 cm-1 135.145955 meV
221 | 18 f = 30.077266 THz 188.981039 2PiTHz 1003.269587 cm-1 124.389620 meV
222 | 19 f = 29.227116 THz 183.639386 2PiTHz 974.911622 cm-1 120.873679 meV
223 | 20 f = 28.627608 THz 179.872563 2PiTHz 954.914173 cm-1 118.394311 meV
224 | 21 f = 27.272445 THz 171.357828 2PiTHz 909.710831 cm-1 112.789809 meV

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## C.2. Pre-exponential factors

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|     |                                    |                   |                  |                |
|-----|------------------------------------|-------------------|------------------|----------------|
| 225 | 22 f = 25.465482 Thz               | 160.004340 2PiTHz | 849.437010 cm-1  | 105.316805 meV |
| 226 | 23 f = 24.097252 Thz               | 151.407503 2PiTHz | 803.797797 cm-1  | 99.658261 meV  |
| 227 | 24 f = 23.404795 Thz               | 147.056663 2PiTHz | 780.699899 cm-1  | 96.794486 meV  |
| 228 | 25 f = 17.100085 Thz               | 107.443001 2PiTHz | 570.397409 cm-1  | 70.720291 meV  |
| 229 | 26 f = 13.729003 Thz               | 86.261871 2PiTHz  | 457.950238 cm-1  | 56.778614 meV  |
| 230 | 27 f = 13.143160 Thz               | 82.580907 2PiTHz  | 438.408598 cm-1  | 54.355758 meV  |
| 231 | 28 f = 10.337651 Thz               | 64.953378 2PiTHz  | 344.826916 cm-1  | 42.753104 meV  |
| 232 | 29 f = 10.041803 Thz               | 63.094511 2PiTHz  | 334.958492 cm-1  | 41.529575 meV  |
| 233 | 30 f = 9.556223 Thz                | 60.043521 2PiTHz  | 318.761284 cm-1  | 39.521376 meV  |
| 234 | 31 f = 7.754351 Thz                | 48.722026 2PiTHz  | 258.657308 cm-1  | 32.069431 meV  |
| 235 | 32 f = 6.421268 Thz                | 40.346016 2PiTHz  | 214.190435 cm-1  | 26.556239 meV  |
| 236 | 33 f = 5.245499 Thz                | 32.958440 2PiTHz  | 174.970997 cm-1  | 21.693647 meV  |
| 237 | # 10 C4H8 ads H ads H2 g # TS C4H8 |                   |                  |                |
| 238 | 1 f = 93.076083 Thz                | 584.814278 2PiTHz | 3104.683850 cm-1 | 384.931877 meV |
| 239 | 2 f = 92.540775 Thz                | 581.450840 2PiTHz | 3086.827907 cm-1 | 382.718021 meV |
| 240 | 3 f = 91.960808 Thz                | 577.806796 2PiTHz | 3067.482271 cm-1 | 380.319467 meV |
| 241 | 4 f = 90.773192 Thz                | 570.344788 2PiTHz | 3027.867685 cm-1 | 375.407882 meV |
| 242 | 5 f = 90.412838 Thz                | 568.080616 2PiTHz | 3015.847560 cm-1 | 373.917576 meV |
| 243 | 6 f = 88.976102 Thz                | 559.053340 2PiTHz | 2967.923222 cm-1 | 367.975714 meV |
| 244 | 7 f = 87.868091 Thz                | 552.091497 2PiTHz | 2930.963930 cm-1 | 363.393344 meV |
| 245 | 8 f = 87.032390 Thz                | 546.840634 2PiTHz | 2903.087956 cm-1 | 359.937162 meV |
| 246 | 9 f = 42.467414 Thz                | 266.830634 2PiTHz | 1416.560422 cm-1 | 175.631171 meV |
| 247 | 10 f = 42.406919 Thz               | 266.450530 2PiTHz | 1414.542510 cm-1 | 175.380982 meV |
| 248 | 11 f = 42.052526 Thz               | 264.223813 2PiTHz | 1402.721234 cm-1 | 173.915330 meV |
| 249 | 12 f = 41.597891 Thz               | 261.367260 2PiTHz | 1387.556261 cm-1 | 172.035112 meV |
| 250 | 13 f = 40.315693 Thz               | 253.310972 2PiTHz | 1344.786738 cm-1 | 166.732366 meV |
| 251 | 14 f = 40.050127 Thz               | 251.642369 2PiTHz | 1335.928394 cm-1 | 165.634070 meV |
| 252 | 15 f = 37.992009 Thz               | 238.710831 2PiTHz | 1267.276961 cm-1 | 157.122375 meV |
| 253 | 16 f = 36.682380 Thz               | 230.482194 2PiTHz | 1223.592469 cm-1 | 151.706186 meV |
| 254 | 17 f = 34.879083 Thz               | 219.151743 2PiTHz | 1163.440946 cm-1 | 144.248345 meV |
| 255 | 18 f = 34.283230 Thz               | 215.407886 2PiTHz | 1143.565420 cm-1 | 141.784093 meV |
| 256 | 19 f = 31.152375 Thz               | 195.736144 2PiTHz | 1039.131342 cm-1 | 128.835913 meV |
| 257 | 20 f = 30.119982 Thz               | 189.249431 2PiTHz | 1004.694440 cm-1 | 124.566279 meV |
| 258 | 21 f = 29.072971 Thz               | 182.670862 2PiTHz | 969.769887 cm-1  | 120.236185 meV |
| 259 | 22 f = 28.710737 Thz               | 180.394882 2PiTHz | 957.687079 cm-1  | 118.738107 meV |
| 260 | 23 f = 24.906925 Thz               | 156.494826 2PiTHz | 830.805568 cm-1  | 103.006799 meV |
| 261 | 24 f = 24.305420 Thz               | 152.715458 2PiTHz | 810.741520 cm-1  | 100.519174 meV |
| 262 | 25 f = 23.074577 Thz               | 144.981840 2PiTHz | 769.685001 cm-1  | 95.428812 meV  |
| 263 | 26 f = 21.597030 Thz               | 135.698139 2PiTHz | 720.399340 cm-1  | 89.318167 meV  |
| 264 | 27 f = 14.080023 Thz               | 88.467394 2PiTHz  | 469.659003 cm-1  | 58.230316 meV  |
| 265 | 28 f = 13.152983 Thz               | 82.642627 2PiTHz  | 438.736261 cm-1  | 54.396383 meV  |
| 266 | 29 f = 10.467208 Thz               | 65.767408 2PiTHz  | 349.148469 cm-1  | 43.288909 meV  |
| 267 | 30 f = 8.318771 Thz                | 52.268379 2PiTHz  | 277.484322 cm-1  | 34.403684 meV  |
| 268 | 31 f = 5.695082 Thz                | 35.783256 2PiTHz  | 189.967483 cm-1  | 23.552975 meV  |
| 269 | 32 f = 4.145268 Thz                | 26.045484 2PiTHz  | 138.271238 cm-1  | 17.143455 meV  |
| 270 | # 11 C4H8 ads H2 g # C4H8 ads      |                   |                  |                |
| 271 | 1 f = 93.609667 Thz                | 588.166884 2PiTHz | 3122.482288 cm-1 | 387.138602 meV |
| 272 | 2 f = 92.834023 Thz                | 583.293369 2PiTHz | 3096.609594 cm-1 | 383.930796 meV |
| 273 | 3 f = 92.488868 Thz                | 581.124697 2PiTHz | 3085.096467 cm-1 | 382.503350 meV |
| 274 | 4 f = 91.356599 Thz                | 574.010442 2PiTHz | 3047.328044 cm-1 | 377.820660 meV |
| 275 | 5 f = 90.642055 Thz                | 569.520829 2PiTHz | 3023.493421 cm-1 | 374.865543 meV |
| 276 | 6 f = 90.452213 Thz                | 568.328014 2PiTHz | 3017.160957 cm-1 | 374.080417 meV |
| 277 | 7 f = 88.665974 Thz                | 557.104743 2PiTHz | 2957.578440 cm-1 | 366.693124 meV |
| 278 | 8 f = 87.293887 Thz                | 548.483669 2PiTHz | 2911.810561 cm-1 | 361.018628 meV |
| 279 | 9 f = 42.875320 Thz                | 269.393578 2PiTHz | 1430.166673 cm-1 | 177.318132 meV |
| 280 | 10 f = 42.688091 Thz               | 268.217188 2PiTHz | 1423.921409 cm-1 | 176.543818 meV |
| 281 | 11 f = 42.272045 Thz               | 265.603090 2PiTHz | 1410.043590 cm-1 | 174.823187 meV |
| 282 | 12 f = 41.902008 Thz               | 263.278084 2PiTHz | 1397.700512 cm-1 | 173.292840 meV |
| 283 | 13 f = 40.600555 Thz               | 255.100809 2PiTHz | 1354.288692 cm-1 | 167.910458 meV |
| 284 | 14 f = 39.903146 Thz               | 250.718864 2PiTHz | 1331.025654 cm-1 | 165.026208 meV |
| 285 | 15 f = 38.064550 Thz               | 239.166621 2PiTHz | 1269.696676 cm-1 | 157.422381 meV |
| 286 | 16 f = 36.737046 Thz               | 230.825670 2PiTHz | 1225.415927 cm-1 | 151.932266 meV |
| 287 | 17 f = 35.078445 Thz               | 220.404369 2PiTHz | 1170.090932 cm-1 | 145.072838 meV |
| 288 | 18 f = 34.512462 Thz               | 216.848194 2PiTHz | 1151.211778 cm-1 | 142.732121 meV |
| 289 | 19 f = 31.118931 Thz               | 195.526009 2PiTHz | 1038.015767 cm-1 | 128.697599 meV |
| 290 | 20 f = 30.102228 Thz               | 189.137880 2PiTHz | 1004.102229 cm-1 | 124.492855 meV |
| 291 | 21 f = 29.239283 Thz               | 183.715832 2PiTHz | 975.317463 cm-1  | 120.923997 meV |
| 292 | 22 f = 28.599822 Thz               | 179.697983 2PiTHz | 953.987356 cm-1  | 118.279400 meV |

## Appendix C. Python scripts

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293 | 23 f = 25.295772 THz 158.938020 2PiTHz 843.776089 cm-1 104.614940 meV
294 | 24 f = 24.903887 THz 156.475738 2PiTHz 830.704235 cm-1 102.994236 meV
295 | 25 f = 23.461137 THz 147.410670 2PiTHz 782.579264 cm-1 97.027498 meV
296 | 26 f = 22.201466 THz 139.495927 2PiTHz 740.561178 cm-1 91.817917 meV
297 | 27 f = 14.023807 THz 88.114179 2PiTHz 467.783840 cm-1 57.997825 meV
298 | 28 f = 13.238699 THz 83.181201 2PiTHz 441.595461 cm-1 54.750879 meV
299 | 29 f = 10.423982 THz 65.495812 2PiTHz 347.706611 cm-1 43.110141 meV
300 | 30 f = 8.183882 THz 51.420844 2PiTHz 272.984896 cm-1 33.845826 meV
301 | 31 f = 5.466020 THz 34.344014 2PiTHz 182.326784 cm-1 22.605648 meV
302 | 32 f = 4.216354 THz 26.492135 2PiTHz 140.642434 cm-1 17.437446 meV
303 | 33 f = 2.501562 THz 15.717776 2PiTHz 83.443115 cm-1 10.345631 meV
304 | # 13 C4H8 ads H2 ads # C4H8 H2adsFe
305 | 1 f = 93.609551 THz 588.166153 2PiTHz 3122.478411 cm-1 387.138122 meV
306 | 2 f = 92.776602 THz 582.932580 2PiTHz 3094.694221 cm-1 383.693320 meV
307 | 3 f = 92.451018 THz 580.886878 2PiTHz 3083.833921 cm-1 382.346814 meV
308 | 4 f = 91.374734 THz 574.124387 2PiTHz 3047.932961 cm-1 377.895661 meV
309 | 5 f = 90.663929 THz 569.658265 2PiTHz 3024.223042 cm-1 374.956004 meV
310 | 6 f = 90.416759 THz 568.105250 2PiTHz 3015.978339 cm-1 373.933791 meV
311 | 7 f = 88.637028 THz 556.922869 2PiTHz 2956.612900 cm-1 366.573412 meV
312 | 8 f = 87.241797 THz 548.156377 2PiTHz 2910.073018 cm-1 360.803200 meV
313 | 9 f = 68.142970 THz 428.154909 2PiTHz 2273.004749 cm-1 281.816773 meV
314 | 10 f = 56.405811 THz 354.408165 2PiTHz 1881.495287 cm-1 233.275769 meV
315 | 11 f = 42.879636 THz 269.420696 2PiTHz 1430.310641 cm-1 177.335982 meV
316 | 12 f = 42.696018 THz 268.266995 2PiTHz 1424.185827 cm-1 176.576601 meV
317 | 13 f = 42.270923 THz 265.596040 2PiTHz 1410.006162 cm-1 174.818546 meV
318 | 14 f = 41.927283 THz 263.436891 2PiTHz 1398.543590 cm-1 173.397368 meV
319 | 15 f = 40.608498 THz 255.150721 2PiTHz 1354.553663 cm-1 167.943310 meV
320 | 16 f = 39.863658 THz 250.470749 2PiTHz 1329.708454 cm-1 164.862896 meV
321 | 17 f = 38.078029 THz 239.251314 2PiTHz 1270.146302 cm-1 157.478128 meV
322 | 18 f = 36.771557 THz 231.042505 2PiTHz 1226.567068 cm-1 152.074989 meV
323 | 19 f = 35.067437 THz 220.335203 2PiTHz 1169.723744 cm-1 145.027313 meV
324 | 20 f = 34.518630 THz 216.886950 2PiTHz 1151.417527 cm-1 142.757630 meV
325 | 21 f = 31.501759 THz 197.931390 2PiTHz 1050.785544 cm-1 130.280850 meV
326 | 22 f = 31.110281 THz 195.471661 2PiTHz 1037.727242 cm-1 128.661826 meV
327 | 23 f = 30.132011 THz 189.325006 2PiTHz 1005.095654 cm-1 124.616024 meV
328 | 24 f = 29.232948 THz 183.676029 2PiTHz 975.106154 cm-1 120.897798 meV
329 | 25 f = 28.598206 THz 179.687825 2PiTHz 953.933426 cm-1 118.272714 meV
330 | 26 f = 25.275644 THz 158.811554 2PiTHz 843.104700 cm-1 104.531698 meV
331 | 27 f = 24.907354 THz 156.497519 2PiTHz 830.819867 cm-1 103.008572 meV
332 | 28 f = 23.477793 THz 147.515321 2PiTHz 783.134838 cm-1 97.096380 meV
333 | 29 f = 22.222012 THz 139.625020 2PiTHz 741.246514 cm-1 91.902888 meV
334 | 30 f = 14.074240 THz 88.431061 2PiTHz 469.466112 cm-1 58.206401 meV
335 | 31 f = 13.221679 THz 83.074262 2PiTHz 441.027740 cm-1 54.680490 meV
336 | 32 f = 11.771924 THz 73.965179 2PiTHz 392.669103 cm-1 48.684781 meV
337 | 33 f = 10.481393 THz 65.856535 2PiTHz 349.621631 cm-1 43.347573 meV
338 | 34 f = 9.756395 THz 61.301237 2PiTHz 325.438292 cm-1 40.349220 meV
339 | 35 f = 8.211405 THz 51.593781 2PiTHz 273.902987 cm-1 33.959654 meV
340 | 36 f = 6.804142 THz 42.751682 2PiTHz 226.961725 cm-1 28.139678 meV
341 | 37 f = 5.449730 THz 34.241660 2PiTHz 181.783403 cm-1 22.538278 meV
342 | 38 f = 4.181128 THz 26.270801 2PiTHz 139.467410 cm-1 17.291761 meV
343 | 39 f = 2.477056 THz 15.563803 2PiTHz 82.625695 cm-1 10.244284 meV
344 | # 14 C4H8 ads H ads H ads # TS C4H8 2HadsFe
345 | 1 f = 93.639403 THz 588.353721 2PiTHz 3123.474177 cm-1 387.261581 meV
346 | 2 f = 92.803752 THz 583.103172 2PiTHz 3095.599867 cm-1 383.805606 meV
347 | 3 f = 92.445774 THz 580.853930 2PiTHz 3083.659006 cm-1 382.325127 meV
348 | 4 f = 91.404276 THz 574.310007 2PiTHz 3048.918383 cm-1 378.017837 meV
349 | 5 f = 90.665701 THz 569.669401 2PiTHz 3024.282161 cm-1 374.963334 meV
350 | 6 f = 90.406570 THz 568.041231 2PiTHz 3015.638475 cm-1 373.891653 meV
351 | 7 f = 88.702192 THz 557.332311 2PiTHz 2958.786561 cm-1 366.842912 meV
352 | 8 f = 87.208303 THz 547.945929 2PiTHz 2908.955785 cm-1 360.664681 meV
353 | 9 f = 60.175919 THz 378.096448 2PiTHz 2007.252525 cm-1 248.867685 meV
354 | 10 f = 56.372323 THz 354.197755 2PiTHz 1880.378251 cm-1 233.137274 meV
355 | 11 f = 42.874751 THz 269.390007 2PiTHz 1430.147718 cm-1 177.315782 meV
356 | 12 f = 42.700748 THz 268.296715 2PiTHz 1424.343607 cm-1 176.596164 meV
357 | 13 f = 42.274027 THz 265.615547 2PiTHz 1410.109724 cm-1 174.831387 meV
358 | 14 f = 41.930847 THz 263.459281 2PiTHz 1398.662459 cm-1 173.412106 meV
359 | 15 f = 40.599719 THz 255.095556 2PiTHz 1354.260799 cm-1 167.907000 meV
360 | 16 f = 39.871866 THz 250.522324 2PiTHz 1329.982256 cm-1 164.896843 meV

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## C.2. Pre-exponential factors

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|     |   |           |     |            |        |             |      |            |     |
|-----|---|-----------|-----|------------|--------|-------------|------|------------|-----|
| 361 | 17 f =  | 38.077832 | THz | 239.250075 | 2PiTHz | 1270.139719 | cm-1 | 157.477311 | meV |
| 362 | 18 f =  | 36.765279 | THz | 231.003061 | 2PiTHz | 1226.357664 | cm-1 | 152.049027 | meV |
| 363 | 19 f =  | 35.065865 | THz | 220.325328 | 2PiTHz | 1169.671316 | cm-1 | 145.020813 | meV |
| 364 | 20 f =  | 34.510577 | THz | 216.836352 | 2PiTHz | 1151.148913 | cm-1 | 142.724326 | meV |
| 365 | 21 f =  | 31.117285 | THz | 195.515669 | 2PiTHz | 1037.960875 | cm-1 | 128.690793 | meV |
| 366 | 22 f =  | 30.124995 | THz | 189.280925 | 2PiTHz | 1004.861634 | cm-1 | 124.587009 | meV |
| 367 | 23 f =  | 29.227985 | THz | 183.644845 | 2PiTHz | 974.940604  | cm-1 | 120.877273 | meV |
| 368 | 24 f =  | 28.591591 | THz | 179.646265 | 2PiTHz | 953.712791  | cm-1 | 118.245358 | meV |
| 369 | 25 f =  | 25.272064 | THz | 158.789059 | 2PiTHz | 842.985276  | cm-1 | 104.516891 | meV |
| 370 | 26 f =  | 24.899530 | THz | 156.448363 | 2PiTHz | 830.558905  | cm-1 | 102.976217 | meV |
| 371 | 27 f =  | 23.462192 | THz | 147.417298 | 2PiTHz | 782.614448  | cm-1 | 97.031860  | meV |
| 372 | 28 f =  | 22.215423 | THz | 139.583619 | 2PiTHz | 741.026725  | cm-1 | 91.875637  | meV |
| 373 | 29 f =  | 14.067852 | THz | 88.390920  | 2PiTHz | 469.253011  | cm-1 | 58.179979  | meV |
| 374 | 30 f =  | 13.214964 | THz | 83.032067  | 2PiTHz | 440.803735  | cm-1 | 54.652717  | meV |
| 375 | 31 f =  | 11.033815 | THz | 69.327505  | 2PiTHz | 368.048442  | cm-1 | 45.632207  | meV |
| 376 | 32 f =  | 10.483293 | THz | 65.868473  | 2PiTHz | 349.685007  | cm-1 | 43.355431  | meV |
| 377 | 33 f =  | 9.821597  | THz | 61.710915  | 2PiTHz | 327.613207  | cm-1 | 40.618875  | meV |
| 378 | 34 f =  | 8.206921  | THz | 51.565604  | 2PiTHz | 273.753403  | cm-1 | 33.941108  | meV |
| 379 | 35 f =  | 7.391258  | THz | 46.440646  | 2PiTHz | 246.545832  | cm-1 | 30.567798  | meV |
| 380 | 36 f =  | 5.460940  | THz | 34.312100  | 2PiTHz | 182.157355  | cm-1 | 22.584642  | meV |
| 381 | 37 f =  | 4.189418  | THz | 26.322893  | 2PiTHz | 139.743955  | cm-1 | 17.326048  | meV |
| 382 | 38 f =  | 2.504132  | THz | 15.733927  | 2PiTHz | 83.528858   | cm-1 | 10.356262  | meV |
| 383 | # 15 C4H8 ads H ads H ads # C4H8 2HadsFe              |           |     |            |        |             |      |            |     |
| 384 | 1 f =   | 93.583519 | THz | 588.002589 | 2PiTHz | 3121.610074 | cm-1 | 387.030462 | meV |
| 385 | 2 f =   | 92.795617 | THz | 583.052057 | 2PiTHz | 3095.328504 | cm-1 | 383.771961 | meV |
| 386 | 3 f =   | 92.490353 | THz | 581.134027 | 2PiTHz | 3085.145998 | cm-1 | 382.509491 | meV |
| 387 | 4 f =   | 91.339904 | THz | 573.905542 | 2PiTHz | 3046.771148 | cm-1 | 377.751614 | meV |
| 388 | 5 f =   | 90.661999 | THz | 569.646143 | 2PiTHz | 3024.158689 | cm-1 | 374.948025 | meV |
| 389 | 6 f =   | 90.417797 | THz | 568.111774 | 2PiTHz | 3016.012974 | cm-1 | 373.938085 | meV |
| 390 | 7 f =   | 88.777236 | THz | 557.803825 | 2PiTHz | 2961.289750 | cm-1 | 367.153268 | meV |
| 391 | 8 f =   | 87.116923 | THz | 547.371774 | 2PiTHz | 2905.907687 | cm-1 | 360.286764 | meV |
| 392 | 9 f =   | 50.357961 | THz | 316.408403 | 2PiTHz | 1679.760732 | cm-1 | 208.263863 | meV |
| 393 | 10 f =  | 48.128312 | THz | 302.399100 | 2PiTHz | 1605.387621 | cm-1 | 199.042769 | meV |
| 394 | 11 f =  | 42.872466 | THz | 269.375650 | 2PiTHz | 1430.071496 | cm-1 | 177.306332 | meV |
| 395 | 12 f =  | 42.697350 | THz | 268.275365 | 2PiTHz | 1424.230262 | cm-1 | 176.582111 | meV |
| 396 | 13 f =  | 42.261131 | THz | 265.534514 | 2PiTHz | 1409.679534 | cm-1 | 174.778050 | meV |
| 397 | 14 f =  | 41.912802 | THz | 263.345901 | 2PiTHz | 1398.060539 | cm-1 | 173.337477 | meV |
| 398 | 15 f =  | 40.599922 | THz | 255.096833 | 2PiTHz | 1354.267580 | cm-1 | 167.907841 | meV |
| 399 | 16 f =  | 39.884252 | THz | 250.600147 | 2PiTHz | 1330.395408 | cm-1 | 164.948067 | meV |
| 400 | 17 f =  | 38.065873 | THz | 239.174931 | 2PiTHz | 1269.740796 | cm-1 | 157.427851 | meV |
| 401 | 18 f =  | 36.742220 | THz | 230.858175 | 2PiTHz | 1225.588488 | cm-1 | 151.953661 | meV |
| 402 | 19 f =  | 35.056279 | THz | 220.265100 | 2PiTHz | 1169.351579 | cm-1 | 144.981170 | meV |
| 403 | 20 f =  | 34.516890 | THz | 216.876019 | 2PiTHz | 1151.359496 | cm-1 | 142.750435 | meV |
| 404 | 21 f =  | 31.116512 | THz | 195.510811 | 2PiTHz | 1037.935086 | cm-1 | 128.687596 | meV |
| 405 | 22 f =  | 30.113526 | THz | 189.208866 | 2PiTHz | 1004.479084 | cm-1 | 124.539579 | meV |
| 406 | 23 f =  | 29.232578 | THz | 183.673705 | 2PiTHz | 975.093814  | cm-1 | 120.896268 | meV |
| 407 | 24 f =  | 28.617092 | THz | 179.806493 | 2PiTHz | 954.563415  | cm-1 | 118.350822 | meV |
| 408 | 25 f =  | 25.290487 | THz | 158.904815 | 2PiTHz | 843.599806  | cm-1 | 104.593083 | meV |
| 409 | 26 f =  | 24.908652 | THz | 156.505674 | 2PiTHz | 830.863161  | cm-1 | 103.013940 | meV |
| 410 | 27 f =  | 23.492114 | THz | 147.605306 | 2PiTHz | 783.612555  | cm-1 | 97.155609  | meV |
| 411 | 28 f =  | 22.204186 | THz | 139.513013 | 2PiTHz | 740.651888  | cm-1 | 91.829164  | meV |
| 412 | 29 f =  | 18.809687 | THz | 118.184747 | 2PiTHz | 627.423591  | cm-1 | 77.790639  | meV |
| 413 | 30 f =  | 15.140998 | THz | 95.133698  | 2PiTHz | 505.049326  | cm-1 | 62.618158  | meV |
| 414 | 31 f =  | 14.083957 | THz | 88.492115  | 2PiTHz | 469.790239  | cm-1 | 58.246587  | meV |
| 415 | 32 f =  | 13.225901 | THz | 83.100785  | 2PiTHz | 441.168545  | cm-1 | 54.697948  | meV |
| 416 | 33 f =  | 10.681739 | THz | 67.115346  | 2PiTHz | 356.304450  | cm-1 | 44.176137  | meV |
| 417 | 34 f =  | 10.487856 | THz | 65.897145  | 2PiTHz | 349.837223  | cm-1 | 43.374303  | meV |
| 418 | 35 f =  | 8.221622  | THz | 51.657974  | 2PiTHz | 274.243782  | cm-1 | 34.001908  | meV |
| 419 | 36 f =  | 8.041572  | THz | 50.526690  | 2PiTHz | 268.237977  | cm-1 | 33.257282  | meV |
| 420 | 37 f =  | 5.460938  | THz | 34.312088  | 2PiTHz | 182.157294  | cm-1 | 22.584634  | meV |
| 421 | 38 f =  | 4.224006  | THz | 26.540210  | 2PiTHz | 140.897658  | cm-1 | 17.469089  | meV |
| 422 | 39 f =  | 2.545736  | THz | 15.995329  | 2PiTHz | 84.916597   | cm-1 | 10.528320  | meV |
| 423 | # 16 C4H8 ads H ads H ads # TS C4H8 HadsFe HadsAlgIue |           |     |            |        |             |      |            |     |
| 424 | 1 f =   | 93.607735 | THz | 588.154747 | 2PiTHz | 3122.417856 | cm-1 | 387.130614 | meV |
| 425 | 2 f =   | 92.829297 | THz | 583.263677 | 2PiTHz | 3096.451964 | cm-1 | 383.911252 | meV |
| 426 | 3 f =   | 92.349228 | THz | 580.247312 | 2PiTHz | 3080.438572 | cm-1 | 381.925844 | meV |
| 427 | 4 f =   | 91.369809 | THz | 574.093440 | 2PiTHz | 3047.768664 | cm-1 | 377.875290 | meV |
| 428 | 5 f =   | 90.640333 | THz | 569.510006 | 2PiTHz | 3023.435963 | cm-1 | 374.858419 | meV |

## Appendix C. Python scripts

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429 |   6 f = 90.252084 Thz  567.070569 2PiTHz 3010.485387 cm-1  373.252751 meV
430 |   7 f = 88.650035 Thz  557.004600 2PiTHz 2957.046794 cm-1  366.627208 meV
431 |   8 f = 87.341079 Thz  548.780183 2PiTHz 2913.384704 cm-1  361.213797 meV
432 |   9 f = 45.900009 Thz  288.398263 2PiTHz 1531.059457 cm-1  189.827248 meV
433 |  10 f = 42.863543 Thz  269.319583 2PiTHz 1429.773845 cm-1  177.269428 meV
434 |  11 f = 42.667644 Thz  268.088717 2PiTHz 1423.239378 cm-1  176.459257 meV
435 |  12 f = 42.259022 Thz  265.521267 2PiTHz 1409.609204 cm-1  174.769330 meV
436 |  13 f = 41.915393 Thz  263.362181 2PiTHz 1398.146970 cm-1  173.348193 meV
437 |  14 f = 40.582041 Thz  254.984486 2PiTHz 1353.671148 cm-1  167.833892 meV
438 |  15 f = 39.877358 Thz  250.556828 2PiTHz 1330.165433 cm-1  164.919554 meV
439 |  16 f = 38.054263 Thz  239.101989 2PiTHz 1269.353558 cm-1  157.379840 meV
440 |  17 f = 36.738258 Thz  230.833282 2PiTHz 1225.456337 cm-1  151.937276 meV
441 |  18 f = 35.069006 Thz  220.345060 2PiTHz 1169.776074 cm-1  145.033801 meV
442 |  19 f = 34.488220 Thz  216.695880 2PiTHz 1150.403167 cm-1  142.631866 meV
443 |  20 f = 32.720211 Thz  205.587151 2PiTHz 1091.428737 cm-1  135.319966 meV
444 |  21 f = 31.109834 Thz  195.468851 2PiTHz 1037.712329 cm-1  128.659977 meV
445 |  22 f = 30.121224 Thz  189.257232 2PiTHz 1004.735853 cm-1  124.571414 meV
446 |  23 f = 29.208613 Thz  183.523127 2PiTHz 974.294422 cm-1  120.797156 meV
447 |  24 f = 28.591754 Thz  179.647290 2PiTHz 953.718233 cm-1  118.246033 meV
448 |  25 f = 25.133101 Thz  157.915933 2PiTHz 838.349998 cm-1  103.942190 meV
449 |  26 f = 24.895238 Thz  156.421392 2PiTHz 830.415720 cm-1  102.958464 meV
450 |  27 f = 24.486289 Thz  153.851893 2PiTHz 816.774670 cm-1  101.267189 meV
451 |  28 f = 23.435022 Thz  147.246584 2PiTHz 781.708156 cm-1  96.919494 meV
452 |  29 f = 22.125981 Thz  139.021639 2PiTHz 738.043260 cm-1  91.505735 meV
453 |  30 f = 20.775709 Thz  130.537633 2PiTHz 693.003053 cm-1  85.921459 meV
454 |  31 f = 14.041849 Thz  88.227539 2PiTHz 468.385648 cm-1  58.072440 meV
455 |  32 f = 13.210130 Thz  83.001695 2PiTHz 440.642494 cm-1  54.632726 meV
456 |  33 f = 10.400288 Thz  65.346939 2PiTHz 346.916268 cm-1  43.012151 meV
457 |  34 f = 8.159231 Thz  51.265960 2PiTHz 272.162638 cm-1  33.743879 meV
458 |  35 f = 6.776983 Thz  42.581039 2PiTHz 226.055809 cm-1  28.027358 meV
459 |  36 f = 5.487323 Thz  34.477870 2PiTHz 183.037404 cm-1  22.693754 meV
460 |  37 f = 4.082581 Thz  25.651611 2PiTHz 136.180228 cm-1  16.884203 meV
461 |  38 f = 2.477411 Thz  15.566035 2PiTHz 82.637545 cm-1  10.245753 meV
462 | # 17 C4H8 ads H ads H ads # C4H8 HadsFe HadsAlglue
463 |   1 f = 93.428747 Thz  587.030132 2PiTHz 3116.447462 cm-1  386.390379 meV
464 |   2 f = 92.695716 Thz  582.424363 2PiTHz 3091.996182 cm-1  383.358806 meV
465 |   3 f = 92.397715 Thz  580.551967 2PiTHz 3082.055935 cm-1  382.126372 meV
466 |   4 f = 91.232951 Thz  573.233538 2PiTHz 3043.203585 cm-1  377.309293 meV
467 |   5 f = 90.773170 Thz  570.344647 2PiTHz 3027.866933 cm-1  375.407789 meV
468 |   6 f = 90.027545 Thz  565.659749 2PiTHz 3002.995571 cm-1  372.324132 meV
469 |   7 f = 88.598764 Thz  556.682452 2PiTHz 2955.336564 cm-1  366.415166 meV
470 |   8 f = 87.341222 Thz  548.781084 2PiTHz 2913.389485 cm-1  361.214390 meV
471 |   9 f = 53.721736 Thz  337.543621 2PiTHz 1791.964163 cm-1  222.175320 meV
472 |  10 f = 44.819223 Thz  281.607482 2PiTHz 1495.008305 cm-1  185.357473 meV
473 |  11 f = 42.848060 Thz  269.222303 2PiTHz 1429.257402 cm-1  177.205397 meV
474 |  12 f = 42.651031 Thz  267.984330 2PiTHz 1422.685209 cm-1  176.390548 meV
475 |  13 f = 42.218358 Thz  265.265768 2PiTHz 1408.252805 cm-1  174.601158 meV
476 |  14 f = 41.869015 Thz  263.070783 2PiTHz 1396.599982 cm-1  173.156391 meV
477 |  15 f = 40.563505 Thz  254.868018 2PiTHz 1353.052842 cm-1  167.757232 meV
478 |  16 f = 39.821500 Thz  250.205862 2PiTHz 1328.302212 cm-1  164.688544 meV
479 |  17 f = 38.037851 Thz  238.998865 2PiTHz 1268.806090 cm-1  157.311963 meV
480 |  18 f = 36.661844 Thz  230.353162 2PiTHz 1222.907458 cm-1  151.621255 meV
481 |  19 f = 34.919555 Thz  219.406033 2PiTHz 1164.790929 cm-1  144.415721 meV
482 |  20 f = 34.504998 Thz  216.801295 2PiTHz 1150.962802 cm-1  142.701252 meV
483 |  21 f = 31.097390 Thz  195.390661 2PiTHz 1037.297229 cm-1  128.608512 meV
484 |  22 f = 30.090154 Thz  189.062011 2PiTHz 1003.699455 cm-1  124.442917 meV
485 |  23 f = 29.306015 Thz  184.135123 2PiTHz 977.543409 cm-1  121.199980 meV
486 |  24 f = 29.032444 Thz  182.416227 2PiTHz 968.418069 cm-1  120.068581 meV
487 |  25 f = 25.057224 Thz  157.439183 2PiTHz 835.819009 cm-1  103.628387 meV
488 |  26 f = 24.956173 Thz  156.804261 2PiTHz 832.448310 cm-1  103.210473 meV
489 |  27 f = 23.596179 Thz  148.259168 2PiTHz 787.083801 cm-1  97.585989 meV
490 |  28 f = 22.099285 Thz  138.853906 2PiTHz 737.152793 cm-1  91.395331 meV
491 |  29 f = 20.298906 Thz  127.541789 2PiTHz 677.098608 cm-1  83.949558 meV
492 |  30 f = 14.141714 Thz  88.855007 2PiTHz 471.716778 cm-1  58.485448 meV
493 |  31 f = 13.571431 Thz  85.271814 2PiTHz 452.694189 cm-1  56.126946 meV
494 |  32 f = 13.269159 Thz  83.372586 2PiTHz 442.611494 cm-1  54.876851 meV
495 |  33 f = 10.734984 Thz  67.449894 2PiTHz 358.080510 cm-1  44.396341 meV
496 |  34 f = 10.463616 Thz  65.744836 2PiTHz 349.028636 cm-1  43.274051 meV

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## C.2. Pre-exponential factors

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|     |                                      |           |     |            |        |             |      |            |     |
|-----|--------------------------------------|-----------|-----|------------|--------|-------------|------|------------|-----|
| 497 | 35 f =                               | 10.375178 | THz | 65.189165  | 2PiTHz | 346.078671  | cm-1 | 42.908302  | meV |
| 498 | 36 f =                               | 8.240888  | THz | 51.779026  | 2PiTHz | 274.886426  | cm-1 | 34.081585  | meV |
| 499 | 37 f =                               | 5.443015  | THz | 34.199472  | 2PiTHz | 181.559431  | cm-1 | 22.510509  | meV |
| 500 | 38 f =                               | 4.109491  | THz | 25.820696  | 2PiTHz | 137.077875  | cm-1 | 16.995497  | meV |
| 501 | 39 f =                               | 2.409807  | THz | 15.141266  | 2PiTHz | 80.382519   | cm-1 | 9.966166   | meV |
| 502 | # 18 C4H9 ads H ads # TS C4H9 HadsFe |           |     |            |        |             |      |            |     |
| 503 | 1 f =                                | 92.354465 | THz | 580.280218 | 2PiTHz | 3080.613265 | cm-1 | 381.947503 | meV |
| 504 | 2 f =                                | 91.415695 | THz | 574.381749 | 2PiTHz | 3049.299249 | cm-1 | 378.065059 | meV |
| 505 | 3 f =                                | 90.600840 | THz | 569.261869 | 2PiTHz | 3022.118639 | cm-1 | 374.695091 | meV |
| 506 | 4 f =                                | 90.395437 | THz | 567.971281 | 2PiTHz | 3015.267118 | cm-1 | 373.845611 | meV |
| 507 | 5 f =                                | 90.306897 | THz | 567.414970 | 2PiTHz | 3012.313756 | cm-1 | 373.479440 | meV |
| 508 | 6 f =                                | 89.595611 | THz | 562.945830 | 2PiTHz | 2988.587817 | cm-1 | 370.537798 | meV |
| 509 | 7 f =                                | 88.603987 | THz | 556.715270 | 2PiTHz | 2955.510788 | cm-1 | 366.436767 | meV |
| 510 | 8 f =                                | 88.034085 | THz | 553.138991 | 2PiTHz | 2936.524904 | cm-1 | 364.082817 | meV |
| 511 | 9 f =                                | 86.570688 | THz | 543.939677 | 2PiTHz | 2887.687246 | cm-1 | 358.027717 | meV |
| 512 | 10 f =                               | 44.907750 | THz | 282.163717 | 2PiTHz | 1497.961265 | cm-1 | 185.723593 | meV |
| 513 | 11 f =                               | 42.791096 | THz | 268.864388 | 2PiTHz | 1427.357288 | cm-1 | 176.969813 | meV |
| 514 | 12 f =                               | 42.690296 | THz | 268.231040 | 2PiTHz | 1423.994949 | cm-1 | 176.552936 | meV |
| 515 | 13 f =                               | 42.541444 | THz | 267.295778 | 2PiTHz | 1419.029798 | cm-1 | 175.937335 | meV |
| 516 | 14 f =                               | 42.193126 | THz | 265.107230 | 2PiTHz | 1407.411148 | cm-1 | 174.496806 | meV |
| 517 | 15 f =                               | 41.749877 | THz | 262.322214 | 2PiTHz | 1392.625951 | cm-1 | 172.663674 | meV |
| 518 | 16 f =                               | 39.860225 | THz | 250.449182 | 2PiTHz | 1329.593957 | cm-1 | 164.848700 | meV |
| 519 | 17 f =                               | 39.688472 | THz | 249.370022 | 2PiTHz | 1323.864873 | cm-1 | 164.138384 | meV |
| 520 | 18 f =                               | 39.356058 | THz | 247.281406 | 2PiTHz | 1312.776750 | cm-1 | 162.763631 | meV |
| 521 | 19 f =                               | 37.781819 | THz | 237.388286 | 2PiTHz | 1260.255789 | cm-1 | 156.251860 | meV |
| 522 | 20 f =                               | 37.032874 | THz | 232.684412 | 2PiTHz | 1235.283683 | cm-1 | 153.155712 | meV |
| 523 | 21 f =                               | 33.972971 | THz | 213.458469 | 2PiTHz | 1133.216283 | cm-1 | 140.500963 | meV |
| 524 | 22 f =                               | 32.312903 | THz | 203.027958 | 2PiTHz | 1077.842397 | cm-1 | 133.635474 | meV |
| 525 | 23 f =                               | 31.429265 | THz | 197.475899 | 2PiTHz | 1048.367417 | cm-1 | 129.981040 | meV |
| 526 | 24 f =                               | 30.356131 | THz | 190.733193 | 2PiTHz | 1012.571490 | cm-1 | 125.542910 | meV |
| 527 | 25 f =                               | 28.390543 | THz | 178.383044 | 2PiTHz | 947.006558  | cm-1 | 117.413891 | meV |
| 528 | 26 f =                               | 28.011772 | THz | 176.003152 | 2PiTHz | 934.372098  | cm-1 | 115.847417 | meV |
| 529 | 27 f =                               | 26.808816 | THz | 168.444761 | 2PiTHz | 894.245832  | cm-1 | 110.872392 | meV |
| 530 | 28 f =                               | 24.044771 | THz | 151.077752 | 2PiTHz | 802.047202  | cm-1 | 99.441215  | meV |
| 531 | 29 f =                               | 21.972179 | THz | 138.055275 | 2PiTHz | 732.912992  | cm-1 | 90.869662  | meV |
| 532 | 30 f =                               | 20.698065 | THz | 130.049780 | 2PiTHz | 690.413123  | cm-1 | 85.600348  | meV |
| 533 | 31 f =                               | 12.914784 | THz | 81.145980  | 2PiTHz | 430.790806  | cm-1 | 53.411272  | meV |
| 534 | 32 f =                               | 12.330505 | THz | 77.474849  | 2PiTHz | 411.301369  | cm-1 | 50.994889  | meV |
| 535 | 33 f =                               | 10.744021 | THz | 67.506678  | 2PiTHz | 358.381969  | cm-1 | 44.433717  | meV |
| 536 | 34 f =                               | 8.937612  | THz | 56.156670  | 2PiTHz | 298.126622  | cm-1 | 36.963004  | meV |
| 537 | 35 f =                               | 7.966371  | THz | 50.054184  | 2PiTHz | 265.729520  | cm-1 | 32.946273  | meV |
| 538 | 36 f =                               | 6.522485  | THz | 40.981981  | 2PiTHz | 217.566667  | cm-1 | 26.974838  | meV |
| 539 | 37 f =                               | 5.362086  | THz | 33.690978  | 2PiTHz | 178.859918  | cm-1 | 22.175812  | meV |
| 540 | 38 f =                               | 4.953495  | THz | 31.123728  | 2PiTHz | 165.230809  | cm-1 | 20.486017  | meV |
| 541 | # 19 C4H9 ads H ads # C4H9 HadsFe    |           |     |            |        |             |      |            |     |
| 542 | 1 f =                                | 92.357086 | THz | 580.296685 | 2PiTHz | 3080.700684 | cm-1 | 381.958342 | meV |
| 543 | 2 f =                                | 91.682531 | THz | 576.058331 | 2PiTHz | 3058.199952 | cm-1 | 379.168606 | meV |
| 544 | 3 f =                                | 90.766762 | THz | 570.304386 | 2PiTHz | 3027.653196 | cm-1 | 375.381289 | meV |
| 545 | 4 f =                                | 90.419221 | THz | 568.120719 | 2PiTHz | 3016.060460 | cm-1 | 373.943973 | meV |
| 546 | 5 f =                                | 90.324107 | THz | 567.523104 | 2PiTHz | 3012.887821 | cm-1 | 373.550615 | meV |
| 547 | 6 f =                                | 89.630472 | THz | 563.164863 | 2PiTHz | 2989.750627 | cm-1 | 370.681968 | meV |
| 548 | 7 f =                                | 88.695180 | THz | 557.288249 | 2PiTHz | 2958.552644 | cm-1 | 366.813910 | meV |
| 549 | 8 f =                                | 88.064410 | THz | 553.325005 | 2PiTHz | 2937.512421 | cm-1 | 364.205254 | meV |
| 550 | 9 f =                                | 86.563823 | THz | 543.896543 | 2PiTHz | 2887.458253 | cm-1 | 357.999325 | meV |
| 551 | 10 f =                               | 44.828443 | THz | 281.665415 | 2PiTHz | 1495.315863 | cm-1 | 185.395605 | meV |
| 552 | 11 f =                               | 42.832424 | THz | 269.124058 | 2PiTHz | 1428.735835 | cm-1 | 177.140731 | meV |
| 553 | 12 f =                               | 42.740363 | THz | 268.545620 | 2PiTHz | 1425.665006 | cm-1 | 176.759996 | meV |
| 554 | 13 f =                               | 42.568770 | THz | 267.467472 | 2PiTHz | 1419.941289 | cm-1 | 176.050346 | meV |
| 555 | 14 f =                               | 42.209017 | THz | 265.207076 | 2PiTHz | 1407.941215 | cm-1 | 174.562526 | meV |
| 556 | 15 f =                               | 41.732184 | THz | 262.211047 | 2PiTHz | 1392.035788 | cm-1 | 172.590503 | meV |
| 557 | 16 f =                               | 39.898471 | THz | 250.689489 | 2PiTHz | 1330.869711 | cm-1 | 165.006874 | meV |
| 558 | 17 f =                               | 39.698022 | THz | 249.430028 | 2PiTHz | 1324.183437 | cm-1 | 164.177881 | meV |
| 559 | 18 f =                               | 39.344869 | THz | 247.211102 | 2PiTHz | 1312.403521 | cm-1 | 162.717357 | meV |
| 560 | 19 f =                               | 37.786485 | THz | 237.419487 | 2PiTHz | 1260.421427 | cm-1 | 156.272396 | meV |
| 561 | 20 f =                               | 37.079335 | THz | 232.976330 | 2PiTHz | 1236.833429 | cm-1 | 153.347856 | meV |
| 562 | 21 f =                               | 33.997104 | THz | 213.610107 | 2PiTHz | 1134.021302 | cm-1 | 140.600773 | meV |
| 563 | 22 f =                               | 32.312239 | THz | 203.023784 | 2PiTHz | 1077.820236 | cm-1 | 133.632726 | meV |
| 564 | 23 f =                               | 31.427858 | THz | 197.467054 | 2PiTHz | 1048.320462 | cm-1 | 129.975219 | meV |

## Appendix C. Python scripts

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565 | 24 f = 30.335849 THz 190.605763 2PiTHz 1011.894982 cm-1 125.459033 meV
566 | 25 f = 28.407529 THz 178.489770 2PiTHz 947.573146 cm-1 117.484139 meV
567 | 26 f = 28.000803 THz 175.934235 2PiTHz 934.006227 cm-1 115.802055 meV
568 | 27 f = 26.820266 THz 168.516701 2PiTHz 894.627749 cm-1 110.919744 meV
569 | 28 f = 24.043633 THz 151.070600 2PiTHz 802.009235 cm-1 99.436508 meV
570 | 29 f = 21.993400 THz 138.188610 2PiTHz 733.620849 cm-1 90.957426 meV
571 | 30 f = 20.987003 THz 131.865228 2PiTHz 700.051042 cm-1 86.795298 meV
572 | 31 f = 12.930131 THz 81.242410 2PiTHz 431.302737 cm-1 53.474743 meV
573 | 32 f = 12.258471 THz 77.022247 2PiTHz 408.898575 cm-1 50.696980 meV
574 | 33 f = 11.046522 THz 69.407342 2PiTHz 368.472285 cm-1 45.684757 meV
575 | 34 f = 8.868835 THz 55.724535 2PiTHz 295.832492 cm-1 36.678568 meV
576 | 35 f = 7.996662 THz 50.244510 2PiTHz 266.739928 cm-1 33.071548 meV
577 | 36 f = 6.416915 THz 40.318667 2PiTHz 214.045245 cm-1 26.538238 meV
578 | 37 f = 5.350192 THz 33.616251 2PiTHz 178.463206 cm-1 22.126626 meV
579 | 38 f = 4.925076 THz 30.945167 2PiTHz 164.282859 cm-1 20.368486 meV
580 | 39 f = 1.464383 THz 9.200987 2PiTHz 48.846541 cm-1 6.056201 meV
581 | # 20 C4H9 ads H ads # TS C4H9 HadsFeSub
582 | 1 f = 92.473596 THz 581.028742 2PiTHz 3084.587057 cm-1 382.440191 meV
583 | 2 f = 91.841107 THz 577.054695 2PiTHz 3063.489487 cm-1 379.824425 meV
584 | 3 f = 90.770636 THz 570.328727 2PiTHz 3027.782416 cm-1 375.397310 meV
585 | 4 f = 90.478684 THz 568.494341 2PiTHz 3018.043958 cm-1 374.189895 meV
586 | 5 f = 90.389196 THz 567.932067 2PiTHz 3015.058942 cm-1 373.819800 meV
587 | 6 f = 89.754014 THz 563.941101 2PiTHz 2993.871548 cm-1 371.192897 meV
588 | 7 f = 88.627835 THz 556.865113 2PiTHz 2956.306279 cm-1 366.535396 meV
589 | 8 f = 88.106719 THz 553.590845 2PiTHz 2938.923724 cm-1 364.380233 meV
590 | 9 f = 86.416304 THz 542.969653 2PiTHz 2882.537544 cm-1 357.389235 meV
591 | 10 f = 42.905345 THz 269.582235 2PiTHz 1431.168222 cm-1 177.442309 meV
592 | 11 f = 42.764641 THz 268.698163 2PiTHz 1426.474831 cm-1 176.860402 meV
593 | 12 f = 42.608248 THz 267.715519 2PiTHz 1421.258134 cm-1 176.213614 meV
594 | 13 f = 42.272601 THz 265.606585 2PiTHz 1410.062147 cm-1 174.825488 meV
595 | 14 f = 41.680189 THz 261.884348 2PiTHz 1390.301395 cm-1 172.375466 meV
596 | 15 f = 39.965008 THz 251.107553 2PiTHz 1333.089141 cm-1 165.282048 meV
597 | 16 f = 39.720054 THz 249.568457 2PiTHz 1324.918332 cm-1 164.268996 meV
598 | 17 f = 39.339608 THz 247.178045 2PiTHz 1312.228025 cm-1 162.695598 meV
599 | 18 f = 37.810368 THz 237.569549 2PiTHz 1261.218082 cm-1 156.371169 meV
600 | 19 f = 37.020279 THz 232.605274 2PiTHz 1234.863553 cm-1 153.103623 meV
601 | 20 f = 34.077865 THz 214.117540 2PiTHz 1136.715181 cm-1 140.934771 meV
602 | 21 f = 32.304855 THz 202.977388 2PiTHz 1077.573926 cm-1 133.602187 meV
603 | 22 f = 31.737888 THz 199.415033 2PiTHz 1058.661967 cm-1 131.257402 meV
604 | 23 f = 31.482488 THz 197.810307 2PiTHz 1050.142733 cm-1 130.201152 meV
605 | 24 f = 30.290060 THz 190.318060 2PiTHz 1010.367616 cm-1 125.269664 meV
606 | 25 f = 28.448239 THz 178.745555 2PiTHz 948.931069 cm-1 117.652500 meV
607 | 26 f = 28.067750 THz 176.354872 2PiTHz 936.239320 cm-1 116.078923 meV
608 | 27 f = 27.765565 THz 174.456190 2PiTHz 926.159530 cm-1 114.829188 meV
609 | 28 f = 27.037969 THz 169.884572 2PiTHz 901.889553 cm-1 111.820093 meV
610 | 29 f = 24.055693 THz 151.146376 2PiTHz 802.411519 cm-1 99.486385 meV
611 | 30 f = 22.069822 THz 138.668781 2PiTHz 736.169999 cm-1 91.273480 meV
612 | 31 f = 12.985018 THz 81.587277 2PiTHz 433.133578 cm-1 53.701739 meV
613 | 32 f = 12.318930 THz 77.402122 2PiTHz 410.915271 cm-1 50.947019 meV
614 | 33 f = 8.857923 THz 55.655971 2PiTHz 295.468494 cm-1 36.633438 meV
615 | 34 f = 8.002859 THz 50.283445 2PiTHz 266.946630 cm-1 33.097176 meV
616 | 35 f = 6.298036 THz 39.571730 2PiTHz 210.079876 cm-1 26.046594 meV
617 | 36 f = 5.360637 THz 33.681876 2PiTHz 178.811600 cm-1 22.169821 meV
618 | 37 f = 4.901211 THz 30.795218 2PiTHz 163.486803 cm-1 20.269788 meV
619 | 38 f = 1.517490 THz 9.534671 2PiTHz 50.618016 cm-1 6.275836 meV
620 | # 21 C4H9 ads H ads # C4H9 HadsFeSub
621 | 1 f = 92.347045 THz 580.233595 2PiTHz 3080.365752 cm-1 381.916816 meV
622 | 2 f = 91.518659 THz 575.028695 2PiTHz 3052.733785 cm-1 378.490887 meV
623 | 3 f = 90.647986 THz 569.558097 2PiTHz 3023.691266 cm-1 374.890072 meV
624 | 4 f = 90.413437 THz 568.084380 2PiTHz 3015.867545 cm-1 373.920054 meV
625 | 5 f = 90.334251 THz 567.586836 2PiTHz 3013.226162 cm-1 373.592564 meV
626 | 6 f = 89.498603 THz 562.336307 2PiTHz 2985.351961 cm-1 370.136603 meV
627 | 7 f = 88.602682 THz 556.707068 2PiTHz 2955.467245 cm-1 366.431369 meV
628 | 8 f = 88.107532 THz 553.595954 2PiTHz 2938.950846 cm-1 364.383595 meV
629 | 9 f = 86.550229 THz 543.811127 2PiTHz 2887.004795 cm-1 357.943104 meV
630 | 10 f = 53.052115 THz 333.336270 2PiTHz 1769.628023 cm-1 219.405991 meV
631 | 11 f = 42.844977 THz 269.202927 2PiTHz 1429.154541 cm-1 177.192644 meV
632 | 12 f = 42.748554 THz 268.597085 2PiTHz 1425.938222 cm-1 176.793871 meV

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## C.2. Pre-exponential factors

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|     |                            |           |     |            |        |             |      |            |     |
|-----|----------------------------|-----------|-----|------------|--------|-------------|------|------------|-----|
| 633 | 13 f =                     | 42.562134 | THz | 267.425772 | 2PiTHz | 1419.719913 | cm-1 | 176.022899 | meV |
| 634 | 14 f =                     | 42.194638 | THz | 265.116729 | 2PiTHz | 1407.461579 | cm-1 | 174.503058 | meV |
| 635 | 15 f =                     | 41.687998 | THz | 261.933414 | 2PiTHz | 1390.561879 | cm-1 | 172.407762 | meV |
| 636 | 16 f =                     | 39.945857 | THz | 250.987221 | 2PiTHz | 1332.450318 | cm-1 | 165.202844 | meV |
| 637 | 17 f =                     | 39.724868 | THz | 249.598708 | 2PiTHz | 1325.078929 | cm-1 | 164.288908 | meV |
| 638 | 18 f =                     | 39.345486 | THz | 247.214977 | 2PiTHz | 1312.424090 | cm-1 | 162.719907 | meV |
| 639 | 19 f =                     | 37.773268 | THz | 237.336446 | 2PiTHz | 1259.980574 | cm-1 | 156.217738 | meV |
| 640 | 20 f =                     | 37.044372 | THz | 232.756655 | 2PiTHz | 1235.667211 | cm-1 | 153.203264 | meV |
| 641 | 21 f =                     | 33.981586 | THz | 213.512604 | 2PiTHz | 1133.503677 | cm-1 | 140.536595 | meV |
| 642 | 22 f =                     | 32.326197 | THz | 203.111483 | 2PiTHz | 1078.285819 | cm-1 | 133.690451 | meV |
| 643 | 23 f =                     | 31.447382 | THz | 197.589731 | 2PiTHz | 1048.971731 | cm-1 | 130.055966 | meV |
| 644 | 24 f =                     | 30.380190 | THz | 190.884364 | 2PiTHz | 1013.374030 | cm-1 | 125.642412 | meV |
| 645 | 25 f =                     | 28.438908 | THz | 178.686930 | 2PiTHz | 948.619840  | cm-1 | 117.613913 | meV |
| 646 | 26 f =                     | 27.988052 | THz | 175.854115 | 2PiTHz | 933.580883  | cm-1 | 115.749319 | meV |
| 647 | 27 f =                     | 26.771379 | THz | 168.209535 | 2PiTHz | 892.997052  | cm-1 | 110.717563 | meV |
| 648 | 28 f =                     | 24.089123 | THz | 151.356421 | 2PiTHz | 803.526615  | cm-1 | 99.624639  | meV |
| 649 | 29 f =                     | 21.946713 | THz | 137.895267 | 2PiTHz | 732.063539  | cm-1 | 90.764344  | meV |
| 650 | 30 f =                     | 12.980755 | THz | 81.560490  | 2PiTHz | 432.991370  | cm-1 | 53.684107  | meV |
| 651 | 31 f =                     | 12.233761 | THz | 76.866987  | 2PiTHz | 408.074329  | cm-1 | 50.594787  | meV |
| 652 | 32 f =                     | 10.861899 | THz | 68.247325  | 2PiTHz | 362.313946  | cm-1 | 44.921220  | meV |
| 653 | 33 f =                     | 8.919662  | THz | 56.043888  | 2PiTHz | 297.527884  | cm-1 | 36.888769  | meV |
| 654 | 34 f =                     | 8.069313  | THz | 50.700989  | 2PiTHz | 269.163304  | cm-1 | 33.372008  | meV |
| 655 | 35 f =                     | 6.439247  | THz | 40.458980  | 2PiTHz | 214.790140  | cm-1 | 26.630593  | meV |
| 656 | 36 f =                     | 5.638078  | THz | 35.425087  | 2PiTHz | 188.066024  | cm-1 | 23.317224  | meV |
| 657 | 37 f =                     | 5.334590  | THz | 33.518220  | 2PiTHz | 177.942779  | cm-1 | 22.062101  | meV |
| 658 | 38 f =                     | 5.020833  | THz | 31.546825  | 2PiTHz | 167.476963  | cm-1 | 20.764504  | meV |
| 659 | 39 f =                     | 1.427514  | THz | 8.969337   | 2PiTHz | 47.616750   | cm-1 | 5.903727   | meV |
| 660 | # 22 C4H10 ads # TS C4H10  |           |     |            |        |             |      |            |     |
| 661 | 1 f =                      | 91.426024 | THz | 574.446652 | 2PiTHz | 3049.643813 | cm-1 | 378.107779 | meV |
| 662 | 2 f =                      | 91.316583 | THz | 573.759013 | 2PiTHz | 3045.993245 | cm-1 | 377.655166 | meV |
| 663 | 3 f =                      | 90.532303 | THz | 568.831239 | 2PiTHz | 3019.832497 | cm-1 | 374.411646 | meV |
| 664 | 4 f =                      | 90.146255 | THz | 566.405625 | 2PiTHz | 3006.955306 | cm-1 | 372.815077 | meV |
| 665 | 5 f =                      | 89.902868 | THz | 564.876382 | 2PiTHz | 2998.836803 | cm-1 | 371.808511 | meV |
| 666 | 6 f =                      | 88.615418 | THz | 556.787091 | 2PiTHz | 2955.892078 | cm-1 | 366.484041 | meV |
| 667 | 7 f =                      | 88.083667 | THz | 553.446000 | 2PiTHz | 2938.154769 | cm-1 | 364.284895 | meV |
| 668 | 8 f =                      | 87.876381 | THz | 552.143584 | 2PiTHz | 2931.240454 | cm-1 | 363.427628 | meV |
| 669 | 9 f =                      | 87.370626 | THz | 548.965836 | 2PiTHz | 2914.370306 | cm-1 | 361.335996 | meV |
| 670 | 10 f =                     | 42.824309 | THz | 269.073071 | 2PiTHz | 1428.465154 | cm-1 | 177.107171 | meV |
| 671 | 11 f =                     | 42.783679 | THz | 268.817782 | 2PiTHz | 1427.109868 | cm-1 | 176.939137 | meV |
| 672 | 12 f =                     | 42.604740 | THz | 267.693476 | 2PiTHz | 1421.141111 | cm-1 | 176.199105 | meV |
| 673 | 13 f =                     | 42.392592 | THz | 266.360508 | 2PiTHz | 1414.064600 | cm-1 | 175.321729 | meV |
| 674 | 14 f =                     | 41.819567 | THz | 262.760089 | 2PiTHz | 1394.950559 | cm-1 | 172.951889 | meV |
| 675 | 15 f =                     | 39.882275 | THz | 250.587724 | 2PiTHz | 1330.329454 | cm-1 | 164.939890 | meV |
| 676 | 16 f =                     | 39.728083 | THz | 249.618910 | 2PiTHz | 1325.186179 | cm-1 | 164.302205 | meV |
| 677 | 17 f =                     | 38.985847 | THz | 244.955302 | 2PiTHz | 1300.427841 | cm-1 | 161.232561 | meV |
| 678 | 18 f =                     | 37.665616 | THz | 236.660043 | 2PiTHz | 1256.389661 | cm-1 | 155.772521 | meV |
| 679 | 19 f =                     | 36.626126 | THz | 230.128738 | 2PiTHz | 1221.716027 | cm-1 | 151.473537 | meV |
| 680 | 20 f =                     | 35.376473 | THz | 222.276934 | 2PiTHz | 1180.032077 | cm-1 | 146.305384 | meV |
| 681 | 21 f =                     | 33.600432 | THz | 211.117738 | 2PiTHz | 1120.789723 | cm-1 | 138.960265 | meV |
| 682 | 22 f =                     | 31.912824 | THz | 200.514189 | 2PiTHz | 1064.497206 | cm-1 | 131.980880 | meV |
| 683 | 23 f =                     | 31.533891 | THz | 198.133283 | 2PiTHz | 1051.857363 | cm-1 | 130.413739 | meV |
| 684 | 24 f =                     | 30.237554 | THz | 189.988152 | 2PiTHz | 1008.616189 | cm-1 | 125.052515 | meV |
| 685 | 25 f =                     | 28.497107 | THz | 179.052602 | 2PiTHz | 950.561132  | cm-1 | 117.854602 | meV |
| 686 | 26 f =                     | 28.240117 | THz | 177.437887 | 2PiTHz | 941.988872  | cm-1 | 116.791777 | meV |
| 687 | 27 f =                     | 27.999801 | THz | 175.927937 | 2PiTHz | 933.972792  | cm-1 | 115.797910 | meV |
| 688 | 28 f =                     | 26.089756 | THz | 163.926774 | 2PiTHz | 870.260574  | cm-1 | 107.898598 | meV |
| 689 | 29 f =                     | 23.913783 | THz | 150.254728 | 2PiTHz | 797.677902  | cm-1 | 98.899491  | meV |
| 690 | 30 f =                     | 21.238024 | THz | 133.442437 | 2PiTHz | 708.424188  | cm-1 | 87.833437  | meV |
| 691 | 31 f =                     | 14.501682 | THz | 91.116756  | 2PiTHz | 483.724034  | cm-1 | 59.974158  | meV |
| 692 | 32 f =                     | 12.854059 | THz | 80.764436  | 2PiTHz | 428.765248  | cm-1 | 53.160135  | meV |
| 693 | 33 f =                     | 12.425220 | THz | 78.069958  | 2PiTHz | 414.460702  | cm-1 | 51.386596  | meV |
| 694 | 34 f =                     | 8.631328  | THz | 54.232234  | 2PiTHz | 287.910108  | cm-1 | 35.696317  | meV |
| 695 | 35 f =                     | 7.691490  | THz | 48.327056  | 2PiTHz | 256.560480  | cm-1 | 31.809457  | meV |
| 696 | 36 f =                     | 6.187500  | THz | 38.877212  | 2PiTHz | 206.392794  | cm-1 | 25.589454  | meV |
| 697 | 37 f =                     | 5.482451  | THz | 34.447256  | 2PiTHz | 182.874876  | cm-1 | 22.673603  | meV |
| 698 | 38 f =                     | 3.145056  | THz | 19.760971  | 2PiTHz | 104.907779  | cm-1 | 13.006912  | meV |
| 699 | # 23 C4H10 ads # C4H10 ads |           |     |            |        |             |      |            |     |
| 700 | 1 f =                      | 92.695420 | THz | 582.422500 | 2PiTHz | 3091.986291 | cm-1 | 383.357579 | meV |

## Appendix C. Python scripts

---

```

701 | 2 f = 92.053144 THz 578.386962 2PiTHz 3070.562277 cm-1 380.701339 meV
702 | 3 f = 91.992039 THz 578.003025 2PiTHz 3068.524019 cm-1 380.448627 meV
703 | 4 f = 91.484007 THz 574.810970 2PiTHz 3051.577916 cm-1 378.347578 meV
704 | 5 f = 90.940921 THz 571.398657 2PiTHz 3033.462503 cm-1 376.101552 meV
705 | 6 f = 90.666415 THz 569.673884 2PiTHz 3024.305964 cm-1 374.966285 meV
706 | 7 f = 89.958506 THz 565.225964 2PiTHz 3000.692678 cm-1 372.038610 meV
707 | 8 f = 89.404416 THz 561.744512 2PiTHz 2982.210216 cm-1 369.747076 meV
708 | 9 f = 89.286219 THz 561.001858 2PiTHz 2978.267589 cm-1 369.258252 meV
709 | 10 f = 80.922613 THz 508.451771 2PiTHz 2699.287724 cm-1 334.669145 meV
710 | 11 f = 43.090780 THz 270.747356 2PiTHz 1437.353661 cm-1 178.209205 meV
711 | 12 f = 42.983368 THz 270.072469 2PiTHz 1433.770798 cm-1 177.764987 meV
712 | 13 f = 42.933024 THz 269.756147 2PiTHz 1432.091494 cm-1 177.556780 meV
713 | 14 f = 42.772102 THz 268.745042 2PiTHz 1426.723702 cm-1 176.891258 meV
714 | 15 f = 42.180234 THz 265.026226 2PiTHz 1406.981115 cm-1 174.443488 meV
715 | 16 f = 40.409808 THz 253.902314 2PiTHz 1347.926076 cm-1 167.121594 meV
716 | 17 f = 40.333649 THz 253.423790 2PiTHz 1345.385666 cm-1 166.806623 meV
717 | 18 f = 39.997388 THz 251.311001 2PiTHz 1334.169216 cm-1 165.415960 meV
718 | 19 f = 39.513284 THz 248.269285 2PiTHz 1318.021240 cm-1 163.413866 meV
719 | 20 f = 38.186067 THz 239.930137 2PiTHz 1273.750060 cm-1 157.924937 meV
720 | 21 f = 37.555588 THz 235.968718 2PiTHz 1252.719531 cm-1 155.317483 meV
721 | 22 f = 34.750735 THz 218.345307 2PiTHz 1159.159709 cm-1 143.717539 meV
722 | 23 f = 34.019781 THz 213.752588 2PiTHz 1134.777714 cm-1 140.694556 meV
723 | 24 f = 33.363730 THz 209.630499 2PiTHz 1112.894211 cm-1 137.981346 meV
724 | 25 f = 31.419648 THz 197.415471 2PiTHz 1048.046616 cm-1 129.941266 meV
725 | 26 f = 30.385322 THz 190.916607 2PiTHz 1013.545206 cm-1 125.663635 meV
726 | 27 f = 28.254230 THz 177.526565 2PiTHz 942.459652 cm-1 116.850146 meV
727 | 28 f = 26.625192 THz 167.291016 2PiTHz 888.120788 cm-1 110.112983 meV
728 | 29 f = 24.573315 THz 154.398693 2PiTHz 819.677540 cm-1 101.627099 meV
729 | 30 f = 22.297176 THz 140.097291 2PiTHz 743.753723 cm-1 92.213742 meV
730 | 31 f = 19.186273 THz 120.550906 2PiTHz 639.985147 cm-1 79.348074 meV
731 | 32 f = 12.387894 THz 77.835434 2PiTHz 413.215657 cm-1 51.232230 meV
732 | 33 f = 7.635452 THz 47.974959 2PiTHz 254.691253 cm-1 31.577702 meV
733 | 34 f = 6.793516 THz 42.684920 2PiTHz 226.607295 cm-1 28.095734 meV
734 | 35 f = 5.609389 THz 35.244830 2PiTHz 187.109066 cm-1 23.198576 meV
735 | 36 f = 3.814437 THz 23.966814 2PiTHz 127.235919 cm-1 15.775249 meV
736 | 37 f = 2.571195 THz 16.155295 2PiTHz 85.765833 cm-1 10.633612 meV
737 | 38 f = 2.357612 THz 14.813314 2PiTHz 78.641473 cm-1 9.750303 meV
738 | 39 f = 0.908721 THz 5.709665 2PiTHz 30.311683 cm-1 3.758171 meV

```

### C.3 Microkinetic modelling

```

1 #!/usr/bin/env python3
2 # -*- coding: utf-8 -*-
3 """
4 @author: Corentin Chatelier, Phd Student, IJL-SOLEIL-CNRS
5 Microkinetic modelling
6 """
7 import numpy as np
8 import scipy.integrate as spi
9 import matplotlib.pyplot as plt
10
11# Activation energies and Preexp factors
12vea = np.zeros(28)
13va = np.zeros(28) # power of 10
14
15#####
16coeff = 0.667
17
18vea[1] = 184*coeff # Desorption C4H6 old = 184
19vea[3] = 97*coeff # Desorption H2 old = 97
20vea[13] = 130*coeff # Desorption C4H8 old = 130
21vea[15] = 94*coeff # Desorption H2 old = 94
22vea[27] = 39*coeff # Desorption C4H10 old = 39
23
24vea[4] = 5.4*coeff # Dissociation H2
25vea[5] = 13.6*coeff # Recombinaison H2
26vea[6] = 51.6*coeff # Hydrogenation 1
27vea[7] = 37.5*coeff # Deshydrogenation 1
28
29vea[10] = 20.7*coeff # Hydrogenation 2
30vea[11] = 0.3*coeff # Deshydrogenation 2
31
32vea[16] = 5.4*coeff # Dissociation H2
33vea[17] = 13.6*coeff # Recombinaison H2
34
35vea[20] = 83*coeff # Hydrogenation 3
36vea[21] = 0.1*coeff # Deshydrogenation 3
37
38vea[24] = 29.9*coeff # Hydrogenation 4
39vea[25] = 90.1*coeff # Deshydrogenation 4
40
41#####
42coeff2 = 0.475
43va[4] = 15.23 -coeff2# Dissociation H2
44va[5] = 12.68 +coeff2# Recombinaison H2
45
46va[6] = 9.03 +coeff2# Hydrogenation 1
47va[7] = 12.58 -coeff2# Deshydrogenation 1
48
49va[10] = 8.13 +coeff2# Hydrogenation 2
50va[11] = 11.61 -coeff2# Deshydrogenation 2
51
52va[16] = 15.05 -coeff2# Dissociation H2
53va[17] = 12.50 +coeff2# Recombinaison H2
54
55va[20] = 7.68 +coeff2# Hydrogenation 3
56va[21] = 11.31 -coeff2# Deshydrogenation 3
57
58va[24] = 7.47 +coeff2# Hydrogenation 4
59va[25] = 10.62 -coeff2# Deshydrogenation 4
60
61#####
62# INITIAL PARAMETERS
63

```

## Appendix C. Python scripts

---

```

64 T      = 273 + 24      # in K
65 ratio  = 'ratio 10:1'
66 pH2   = 133.3 * 5      # in Pa
67 pC4H6 = 133.3 * 0.5    # in Pa
68 pC4H8 = 133.3 * 0.0    # in Pa
69 pC4H10= 133.3 * 0.0   # in Pa
70 tmax  = 1e4            # in s
71 plot1 = True # Graph cov = f(t) at given T
72 ##########
73 def K(T,Afi,Ari,Eafi,Eari):
74     R = 8.3144598 # J/(molK)
75     kfi = 10**Afi * np.exp(-Eafi*1e3/(R*T)) #Arrhenius' equation
76     kri = 10**Ari * np.exp(-Eari*1e3/(R*T))
77     return kfi, kri
78
79 def calc_kads(T, P, A, m):
80     """
81     Reaction rate constant for adsorption
82     T in K
83     P in Pa
84     A m^2
85     m in kg
86     """
87     kb = 1.38064852E-23 # boltzmann constant
88     return P*A / np.sqrt(2 * np.pi * m * kb * T)
89
90 def calc_kdes(T, A, m, sigma, theta_rot, Edes):
91     """
92     Reaction rate constant for desorption
93     T in K
94     A in m^2
95     m in kg
96     sigma- Symmetry number
97     theta_rot- Rotational temperature in K
98     Edes in J/mol
99     """
100    kb = 1.38064852e-23 # boltzmann constant
101    h = 6.62607004e-34 # planck constant
102    R = 8.3144598 # gas constant
103
104    return kb * T**3 / h**3 * A * (2 * np.pi * m * kb)
105    /(sigma * theta_rot) * np.exp(-Edes*1e3 / (R*T))
106
107 def Irot():
108     kb = 1.38064852e-23 # boltzmann constant
109     h = 6.62607004e-34 # planck constant
110     hbar = h/2*np.pi
111
112     amu = 1.660539e-27 # kg
113     mH = 1.6737210e-27; # kg
114
115     RH2 = 73.9e-12;
116     I_H2 = 2*mH*RH2**2.0/2.0;
117     sigma_H2 = 2.0;
118     theta_rot_H2 = hbar**2/2/kb/I_H2
119
120     mC4H6 = 4*12*amu + 6*mH
121     Ia_C4H6 = amu*12.12444e-20 # en kg m2
122     Ib_C4H6 = amu*113.99086e-20
123     Ic_C4H6 = amu*126.09124e-20
124     sigma_C4H6 = 2.0
125     I_C4H6 = np.sqrt(Ia_C4H6**2+Ib_C4H6**2+Ic_C4H6**2)
126     theta_rot_C4H6 = hbar**2/2/kb/I_C4H6
127
128     mC4H8 = 4*12*amu + 8*mH
129     Ia_C4H8 = amu*33.3615745178189e-20 # en kg m2
130     Ib_C4H8 = amu*92.8651038861518e-20
131     Ic_C4H8 = amu*119.995841513786e-20

```

```

132     sigma_C4H8 = 1.0 # C2h
133     I_C4H8 = np.sqrt(Ia_C4H8**2+ Ib_C4H8**2+ Ic_C4H8**2)
134     theta_rot_C4H8 = hbar**2/2/kb/I_C4H8
135
136     mC4H10 = 4*12*amu + 10*mH
137     Ia_C4H10 = amu*22.2103151328226e-20 # en kg m2
138     Ib_C4H10 = amu*134.861033486499e-20
139     Ic_C4H10 = amu*144.082300733439e-20
140     sigma_C4H10 = 1.0 # C2h
141     I_C4H10 = np.sqrt(Ia_C4H10**2+ Ib_C4H10**2+ Ic_C4H10**2)
142     theta_rot_C4H10 = hbar**2/2/kb/I_C4H10
143
144     return mH, mC4H6, mC4H8, mC4H10, sigma_H2, sigma_C4H6, sigma_C4H8,
145     sigma_C4H10, theta_rot_H2, theta_rot_C4H6, theta_rot_C4H8, theta_rot_C4H10
146
147 ##########
148
149 def dydt(t, y, params):
150     """ Set of ODE """
151     T = params[0]
152     pH2 = params[1]
153     pC4H6 = params[2]
154     pC4H8 = params[3]
155     pC4H10 = params[4]
156
157     mH, mC4H6, mC4H8, mC4H10, sigma_H2, sigma_C4H6,
158         sigma_C4H8, sigma_C4H10, theta_rot_H2, theta_rot_C4H6,
159         theta_rot_C4H8, theta_rot_C4H10 = Irot()
160
161     # area ads C4Hx
162     AreaC = (130e-12+130e-12+145e-12+107e-12+107e-12)*(108e-12+108e-12+145e-12)
163     AreaH2 = np.pi*((74e-12+53e-12+53e-12)/2)**2 # aire d'ads H2
164
165     # C4H6
166     kf1 = calc_kads(T, pC4H6, AreaC, mC4H6)
167     kr1 = calc_kdes(T, AreaC, mC4H6, sigma_C4H6, theta_rot_C4H6, vea[1])
168     # H2
169     kf2 = calc_kads(T, pH2, AreaH2, mH*2)
170     kr2 = calc_kdes(T, AreaH2, mH*2, sigma_H2, theta_rot_H2, vea[3])
171     # C4H8
172     kf7 = calc_kdes(T, AreaC, mC4H8, sigma_C4H8, theta_rot_C4H8, vea[13])
173     kr7 = calc_kads(T, pC4H8, AreaC, mC4H8)
174     # H2
175     kf8 = calc_kads(T, pH2, AreaH2, mH*2)
176     kr8 = calc_kdes(T, AreaH2, mH*2, sigma_H2, theta_rot_H2, vea[15])
177     # C4H10
178     kf14 = calc_kdes(T, AreaC, mC4H10, sigma_C4H10, theta_rot_C4H10, vea[27])
179     kr14 = calc_kads(T, pC4H10, AreaC, mC4H10)
180
181     kf3, kr3 = K(T,va[4], va[5], vea[4], vea[5]) # H2* + * <-> 2 H*
182     kf4, kr4 = K(T,va[6], va[7], vea[6], vea[7]) # C4H6* + H* <-> C4H7* + *
183     kf6, kr6 = K(T,va[10], va[11], vea[10], vea[11]) # C4H7* + H* <-> C4H8* + *
184     kf9, kr9 = K(T,va[16], va[17], vea[16], vea[17]) # H2* + * <-> 2 H*
185     kf11, kr11 = K(T,va[20], va[21], vea[20], vea[21]) # C4H8* + H* <-> C4H9* + *
186     kf13, kr13 = K(T,va[24], va[25], vea[24], vea[25]) # C4H9* + H* <-> C4H10* + *
187
188     r1 = kf1*y[0]-kr1*y[3] # butadiene ads/des
189     r2 = kf2*y[0]-kr2*y[2] # dihydrogène ads/des
190     r3 = kf3*y[2]*y[0]-kr3*y[1]**2 # dissociation/association
191     r4 = kf4*y[3]*y[1]-kr4*y[4]*y[0] # C4H7
192     r6 = kf6*y[4]*y[1]-kr6*y[5]*y[0] # C4H8
193     r7 = kf7*y[5]*y[1]-kr7*y[0] # butene ads/des
194     r8 = kf8*y[0]-kr8*y[2] # dihydrogène ads/des
195     r9 = kf9*y[2]*y[0]-kr9*y[1]**2 # dissociation/association
196     r11 = kf11*y[5]*y[1]-kr11*y[6]*y[0] # C4H9
197     r13 = kf13*y[6]*y[1]-kr13*y[7]*y[0] # C4H10
198     r14 = kf14*y[7]-kr14*y[0] # butane ads/des
199

```

## Appendix C. Python scripts

---

```
200     dydt = np.zeros(8)
201
202     dydt[0] = -r1-r2-r3+r4+r6+r7-r8-r9+r11+r13+r14 # *
203     dydt[1] = 2*r3-r4-r6+2*r9-r11-r13 # H*
204     dydt[2] = r2-r3+r8-r9 # H2*
205     dydt[3] = r1-r4 # C4H6*
206     dydt[4] = r4-r6 # C4H7*
207     dydt[5] = r6-r7-r11 # C4H8*
208     dydt[6] = r11-r13 # C4H9*
209     dydt[7] = r13-r14 # C4H10*
210
211     return dydt
212
213 def solve_odes(T,pH2,pC4H6,pC4H8,pC4H10,tmax):
214     # initial conditions
215     y0 = [1,0,0,0,0,0,0,0]
216     t0 = 0
217     t1 = tmax # total integration time
218
219     # construct ODE solver
220     r = spi.ode(dydt).set_integrator('vode', method='bdf', atol=1e-8,
221                                         rtol=1e-8, nsteps=1000, with_jacobian=True)
222     r.set_initial_value(y0, t0).set_f_params([T,pH2,pC4H6,pC4H8,pC4H10])
223
224     # integrate on a logarithmic scale
225     xx = np.linspace(-10.0, np.log10(t1), int((np.log10(t1) + 12.0) * 10))
226     yy = []
227     tt = []
228     for x in xx:
229         tnew = 10.0**x
230         tt.append(tnew)
231         yy.append(r.integrate(tnew))
232
233     return tt, np.matrix(yy)
234
235 ##########
236
237 def main(T,pH2,pC4H6,pC4H8,pC4H10,tmax):
238     fig, ax = plt.subplots()
239     x,y = solve_odes(T,pH2,pC4H6,pC4H8,pC4H10,tmax)
240     labels = ['*', 'H$^*$', 'H$_2^*$', 'C$_4$H$_6^*$', 'C$_4$H$_7^*$',
241               'C$_4$H$_8^*$', 'C$_4$H$_9^*$', 'C$_{10}^*$']
242
243     for i in range(0, len(labels)):
244         plt.semilogx(x, y[:,i], label=labels[i])
245
246     plt.legend(loc='center', bbox_to_anchor=(1.15, 0.5))
247     plt.grid(True, linestyle=':')
248     plt.xlabel('t (s)')
249     plt.ylabel('Coverage')
250     ax.tick_params(which='major', width=1.5)
251     ax.tick_params(which='minor', width=1)
252     ax.tick_params(which='major', length=7)
253     ax.tick_params(which='minor', length=4)
254     ax.xaxis.set_tick_params(which='both', direction='in', top=True, labelbottom=True)
255     ax.yaxis.set_tick_params(which='both', direction='in', top=True, labelbottom=True)
256     plt.minorticks_on()
257     plt.xlim(1e-10, tmax)
258
259     plt.show()
260     plt.tight_layout()
261     fig.set_size_inches(8,4)
262
263 if plot1:
264     main(T,pH2,pC4H6,pC4H8,pC4H10,tmax)
265
266 ##########
```

## C.4 Reciprocal space simulation

```

1 #!/usr/bin/env python3
2 # -*- coding: utf-8 -*-
3 """
4 @author: Corentin Chatelier, Phd Student, IJL-SOLEIL-CNRS
5 Reciprocal space simulation
6 """
7 import time
8 import numpy as np
9 import math
10 from datetime import timedelta
11 from scipy.interpolate import interp1d
12 from multiprocessing import Pool
13
14 t0 = time.time()
15 ##### Some definition #####
16 """ Some definition """
17 def dotproduct(v1, v2):
18     return sum((a*b) for a, b in zip(v1, v2))
19
20 def length(v):
21     return math.sqrt(dotproduct(v, v))
22
23 def angle(v1, v2):
24     return math.acos(dotproduct(v1, v2) / (length(v1) * length(v2)))
25
26 ##### How to read the POSCAR file to retrieve positions and parameters #####
27 """ How to read the POSCAR file to retrieve positions and parameters """
28
29 def lecture(filetoberead) :
30
31     data = open(filetoberead,'r')
32     data.readline();
33     scale = data.readline()
34     scale = float(scale.split()[0])
35
36     vec_a = scale*np.array(list(map(float,data.readline().split())))
37     vec_b = scale*np.array(list(map(float,data.readline().split())))
38     vec_c = scale*np.array(list(map(float,data.readline().split())))
39
40     a = length(vec_a)
41     b = length(vec_b)
42     c = length(vec_c)
43     alpha = angle(vec_b,vec_c)*180/np.pi
44     beta = angle(vec_a,vec_c)*180/np.pi
45     gamma = angle(vec_a,vec_b)*180/np.pi
46
47     data.readline()
48
49     natoms = data.readline().split()
50     nAl = int(natoms[0]); nCo = int(natoms[1])
51
52     data.readline();
53
54     full_data = data.read().split()
55
56     n = len(full_data)
57
58     x = []; y = []; z = []
59
60     for i in range(int(round(n/3.0))):
61         x.append(float(full_data[3*i]))
62         y.append(float(full_data[3*i+1]))
63         z.append(float(full_data[3*i+2]))

```

## Appendix C. Python scripts

---

```
64     x = np.array(x); y = np.array(y); z = np.array(z)
65     data.close()
66
67     return vec_a, vec_b, vec_c, a, b, c, alpha, beta, gamma, nAl, nCo, x, y, z
68 #####
69 """
70 #####
71 """ Input DATA """
72
73 # BEWARE fractionnal coordinates are needed
74 file = input('File (ortho ou meta) : ')
75 if file == 'ortho':
76     fichier = 'Al13Co4_ortho.vasp'
77 if file == 'meta':
78     size = input('Size (NxMxK) : ')
79     file += '-' + size
80     fichier = 'POSCAR_meta_dislo_' + size + '_remdupli_biatom.vasp'
81
82 vec_a, vec_b, vec_c, a, b, c, alpha, beta, gamma, nAl, nCo, x, y, z = lecture(fichier)
83
84
85 def maillages(nAl, nCo, x, y, z, nx, ny, nz):
86     xAl = x[:nAl]; yAl = y[:nAl]; zAl = z[:nAl];
87     xCo = x[nAl:]; yCo = y[nAl:]; zCo = z[nAl:];
88
89     xnxAl1 = np.array([]); ynyAl1 = np.array([]); znzAl1 = np.array([]);
90     xnxCo1 = np.array([]); ynyCo1 = np.array([]); znzCo1 = np.array([]);
91
92     for i in range(nx):
93         xnxAl1 = np.append(xnxAl1, xAl+i); ynyAl1 = np.append(ynyAl1, yAl);
94         znzAl1 = np.append(znzAl1, zAl);
95         xnxCo1 = np.append(xnxCo1, xCo+i); ynyCo1 = np.append(ynyCo1, yCo);
96         znzCo1 = np.append(znzCo1, zCo);
97
98     xnxAl2 = np.array([]); ynyAl2 = np.array([]); znzAl2 = np.array([]);
99     xnxCo2 = np.array([]); ynyCo2 = np.array([]); znzCo2 = np.array([]);
100
101    for i in range(ny):
102        xnxAl2 = np.append(xnxAl2, xnxAl1); ynyAl2 = np.append(ynyAl2, ynyAl1+i);
103        znzAl2 = np.append(znzAl2, znzAl1);
104        xnxCo2 = np.append(xnxCo2, xnxCo1); ynyCo2 = np.append(ynyCo2, ynyCo1+i);
105        znzCo2 = np.append(znzCo2, znzCo1);
106
107    xnxAl3 = np.array([]); ynyAl3 = np.array([]); znzAl3 = np.array([]);
108    xnxCo3 = np.array([]); ynyCo3 = np.array([]); znzCo3 = np.array([]);
109
110    for i in range(nz):
111        xnxAl3 = np.append(xnxAl3, xnxAl2); ynyAl3 = np.append(ynyAl3, ynyAl2);
112        znzAl3 = np.append(znzAl3, znzAl2+i);
113        xnxCo3 = np.append(xnxCo3, xnxCo2); ynyCo3 = np.append(ynyCo3, ynyCo2);
114        znzCo3 = np.append(znzCo3, znzCo2+i);
115
116    xnx = np.append(xnxAl3, xnxCo3); yny = np.append(ynyAl3, ynyCo3);
117    znz = np.append(znzAl3, znzCo3)
118    nAl2 = xnxAl3.shape[0]
119    nCo2 = xnxCo3.shape[0]
120
121    return xnx, yny, znz, nAl2, nCo2
122
123 map_type = int(input('Map yz (0), xz (1) or xy (2) ? Enter 0, 1 or 2 : '))
124
125 if map_type == 0:
126     nx = 1; ny = int(input('ny = ')); nz = int(input('nz = '))
127 if map_type == 1:
128     nx = int(input('nx = ')); ny = 1; nz = int(input('nz = '))
129 if map_type == 2:
130     nx = int(input('nx = ')); ny = int(input('ny = ')); nz = 1
131
```

```

132 resx = 2*np.pi/(nx*a); resy = 2*np.pi/(ny*b); resz = 2*np.pi/(nz*c)
133 x, y, z, nAl, nCo = maillages(nAl,nCo,x,y,z,nx,ny,nz)
135
136 #recentrage de la maille
137 x -= nx/2; y -= ny/2; z -= nz/2
138
139 Ntot = nAl + nCo
140 ##### How to calculate the atomic scattering factors#####
141 """ How to calculate the atomic scattering factors"""
142 # at 18.41 keV
143 f1_Al = 13.06; f2_Al = 0.046
144 f1_Co = 27.35; f2_Co = 0.8749
145
146 f1 = [f1_Al for i in range(nAl)]+[f1_Co for i in range(nCo)]
147 f2 = [f2_Al for i in range(nAl)]+[f2_Co for i in range(nCo)]
148
149 f_Al = [6.4202 ,3.0387,1.9002,0.7426,1.5936,31.5472,1.9646 ,85.0886,1.1151]
150 f_Co = [12.2841,4.2791,7.3409,0.2784,4.0034,13.5359, 2.3488,71.1692,1.0118]
151 f_coeff = []
152
153 for i in range(nAl):
154     f_coeff.append(f_Al)
155 for i in range(nCo):
156     f_coeff.append(f_Co)
157
158 def f_atom(natom,f_coeff,q):
159     f = f_coeff[natom][8]
160     for i in range(4):
161         f += f_coeff[natom][i*2]*np.exp(-f_coeff[natom][i*2+1]*(q/4/np.pi)**2);
162     return f
163
164 ##### How to calculate F #####
165 """ How to calculate F """
166
167 bm = False
168 maptype = 'map'
169
170 blackman = int(input('Blackman windowing, no (0) or yes (1) ? Enter 0 or 1 :'))
171 if blackman == 1:
172     bm = True
173     maptype = 'bm_map'
174
175 if bm:
176     limit= 0.8
177     rangex = np.arange(limit-(1-limit),1+(1.-limit)/100.,(1.-limit)/50.)
178     window = np.blackman(101)
179     f = interp1d(rangex,window,kind='linear')
180
181 def Fq(qx,qy,qz,Ntot,x,y,z,f_coeff,f1,f2):
182     Freal = 0
183     Fimag = 0
184     q = length(np.array([qx,qy,qz]))
185     for i in range(Ntot):
186         r = np.sqrt((a*x[i])**2+(b*y[i])**2+(c*z[i])**2)
187         coeff = 1
188         if bm and r/(a*nx/2) > limit and r/(a*nx/2) <= 1:
189             coeff = f(r/(a*nx/2))
190         if bm and r/(a*nx/2) > 1:
191             coeff = 0
192         phase = qx*x[i]*a+qy*y[i]*b+qz*z[i]*c
193         Freal+=coeff*((f_atom(i,f_coeff,q)+f1[i])*np.cos(phase)-f2[i]*np.sin(phase))
194         Fimag+=coeff*((f_atom(i,f_coeff,q)+f1[i])*np.sin(phase)+f2[i]*np.cos(phase))
195     F = Freal + Fimag*j
196
197     return F
198
199 #####

```

## Appendix C. Python scripts

---

```
200 Qx = np.arange(-5,5+resx,resx)
201 Qy = np.arange(-5,5+resy,resy)
202 Qz = np.arange(-5,5+resz,resz)
203
204 Q_value = float(input('Q = '))
205
206 if map_type == 0:
207     Q1 = Qy; Q2 = Qz; sQ1 = 'y'; sQ2 = 'z'; sQ3 = 'Qx'; res1 = resy; res2 = resz
208
209 if map_type == 1:
210     Q1 = Qx; Q2 = Qz; sQ1 = 'x'; sQ2 = 'z'; sQ3 = 'Qy'; res1 = resx; res2 = resz
211
212 if map_type == 2:
213     Q1 = Qx; Q2 = Qy; sQ1 = 'x'; sQ2 = 'y'; sQ3 = 'Qz'; res1 = resx; res2 = resy
214
215 map_Q1Q2, map_Q2Q1 = np.meshgrid(Q2,Q1)
216
217 ######
218 nproc = int(input('Number of processes : '))
219
220 listes_Q1 = []
221 rangeQ1 = len(Q1)
222 div = int(rangeQ1/nproc)
223 mod = int(rangeQ1%nproc)
224
225 for i in range(nproc):
226     if i < nproc-1:
227         listes_Q1 += [np.arange(i*div,(i+1)*div)]
228     else:
229         listes_Q1 += [np.arange(i*div,(i+1)*div+mod)]
230
231 #####
232 def paral(liste_Q1):
233     map_F = np.zeros_like(map_Q1Q2)
234     for i in liste_Q1:
235         for j in range(len(Q2)):
236             if map_type == 0:
237                 map_F[i,j]=np.abs(Fq(Q1[i],Q_value,Q2[j],Ntot,x,y,z,f_coeff,f1,f2))
238             if map_type == 1:
239                 map_F[i,j]=np.abs(Fq(Q_value,Q1[i],Q2[j],Ntot,x,y,z,f_coeff,f1,f2))
240             if map_type == 2:
241                 map_F[i,j]=np.abs(Fq(Q1[i],Q2[j],Q_value,Ntot,x,y,z,f_coeff,f1,f2))
242
243     return map_F
244
245 with Pool(nproc) as p:
246     maps_F = np.array(p.map(paral,listes_Q1))
247
248 map_F = maps_F.sum(axis=0)
249
250 np.save(maptpe+'_'+file+'_'+sQ1+sQ2+'_'+sQ3+'_'+str(Q_value)+'_'+str(nx)
251         +'_'+str(ny)+'_'+str(nz)+'_'+str(res1)+'_'+str(res2),map_F.T)
252
253 #####
254 t1 = time.time()
255 elapsedtime_sec = (t1-t0)
256
257 print('Duration : ',timedelta(seconds=elapsedtime_sec))
```

# Appendix D

## Diffraction data

### Contents

---

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---

### D.1 SXRD data of Al<sub>13</sub>Co<sub>4</sub>(010)

Figs. D.1 and D.2 present the SXRD out-of-plane data of Al<sub>13</sub>Co<sub>4</sub>(010) for K ∈ [0, 1, 2, 3, 4, 5]. More details regarding Al<sub>13</sub>Co<sub>4</sub> can be found in Chapters 1 and 5.

### D.2 Fourier transform of Al<sub>13</sub>Co<sub>4</sub>

Figs. D.3 and D.4 present the simulations of the reciprocal space of Al<sub>13</sub>Co<sub>4</sub> with and without a core metadislocation for K = 2 and K = 3 (with respect to the (010) orientation). More details regarding the metadislocations can be found in Chapters 1 and 5.

### D.3 SXRD data of Al<sub>13</sub>Fe<sub>4</sub>(010)

Fig. D.5 and Fig. D.6 present the SXRD measurements of another sample of Al<sub>13</sub>Fe<sub>4</sub>(010) that has four domains instead of two (first sample). More details regarding Al<sub>13</sub>Fe<sub>4</sub> can be found in Chapter 1 and 6.

## Appendix D. Diffraction data

---

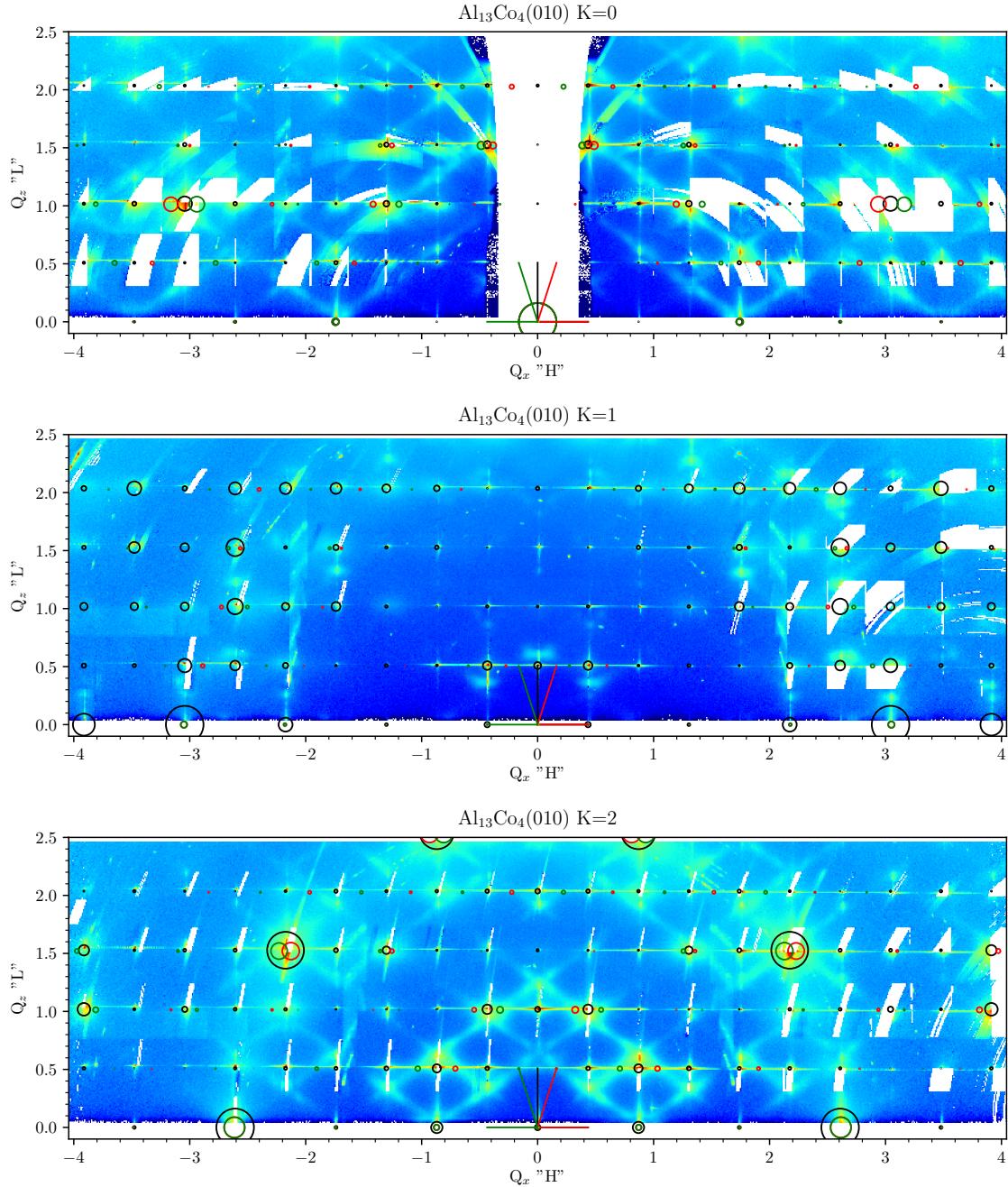


Figure D.1: SXRD out-of-plane measurements of  $\text{Al}_{13}\text{Co}_4(010)$  ( $K \in [0, 1, 2]$ ). Diffraction peaks of the orthorhombic structure are indicated using black circles, while peaks of the monoclinic structure are indicated using red and green circles (two domains).

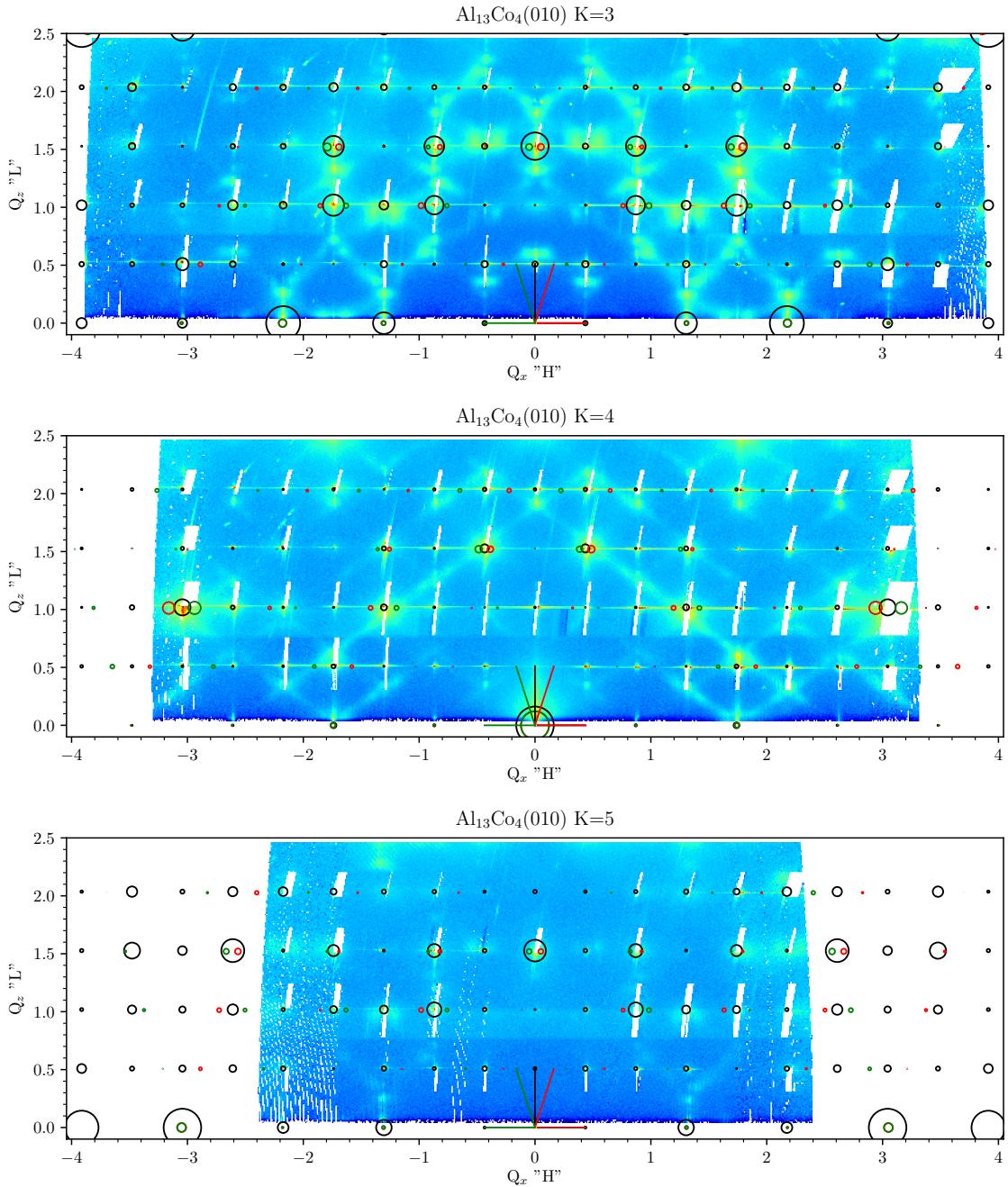


Figure D.2: SXRD out-of-plane measurements of  $Al_{13}Co_4(010)$  ( $K \in [3, 4, 5]$ ). Diffraction peaks of the orthorhombic structure are indicated using black circles, while peaks of the monoclinic structure are indicated using red and green circles (two domains).

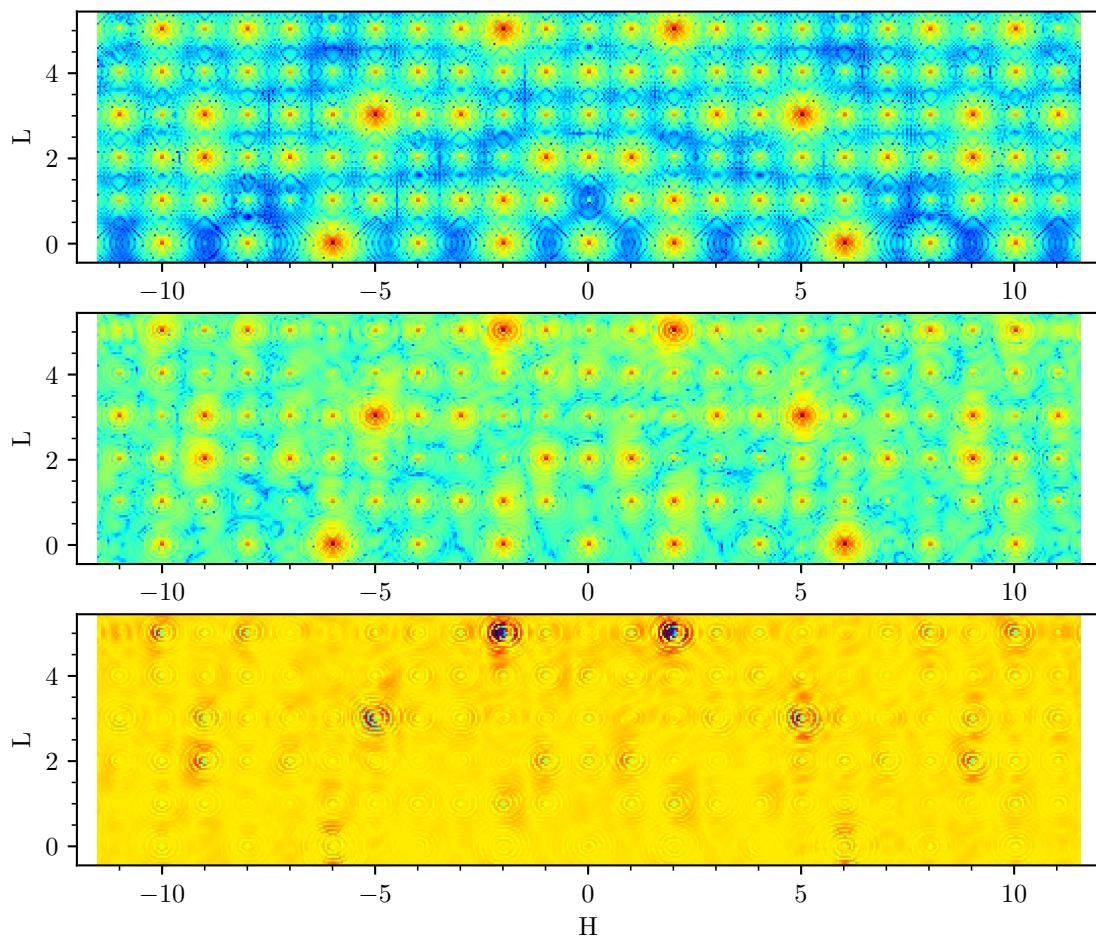


Figure D.3: Fourier transform of a metadislocation in  $\text{Al}_{13}\text{Co}_4$  for  $K = 2$ : (top) simulation without the defect, (middle) with the defect, and (bottom) their difference.

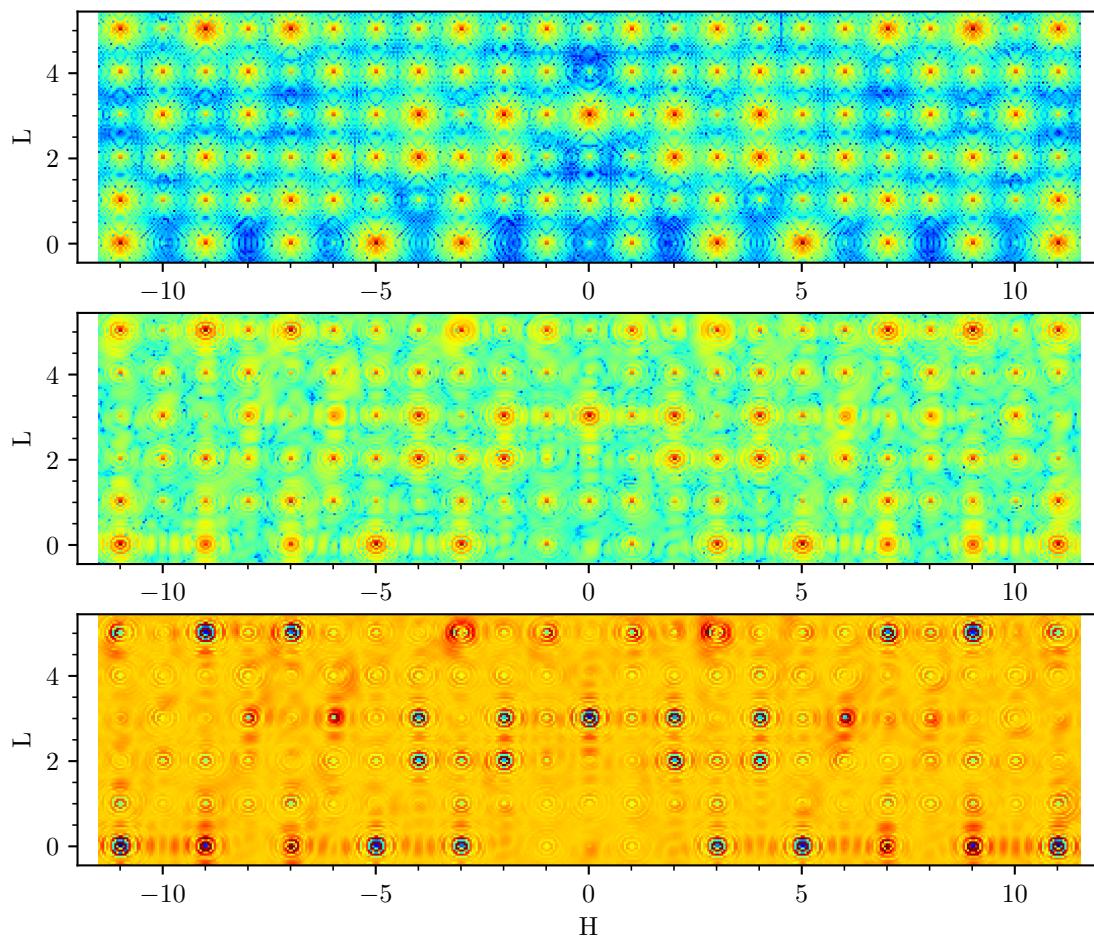


Figure D.4: Fourier transform of a metadislocation in  $Al_{13}Co_4$  for  $K = 3$ : (top) simulation without the defect, (middle) with the defect, and (bottom) their difference.

## Appendix D. Diffraction data

---

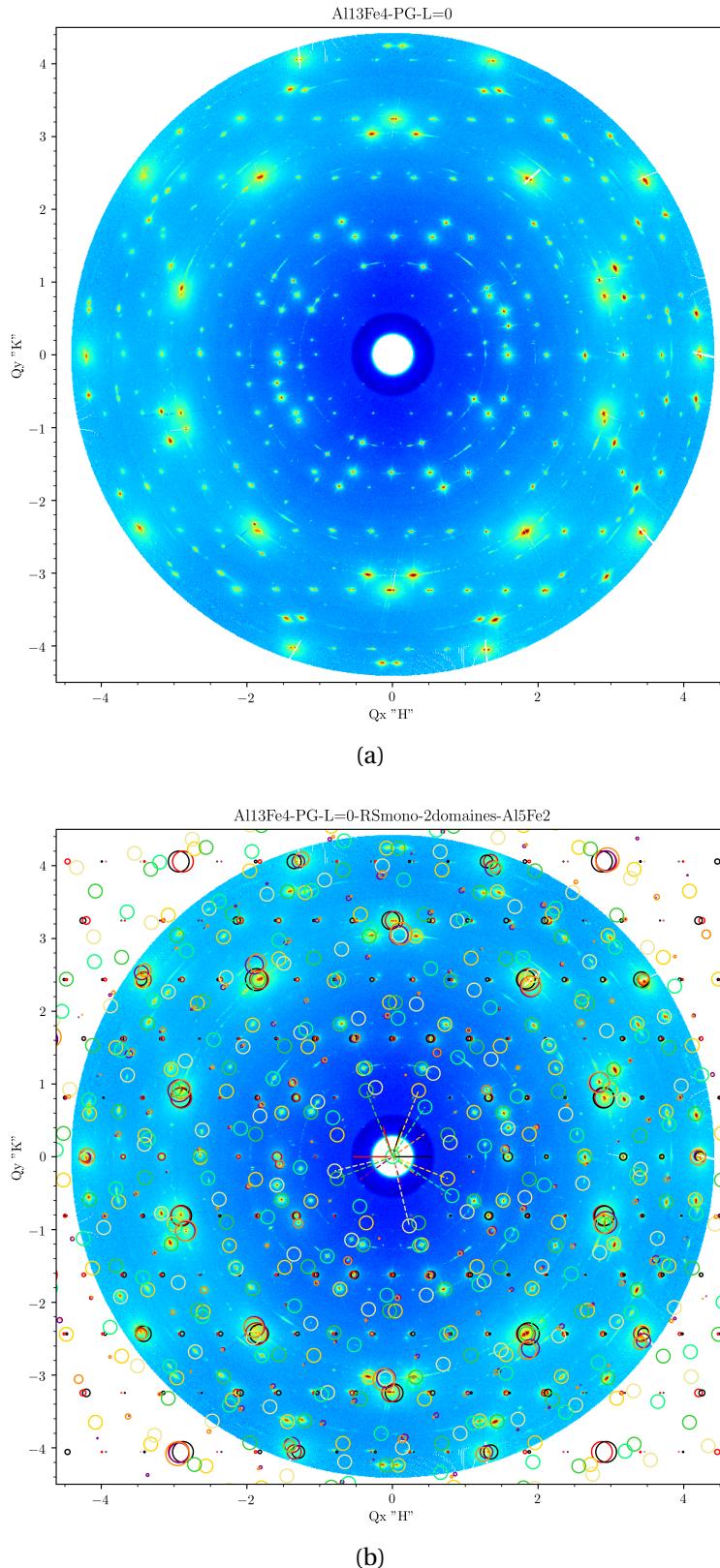


Figure D.5: In-plane reciprocal space maps of  $\text{Al}_{13}\text{Fe}_4(010)$  (sample with 4 domains): (a) raw data and (b)  $\text{Al}_{13}\text{Fe}_4(010)$  and  $\text{Al}_5\text{Fe}_2(001)$  spots are indicated with circles (4 domains).

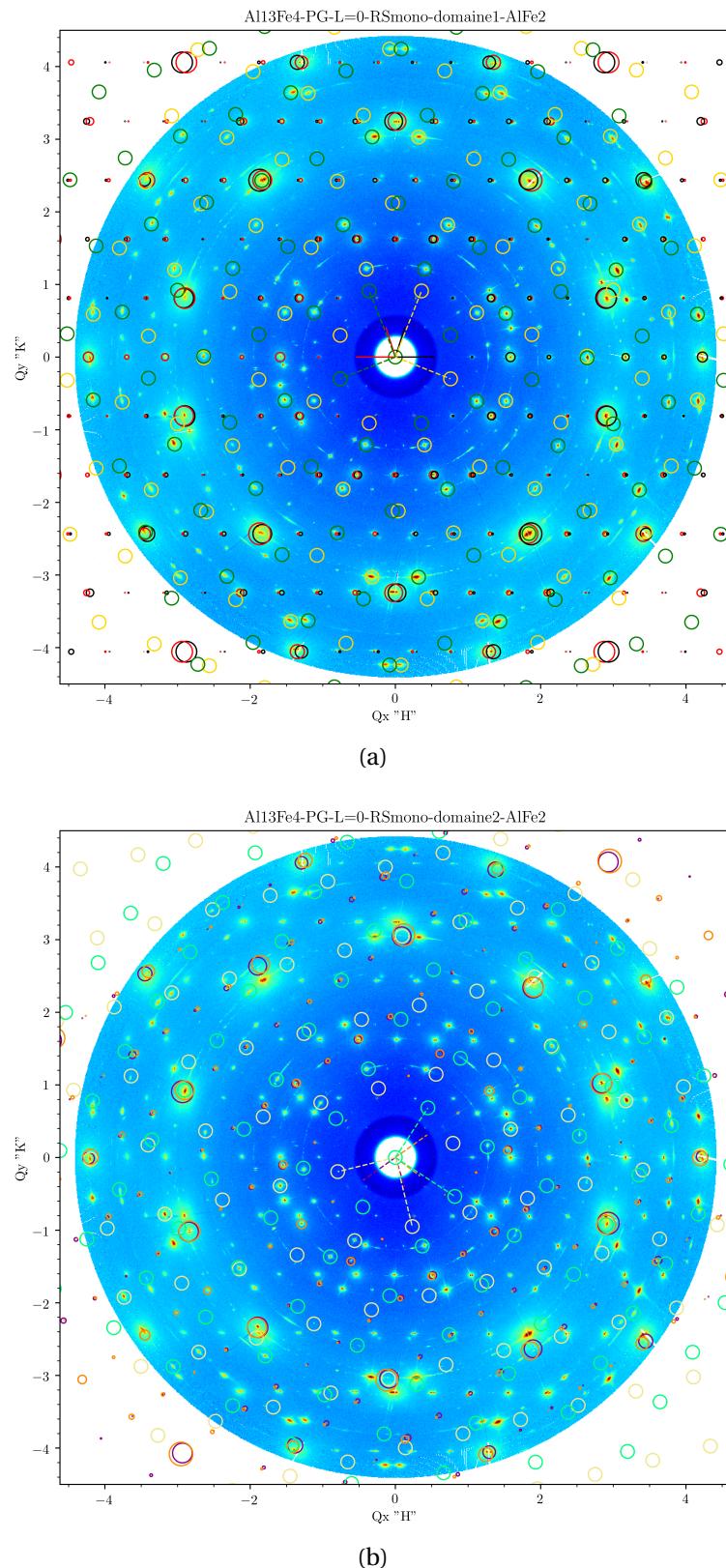


Figure D.6: In-plane reciprocal space maps of  $Al_{13}Fe_4(010)$  (sample with 4 domains): (a) 2 of the 4 domains are indicated with circles (b) the 2 other domains are indicated with circles of different colors.

*Appendix D. Diffraction data*

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## Résumé

Remplacer les catalyseurs à base de métaux nobles (Pd, Pt, Au) par des substituts peu coûteux, stables, sélectifs et actifs est un grand défi pour l'industrie chimique. Plusieurs intermétalliques complexes à base d'aluminium se sont révélés prometteurs pour les réactions d'hydrogénéation d'alcynes et d'alcènes, importantes dans l'industrie des polymères. C'est le cas des approximants quasicristallins  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$  et  $\text{Al}_{13}\text{Fe}_4$ . L'étude des propriétés catalytiques de ces derniers nécessite plusieurs approches, théoriques et expérimentales, afin de déterminer les structures de surface hors et sous conditions de réaction, première étape avant la détermination des propriétés d'adsorption et des mécanismes de réaction. La combinaison d'expériences de sciences de surface (microscopie à effet tunnel, diffraction de surface des rayons X) et de calculs de chimie théorique (énergies de surface, énergies d'adsorption, chemins de réaction) a permis d'établir des modèles de surface détaillés, leurs propriétés d'adsorption et de mieux comprendre les facteurs clés à l'origine des propriétés catalytiques prometteuses de ces matériaux.

**Mots-clés:** catalyse, surfaces, aluminium, intermétalliques, butadiène, DFT, SXRD.

## Abstract

Replacing noble metal (Pd, Pt, Au) catalysts with inexpensive, environmentally harmless, active, selective, and stable substitutes is a big challenge for the chemical industry. Several aluminium-based complex intermetallic compounds have shown promises for alkynes and alkenes hydrogenation reactions, which are of interest in the chemical industry. It is the case for  $\text{Al}_5\text{Co}_2$ ,  $\text{Al}_{13}\text{Co}_4$  and  $\text{Al}_{13}\text{Fe}_4$  quasicrystalline approximants. The study of their catalytic properties demands different approaches, both theoretical and experimental, in order to determine first their surface structures under ultra-high vacuum or reaction conditions, then their catalytic properties. The combination of surface science experiments (scanning tunneling microscopy, surface X-ray diffraction) and theoretical chemistry calculations (surface energies, adsorption energies and reaction pathways) allows for a better understanding of the key parameters behind the promising catalytic properties of these materials.

**Keywords:** catalysis, surfaces, aluminium, intermetallics, butadiene, DFT, SXRD.